

A NEW VERSION OF SOME CONSIDERATIONS OF A. THUE

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Among the articles of the late Norwegian mathematician A. Thue there are a few which treat some rational approximations to r th roots of rationals. In particular he wrote [1] and [2], which are rather troublesome to read. In this paper I give a modified exposition which is much shorter. My method is a little different from Thue's.

I first find polynomials $f_n(x)$ and $g_n(x)$ of degree n with rational coefficients such that the function $f_n(x) - (1+x)^{1/r}g_n(x)$ has $x=0$ as a zero of multiplicity $2n+1$. The coefficients of f_n and g_n turn out to be very similar to those of the polynomials with which Thue was working. One might therefore think that a transition from one treatment to the other ought to be possible, but I don't know how to carry that out.

I prove again Thue's theorem for the r th roots. As is well known, stronger theorems have been proved by Siegel, Roth and others, but the proofs are rather long and complicated. The purpose of this paper is only to give a shorter proof of Thue's theorem in the case of r th roots.

1.

I shall prove the existence of polynomials $f_n(x)$, $g_n(x)$ of degree n with rational coefficients such that

$$f_n(x) - (1+x)^{1/r}g_n(x) = c_{1,n}x^{2n+1} + c_{2,n}x^{2n+2} + \dots$$

Such polynomials exist for $n=0$ namely $f_0(x) = g_0(x) = 1$. I shall show how to find f_{n+1} and g_{n+1} , provided that f_n and g_n are known.

We get

$$\int f_n(x) dx - \int g_n(x)(1+x)^{1/r} dx = \frac{c_{1,n}}{2n+2} x^{2n+2} + \frac{c_{2,n}}{2n+3} x^{2n+3} + \dots$$

so that

$$(2n+2) \int f_n(x) dx - (2n+2) \int g_n(x)(1+x)^{1/r} dx - x(f_n(x) - (1+x)^{1/r}g_n(x)) = c_{1,n+1}x^{2n+3} + \dots$$

Hence we may put

$$(2n+2) \int f_n(x) dx - x f_n(x) = f_{n+1}(x)$$

and

$$(2n+2) \int g_n(x)(1+x)^{1/r} dx - x(1+x)^{1/r} g_n(x) = (1+x)^{1/r} g_{n+1}(x).$$

Putting

$$g_n(x) = a_{n,n}(1+x)^n + a_{n-1,n}(1+x)^{n-1} + \dots + a_{0,n}$$

we obtain the following expression for $g_{n+1}(x)$:

$$\begin{aligned} g_{n+1}(x) = (2n+2) & \left(a_{n,n} \frac{r}{r(n+1)+1} (1+x)^{n+1} + a_{n-1,n} \frac{r}{rn+1} (1+x)^n + \dots \right. \\ & \left. + \frac{r}{r+1} a_{0,n} (1+x) \right) - a_{n,n} (1+x)^{n+1} - a_{n-1,n} (1+x)^n - \dots - a_{0,n} (1+x) + \\ & + a_{n,n} (1+x)^n + \dots + a_{1,n} (1+x) + a_{0,n}. \end{aligned}$$

Thus we obtain the following equations

$$\begin{aligned} a_{n+1,n+1} &= \frac{r(n+1)-1}{r(n+1)+1} a_{n,n}, \\ a_{n,n+1} &= \frac{r(n+2)-1}{rn+1} a_{n-1,n} + a_{n,n}, \\ a_{n-1,n+1} &= \frac{r(n+3)-1}{r(n-1)+1} a_{n-2,n} + a_{n-1,n}, \\ &\dots\dots\dots \\ a_{1,n+1} &= \frac{r(2n+1)-1}{r+1} a_{0,n} + a_{1,n}, \\ a_{0,n+1} &= a_{0,n}. \end{aligned}$$

The last equation yields $a_{0,n} = 1$ for all n , since $a_{0,0} = 1$. Putting

$$\begin{aligned} h_n &= (r-1)(2r-1) \dots (nr-1), & k_n &= (r+1)(2r+1) \dots (nr+1), \\ h_0 &= 1, & k_0 &= 1, \end{aligned}$$

