

ON THE STRUCTURE OF THE ORTHOGONAL GROUP

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Let V be a vector space over a field k of characteristic $\neq 2$, let Q be a non-degenerate quadratic form on V . Let $G = O(V, Q)$ denote the group of all isometries of V . We shall place a topology on $O(V, Q)$ such that $O(V, Q)$ becomes a topological group which is discrete if and only if V is finite-dimensional. Further, the classical structure theorems for $O(V, k)$ in the finite-dimensional case carry over to the infinite-dimensional situation for the topological group $O(V, k)$ (see [1, Chapter V]).

1. Preliminaries.

Let, then, (V, Q) be an arbitrary vector space over a field of characteristic $\neq 2$ with non-degenerate quadratic form Q . Call such a space a Q -space hereafter. Let $G = O(V, Q)$ be the orthogonal group of all isometries of V . Let $\mathcal{E}_0, \mathcal{E}$ be respectively the set of all finite dimensional non-singular subspaces of V , and the set of all subspaces of V . Let \mathcal{H} be the set of all subgroups of G .

We define two maps: $\varrho: \mathcal{E} \rightarrow \mathcal{H}, \chi: \mathcal{H} \rightarrow \mathcal{E}$ as follows: If $E \in \mathcal{E}, H \in \mathcal{H}$, then

$$\varrho(E) = \{ \sigma \in G \mid \sigma|_E = \text{identity on } E \}$$

and

$$\chi(H) = \{ x \in V \mid \sigma(x) = x \text{ for all } \sigma \in H \}.$$

Some of the properties of these two maps are:

- (i) If $E_1 \subseteq E_2, H_1 \subseteq H_2, E_i \in \mathcal{E}, H_i \in \mathcal{H}$, then $\varrho(E_1) \supseteq \varrho(E_2), \chi(H_1) \supseteq \chi(H_2)$, that is, ϱ, χ are order reversing.
- (ii) If $E \in \mathcal{E}, H \in \mathcal{H}$, then $\chi \circ \varrho(E) \supseteq E$ and $\varrho \circ \chi(H) \supseteq H$.
- (iii) If $E_1 \in \mathcal{E}_0, E_2 \in \mathcal{E}, E_1 \subset E_2$, then $\varrho(E_1) \supset \varrho(E_2)$.
- (iv) If $E \in \mathcal{E}, H \in \mathcal{H}$, then $\varrho \circ \chi \circ \varrho(E) = \varrho(E)$ and $\chi \circ \varrho \circ \chi(H) = \chi(H)$.
- (v) If $E \in \mathcal{E}_0$, then $\chi \circ \varrho(E) = E$, so $\chi \circ \varrho|_{\mathcal{E}_0} = 1_{\mathcal{E}_0}$.
- (vi) Let $\sigma \in G, E \in \mathcal{E}$, then $\varrho(\sigma(E)) = \sigma \varrho(E) \sigma^{-1}$.

PROOF. We shall prove only (iii) and (v); all the others are more or less obvious.

(iii): Let $V = E_1 \perp E_1^*$, where E^* denotes the space $\{y \in V \mid y \perp E\}$ for any space $E \in \mathcal{E}$, so that $E_2 \supset E_1$ implies the existence of an $x \in E_2$ such that $x \in E_1^*$. Let $\sigma = 1_{E_1 \perp} (-1_{E_1^*})$, then $\sigma \in G$ and $\sigma(x) = -x$. Also $\sigma|_{E_1} = \text{identity on } E_1$, so $\sigma \in \varrho(E_1)$, $\sigma \notin \varrho(E_2)$.

(v): Now $\chi \circ \varrho(E) \cong E$ for all $E \in \mathcal{E}$ and $\varrho \circ \chi \circ \varrho(E) = \varrho(E)$ by (iv). Hence, if $\chi \circ \varrho(E) \supset E \in \mathcal{E}_0$, then $\varrho(\chi \circ \varrho(E)) \subset \varrho(E)$ contradicting (iv).

2. The Topology on $O(V) = G$.

Let $\mathcal{U} = \{U \mid U = \varrho(E), E \in \mathcal{E}_0\} = \varrho(\mathcal{E}_0)$. Then we have:

- (i) If $U_1, U_2 \in \mathcal{U}$, there is a $U \in \mathcal{U}$ such that $U \subset U_1 \cap U_2$.
- (ii) If $U \in \mathcal{U}$, then $UU = U, U^{-1} = U$.
- (iii) If $U \in \mathcal{U}, \sigma \in G$, then there is a $U' \in \mathcal{U}$ such that $\sigma U' \sigma^{-1} \subseteq U$.
- (iv) $\bigcap_{U \in \mathcal{U}} U = \{1_V\}$.

