

# $k$ -SHELLABLE SIMPLICIAL COMPLEXES AND GRAPHS

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## Abstract

In this paper we show that a  $k$ -shellable simplicial complex is the expansion of a shellable complex. We prove that the face ring of a pure  $k$ -shellable simplicial complex satisfies the Stanley conjecture. In this way, by applying an expansion functor to the face ring of a given pure shellable complex, we construct a large class of rings satisfying the Stanley conjecture.

Also, by presenting some characterizations of  $k$ -shellable graphs, we extend some results due to Castrillón-Cruz, Cruz-Estrada and Van Tuyl-Villareal.

## Introduction

Let  $\Delta$  be a simplicial complex on the vertex set  $X := \{x_1, \dots, x_n\}$ . Denote by  $\langle F_1, \dots, F_r \rangle$  the simplicial complex  $\Delta$  with facets  $F_1, \dots, F_r$ .  $\Delta$  is called *shellable* if its facets can be given a linear order  $F_1, \dots, F_r$ , called a *shelling order*, such that for all  $2 \leq j$ , the subcomplex  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  is pure of dimension  $\dim(F_j) - 1$  (see [3] for probably the earliest definition of this term and [2] for a more recent exposition). Studying combinatorial properties of shellable simplicial complexes and algebraic constructions of their face rings and also the edge ideals associated to shellable graphs is a current trend in combinatorics and commutative algebra. See for example [2], [3], [4], [8], [11], [20].

In this paper, we recall from [15] the concept of  $k$ -shellability, and extend some results obtained previously by researchers. Actually,  $k$  is a positive integer and for  $k = 1$ , 1-shellability coincides with shellability.

Richard Stanley [18], in his famous article “Linear Diophantine equations and local cohomology”, made a striking conjecture predicting an upper bound for the depth of a multigraded module. This conjecture is nowadays called the Stanley conjecture and the conjectured upper bound is called the Stanley depth of a module. The Stanley conjecture has become quite popular, with numerous publications dealing with different aspects of the Stanley depth. Although a counterexample has apparently recently been found to the Stanley conjecture

(see [9]), this makes it perhaps even more interesting to explore the relationship between depth and Stanley depth.

Let  $S = K[x_1, \dots, x_n]$  be the polynomial ring over a field  $K$ . Dress proved in [8] that the simplicial complex  $\Delta$  is shellable if and only if its face ring is clean. It is also known that cleanliness implies pretty cleanliness. Furthermore, Herzog and Popescu [11, Theorem 6.5] proved that, if  $I \subset S$  is a monomial ideal, and  $S/I$  is a multigraded pretty clean ring, then the Stanley conjecture holds for  $S/I$ . It follows that, for a shellable simplicial complex  $\Delta$ , the face ring  $K[\Delta] = S/I_\Delta$  satisfies the Stanley conjecture where  $I_\Delta$  denotes the Stanley-Reisner ideal of  $\Delta$ . We extend this result, in the pure case, by showing that the face ring of a  $k$ -shellable simplicial complex satisfies the Stanley conjecture (see Theorem 3.2). We obtain this result by extending Proposition 8.2 of [11] and by presenting a filtration for the face ring of a  $k$ -shellable simplicial complex in Theorem 2.9.

A simple graph  $G$  is called shellable if its independence complex  $\Delta_G$  is a shellable simplicial complex. Shellable graphs were studied by several researchers in recent years. For example, Van Tuyl and Villarreal in [20] classified all of shellable bipartite graphs. Also, Castrillón and Cruz characterized the shellable graphs and clutters by using the properties of simplicial vertices, shedding vertices and shedding faces [4].

Here, we present some characterizations of  $k$ -shellable graphs and extend some results of [4], [6] and [20] (see Theorems 4.5, 4.7 and 4.10). Our idea is to define a new notion, called a  $k$ -simplicial set, which is a generalization of the notion of simplicial vertex defined in [7] or [13].

## 1. Preliminaries

For basic definitions and general facts on simplicial complexes, we refer to Stanley's book [19].

A simplicial complex  $\Delta$  is *pure* if all of its facets (maximal faces) are of the same dimension. The *link* and *deletion* of a face  $F$  in  $\Delta$  are defined respectively by

$$\text{lk}_\Delta(F) = \{G \in \Delta : G \cap F = \emptyset \text{ and } G \cup F \in \Delta\}$$

and

$$\text{dl}_\Delta(F) = \{G \in \Delta : F \not\subseteq G\}.$$

Let  $G$  be a simple (no loops or multiple edges) undirected graph on the vertex set  $V(G) = X$  and the edge set  $E(G)$ . The *independence complex* of  $G$  is denoted by  $\Delta_G$  and  $F$  is a face of  $\Delta_G$  if and only if there is no edge of  $G$  joining any two vertices of  $F$ . The *edge ideal* of  $G$  is defined a quadratic squarefree monomial ideal  $I(G) = (x_i x_j : x_i x_j \in E(G))$ . It is known that

$I(G) = I_{\Delta_G}$ . We say  $G$  is a shellable graph if  $\Delta_G$  is a shellable simplicial complex.

In the following we recall the concept of expansion functor in a combinatorial and an algebraic setting from [16] and [1], respectively.

Let  $\alpha = (k_1, \dots, k_n)$  be an  $n$ -tuple with positive integer entries in  $\mathbb{N}^n$ . For  $F = \{x_{i_1}, \dots, x_{i_r}\} \subseteq X$  define

$$F^\alpha = \{x_{i_1 1}, \dots, x_{i_1 k_1}, \dots, x_{i_r 1}, \dots, x_{i_r k_r}\}$$

as a subset of  $X^\alpha := \{x_{11}, \dots, x_{1k_1}, \dots, x_{n1}, \dots, x_{nk_n}\}$ .  $F^\alpha$  is called *the expansion of  $F$  with respect to  $\alpha$* .

For a simplicial complex  $\Delta = \langle F_1, \dots, F_r \rangle$  on  $X$ , we define *the expansion of  $\Delta$  with respect to  $\alpha$*  as the simplicial complex  $\Delta^\alpha = \langle F_1^\alpha, \dots, F_r^\alpha \rangle$  (see [16]).

In [1], Bayati and Herzog defined the expansion functor in the category of finitely generated multigraded  $S$ -modules and studied some homological behaviors of this functor. We recall the expansion functor defined by them only in the category of monomial ideals and refer the reader to [1] for more general case in the category of finitely generated multigraded  $S$ -modules.

Set  $S^\alpha$  a polynomial ring over  $K$  in the variables

$$x_{11}, \dots, x_{1k_1}, \dots, x_{n1}, \dots, x_{nk_n}.$$

Whenever  $I \subset S$  is a monomial ideal minimally generated by  $u_1, \dots, u_r$ , the expansion of  $I$  with respect to  $\alpha$  is defined by

$$I^\alpha = \sum_{i=1}^r P_1^{v_1(u_i)} \dots P_n^{v_n(u_i)} \subset S^\alpha,$$

where  $P_j = (x_{j1}, \dots, x_{jk})$  is a prime ideal of  $S^\alpha$  and  $v_j(u_i)$  is the exponent of  $x_j$  in  $u_i$ .