I assert that quite generally we have

$$a_{n-m,n} = \binom{n}{m} \frac{h_n}{h_m k_{n-m}}.$$

Indeed this is seen at once by induction with regard to n to be true for $m=0$ and $m=n$. We may also use induction on n to prove it generally because it is correct for $u=0$. We have

$$a_{n-m+1, n+1} = \frac{r(n+m+1)-1}{r(n-m+1)+1} a_{n-m, n} + a_{n-m+1, n}$$

so that assuming the correctness of the formula for n and $m=0, 1, \dots, n$ we obtain

$$\begin{aligned} a_{n-m+1, n+1} &= (r(n+m+1)-1) \binom{n}{m} \frac{h_n}{h_m k_{n-m+1}} + (rm-1) \binom{n}{m-1} \frac{h_n}{h_m k_{n-m+1}} \\ &= \frac{h_n}{h_m k_{n-m+1}} \binom{n}{m-1} \left[\frac{(r(n+m+1)-1)(n-m+1)}{m} + rm-1 \right]. \end{aligned}$$

Now the reader will easily verify that identically

$$(r(n+m+1)-1)(n-m+1) + m(rm-1) = r(n+1)^2 - n - 1 = \frac{h_{n+1}}{h_n} (n+1)$$

so that we get

$$a_{n-m+1, n+1} = \frac{h_n}{h_m k_{n-m+1}} \binom{n}{m-1} \frac{h_{n+1}}{h_n} \frac{n+1}{m} = \frac{h_{n+1}}{h_m k_{n-m+1}} \binom{n+1}{m},$$

that is, the formula is valid for $n+1$ and $m=0, 1, \dots, n$. However, as already noticed it is also valid for $m=n+1$. Therefore the formula is generally correct.

Writing

$$g_n(x) = a'_{n,n} x^n + a'_{n-1,n} x^{n-1} + \dots + a'_{0,n}$$

we have

$$\begin{aligned} a'_{n,n} &= a_{n,n}, \\ a'_{n-1,n} &= a_{n,n} \binom{n}{1} + a_{n-1,n}, \\ a'_{n-2,n} &= a_{n,n} \binom{n}{2} + a_{n-1,n} \binom{n-1}{2} + a_{n-2,n}, \\ &\dots \dots \dots \\ a'_{0,n} &= a_{n,n} + a_{n-1,n} + a_{n-2,n} \dots + a_{0,n}. \end{aligned}$$

If we write

$$f_n(x) = b_{n,n} x^n + b_{n-1,n} x^{n-1} + \dots + b_{0,n},$$

the identity

$$f_{n+1}(x) = (2n+2) \int f_n(x) dx - x f_n(x)$$

yields all $b_{n,n}=1$ and for $m=0, 1, \dots, n-1$ the equations

$$b_{n-m, n+1} = \frac{n+m+2}{n+m} b_{n-m-1, n},$$

whence

$$b_{n-m, n} = \binom{n+m}{2m} b_{0, m}.$$

Clearly $b_{0, m} = a'_{0, m}$.

Further it may be suitable to mention here that

$$\begin{aligned}\Delta(x) &= f_{n+1}(x)g_n(x) - f_n(x)g_{n+1}(x) \\ &= (a_{n,n} - a_{n+1,n+1})x^{2n+1} = \frac{2}{r(n+1)+1} a_{n,n} x^{2n+1}.\end{aligned}$$

Indeed the elimination of $(1+x)^{1/r}$ between the expressions for $f_n(x) - (1+x)^{1/r}g_n(x)$ and $f_{n+1}(x) - (1+x)^{1/r}g_{n+1}(x)$ yields that $\Delta(x)$ must equal a series beginning with a term cx^{2n+1} . On the other hand $\Delta(x)$ is a polynomial of degree $2n+1$. It is important that $\Delta(x) \neq 0$ when $x \neq 0$.

Now let d_n be the least positive integer such that all $d_n a_{m,n}$, $m=0, 1, \dots, n$, are integers. Then all $d_n a'_{m,n}$ and all $d_n b_{m,n}$ will be integers as well. In order to estimate d_n we may proceed as Thue does in [1, pp. 21-24]. Putting

$$\frac{(r+1)(2r+1) \dots (nr+1)}{1 \cdot 2 \dots n} = \frac{a}{b}, \quad (a, b) = 1,$$

it turns out that $a \cdot a_{m,n}$ is an integer for $m=0, 1, \dots, n$. This can be shown in the following way. We have

$$\begin{aligned}a_{m,n} a &= \binom{n}{m} \frac{(r(n-m+1)-1) \dots (rn-1)}{(r+1)(2r+1) \dots (rm+1)} \cdot \frac{(r+1)(2r+1) \dots (nr+1)}{1 \cdot 2 \dots n} b \\ &= \frac{(r(n-m+1)-1) \dots (rn-1)}{1 \cdot 2 \dots m} \cdot \frac{(r(m+1)+1) \dots (rn+1)}{1 \cdot 2 \dots (n-m)} b = \frac{A}{\alpha} \cdot \frac{B}{\beta} \cdot b,\end{aligned}$$