PROOF.

(i) Let $U_i = \varrho(E_i), E_i \in \mathcal{E}_0$, then

$$U_1 \cap U_2 = \varrho(E_1) \cap \varrho(E_2) = \{\sigma \in G \mid \sigma(x) = x \text{ for } x \in E_1 \cup E_2\}.$$

Let $E \in \mathcal{E}_0$ be such that $E \cong E_1 \cup E_2$. Then

$$U = \varrho(E) \subseteq \varrho(E_1) \cap \varrho(E_2) = U_1 \cap U_2.$$

(ii) This is obvious.

(iii) Let $U = \varrho(E), E' = \sigma^{-1}(E), U' = \varrho(E')$, then $E' \in \mathcal{E}_0$ as $E \in \mathcal{E}_0$.

But then

$$\varrho(\sigma(E')) = \varrho(E) = U = \sigma(\varrho(E'))\sigma^{-1} = \sigma U' \sigma^{-1}.$$

(iv) Let $\sigma \in \bigcap_{U \in \mathcal{U}} U$, then $\sigma \in \varrho(E)$, for all $E \in \mathcal{E}_0$, hence $\sigma(x) = x$ for all $x \in E$, for all $E \in \mathcal{E}_0$. But $\bigcup_{E \in \mathcal{E}_0} E = V$. Hence $\sigma = 1_V$.

These facts imply that \mathcal{U} may be taken as a fundamental system of neighborhoods of the identity for a Hausdorff topology on $G = O(V, Q)$. We shall call this the finite topology (see [3]).

THEOREM 1. *$G = O(V, Q)$ with the finite topology, is discrete if, and only if, V is finite-dimensional.*

PROOF. If V is finite-dimensional, then clearly G is discrete, $\{1_V\}$ is open and, hence, there is a $U \in \mathcal{U}$ such that $U \subseteq \{1_V\}$, so

$$U = \varrho(E) = \{1_V\} \quad \text{for some } E \in \mathcal{E}_0.$$

Let $x \in V$ and $E_x \in \mathcal{E}_0$ be such that $E_x \supset \{x\} \cup E$. Then

$$\varrho(E_x) \subseteq \varrho(E) = \{1_V\},$$

so $E_x = E$ by (iii) of section 1. As x was arbitrary, $E = V$. This completes the proof.

If $E \in \mathcal{E}_0$, then $\varrho(E)$ is, of course, an open subgroup and, consequently, closed. But we have more generally

PROPOSITION 1. *Let $E \in \mathcal{E}$, and let $G = O(V)$ have the finite topology, then $H = \varrho(E)$ is closed and for any $H \in \mathcal{H}$ $\varrho\chi(H) \supseteq \bar{H}$. Hence ϱ maps \mathcal{E} onto the set \mathcal{H} of all closed subgroups of G .*

PROOF. Let $\sigma \in \bar{H}$, $H \in \mathcal{H}$. Then for any $E_0 \in \mathcal{E}_0$, we must have $\varrho(E_0)\sigma \cap H \neq \emptyset$. If $x \in E \subseteq \chi(H)$, $x \neq 0$, let $E_x \in \mathcal{E}_0$ be such that $x \in E_x$. Then there is an $\eta_x \in \varrho(E_x)$ such that $\eta_x^{-1}\sigma \in H$, so that $\eta_x^{-1}\sigma|_E = 1_E$. In particular, $\sigma(x) = \eta_x(x) = x$, as $\eta_x \in \varrho(E_x)$. Thus $\sigma(x) = x$, for all $x \in E$; hence $\sigma \in H$. So $H = \bar{H}$, the closure of H . Next, since $H \subseteq \varrho(\chi(H))$ is closed, $\bar{H} \subseteq \varrho(\chi(H))$. This completes the proof.

Notice that if $A = \{\pm 1_V\}$, then $A = \bar{A} \subset O(V) = \varrho(\chi(A))$.