EXAMPLE 1.1. Let  $I \subset K[x_1, \dots, x_3]$  be a monomial ideal minimally generated by  $G(I) = \{x_1^2 x_2, x_1 x_3, x_2 x_3^2\}$  and let  $\alpha = (2, 2, 1) \in \mathbb{N}^3$ . Then

$$\begin{aligned} I^\alpha &= (x_{11}, x_{12})^2(x_{21}, x_{22}) + (x_{11}, x_{12})(x_{31}) + (x_{21}, x_{22})(x_{31})^2 \\ &= (x_{11}^2 x_{21}, x_{11} x_{12} x_{21}, x_{12}^2 x_{21}, x_{11}^2 x_{22}, \\ &\quad x_{11} x_{12} x_{22}, x_{12}^2 x_{22}, x_{11} x_{31}, x_{12} x_{31}, x_{21} x_{31}^2, x_{22} x_{31}^2) \end{aligned}$$

It was shown in [1] that the expansion functor is exact and so  $(S/I)^\alpha = S^\alpha/I^\alpha$ . The following lemma implies that two above concepts of expansion functor are related.

LEMMA 1.2 ([16, Lemma 2.1]). *For a simplicial complex  $\Delta$  and  $\alpha \in \mathbb{N}^n$  we have  $(I_\Delta)^\alpha = I_{\Delta^\alpha}$ . In particular,  $K[\Delta]^\alpha = K[\Delta^\alpha]$ .*

In this paper we just study the functors  $\alpha = (k_1, \dots, k_n) \in \mathbb{N}^n$  with  $k_i = k_j$  for all  $i, j$ . For convenience, we set  $\alpha = [k]$  when every component of  $\alpha$  is equal to  $k \in \mathbb{N}$ . We call  $I^{[k]}$  (resp.  $\Delta^{[k]}$ ) the expansion of  $I$  (resp.  $\Delta$ ) with respect to  $k$ .

## 2. Some combinatorial and algebraic properties of $k$ -shellable complexes

The notion of  $k$ -shellable simplicial complexes was first introduced by Emtander, Mohammadi and Moradi [10] to provide a natural generalization of shellability. It was shown in [10, Theorem 6.8] that a simplicial complex  $\Delta$  is  $k$ -shellable if and only if the Stanley-Reisner ideal of its Alexander dual has  $k$ -quotients, i.e. there exists an ordering  $u_1, \dots, u_r$  of the minimal generators of  $I_{\Delta^\vee}$  such that if we for  $s = 1, \dots, t$ , put  $I_s = (u_1, \dots, u_s)$ , then for every  $s$  there are monomials  $v_{s_i}$ ,  $i = 1, \dots, r_s$ ,  $\deg(v_{s_i}) = k$  for all  $i$ , such that  $I_s : u_s = (v_{s_1}, \dots, v_{s_{r_s}})$ .

In [15], we gave another definition of  $k$ -shellability and having  $k$ -quotients by adding a condition to Emtander, Mohammadi and Moradi's. In our definition the colon ideals  $I_s : u_s$  were generated by regular sequences for all  $s$  and in this way, all of structural properties of monomial ideals with linear quotients were generalized. The reader is referred to [12] for the definition of monomial ideals with linear quotients.

DEFINITION 2.1 ([15]). Let  $\Delta$  be a  $d$ -dimensional simplicial complex on  $X$  and let  $k$  be an integer with  $1 \leq k \leq d + 1$ .  $\Delta$  is called  $k$ -shellable if its facets can be ordered  $F_1, \dots, F_r$ , called  $k$ -shelling order, such that for all  $j = 2, \dots, r$ , the subcomplex  $\Delta_j = \langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  satisfies the following properties:

- (i) it is generated by a nonempty set of maximal proper faces of  $\langle F_j \rangle$  of dimension  $|F_j| - k - 1$ ;
- (ii) if  $\Delta_j$  has more than one facet then for every two disjoint facets  $\sigma, \tau \in \langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  we have  $F_j \subseteq \sigma \cup \tau$ .

REMARK 2.2. It follows from the definition that two concepts 1-shellability and shellability coincide.

REMARK 2.3. Note that the notions of 1-shellability in our sense and Emtander, Mohammadi and Moradi's coincide. Although, for  $k > 1$ , a simplicial complex may be  $k$ -shellable in their concept and not in ours. For example,

consider the complex  $\Delta = \langle abc, aef, cdf \rangle$  on  $\{a, b, \dots, f\}$ . It is easy to check that  $\Delta$  is 2-shellable in the sense of [10] but not in ours.

In the following proposition we describe some the combinatorial properties of  $k$ -shellable complexes.

**PROPOSITION 2.4.** *Let  $\Delta$  be a  $d$ -dimensional (not necessarily pure) simplicial complex on  $X$  and let  $k$  be an integer with  $1 \leq k \leq d + 1$ . Suppose that the facets of  $\Delta$  can be ordered  $F_1, \dots, F_r$ . Then the following conditions are equivalent:*

- (a)  $F_1, \dots, F_r$  is a  $k$ -shelling of  $\Delta$ ;
- (b) for every  $1 \leq j \leq r$  there exist the subsets  $E_1, \dots, E_j$  of  $X$  such that the  $E_i$  are mutually disjoint and  $|E_i| = k$  for all  $i$  and the set of the minimal elements of  $\langle F_1, \dots, F_j \rangle \setminus \langle F_1, \dots, F_{j-1} \rangle$  is  $\{a_1, \dots, a_j\} : a_i \in E_i$  for all  $i$ ;
- (c) for all  $i, j, 1 \leq i < j \leq r$ , there exist  $x_1, \dots, x_k \in F_j \setminus F_i$  and some  $\ell \in \{1, \dots, j - 1\}$  with  $F_j \setminus F_\ell = \{x_1, \dots, x_k\}$ .

**PROOF.** (a)  $\Rightarrow$  (b): let  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle = \langle F_j \setminus \sigma_1, \dots, F_j \setminus \sigma_j \rangle$  where  $|\sigma_i| = k$  for all  $i$ . Since for all  $i \neq i', F_j \subseteq (F_j \setminus \sigma_i) \cup (F_j \setminus \sigma_{i'})$ , we have  $\sigma_i \cap \sigma_{i'} = \emptyset$ . Hence the minimal elements of  $\langle F_1, \dots, F_j \rangle \setminus \langle F_1, \dots, F_{j-1} \rangle$  are in the form  $\{a_1, \dots, a_j\}$  where  $a_i \in \sigma_i$  for all  $i$ .

(b)  $\Rightarrow$  (c): for all  $i$ , suppose that  $E_i = \{x_{i1}, \dots, x_{ik}\}$ . Let  $1 \leq i < j \leq r$  and let  $\{x_{1i_1}, \dots, x_{1i_i}\}$  be a minimal element of  $\langle F_1, \dots, F_j \rangle \setminus \langle F_1, \dots, F_{j-1} \rangle$ . Because  $\{x_{1i_1}, \dots, x_{1i_i}\} \not\subseteq F_i$ , we may assume that  $x_{1i_1} \in F_j \setminus F_i$ . We claim that  $x_{11}, \dots, x_{1k} \in F_j \setminus F_i$ . Suppose, on the contrary, that for some  $s, x_{1s} \notin F_j \setminus F_i$  then  $x_{1s} \in F_i$  and so  $x_{1s} \notin \langle F_1, \dots, F_j \rangle \setminus \langle F_1, \dots, F_{j-1} \rangle$ . It follows that  $\{x_{1s}, x_{2i_2}, \dots, x_{1i_i}\}$  is not a minimal element of  $\langle F_1, \dots, F_j \rangle \setminus \langle F_1, \dots, F_{j-1} \rangle$ , a contradiction. Therefore  $E_1 \subseteq F_j \setminus F_i$ .