where

$$\frac{A}{\alpha} = \frac{(r(n-m+1)-1) \dots (rn-1)}{1 \cdot 2 \dots m}, \quad \frac{B}{\beta} = \frac{(r(m+1)+1) \dots (rn+1)}{1 \cdot 2 \dots (n-m)},$$

and every prime divisor of $\alpha\beta$ divides r . Further we may write

$$\begin{aligned}1 \cdot 2 \cdot 3 \dots n &= bC, \\ 1 \cdot 2 \cdot 3 \dots m &= \alpha D, \\ 1 \cdot 2 \cdot 3 \dots (n-m) &= \beta E,\end{aligned}$$

where C, D, E are integers, and we know that

$$\binom{n}{m} = \frac{bC}{\alpha D \beta E}$$

is an integer. Also

$$a = (r+1)(2r+1) \dots (rn+1)/C$$

so that C and r must be coprime. Hence $\alpha\beta$ must divide b , so that $a_{m,n} a$ is an integer. Therefore $d_n \leq a$.

Further, it can be shown that $a < ((r + 1)2^l)^n$, l denoting the number of different primes dividing r . Indeed the exponent for the power of a prime p dividing $n!$ is at most $n/(p - 1)$, so that we get

$$b < \prod_p p^{n/(p-1)},$$

the product extended over the mentioned l primes. Since $p^{1/(p-1)} < 2$, we have

$$b < 2^{ln}.$$

Further

$$\frac{(r + 1)(2r + 1) \dots (nr + 1)}{1 \cdot 2 \dots n} = (r + 1)(r + \frac{1}{2}) \dots \left(r + \frac{1}{n}\right) < (r + 1)^n$$

so that

$$a < ((r + 1)2^l)^n.$$

In the particular case $l = 1$ it is seen that we may also write

$$a < ((r + 1)r^{1/(r-1)})^n.$$

In the sequel I write

$$d_n < \varkappa^n$$

with $\varkappa = (r + 1)2^l$ and $\varkappa = (r + 1)r^{1/(r-1)}$, respectively, if r is a prime. Putting

$$d_n f_n(x) = F_n(x), \quad d_n g_n(x) = G_n(x),$$

F_n and G_n have integer coefficients and

$$F_n(x) - (1 + x)^{1/r} G_n(x) = d_n(c_{1,n}x^{2n+1} + c_{2,n}x^{2n+2} + \dots).$$

The reader will easily verify that

$$c_{v,n+1} = -\frac{v}{2n + v + 2} c_{v+1,n}$$

and that

$$c_{v,0} = -\binom{1}{v}^r$$

which is absolute < 1 while for every n the successive $c_{v,n}$ have alternating signs and are all absolute < 1 . Therefore we have the following rude estimate for $0 < x < 1$

$$|c_{1,n} + c_{2,n}x + \dots| \leq \max(|c_{1,n} + c_{3,n}x^2 + \dots|, x|c_{2,n} + c_{4,n}x^2 + \dots|),$$

whence because of

$$|c_{1,n} + c_{3,n}x^2 + \dots| < 1 + x^2 + \dots = \frac{1}{1-x^2},$$

$$|c_{2,n} + c_{4,n}x^2 + \dots| < 1 + x^2 + \dots = \frac{1}{1-x^2},$$

in any case

$$|c_{1,n} + c_{2,n}x + \dots| < \frac{1}{1-x^2}.$$

Hence

$$F_n(x) - (1+x)^{1/r} G_n(x) < d_n \frac{x^{2n+1}}{1-x^2}.$$

2.