PROPOSITION 2. *If (V, Q) is infinite dimensional, then $O(V, Q)$ is a totally disconnected non-locally compact group.*

PROOF. Let $E_1 \in \mathcal{E}_0$ be such that $\dim E_1 \geq 2$, then $V = E_1 \perp E_1^*$, $\varrho(E_1)$ is an open subgroup of G and, hence, is closed. Further, $\bigcap_{E \in \mathcal{E}_0} \varrho(E) = \{1_V\}$, so $O(V) = G$ is totally disconnected.

In order to prove that G is not locally compact, it suffices to show that G itself is non-compact since $\varrho(E') = G'$ compact would imply that $O(E'^*, Q|_{E_1^*})$, the finite topology of which is the inherited topology from $O(E, Q)$, would be compact. So we let $G_1 = \varrho(E_1)$, $\dim E_1 \geq 2$, $E_1 \in \mathcal{E}_0$ and consider the left coset space $O(V, Q)/G_1 = G/G_1$. Suppose that G is compact, then the natural map $\pi: G \rightarrow G/G_1$ shows that G/G_1 is compact. But $G_1 = \varrho(E_1)$ is open and, hence, $\sigma G_1 = \bar{\sigma} \in G/G_1$ is also open. Thus G/G_1 is discrete and, therefore, finite. Consider next two cases:

(i) k infinite. The elements of $O(E_1)$ may be identified with the elements of the subgroup $O(E_1) \perp 1_{E_1^*}$ of G . Denote this group by G_2 . Then $\tau, \tau' \in G_2$, $\tau \equiv \tau' \pmod{G_1}$ if, and only if, $\tau'^{-1}\tau \in G_1$. But $G_2 \cap \varrho(E_1) = \{1_V\}$, so $\tau' = \tau$. Therefore, since G_2 is infinite, G/G_1 is an infinite set. This contradicts compactness; hence G is not compact.

(ii) If k is finite, then we use lemma 1, proved in the next section, to provide us with a sequence of subspaces F_1, F_2, \dots , such that $F_i \perp F_j$, $j \neq i$, and F_i are hyperbolic planes. Then construct the following maps σ_i such that $\sigma_i: F_1 \rightarrow F_i$ isometrically, and extend σ_i to V (see [4]). Choose E_1 to equal F_1 . Then $\pi: \sigma_i \rightarrow \bar{\sigma}_i$, and

$$\bar{\sigma}_i = \bar{\sigma}_j \Leftrightarrow \sigma_j^{-1}\sigma_i \in G_1 = \rho(E_1).$$

For if $i \neq j$,

$$\sigma_j^{-1}\sigma_i : E_1 \xrightarrow{\sigma_i} F_i \xrightarrow{\sigma_j^{-1}} \sigma_j^{-1}(F_i) \neq E_1,$$

hence $\sigma_j^{-1}\sigma_i \notin G_1$. Thus again we have an infinite set G/G_1 which contradicts compactness.

Let $G_F = \{\sigma \in G = O(V) \mid \sigma \in \rho(E^*), E \in \mathcal{E}_0\}$ = group generated by all $\sigma \in G$ such that σ leaves E^* elementwise fixed, where $E \in \mathcal{E}_0, V = E \perp E^*$. We have

THEOREM 2. *Using the finite topology on $O(V)$, we find that the group G_F is dense in $O(V)$, that is, $\bar{G}_F = O(V)$.*

PROOF. Let $\sigma \in G = O(V)$. We have to show that $\sigma \in \bar{G}_F$, that is, for all $E \in \mathcal{E}_0$, there is a $\eta \in \rho(E)$ such that $\sigma\eta \in G_F$, or that there is a $\tau \in G_F$ such that $\sigma^{-1}\tau = \eta \in \rho(E)$. Let $E^\sigma = \sigma(E)$, then let E_1 be a finite dimensional semi-simple subspace of V such that $E_1 \supseteq E + E^\sigma$. Now $V = E_1 \perp E_1^*$ and $\sigma : E \rightarrow E^\sigma$, with E, E^σ both contained in E_1 ; hence, by Witt's Theorem, we can extend σ to $\sigma' \in O(E_1)$. Let $\tau = \sigma' \perp 1_{E_1^*}$, then clearly $\tau \in G$ and $\tau \in G_F$. Further, $\sigma^{-1}\tau|_E = \sigma^{-1}\sigma|_E = 1_E$; hence $\sigma^{-1}\tau \in \rho(E)$. This proves the theorem.