Now suppose that for all  $\ell < j$ , if  $E_1$  is contained in  $F_j \setminus F_\ell$  then  $E_1 \subsetneq F_j \setminus F_\ell$ . Then there exists  $y \in F_j \setminus E_1$  such that  $\{y, x_{2i_2}, \dots, x_{1i_i}\}$  is a minimal element of  $\langle F_1, \dots, F_j \rangle \setminus \langle F_1, \dots, F_{j-1} \rangle$  different from the elements of  $\{a_1, \dots, a_j\} : a_i \in E_i$  for all  $i$ , a contradiction. Therefore there exists  $\ell < j$  with  $F_j \setminus F_\ell = E_1$ .

(c)  $\Rightarrow$  (a): let  $F \in \langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$ . Then  $F \subseteq F_i$  for some  $i < j$ . By the condition (c), there exist  $x_1, \dots, x_k \in F_j \setminus F_i$  and some  $\ell \in \{1, \dots, j - 1\}$  with  $F_j \setminus F_\ell = \{x_1, \dots, x_k\}$ . But  $F_j \setminus \{x_1, \dots, x_k\}$  is a proper face of  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$ , because  $F_j \setminus \{x_1, \dots, x_k\} = F_j \cap F_\ell$ . Moreover,  $F_j \setminus \{x_1, \dots, x_k\}$  is a maximal face. Finally, since  $F$  is contained in  $F_j \setminus \{x_1, \dots, x_k\}$ , the assertion is completed.

EXAMPLE 2.5. The Figure 1 indicates the pure shellable and pure 2-shellable simplicial complexes of dimensions 1, 2 and 3 with 3 facets.

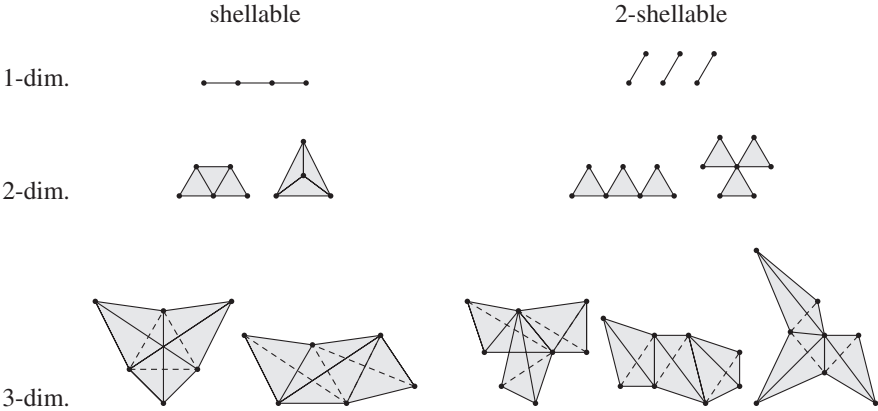


FIGURE 1

THEOREM 2.6. Let  $\Delta$  be a  $k$ -shellable complex and  $\sigma$  a face of  $\Delta$ . Then  $\text{lk}_\Delta(\sigma)$  is again  $k$ -shellable.

PROOF. Since  $\Delta$  is  $k$ -shellable, so there exists an  $k$ -shelling order  $F_1, \dots, F_r$  of facets of  $\Delta$ . Let  $F_{i_1}, \dots, F_{i_t}$  where  $i_1 < \dots < i_t$  be all of facets which contain  $\sigma$ . We claim that  $F_{i_1} \setminus \sigma, \dots, F_{i_t} \setminus \sigma$  is a  $k$ -shelling order of  $\text{lk}_\Delta(\sigma)$ . To this end we want to show that the condition (c) of Proposition 2.4 holds.

Set  $G_j = F_{i_j} \setminus \sigma$ . Consider  $\ell, m$  with  $1 \leq \ell < m \leq t$ . By  $k$ -shellability of  $\Delta$ , there are  $x_1, \dots, x_k \in F_{i_m} \setminus F_{i_\ell} = (F_{i_m} \setminus \sigma) \setminus (F_{i_\ell} \setminus \sigma) = G_m \setminus G_\ell$  such that for some  $s < i_m$  we have  $\{x_1, \dots, x_k\} = F_{i_m} \setminus F_s$ . It follows from  $\sigma \subset F_{i_m}$  and  $F_{i_m} \setminus F_s = \{x_1, \dots, x_k\}$  that  $\sigma \subset F_s$ . This implies that  $F_s$  is among the list  $F_{i_1}, \dots, F_{i_m}$ . Let  $F_{i_{m'}} = F_s$ . Hence  $G_m \setminus G_{m'} = \{x_1, \dots, x_k\}$  and the assertion is completed.

For the simplicial complexes  $\Delta_1$  and  $\Delta_2$  defined on disjoint vertex sets, the join of  $\Delta_1$  and  $\Delta_2$  is  $\Delta_1 \cdot \Delta_2 = \{\sigma \cup \tau : \sigma \in \Delta_1, \tau \in \Delta_2\}$ .

THEOREM 2.7. The simplicial complexes  $\Delta_1$  and  $\Delta_2$  are  $k$ -shellable if and only if  $\Delta_1 \cdot \Delta_2$  is  $k$ -shellable.

PROOF. Let  $\Delta_1$  and  $\Delta_2$  be  $k$ -shellable. Let  $F_1, \dots, F_r$  and  $G_1, \dots, G_s$  be, respectively, the  $k$ -shelling orders of  $\Delta_1$  and  $\Delta_2$ . We claim that

$$F_1 \cup G_1, F_1 \cup G_2, \dots, F_1 \cup G_s, \dots, F_r \cup G_1, F_r \cup G_2, \dots, F_r \cup G_s$$

is a  $k$ -shelling order of  $\Delta_1 \cdot \Delta_2$ .

Let  $F_i \cup G_j$  be a facet of  $\Delta_1 \cdot \Delta_2$  which comes after  $F_p \cup G_q$  in the above order. We have some cases:

Let  $p < i$ . Since  $\Delta_1$  is  $k$ -shellable, there exist  $u_1, \dots, u_k \in F_i \setminus F_p$  and some  $\ell < i$  such that  $F_i \setminus F_\ell = \{u_1, \dots, u_k\}$ . It follows that  $u_1, \dots, u_k \in (F_i \cup G_j) \setminus (F_p \cup G_q)$  and  $(F_i \cup G_j) \setminus (F_\ell \cup G_j) = \{u_1, \dots, u_k\}$ .

Let  $p = i$  and  $q < j$ . Since  $\Delta_2$  is  $k$ -shellable, there exist  $v_1, \dots, v_k \in G_j \setminus G_q$  and some  $m < j$  such that  $G_j \setminus G_m = \{v_1, \dots, v_k\}$ . Therefore we obtain  $v_1, \dots, v_k \in (F_i \cup G_j) \setminus (F_p \cup G_q)$  and  $(F_i \cup G_j) \setminus (F_i \cup G_m) = \{v_1, \dots, v_k\}$ .

Conversely, suppose that  $\Delta_1 \cdot \Delta_2$  is  $k$ -shellable with the  $k$ -shelling order  $F_{i_1} \cup G_{j_1}, \dots, F_{i_r} \cup G_{j_r}$ . Let  $F_{s_1}, \dots, F_{s_r}$  be the ordering obtained from  $F_{i_1} \cup G_{j_1}, \dots, F_{i_r} \cup G_{j_r}$  after removing the repeated facets beginning on the left-hand. Then it is easy to check that  $F_{s_1}, \dots, F_{s_r}$  is a  $k$ -shelling order of  $\Delta_1$ . In a similar way, it is shown that  $\Delta_2$  is  $k$ -shellable.

The following theorem, relates the expansion of a shellable complex to a  $k$ -shellable complex.