Let the positive integers a, b, c, u, v satisfy the equation

$$au^r - bv^r = c$$

or

$$\frac{a}{b} \frac{u^r}{v^r} = 1 + \frac{c}{bv^r}$$

assuming $c < bv^r$. Putting

$$x = \frac{c}{bv^r}$$

we have $0 < x < 1$ and for every n

$$F_n(x) - \left(\frac{a}{b}\right)^{1/r} \frac{u}{v} G_n(x) = d_n x^{2n+1} (c_{1,n} + c_{2,n}x + \dots).$$

Multiplying by $(bv^r)^n v$ we obtain

$$\begin{aligned} & d_n (b_{n,n} c^n + b_{n-1,n} c^{n-1} (bv^r) + \dots + b_{0,n} (bv^r)^n) v - \left(\frac{a}{b}\right)^{1/r} d_n (a'_{n,n} c^n + \\ & + a'_{n-1,n} c^{n-1} (bv^r) + \dots + a'_{0,n} (bv^r)^n) u = d_n \frac{c^{2n+1} v}{(bv^r)^{n+1}} \left(c_{1,n} + c_{2,n} \frac{c}{bv^r} + \dots \right). \end{aligned}$$

Writing

$$p_n = d_n v (b_{n,n} c^n + b_{n-1,n} c^{n-1} (bv^r) + \dots),$$

$$q_n = d_n u (a'_{n,n} c^n + a'_{n-1,n} c^{n-1} (bv^r) + \dots),$$

p_n and q_n are integers such that

$$p_n - q_n \left(\frac{a}{b}\right)^{1/r} < \frac{d_n c^{2n+1} v}{(bv^r)^{n+1}} \cdot \frac{1}{1 - c^2 (bv^r)^{-2}}.$$

Writing

$$bv^r = t \quad \text{and} \quad xc^2 = t^\alpha,$$

we assume in the sequel t so great in comparison with c that $\alpha < 1$. Having seen that $d_n < \kappa^n$ we obtain

$$p_n - q_n \left(\frac{a}{b}\right)^{1/r} = \delta_n t^{(\alpha-1)n},$$

where

$$|\delta_n| < \delta = \frac{c}{bv^{r-1}} \cdot \frac{1}{1 - \frac{c^2}{(bv^r)^2}}.$$

Since we shall use this for large v we may further assume $\delta < 1$. An estimate of q_n is

$$q_n < ud_n 4^n t^n \left(1 + \left(1 + \frac{c}{t}\right) + \dots + \left(1 + \frac{c}{t}\right)^n\right) = ud_n 4^n \frac{t^{n+1}}{c} \left(\left(1 + \frac{c}{t}\right)^{n+1} - 1\right)$$

and

$$\begin{aligned} \frac{t}{c} \left(\left(1 + \frac{c}{t}\right)^{n+1} - 1\right) &= \binom{n+1}{1} + \binom{n+1}{2} \frac{c}{t} + \dots + \frac{c^n}{t^n} \\ &< 2^{n+1} \left(1 + \frac{1}{\kappa c} + \dots + \frac{1}{\kappa^n c^n}\right) \\ &= 2^{n+1} \frac{\left(1 - \frac{1}{\kappa^{n+1} c^{n+1}}\right)}{1 - \frac{1}{\kappa c}} < 2^{n+1} \frac{\kappa c}{\kappa c - 1}, \end{aligned}$$

since $c/t < 1/(\kappa c)$. Therefore

$$q_n < u \kappa^n 4^n t^n \frac{2^{n+1} \kappa c}{\kappa c - 1},$$

that is,

$$q_n < w t^{(1+\beta)n}$$

when

$$\frac{2\kappa c u}{\kappa c - 1} = w \quad \text{and} \quad 8\kappa = t^\beta.$$

Let $\varrho = (1 + \beta)/(1 - \alpha) + s$, $s > 0$. Then I assert that if

$$(1) \quad x - y \left(\frac{a}{b}\right)^{1/r} = \frac{\varepsilon}{y^s}, \quad |\varepsilon| < 1,$$

we have

$$y \leq (2w)^s 2^{\frac{1+\beta}{1-\alpha}} \frac{1}{s t} \cdot \frac{1}{s} \frac{2(1+\beta)}{s}.$$

For let us assume that

$$y^s > 2w2^{\frac{1+\beta}{1-\alpha}} t^{2(1+\beta)}.$$

Then taking the logarithms on both sides one obtains

$$s \log y - \log 2w - 2(1+\beta) \log t > \frac{1+\beta}{1-\alpha} \log 2$$

which can be written

$$\varrho \log y - \log 2w - 2(1+\beta) \log t > \frac{1+\beta}{1-\alpha} \log 2y,$$

which again yields

$$\frac{\varrho \log y - \log 2w}{(1+\beta) \log t} - 2 > \frac{\log 2y}{(1-\alpha) \log t}.$$

Then an integer n exists such that

$$\frac{\varrho \log y - \log 2w}{(1+\beta) \log t} > n+1, \quad \frac{\log 2y}{(1-\alpha) \log t} < n$$

or

$$(2) \quad \frac{y}{t^{(1-\alpha)n}} < \frac{1}{2}, \quad \frac{wt^{(1+\beta)(n+1)}}{y^e} < \frac{1}{2}.$$