3. The structure of $O(V, Q)$.

In this section we wish to generalize, to infinite dimensional Q -spaces, a number of results which will aid us in considering further the structure of the orthogonal group $O(V)$. Some we state without proof. Many times we will use the notation: $f_Q(x, y) = x \cdot y$, for $x, y \in V, f_Q$ the associated bilinear form.

LEMMA 1. *Let (V, Q) be a semi-simple Q -space over k . If $\dim V \geq 2$ and k is finite, then for any $a \in k$ there is a $x \in V$ such that $f_Q(x, x) = a$. If $\dim V \geq 1$ and V has isotropic vectors, then when k is any field and for any $a \in k$ we can find $x \in V$ so that $f_Q(x, x) = a$.*

PROOF. For infinite dimensional V the proof is as in the finite case (see e.g. [1]).

COROLLARY. *If (V, Q) is as in the lemma with $\dim V \geq 3$, then V contains isotropic vectors if k is finite.*

Let $Z(V, Q)$ denote the center of $O(V, Q) = G$ and $O(V, Q)'$ the group theoretic commutator subgroup of $O(V, Q)$. Let $\Omega_F(V, Q) = (G_F)'$, where G_F was defined in section 2. Let $\Omega(V, Q) = \overline{O(V, Q)'}$, the closure of the group generated by the commutators.

LEMMA 2. Let (V, Q) be a semi-simple Q -space, S a two dimensional non-singular (semi-simple) subspace.

Unless S is a hyperbolic plane and $k = \text{GF}(3)$, there is a map $\sigma \in \Omega_F(V, Q)$ such that $S^* = \{x \in V \mid \sigma(x) = x\}$.

PROOF. It is well known that there is a $\sigma' \in \Omega(S, Q)$ such that $\sigma'(x) \neq x$ for all $0 \neq x \in S$. Define σ as: $\sigma = \sigma' \perp 1_{S^*}$ ($V = S \perp S^*$), then $\sigma: V \rightarrow V$ and is clearly in $\Omega_F(V, Q)$. This σ is the element that works.

LEMMA 3. If (V, Q) is a semi-simple Q -space with dimension ≥ 3 , let $\sigma \in O(V, Q)$ be such that $\sigma\tau = \tau\sigma$ for all $\tau \in \Omega_F(V, Q)$.

Then $\sigma = \pm 1_V$.

PROOF. First we show that if $f_Q(x, x) \neq 0$, then $\sigma(x) = ax$, $a \in k$, $a = \pm 1$.

a) Assume $k \neq \text{GF}(3)$. Let x be such that $f_Q(x, x) \neq 0$, let $S(x)$ be the space of x . So $V = S(x) \perp S(x)^*$ and $\dim S(x)^* \geq 2$, so that there is an element $y \in S(x)^*$ such that $f_Q(y, y) \neq 0$, $x \perp y$. Let $S = S(x, y)$ be the span of x and y , S is then semi-simple. Lemma 2 implies the existence of $\varrho \in \Omega_F(V, Q)$ such that $S^* = \{z \in V \mid \varrho(z) = z\}$. Let $z \in S^*$,

$$\varrho(\sigma(z)) = \sigma\varrho(z) = \sigma(z),$$

hence $\sigma(z) \in S^*$ for any $z \in S^*$; so that $\sigma(S^*) \subseteq S^*$. Similarly, since $\sigma^{-1} = \varrho\sigma^{-1}$ for all $\varrho \in \Omega_F(V, Q)$, we get that $\sigma^{-1}(S^*) \subseteq S^*$, and hence $\sigma(S^*) = S^*$. Consequently $\sigma(S) = S$. Now $\dim S^* \geq 1$ and is semi-simple; so that there is a $y' \in S^*$ such that $f_Q(y', y') \neq 0$. Apply the same argument above to $S' = S(x, y')$ and get that $\sigma(S') = S'$. Hence

$$\sigma(S \cap S') = S \cap S' = S(x);$$

so that $\sigma(x) = ax$ ($a = \pm 1$).

b) Let $k = \text{GF}(3)$, hence $k^* = \pm 1$. By lemma 1, since $\dim V \geq 2$, for any $a \in k$ there is a vector $x \in V$ such that $f_Q(x, x) = a \in k^*$. So $V = S(x) \perp S(x)^*$, $\dim S(x)^* \geq 2$, and hence, again there is a $y \in S(x)^*$ such that $f(y, y) = a$. Put $S = S(x, y)$. If $z = \alpha x + \beta y$, then

$$z \cdot z = \alpha^2 a + \beta^2 a = a(\alpha^2 + \beta^2),$$

so

$$f(z, z) = 0 \Leftrightarrow \alpha \text{ and } \beta = 0 \text{ or } z = 0.$$

Hence S is anisotropic and not a hyperbolic plane, so that, by lemma 2, there is a $\varrho \in \Omega_F(V, Q)$ such that $S^* = \{z \mid \varrho(z) = z\}$. Then we get as before $\sigma(S) = S$.