**THEOREM 2.8.** *Let  $\Delta$  be a simplicial complex and  $k \in \mathbb{N}$ . Then  $\Delta$  is shellable if and only if  $\Delta^{[k]}$  is  $k$ -shellable.*

**PROOF.** Let  $\Delta = \langle F_1, \dots, F_r \rangle$  and let  $\Delta_j = \langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  for  $j = 2, \dots, r$ . Fix an integer  $j$ . If  $\Delta_j = \langle F_j \setminus x_{i_1}, \dots, F_j \setminus x_{i_k} \rangle$ , then

$$\begin{aligned} \Delta_j^{[k]} &= \langle F_j^{[k]} \rangle \cap \langle F_1^{[k]}, \dots, F_{j-1}^{[k]} \rangle \\ &= \langle F_j^{[k]} \setminus \{x_{i_1}, \dots, x_{i_k}\}, \dots, F_j^{[k]} \setminus \{x_{i_1}, \dots, x_{i_k}\} \rangle. \end{aligned}$$

Now by the Definition 2.1, if  $F_1, \dots, F_r$  is a shelling order of  $\Delta$  then  $F_1^{[k]}, \dots, F_r^{[k]}$  is a  $k$ -shelling order of  $\Delta^{[k]}$ .

Conversely, suppose that  $F_1^{[k]}, \dots, F_r^{[k]}$  is a  $k$ -shelling order of  $\Delta^{[k]}$  and set  $\Delta_j^{[k]} = \langle F_j^{[k]} \rangle \cap \langle F_1^{[k]}, \dots, F_{j-1}^{[k]} \rangle$  for  $j = 2, \dots, r$ . Fix an index  $j$ . Hence  $\Delta_j^{[k]} = \langle F_j^{[k]} \setminus \sigma_1, \dots, F_j^{[k]} \setminus \sigma_t \rangle$  with  $|\sigma_i| = k$  for all  $i$ . By Proposition 2.4(b),  $\sigma_\ell \cap \sigma_m = \emptyset$  for all  $\ell \neq m$ . We claim that for every  $i$ ,  $\sigma_i$  is the expansion of a singleton set. Suppose, on the contrary, that for some  $\sigma_s$  we have  $x_{i_1\ell}, x_{i_2m} \in \sigma_s$  with  $i_1 \neq i_2$  and let  $F_j^{[k]} \cap F_{s'}^{[k]} = F_j^{[k]} \setminus \sigma_s$  for some  $s'$ . It follows from  $|\sigma_s| = k$  that  $x_{i_1\ell'} \notin \sigma_s$  for some  $\ell'$  with  $1 \leq \ell' \leq k$ . In particular, we conclude that  $x_{i_1\ell'} \notin F_{s'}^{[k]}$  but  $x_{i_1\ell'} \in F_{s'}^{[k]}$ . This is a contradiction, because  $F_{s'}^{[k]}$  is the expansion of  $F_{s'}$ .

Therefore we conclude that for all  $j = 2, \dots, r$ , the complex  $\Delta_j^{[k]}$  is in the form

$$\Delta_j^{[k]} = \langle F_j^{[k]} \setminus \{x_{i_1}\}^{[k]}, \dots, F_j^{[k]} \setminus \{x_{i_k}\}^{[k]} \rangle.$$

Finally, for all  $j$ ,  $\Delta_j = \langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  will be in the form  $\Delta_j = \langle F_j \setminus x_{i_1}, \dots, F_j \setminus x_{i_r} \rangle$ . This implies that  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  is pure of dimension  $\dim(F_j) - 1$  for all  $j \geq 2$ , as desired.

Let  $R$  be a Noetherian ring and  $M$  be a finitely generated multigraded  $R$ -module. We call

$$\mathcal{F}: 0 = M_0 \subset M_1 \subset \dots \subset M_{r-1} \subset M_r = M$$

a *multigraded finite filtration* of submodules of  $M$  if there exist the positive integers  $a_1, \dots, a_r$  such that  $M_i/M_{i-1} \cong \prod_{j=1}^{a_i} R/P_i(-\mathbf{a}_{ij})$  for some  $P_i \in \text{Supp}(M)$ .  $\mathcal{F}$  is called a *multigraded prime filtration* if  $a_1 = \dots = a_r = 1$ . It is well known that every finitely generated multigraded  $R$ -module  $M$  has a multigraded prime filtration (see for example [14, Theorem 6.4]). In the following we present a multigraded finite filtration for the face ring of a  $k$ -shellable simplicial complex which we need in Section 3.

For  $F \subset X$ . We set  $F^c = X \setminus F$  and  $P_F = (x_i : x_i \in F)$ .

**THEOREM 2.9.** *Let  $\Delta$  be a simplicial complex and  $k$  a positive integer. If  $F_1, \dots, F_r$  is a  $k$ -shelling order of  $\Delta$  then there exists a filtration  $0 = M_0 \subset M_1 \subset \dots \subset M_r = S/I_\Delta$  with*

$$M_i = \bigcap_{\ell=1}^{r-i} P_{F_\ell^c}$$

and

$$M_i/M_{i-1} \cong \prod_{j=1}^{k^{a_i}} S/P_{F_{r-i+1}^c}(-\mathbf{a}_{ij}),$$

for all  $i = 1, \dots, r$ . Here  $a_i = |\mathbf{a}_{ij}|$ , for all  $j = 1, \dots, k^{a_i}$ .

**PROOF.** We set  $a_1 = 0$  and for each  $i > 2$  we denote by  $a_i$  the number of facets of  $\langle F_i \rangle \cap \langle F_1, \dots, F_{i-1} \rangle$ . If  $F_1, \dots, F_r$  is a  $k$ -shelling of  $\Delta$ , then for  $i = 2, \dots, r$  we have

$$\bigcap_{j=1}^{i-1} P_{F_j^c} + P_{F_i^c} = P_{F_i^c} + P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}}, \tag{1}$$

where  $\sigma_{i\ell} = F_i \setminus F_{i\ell}$  and  $|\sigma_{i\ell}| = k$  for  $\ell = 1, \dots, a_i$ . Actually,  $F_{i\ell} \cap F_i$ 's are all facets of  $\langle F_i \rangle \cap \langle F_1, \dots, F_{i-1} \rangle$ . Since  $\sigma_{i\ell} \cap \sigma_{i\ell'} = \emptyset$  for  $1 \leq \ell < \ell' \leq a_i$ , one can suppose that  $P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} = (f_{ij} : j = 1, \dots, k^{a_i})$ . Set  $\mathbf{a}_{ij} = \text{deg}(f_{ij})$



and it is clear that for all  $j = 1, \dots, k^{a_i}$ ,  $a_i = |\mathbf{a}_{ij}|$ . We have the following isomorphisms:

$$\begin{aligned} \left(\bigcap_{j=1}^{i-1} P_{F_j^c}\right) / \left(\bigcap_{j=1}^i P_{F_j^c}\right) &\cong \bigcap_{j=1}^{i-1} P_{F_j^c} + P_{F_i^c} / P_{F_i^c} \\ &\cong P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} + P_{F_i^c} / P_{F_i^c} \\ &\cong P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} / (P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} \cap P_{F_i^c}) \\ &\cong P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} / P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} P_{F_i^c}, \end{aligned}$$

where  $a_i = |\mathbf{a}_{ij}|$ , for  $j = 1, \dots, k^{a_i}$ . Now it is easy to check that the homomorphism

$$\begin{aligned} \theta: \prod_{j=1}^{k^{a_i}} S &\longrightarrow P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} / P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} P_{F_i^c}, \\ (r_1, \dots, r_{k^{a_i}}) &\longmapsto \sum_j r_j f_{ij} + P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} P_{F_i^c} \end{aligned}$$

is an epimorphism. In particular, it follows that

$$P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} / P_{\sigma_{i1}} \dots P_{\sigma_{ia_i}} P_{F_i^c} \cong \prod_{j=1}^{k^{a_i}} S / P_{F_i^c}(-\mathbf{a}_{ij}).$$

This completes the proof.