Since $p_n q_{n+1} - p_{n+1} q_n$ is $\neq 0$, either $p_n y - q_n x$ or $p_{n+1} y - q_{n+1} x$ is $\neq 0$ and thus absolutely ≥ 1 . On the other hand, we get by elimination of $(a/b)^{1/r}$ between the equations

$$p_n - q_n \left(\frac{a}{b}\right)^{1/r} = \frac{\delta_n}{t^{(1-\alpha)n}} \quad \text{and} \quad p_{n+1} - q_{n+1} \left(\frac{a}{b}\right)^{1/r} = \frac{\delta_{n+1}}{t^{(1-\alpha)(n+1)}},$$

and

$$x - y \left(\frac{a}{b}\right)^{1/r} = \frac{\varepsilon}{y^e}$$

the equations

$$p_n y - q_n x = \frac{\delta_n y}{t^{(1-\alpha)n}} - \frac{\varepsilon q_n}{y^e} \quad \text{and} \quad p_{n+1} y - q_{n+1} x = \frac{\delta_{n+1}}{t^{(1-\alpha)(n+1)}} - \frac{\varepsilon q_{n+1}}{y^e},$$

respectively. But it follows from (2) that $|p_n y - q_n x|$ and $|p_{n+1} y - q_{n+1} x|$ are both < 1 , which is a contradiction.

3.

Now let us assume that an infinity of positive integers u, v exist such that

$$(3) \quad v - u \left(\frac{a}{b}\right)^{1/r} = \frac{\varepsilon}{u^{m-1}}, \quad |\varepsilon| < 1, \quad m > 1.$$

Then we get successively with $\lim_{u \rightarrow \infty} \zeta_u = 0$ and $\lim_{v \rightarrow \infty} \eta_v = 0$

$$v^r - u^r \frac{a}{b} = ru^{r-1} \left(\frac{a}{b}\right)^{(r-1)/r} \frac{\varepsilon}{u^{m-1}} (1 + \zeta_u) = \frac{\varepsilon r}{v^{m-r}} \left(\frac{a}{b}\right)^{(m-1)/r} (1 + \eta_v),$$

whence

$$c = au^r - bv^r = -\varepsilon r a^{(m-1)/r} b^{(r+1-m)/r} v^{r-m} (1 + \eta_v).$$

To every sufficiently large solution u, v of $au^r - bv^r = c$ there exist, as shown in section 2, for every positive integer n positive integers p_n and q_n such that

$$\left| p_n - q_n \left(\frac{a}{b}\right)^{1/r} \right| < \frac{1}{t^{(1-\alpha)n}},$$

where

$$\alpha = \frac{\log \kappa c^2}{\log t}, \quad t = bv^r.$$

It is obvious that by choosing a pair u, v with v sufficiently large we may get the number $\beta = \log 8\kappa / \log t$ arbitrarily small. As to α we have

$$\alpha < \frac{\log \kappa + 2(\log r + ((m-1)/r) \log a + ((r+1-m)/r) \log b + \log(1 + \eta_v)) + 2(r-m) \log v}{\log b + r \log v}$$

so that

$$\alpha < \frac{2(r-m)}{r} + \zeta,$$

where ζ becomes as small as we please by choosing v sufficiently large.

Thus, according to a result in § 2, by choosing v large enough we can find an upper bound for the y in (1) for any $\varrho > 1/(1 - \alpha')$ with $\alpha' > 2(r-m)/r$, that means, when $\varrho > r/(2m-r)$. Now let m be $> \frac{1}{2}r + 1$. Then $r/(2m-r) < m-1$ so that after supposition there are infinitely many solutions u, v of

$$\left| v - u \left(\frac{a}{b}\right)^{1/r} \right| < \frac{1}{u^{m-1}},$$

On the other hand, we have only a finite number of solutions x, y of

$$\left| x - y \left(\frac{a}{b}\right)^{1/r} \right| < \frac{1}{y^\varrho}$$

with ϱ chosen such that

$$r/(2m-r) < \varrho < m-1.$$

This is a contradiction and we have proved Thue's theorem: There is only a finite number of integral solutions u, v of the inequality

$$\left| v - u \left(\frac{a}{b}\right)^{1/r} \right| < \frac{1}{u^{\dagger r + \varepsilon}}, \quad \varepsilon > 0.$$

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