i) If $\dim V \geq 4$, then $\dim S^* \geq 2$. The same method as in a) yields $\sigma(x) = c \cdot x$.

ii) If $\dim V = 3$, then $\dim S^* = 1$. Take $y' \in S^*$, $y' \neq 0$; semi-simplicity of S^* implies that $f(y', y') \neq 0$. Hence $f_Q(y', y') = \pm a \in k^*$. If $f_Q(y', y') = +a$, apply a previous argument to get that $\sigma(x) = c \cdot x$. If $f_Q(y', y') = -a$, then $V = S(x, y, y')$. The vectors $x \pm y, y'$ are orthogonal,

$$f_Q(x, x) = f_Q(y, y) = a = -f_Q(y', y'),$$

and with $\varepsilon = \pm 1$

$$f_Q(x + \varepsilon y, x + \varepsilon y) = f_Q(x, x) + f_Q(y, y) = 2a = -a = f_Q(y', y').$$

Therefore $S(x \pm y, y')$ is anisotropic, and by the previous argument we get

$$\sigma(S(x \pm y, y')) = S(x \pm y, y').$$

Hence

$$\sigma[S(x, y) \cap S(x \pm y, y')] = S(x, y) \cap S(x \pm y, y') = S(x \pm y);$$

so we get $\sigma(x + \varepsilon y) = c_i(x + \varepsilon y)$, $i = 1, 2$. But $(x + y) \perp (x - y)$, and $x \pm y, y'$ is an orthogonal basis of V ; so that $\sigma(y') = c y'$, hence $\sigma(x) = c \cdot x$.

Take now any finite dimensional subspace S of V . Let S' be a finite dimensional semi-simple subspace of V containing S . Let x_1, \dots, x_n be an orthogonal basis of S' , then $\sigma(x_i) = c_i x_i$.

So: a) If $f_Q(x_1 + x_2, x_1 + x_2) \neq 0$, then

$$\sigma(x_1 + x_2) = c(x_1 + x_2) = c_1 x_1 + c_2 x_2;$$

so that $c = c_1 = c_2$.

b) If $f_Q(x_1 + x_2, x_1 + x_2) = 0$, then

$$Q(x_1 + x_2 + x_3) = Q(x_1 + x_2) + Q(x_3) = Q(x_3) \neq 0 \quad \dim V \geq 3.$$

So that

$$\sigma(x_1 + x_2 + x_3) = c(x_1 + x_2 + x_3) = \sum_{i=1}^3 c_i x_i,$$

and hence $c = c_i$, $i = 1, 2, 3$.

Thus we get $c_1 = c_2 = \dots = c_n = \pm 1$. Then clearly from this we get that $\sigma = \pm 1_V$. This completes the proof.

COROLLARY. $Z(V, Q) = \{\pm 1_V\}$, when (V, Q) is a semi-simple Q -space of $\dim \geq 3$.

For every finite dimensional semi-simple Q -space (W, Q) with associated bilinear form g_Q we have from the finite dimensional theory a homomorphism θ_w called the *spinorial norm*, $\theta_w: O^+(W) \rightarrow k^*/k^{*2}$, where $O^+(W)$ is the group of rotations in $O(W)$. This map is defined as follows: if $\sigma = \tau_{x_1} \tau_{x_2} \dots \tau_{x_r}$, where τ_x is the symmetry defined by x , that is, if

$$\tau_x(y) = y - 2 \frac{x \cdot y}{x \cdot x} x,$$

then

$$\theta_w(\sigma) = x_1^2 x_2^2 \dots x_r^2 k^{*2}, \quad x^2 = x x = g_Q(x, x).$$

Some of the properties of the spinorial norm for (W, g) are as follows:

- i) $\Omega(W, g_Q) \subseteq \ker \theta_w$,
- ii) θ_w maps $O^+(W)$ onto k^*/k^{*2} , when $(W, g_Q)_Q$ has isotropic vectors.
- iii) If W contains non-zero isotropic vectors, then $\Omega(W, g) = \text{kernel of } \theta_w$.
- iv) If $W = U \perp V$, then $\ker \theta_U = O^+(U) \cap \ker \theta_w$.
- v) Suppose $\sigma = \tau_x \cdot \tau_y$,

$$\tau_x(u) = u - 2 \frac{u \cdot x}{x \cdot x} x$$

$\sigma \in \ker \theta_w$, then $\sigma \in \Omega(W, g_Q)$. If $\dim W = 2$, then $\ker \theta_w = \Omega(W, g_Q)$ and each element of $\Omega(W)$ is a square of a rotation. If $\dim W = 3$, then $\ker \theta_w = \Omega(W)$ and each element of $\Omega(W)$ is the square of a rotation with the same axis.

We set down the following notation: If U is a finite dimensional semi-simple subspace of (V, Q) and $\tau \in O(U)$ we shall hereafter identify τ with $(\tau \perp 1_{U^*}) \in O(V)$.

LEMMA 4. *Let (V, f) be any Q -space. Suppose that k is a finite field and τ is an isometry of a subspace U_1 of V onto a finite dimensional subspace U_2 of V . If U_2 is contained in a finite dimensional semi-simple Q -space W of V with $\text{codim } W \geq 2$, then we can extend τ to an element $\rho \in \Omega_F(V, Q)$.*

PROOF. Let W_2 be a finite dimensional semi-simple Q -subspace of V containing U_1, U_2 and W such that $\dim(W_2/W) \geq 2$. Then we can extend τ to a rotation σ of W_2 , since we can always multiply by a reflection of $W^* \cap W_2$. Now if ρ_1 is a rotation of $W^* \cap W_2$, then $\rho_1 \cdot \sigma$ also extends τ . But, since $\dim(W^* \cap W_2) \geq 2$ and k is finite, we know $W^* \cap W_2$ contains elements with arbitrary squares. Hence there is a rotation $\rho_1 \in O(W^* \cap W_2)$ such that $\theta_{w_2}(\rho_1) = \theta_{w_2}(\sigma)$, thus $\theta_{w_2}(\rho_1 \cdot \sigma) = 1$, and hence $\rho_1 \cdot \sigma \in \Omega(W_2, Q|W_2)$. Since $\dim W_2 \geq 3$, then W_2 contains non-zero isotropic vectors; so that $\Omega(W_2, Q|W_2') = \ker \theta_{w_2}$. Let $\rho = \rho_1 \cdot \sigma \perp 1_{w_2^*}$, then $\rho \in \Omega_F(V, Q)$.

COROLLARY. *If k is finite, $\dim V \geq 4$, and x, y are non-zero isotropic vectors, then there is a $\lambda \in \Omega_F(V, Q)$ such that $\lambda x = y$.*

PROOF. x is in some hyperbolic plane, as is y . Let τ map the first hyperbolic plane onto the other isometrically and such that $\tau x = y$. Then extend τ by the lemma.

LEMMA 5. Let U_1, U_2 be semi-simple isometric subspaces of (V, Q) , (V, Q) a semi-simple Q -space. Suppose that U_2 is finite dimensional and contains isotropic lines. Then there is a $\lambda \in \Omega_F(V, Q)$ such that $U_2 = \lambda(U_1)$.

PROOF. Let W_1 be a semi-simple finite dimensional subspace containing U_1 and U_2 . By multiplying by an appropriate symmetry, we can find a rotation σ of W_1 , such that $\sigma U_1 = U_2$. Again, we can follow this by any rotation ρ of U_2 . By Lemma 1, we can achieve: $\theta_{w_1}(\rho) = \theta_{w_1}(\sigma)$, since U_2 has isotropic lines. Hence $\lambda_1 = \rho \cdot \sigma$ is such that $\theta_{w_1}(\lambda_1) = 1$, so that $\lambda_1 \in \Omega(W_1)$ and $U_2 = \lambda(U_1)$. Then $\lambda = \lambda_1 \perp 1_{w_1^*}$ is the desired map.

COROLLARY. If $\dim V \geq 3$ and x, y are non-zero isotropic vectors, then there is an element $\beta \in k^*$ such that for any $\alpha \in k^*$, there is an element $\lambda \in \Omega_F(V, Q)$ such that $\lambda(x) = \beta \alpha^2 y$.