REMARK 2.10. In view of Theorem 2.9, let  $\Delta = \langle F_1, \dots, F_r \rangle$  be a shellable simplicial complex and  $\Delta_j = \langle F_1, \dots, F_j \rangle$ . Then we have the prime filtration

$$(0) = I_\Delta \subset I_{\Delta_{r-1}} \subset \dots \subset I_{\Delta_1} \subset K[\Delta]$$

for  $K[\Delta]$ . In particular, it provides the following filtration for  $K[\Delta^{[k]}]$ :

$$(0) = I_{\Delta^{[k]}} \subset I_{\Delta_{r-1}^{[k]}} \subset \dots \subset I_{\Delta_1^{[k]}} \subset K[\Delta^{[k]}].$$

In other words, Theorem 2.9 gives a filtration for the face ring of the expansion of a shellable simplicial complex with respect to  $k$ .

REMARK 2.11. The filtration described in Theorem 2.9 in the case that  $k > 1$  is not a prime filtration, i.e. the quotient of any two consecutive modules of the filtration is not cyclic. Consider the same notations of Theorem 2.9, we have

the following prime filtration for  $K[\Delta]$  when  $\Delta$  has a  $k$ -shelling order:

$$\begin{aligned} \mathcal{F}: 0 &= \bigcap_{j=1}^r P_{F_j^c} \subset \cdots \\ &\subset \bigcap_{j=1}^i P_{F_j^c} \subset \cdots \subset \sum_{j=1}^{k^{a_i}-1} (f_j) + \bigcap_{j=1}^i P_{F_j^c} \subset \sum_{j=1}^{k^{a_i}} (f_j) + \bigcap_{j=1}^i P_{F_j^c} = \bigcap_{j=1}^{i-1} P_{F_j^c} \\ &\subset \cdots \subset K[\Delta], \end{aligned}$$

where  $(f_1, \dots, f_{j-1}) : (f_j)$  is generated by linear forms for all  $j = 2, \dots, k^{a_i}$  and all  $i = 1, \dots, r$ .

For all  $2 \leq j \leq k^{a_i}$ , suppose that  $(f_1, \dots, f_{j-1}) : (f_j) = P_{Q_j}$ . Set  $P_{Q_1} = (0)$ . We have

$$\begin{aligned} &\sum_{t=1}^j (f_t) + \bigcap_{t=1}^i P_{F_t^c} \Big/ \sum_{t=1}^{j-1} (f_t) + \bigcap_{t=1}^i P_{F_t^c} \\ &\cong (f_j)/(f_j) \cap \left( (f_1, \dots, f_{j-1}) + \bigcap_{t=1}^i P_{F_t^c} \right) \\ &\cong (f_j)/f_j P_{L_{ij}}, \end{aligned}$$

where  $L_{ij} = F_i^c \cup Q_j$ , for  $i = 1, \dots, r$  and  $j = 1, \dots, k^{a_i}$ . Therefore the set of prime ideals which defines the cyclic quotients of  $\mathcal{F}$  is  $\text{Supp}(\mathcal{F}) = \{P_{L_{ij}} : i = 1, \dots, r \text{ and } j = 1, \dots, k^{a_i}\}$ .

### 3. The Stanley conjecture

Consider a field  $K$ , and let  $R$  be a finitely generated  $\mathbb{N}^n$ -graded  $K$ -algebra, and let  $M$  be a finitely generated  $\mathbb{Z}^n$ -graded  $R$ -module. Stanley [18] conjectured that, in this case, there exist finitely many subalgebras  $A_1, \dots, A_r$  of  $R$ , each generated by algebraically independent  $\mathbb{N}^n$ -homogeneous elements of  $R$ , and there exist  $\mathbb{Z}^n$ -homogeneous elements  $u_1, \dots, u_r$  of  $M$ , such that  $M = \bigoplus_{i=1}^r u_i A_i$ , where  $\dim(A_i) \geq \text{depth}(M)$  for all  $i$  and where  $u_i A_i$  is a free  $A_i$ -module of rank one.

Consider a finitely generated  $\mathbb{Z}^n$ -graded  $S$ -module  $M$ , a subset  $Z$  of  $\{x_1, \dots, x_n\}$ , and a homogeneous element  $u \in M$ . The  $K$ -subspace  $uK[Z]$  of  $M$  is called a *Stanley space of dimension*  $|Z|$  if it is a free  $K[Z]$ -module of rank 1, i.e., the elements of the form  $uv$ , where  $v$  is a monomial in  $K[Z]$ , form a  $K$ -basis of  $uK[Z]$ . A *Stanley decomposition* of  $M$  is a decomposition  $\mathcal{D}$  of  $M$  into a finite direct sum of Stanley spaces. The *Stanley depth* of  $\mathcal{D}$ , denoted

$\text{sdepth}(\mathcal{D})$ , is the minimal dimension of a Stanley space in a decomposition  $\mathcal{D}$ . We set

$$\text{sdepth}(M) = \max\{\text{sdepth}(\mathcal{D}) : \mathcal{D} \text{ is a Stanley decomposition of } M\},$$

and we call this number the *Stanley depth of M*. The Stanley conjecture says that  $\text{sdepth}(M) \geq \text{depth}(M)$  always holds.

The following lemma is needed in the proof of the main theorem of this section.

LEMMA 3.1. *Let  $F_1, \dots, F_s \subset X$  with  $F_i \cap F_j = \emptyset$ , for all  $i \neq j$  and  $|F_i| = k > 1$ . Let  $f_1, \dots, f_{k^s}$  be a sequence of minimal generators of  $P_{F_1} \dots P_{F_s}$  ordered with respect to lexicographical ordering  $x_1 > x_2 > \dots > x_n$ . Suppose that  $n_i$  is the minimal number of homogeneous generators of  $(f_1, \dots, f_{i-1}) : (f_i)$  for  $i = 2, \dots, k^s$ . Then*

$$\max\{n_i : i = 2, \dots, k^s\} = n_{k^s} = (k - 1)s.$$

Moreover, for all  $i$ , the colon ideal  $(f_1, \dots, f_{i-1}) : (f_i)$  is generated by linear forms.

PROOF. By [5, Corollary 1.5],  $P_{F_1} \dots P_{F_s}$  has linear quotients. To show equality, we use induction on  $s$ . If  $s = 1$ , the assertion is clear. Assume that  $s > 1$ . Let  $P_{F_1} \dots P_{F_{s-1}} = (f_1, \dots, f_{k^{s-1}})$  and  $F_s = \{x_1, \dots, x_k\}$ . Then

$$P_{F_1} \dots P_{F_s} = x_1(f_1, \dots, f_{k^{s-1}}) + \dots + x_k(f_1, \dots, f_{k^{s-1}}).$$

Moreover,

$$\begin{aligned} (x_1 f_1, \dots, x_1 f_{k^{s-1}}, \dots, x_k f_1, \dots, x_k f_{k^{s-2}}) : x_k f_{k^{s-1}} \\ = (x_1, \dots, x_{k-1}) + (f_1, \dots, f_{k^{s-2}}) : f_{k^{s-1}}. \end{aligned}$$

Now, by the induction hypothesis, we have  $n_{k^s} = (k - 1) + (k - 1)(s - 1) = (k - 1)s$ , as desired.