PROOF. Let y, y' be a hyperbolic pair, and let W be a semi-simple subspace of finite dimension, such that x, y, y' are in W . Choose a rotation $\sigma \in O(W)$ such that $\sigma x = y$ (possible since $\dim V \geq 3$). Let $\theta_w(\sigma) = \beta k^{*2}$, and let ρ be a rotation of $S(y, y')$ such that $\rho(y) = \beta \alpha^2 y$. Then $\theta_w(\rho) = \theta_w(\sigma)$ and $\lambda = \rho \sigma$ is the desired element of $\Omega_F(V, Q)$ (identified with $1_{w^*} \perp \lambda$).

LEMMA 6. Let (V, f) be a semi-simple Q -space with $\dim V \geq 5$. Let $P = S(x, y)$ be a singular plane (that is, $\text{rad } P = \{0\}$) where $x^2 = y^2 \neq 0$. Then there is $\lambda \in \Omega_F(V, Q)$ such that $\lambda y = y, \lambda x = z$, where $S(x, z)$ is semi-simple.

PROOF. Let $S(u)$ be the radical of P , then $P = S(y, u)$ and $x = \alpha y + \beta u, \beta \neq 0$. But $x^2 = y^2$, hence

$$x^2 = \alpha^2 y^2 + 2\alpha\beta uy + \beta^2 u^2 = \alpha^2 y^2.$$

So that $\alpha = \pm 1$, and we can replace αy by $y, \beta u$ by u , to obtain $x = y + u$. Let $H = S(y)^*$ be the hyperplane orthogonal to y , since $u \in H$, there is an isotropic vector $v \in H$, such that $u \cdot v = -x^2$. Then we have two cases:

a) k is a finite field: $\dim H \geq 4$, so that there is a $\lambda_1 \in \Omega_F(H)$ such that

$$\lambda_1 u = v, \quad \lambda = 1_{H^*} \perp \lambda_1 \in \Omega_F(V, Q).$$

Hence

$$\lambda(y) = y, \quad \lambda x = \lambda(y + u) = y + v = z,$$

and

$$x \cdot z = (y + u) \cdot (y + v) = y^2 + y \cdot v + y \cdot u + u \cdot v = 0,$$

$$z \cdot z = x^2 = y^2 \neq 0.$$

Therefore $S(x, z)$ is semi-simple.

b) k is an infinite field; thus there is a $\lambda \in \Omega_F(H, f|H)$ such that

$$\lambda u = \alpha\beta^2 v, \lambda y = y,$$

α, β chosen as follows:

$$\lambda x = \lambda(y + u) = y + \alpha\beta^2 v = z.$$

Then

$$z^2 = y^2 = x^2,$$

$$x \cdot z = x \cdot y + \alpha\beta^2 x \cdot v = (y + u) \cdot y + \alpha\beta^2 (y + u)v = y^2 - \alpha\beta^2 x^2.$$

Then $S(x, z)$ is non-singular when we can choose β such that

$$x \cdot z = y^2 - \alpha\beta^2 x^2 \neq \pm x^2.$$

Since k is infinite, one can clearly find an appropriate β and α .

LEMMA 7. Let (V, Q) be a semi-simple Q -space, $x \in V$ such that $f_Q(x, x) \neq 0$. Suppose σ is an isometry of V which keeps fixed every line L generated by a vector y such that

$$y^2 = f_Q(y, y) = x^2.$$

Then $\sigma = \pm 1_V$ if $\dim V \geq 4$ for any k .

PROOF. Since $f_Q(\sigma x, \sigma x) = f_Q(z, x) \neq 0$, we may assume that $\sigma x = x$ by replacing σ by $-\sigma$ if necessary. Let $H = S(x)^*$. We have two cases:

a) H contains non-zero isotropic vectors: Let $u \in H$ be such that $u^2 = 0, u \neq 0$. Since $(x + u)^2 = x^2$, it follows that $\sigma(x + u) = \varepsilon(x + u)$ where $\varepsilon = \pm 1$. But

$$\sigma(u) = \sigma(x + u - x) = \varepsilon(x + u) - x$$

is isotropic, hence $\varepsilon = +1$. So

$$\sigma(u) = (x + u) - x = u.$$

Let $y \in H$. Then y is in some hyperbolic plane of H . But σ equals the identity on any hyperbolic plane of H so that $\sigma(y) = y, \sigma(x) = x$ implies that $\sigma = 1_V$, hence the original $\sigma = \pm 1_V$.

b) H is anisotropic. Let $z \neq 0, z \in H$. If there are at least six rotations of the plane $P = S(x, z)$, then they carry $S(x)$ into three distinct lines which are kept fixed by σ , which gives us, by use of the properties of σ and $\sigma x = x$, that $\sigma z = z$. But if k is finite, then since $\dim H \geq 3$, by the corollary to lemma 1, H has non-zero isotropic vectors, so that we are in case a). And if k is infinite, then it is known that there are more than six rotations of the plane P when P is either hyperbolic or anisotropic. Of course, P is semi-simple.