Let

$$\mathcal{F}: 0 = M_0 \subset M_1 \subset \dots \subset M_r = M$$

be a prime filtration of  $M$  with  $M_i/M_{i-1} \cong (S/P_i)(-\mathbf{a}_i)$ . Then this filtration decomposes  $M$  as a multigraded  $K$ -vector space, that is, we have  $M = \bigoplus_{i=1}^r u_i K[Z_i]$  and this is a Stanley decomposition of  $M$  where  $u_i \in M_i$  is a homogeneous element of degree  $\mathbf{a}_i$  and  $Z_i = \{x_j : x_j \notin P_i\}$ .

Now suppose that  $\Delta = \langle G_1, \dots, G_r \rangle$  is a pure shellable simplicial complex on  $X$  and  $k$  is a positive integer. By Theorem 2.8,  $\Delta^{[k]} = \langle G_1^{[k]}, \dots, G_r^{[k]} \rangle$  is

pure  $k$ -shellable. For all  $i$ , set  $F_i = G_i^{[k]}$ . Consider the prime filtration  $\mathcal{F}$  of  $K[\Delta^{[k]}]$  described in Section 2. Then we have the Stanley decomposition

$$K[\Delta^{[k]}] = \bigoplus_{i=1}^r \bigoplus_{j=1}^{k^{a_i}} u_{ij} K[Z_{ij}],$$

where  $Z_{ij} = \{x_\ell : \ell \notin L_{ij}\}$ ,  $\deg(u_{ij}) = \mathbf{a}_{ij}$  and  $|\mathbf{a}_{ij}| = a_i$  for all  $i, j$ . We claim that for all  $i, j$ ,  $|Z_{ij}| \geq \text{depth}(K[\Delta^{[k]}])$ .

By Corollaries 4.1 and 2.1 of [15], we have  $\text{depth}(K[\Delta^{[k]}]) = \dim(K[\Delta]) = |G_i|$ . On the other hand,  $|G_i| \geq a_i$ . Now by combining all of these results with Lemma 3.1, we have

$$\begin{aligned} |Z_{ik^{a_i}}| &= kn - (|Q_{k^{a_i}}| + |F_i^c|) \\ &= kn - ((k-1)a_i + k \text{ht}(P_{G_i^c})) \\ &= (k-1)(n - \text{ht}(P_{G_i^c}) - a_i) + n - \text{ht}(P_{G_i^c}) \\ &= (k-1)(|G_i| - a_i) + |G_i| \\ &\geq |G_i| = \dim(K[\Delta]). \end{aligned}$$

Thus we have shown the main result of this section:

**THEOREM 3.2.** *The expansion of the face ring of a pure shellable simplicial complex with respect to  $k > 0$  satisfies the Stanley conjecture. In particular, the face ring of a pure  $k$ -shellable complex satisfies the Stanley conjecture.*

#### 4. $k$ -shellable graphs

Let  $G$  be a simple graph and let  $\Delta_G$  the independence complex of  $G$ . We say that  $G$  is  $k$ -shellable if  $\Delta_G$  has this property. The purpose of this section is to characterize  $k$ -shellable graphs.

Following Schrijver [17], the *duplication* of a vertex  $x_i$  of a graph  $G$  means extending its vertex set  $X$  by a new vertex  $x_{i'}$  and replacing  $E(G)$  by

$$E(G) \cup \{x_{i'}x_j : x_i x_j \in E(G)\}.$$

In other words, if  $V(G) = \{x_1, \dots, x_n\}$  then the graph  $G'$  obtained from  $G$  by duplicating  $k_i - 1$  times the vertex  $x_i$  has the vertex set

$$V(G') = \{x_{ij} : i = 1, \dots, n \text{ and } j = 1, \dots, k_i\}$$

and the edge set

$$E(G') = \{x_{i'r}x_{j's} : x_i x_j \in E(G), r = 1, \dots, k_i \text{ and } j = 1, \dots, k_j\}.$$

EXAMPLE 4.1. Let  $G$  be a simple graph on the vertex set  $V(G) = \{x_1, \dots, x_5\}$  and  $E(G) = \{x_1x_3, x_1x_4, x_2x_4, x_2x_5, x_3x_5, x_4x_5\}$ . Let  $G'$  be obtained from  $G$  by duplicating 1 times the vertices  $x_1$  and  $x_4$  and 0 times the other vertices. Then  $G$  and  $G'$  are in the form

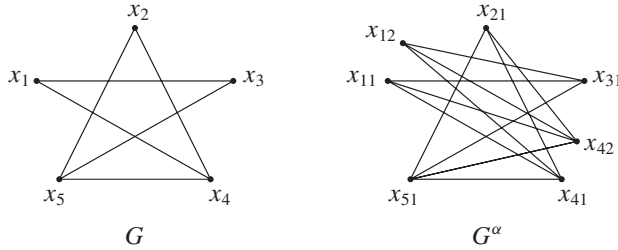


FIGURE 2

Also, the independence complexes of  $G$  and  $G'$  are, respectively,  $\Delta_G = \langle x_1x_2, x_1x_5, x_2x_3, x_3x_4 \rangle$  and  $\Delta_{G'} = \langle x_{11}x_{12}x_{21}, x_{11}x_{12}x_{51}, x_{21}x_{31}, x_{31}x_{41}x_{42} \rangle$ . Note that  $\Delta_{G'} = \Delta_G^\alpha$ , where  $\alpha = (2, 1, 1, 2, 1)$ .

In the following theorem we show that the simple graph obtained from duplicating  $k - 1$  times any vertex of a shellable graph is  $k$ -shellable.

THEOREM 4.2. *Let  $G$  be a simple graph on  $X$  and let  $G'$  be a new graph obtained from  $G$  by duplicating  $k - 1$  times any vertex of  $G$ . Then  $G$  is shellable if and only if  $G'$  is  $k$ -shellable.*

PROOF. It suffices to show that  $\Delta_{G'} = \Delta_G^{[k]}$ . Then Theorem 2.8 completes the assertion.

After relabeling of the vertices of  $G'$  one can assume that  $G'$  is a graph with the vertex set  $X^{[k]} = \{x_{ij} : i = 1, \dots, n, j = 1, \dots, k\}$  and the edge set

$$\{x_{ir}x_{js} : x_ix_j \in G \text{ and } 1 \leq r, s \leq k\}.$$

Let  $F$  be an independent set of  $G'$  and let  $\bar{F} = \{x_i : x_{ir} \in F \text{ for some } r\}$ . If  $|\bar{F}| = 1$  then  $\bar{F}$  is an independent set in  $G$ . So assume that  $|\bar{F}| > 1$ . Suppose, on the contrary, that  $x_i, x_j \in \bar{F}$  and  $x_ix_j \in G$ . By the construction of  $G'$ , for all  $x_{ir}$  and  $x_{js}$  of  $V(G')$ ,  $x_{ir}x_{js} \in G'$ . Therefore  $F$  contains an edge  $x_{ir}x_{js}$  of  $G'$ , a contradiction. This implies that  $\bar{F}$  is an independent set in  $G$ . In particular, since  $F \subset (\bar{F})^{[k]}$  we have  $F \in \Delta_G^{[k]}$ .

Conversely, suppose  $H$  is an independent set of  $G$ . Choose  $x_{ir}, x_{js} \in H^{[k]}$ . If  $x_{ir}x_{js} \in G'$  then  $x_ix_j \in G$ , which is false since  $x_i, x_j \in H$ . Therefore  $H^{[k]}$  is an independent set of  $G'$  and  $H^{[k]} \in \Delta_{G'}$ .

In the following we want to extend some results from [4], [6], [20]. Firstly, we present a generalization of the concept of simplicial vertex.

Let  $G$  be a simple graph. For  $U \subset V(G)$  we define the *induced subgraph* of  $G$  on  $U$  to be the subgraph  $G_U$  on  $U$  consisting of those edges  $x_i x_j \in E(G)$  with  $x_i, x_j \in U$ . For  $x \in V(G)$ , let  $N_G(x)$  denote the open neighborhood of  $x$ , that is, all of vertices adjacent to  $x$ . We also denote by  $N_G[x]$  the closed neighborhood of  $x$ , which is  $N_G(x)$  together with  $x$  itself, so that  $N_G[x] = N_G(x) \cup \{x\}$ . Set  $N_G(U) = \bigcup_{x \in U} N_G(x)$  and  $N_G[U] = \bigcup_{x \in U} N_G[x]$ .

Recall from [7] or [13] that a vertex  $x \in V(G)$  is *simplicial* if the induced subgraph  $G_{N_G[x]}$  is complete.

The simple graph  $G$  is a *complete  $r$ -partite* graph if there is a partition  $V(G) = V_1 \cup \dots \cup V_r$  of the vertex set, such that  $uv \in E(G)$  if and only if  $u$  and  $v$  are in different parts of the partition. If  $|V_i| = n_i$ , then  $G$  is denoted by  $K_{n_1, \dots, n_r}$ .

**DEFINITION 4.3.** The set  $S$  of pairwise non-adjacent vertices of  $G$  is a  *$k$ -simplicial set* if  $G_{N_G[S]}$  is a  $r$ -partite complete graph with  $k$ -element parts  $S_1, \dots, S_r$  having the following property:

for every  $S_\ell$  and every two vertices  $x_i, x_j \in S_\ell$ ,  $N_G(x_i) = N_G(x_j)$ .

Note that every 1-simplicial set is a simplicial vertex.

**LEMMA 4.4.** Let  $S \subset V(G)$  of pairwise non-adjacent vertices of  $G$ . Set  $G' = G \setminus N_G[S]$  and  $G'' = G \setminus S$ . Then

$$(i) \ \Delta_{G'} = \text{lk}_{\Delta_G}(S) \quad \text{and} \quad (ii) \ \Delta_{G''} = \bigcap_{x \in S} \text{dl}_{\Delta_G}(x).$$

**PROOF.** (i) “ $\subseteq$ ”: let  $F \in \Delta_{G'}$ . Since  $F \subset V(G) \setminus N_G[S]$  we have  $F \in \Delta_G$  and  $F \cap S = \emptyset$ . It remains to show that  $F \cup S \in \Delta_G$ . Let  $F \cup S$  contain an edge  $x_i x_j \in E(G)$ . Then it should be  $x_i \in S$  and  $x_j \in F$ . In particular, since  $x_i$  and  $x_j$  are adjacent it follows that  $x_j \in N_G[S]$ . This is impossible because  $F \subset V(G) \setminus N_G[S]$ . Therefore  $F \cup S \in \Delta_G$ . This implies that  $F \in \text{lk}_{\Delta_G}(S)$ .

“ $\supseteq$ ”: let  $F \in \text{lk}_{\Delta_G}(S)$ . Then  $F \cup S \in \Delta_G$  and  $F \cap S = \emptyset$ . In order to prove that  $F \in \Delta_{G'}$  it suffices to show that  $F \cap N_G(S) = \emptyset$  and no two vertices of  $F$  are adjacent in  $G'$ . If  $x \in F \cap N_G(S)$  then  $S \cup \{x\}$  contains an edge of  $G$  and this contradicts  $F \cup S \in \Delta_G$ . Also, if  $x_i, x_j \in F$  and  $x_i x_j \in G'$  then  $x_i x_j \in G$ , which is again a contradiction.

(ii) “ $\subseteq$ ”: let  $F \in \Delta_{G''}$ . If for  $x_i, x_j \in F$ ,  $x_i x_j$  is an edge of  $G$ , since  $\{x_i, x_j\} \cap S = \emptyset$  we obtain that  $x_i x_j \in G''$ , which is not true. Hence  $F \in \Delta_G$ . In particular, it follows from  $F \subset V(G) \setminus S$  that  $F \cap S = \emptyset$  and so  $F \in \bigcap_{x \in S} \text{dl}_{\Delta_G}(x)$ .

“ $\supseteq$ ”: let  $F \in \bigcap_{x \in S} \text{dl}_{\Delta_G}(x)$ . Then for all  $x \in S$  we have  $F \in \text{dl}_{\Delta_G}(x)$ . Thus  $F \in \Delta_G$  and  $F \cap S = \emptyset$ . Since  $F$  contains no edge of  $G$  it follows that  $F$  contains no edge of  $G''$ , either. This implies that  $F \in \Delta_{G''}$ .

Combining Theorem 2.6 with Lemma 4.4 we obtain the following corollary as an extension of Theorem 2.6 of [20].

**COROLLARY 4.5.** *Let  $S \subset V(G)$  be a set of pairwise non-adjacent vertices. If  $G$  is  $k$ -shellable, then  $G' = G \setminus N_G[S]$  is  $k$ -shellable, too.*

**THEOREM 4.6.** *If  $S$  is a  $k$ -simplicial set of  $G$  such that both  $G \setminus S$  and  $G \setminus N_G[S]$  are  $k$ -shellable, then  $G$  is  $k$ -shellable.*

**PROOF.** Let  $G' = G \setminus N_G[S]$  and  $G'' = G \setminus S$ . Let  $F_1, \dots, F_r$  and  $H_{r+1}, \dots, H_s$  be, respectively, the  $k$ -shelling orders of  $\Delta_{G''}$  and  $\Delta_{G'}$ . Set  $F_i = H_i \cup S$ , for  $r + 1 \leq i \leq s$ . We show that  $F_1, \dots, F_s$  is a  $k$ -shelling order of  $\Delta_G$ .

Note that  $\Delta_{G''} = \text{dl}_{\Delta_G}(S)$ . This follows from the fact that  $S$  is a  $k$ -simplicial set. Hence  $F_1, \dots, F_s$  contains all of facets of  $\Delta_G$ . Now let  $1 \leq j < i \leq s$ . If  $i \leq r$  or  $j > r$ , by  $k$ -shellability of  $\Delta_{G'}$  and  $\Delta_{G''}$ , we are done. So suppose that  $i > r$  and  $j \leq r$ . Clearly,  $S \subseteq F_i \setminus F_j$ . On the other hand,  $\Delta_{G'} \subset \Delta_{G''}$  and so there exists  $\ell \leq r$  such that  $H_i \subset F_\ell$ . This implies that  $S = F_i \setminus F_\ell$  and therefore the assertion is completed.

The following theorem extends Theorem 2.1.13 of [6].

**THEOREM 4.7.** *Let  $S_1$  be a  $k$ -simplicial set of  $G$  and let  $S_1, \dots, S_r$  be the parts of  $G_{N_G[S_1]}$  and  $G'_i = G \setminus N_G[S_i]$  for all  $i = 1, \dots, r$ . Then  $G$  is  $k$ -shellable if and only if  $G'_i$  is  $k$ -shellable for all  $i = 1, \dots, r$ .*

**PROOF.** Only if part follows from Theorem 4.5. Conversely, let  $G'_i$  be  $k$ -shellable for all  $i = 1, \dots, r$ . Hence for every  $i$ , there exists a  $k$ -shelling order  $F_{i1}, \dots, F_{it_i}$  for  $\Delta_{G'_i} = \text{lk}_{\Delta_G}(S_i)$ . We claim that

$$F_{11} \cup S_1, \dots, F_{1t_1} \cup S_1, \dots, F_{r1} \cup S_r, \dots, F_{rt_r} \cup S_r$$

is a  $k$ -shelling order for  $\Delta_G$ .

We first show that the above list is the complete list of facets of  $\Delta_G$ . Let  $F \in \Delta_G$ . If for some  $i \neq 1$ ,  $S_i \subset F$  then  $F$  is in the above list. Otherwise, suppose that for all  $i \neq 1$ ,  $S_i \cap F = \emptyset$ . Since the elements of  $S_1$  are only adjacent to the elements of  $S_i$ 's, for  $i \neq 1$ , it follows that  $S_1 \subset F$ . On the other hand, it is not possible that  $F$  contains only some of elements of a part, as  $S_i$ , but not all of elements of  $S_i$ . Because in this case, there are  $x_j \in S_i \cap F$  and  $x_{j'} \in S_i \setminus F$ . This means that  $N_G(x_j) \cap F = \emptyset$  but  $N_G(x_{j'}) \cap F \neq \emptyset$  and so  $N_G(x_j) \neq N_G(x_{j'})$ , a contradiction.

Now consider  $F_{ij} \cup S_i$  and  $F_{\ell m} \cup S_\ell$ . We have the following cases:

(i)  $i < \ell$ : then  $S_\ell \subset (F_{\ell m} \cup S_\ell) \setminus (F_{ij} \cup S_i)$ . Since  $F_{\ell m} \cup S_1$  is an independence set in  $G$ , there exists a facet  $F \in \Delta_G$  with  $F_{\ell m} \cup S_1 \subset F$ . In particular,  $F$  is in the form  $F = F_{1p} \cup S_1$  for some  $1 \leq p \leq t_1$ . Thus  $(F_{\ell m} \cup S_\ell) \setminus (F_{1p} \cup S_1) = S_\ell$ .

(ii)  $i = \ell$  and  $j < m$ : the assertion follows from  $k$ -shellability of  $\Delta_{G'_i}$ .

Therefore  $G$  is  $k$ -shellable.

LEMMA 4.8. *Let  $S, T \subset V(G)$  and let  $T$  be an independent set of  $G$ . If  $N_G[T] \subseteq N_G[S]$  then independent sets of  $G \setminus N_G[S]$  are not maximal independent sets of  $G \setminus S$ .*

PROOF. If  $F$  is an independent set of  $G \setminus N_G[S]$  then  $F \cup T$  will be a larger independent set of  $G \setminus S$ .

COROLLARY 4.9. *Let  $S$  be a  $k$ -simplicial set of  $G$  and let  $S_1, \dots, S_r$  be the parts of  $G_{N_G[S]}$ . Then for every  $S_i$ , independent sets of  $G \setminus N_G[S_i]$  are not maximal independent sets of  $G \setminus S_i$ .*

PROOF. Since  $N_G[S] \subseteq N_G[S_i]$  for all  $i$ , the assertion follows from Lemma 4.8.

In [4, Theorem 2] the authors proved that if  $x$  is a simplicial vertex of  $G$  and  $y$  adjacent to  $x$ , then  $G$  is shellable if and only if  $G \setminus N_G[y]$  and  $G \setminus y$  are shellable. The following theorem extends this result to  $k$ -shellable graphs.

THEOREM 4.10. *Let  $S_1$  be a  $k$ -simplicial set of  $G$  and let  $S_1, \dots, S_r$  be the parts of  $G_{N_G[S_1]}$ . Then  $G$  is  $k$ -shellable if and only if for each  $i = 2, \dots, r$  the graphs  $G'_i = G \setminus N_G[S_i]$  and  $G''_i = G \setminus S_i$  are  $k$ -shellable.*

PROOF. “Only if part”: let  $G$  be  $k$ -shellable. It follows from Theorem 4.7 that  $G'_i$  is  $k$ -shellable for all  $i$ . Fix an integer  $i$ . We want to show that  $G''_i$  is  $k$ -shellable. By again relabeling  $S_i$ 's we can consider  $i = r$ .

Since each  $G'_i$  is  $k$ -shellable, so for every  $i$ , there exists a  $k$ -shelling order  $F_{i1}, \dots, F_{it_i}$  for  $\Delta_{G'_i} = \text{lk}_{\Delta_G}(S_i)$ . Moreover, by the proof of Theorem 4.7,

$$F_{11} \cup S_1, \dots, F_{1t_1} \cup S_1, \dots, F_{r1} \cup S_r, \dots, F_{rt_r} \cup S_r$$

is a  $k$ -shelling order for  $\Delta_G$ . By the fact that  $N_G(S_1) \subseteq N_G(S_r)$  we conclude that for every  $F_{rj}$  where  $1 \leq j \leq t_r$ , there exists  $1 \leq \ell \leq t_1$  such that  $F_{rj} \subseteq F_{1\ell}$ . Therefore

$$F_{11} \cup S_1, \dots, F_{1t_1} \cup S_1, \dots, F_{r-1,1} \cup S_{r-1}, \dots, F_{r-1,t_{r-1}} \cup S_{r-1}$$

will be a list of facets of  $G \setminus S_r$ . Furthermore, it is a  $k$ -shelling order of  $G''_r$ .



“If part”: let for all  $i = 2, \dots, r$  the graphs  $G'_i$  and  $G''_i$  are  $k$ -shellable. Fix an  $i$  and set  $G' = G'_i$ ,  $G'' = G''_i$ ,  $S = S_i$ . Let  $F_1, \dots, F_r$  and  $H_1, \dots, H_s$  be, respectively, the  $k$ -shelling orders of  $\Delta_{G''}$  and  $\Delta_{G'}$ . We first show that

$$F_1, \dots, F_r, H_1 \cup S, \dots, H_s \cup S$$

is a list of facets of  $\Delta_G$ , and furthermore, this list is a  $k$ -shelling order of  $\Delta_G$ .

Let  $F$  be a facet of  $\Delta_G$ . If  $F \cap S = \emptyset$ , then since  $F$  contains no edge of  $G$ , it contains no edge of  $G''$  and so  $F \in \Delta_{G''}$ . Suppose  $F \cap S \neq \emptyset$ . Let  $x \in F \cap S$  and let  $y \in S$  with  $y \neq x$ . Since  $N_G(y) = N_G(x)$ , we have  $y \in F$ . Thus  $S \subset F$ . On the other  $F$  contains no edge of  $G'$ . Therefore  $F \setminus S$  is a facet of  $\Delta_{G'}$ .

Now we show that above list is a  $k$ -shelling order. Set  $F_{i+r} = H_i \cup S$  for all  $i = 1, \dots, s$ . Suppose  $F_i$  and  $F_j$  with  $i < j$ . If  $j \leq r$  or  $i \geq r + 1$  then by the  $k$ -shellability of  $\Delta_{G''}$  and  $\Delta_{G'}$ , respectively, the assertion is completed. Let  $i \leq r$  and  $r < j$ . Then  $S \subset F_j \setminus F_i$ . Since  $H_{j-r} \in \Delta_{G''}$ , there is  $F_\ell$  with  $\ell \leq r$  such that  $H_{j-r} \subset F_\ell$ . Therefore  $F_j \setminus F_\ell = S$ . This completes the assertion.

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