This completes the proof.

Now we generalize to infinite dimensions a proposition which is practically the result we want (see [1]).

PROPOSITION 3. *Suppose (V, Q) is a semi-simple Q -space with $\dim V \geq 5$. Let H be a subgroup of $O(V) = G$ which enjoys the following properties:*

a) *H is invariant under transformation by elements of $\Omega_{\mathbb{F}}(V, Q)$; that is, if $\sigma \in \Omega_{\mathbb{F}}(V, Q)$, then $\sigma H \sigma^{-1} \subseteq H$.*

b) *H is not contained in the center of $G = O(V)$.*

Then H will contain an element $\sigma \neq \pm 1_V$ which is the square of a three dimensional rotation; that is, it is the square of a rotation arising from a three-dimensional space.

PROOF. Pick $\sigma \in H$ such that $\sigma \neq \pm 1_V$ (corollary to lemma 3). Then σ must move some non-isotropic line $S(x)$, otherwise $\sigma = \pm 1_V$, by lemma 7. Now we can choose this σ such that the plane $P = S(x, \sigma(x))$ is semi-simple, as follows: Suppose P is singular. Using $\sigma(x)^2 = x^2$, it follows by lemma 6 that, there is a $\lambda \in \Omega_{\mathbb{F}}(V)$ such that $\lambda(\sigma(x)) = \sigma(x)$, $\lambda x = z$, with $S(x, z)$ semi-simple. Take $\rho = \lambda \sigma^{-1} \lambda^{-1} \sigma$. This is in H , by hypothesis a), and $\rho(x) = z$, hence $S(x, \rho(x))$ is semi-simple. Let σ be this ρ . So we may assume that $P = S(x, \sigma(x))$ is a semi-simple plane. Using this σ we claim that there is a $\rho \neq \pm 1_V$ of H which keeps some non-isotropic line fixed. To see this we may assume that σ moves every non-isotropic line, otherwise take $\rho = \sigma$. Suppose there were a $\lambda \in \Omega_{\mathbb{F}}(V, Q)$ such that it keeps every vector of P fixed and does not commute with σ , then $\rho = \lambda^{-1} \sigma^{-1} \lambda$. $\sigma \in H$, by hypothesis a) and $\rho(x) = \lambda^{-1}(x) = x$. Thus $\rho \neq -1_V$, and $\lambda \sigma \neq \sigma \lambda$ implies that $\rho \neq 1_V$. Thus we would have the desired ρ . To prove the existence of the above λ consider the cases:

i) $\sigma P \neq P$. Let $u \in P$ such that $\sigma u \notin P$, so that $\sigma u = v + w$, $v \in P$, $w \in P^*$, with $w \neq 0$. But the $\dim P^* \geq 3$. Then one can pick a three dimensional semi-simple subspace of P^* containing w , say W , and then find $\lambda \in \Omega(W)$ which moves w (see [1, p. 105]). Extend λ to V , and get

$$\lambda \sigma(u) = \lambda(v + w) = v + \lambda(w) \neq v + w = \sigma u = \sigma \lambda(u),$$

hence $\lambda \sigma \neq \sigma \lambda$ and $\lambda \in \Omega_{\mathbb{F}}(V)$.

ii) $\sigma P = P$. Then $\sigma P^* = P^*$. Let $\tau = \sigma|_{P^*}$. Suppose $\tau \rho = \rho \tau$ for all $\rho \in \Omega_{\mathbb{F}}(P^*)$, then by lemma 3 we know that $\tau = \pm 1_{P^*}$. But this contradicts the assumption that σ moves the non-isotropic lines. Hence there is a $\rho' \in \Omega_{\mathbb{F}}(P^*)$ such that $\tau \rho' \neq \rho' \tau$. Extending ρ' to V , by $\rho = 1_P \perp \rho'$, we have that $\sigma \rho \neq \rho \sigma$, $\rho|_P = 1_P$.

Thus we have an element $\sigma \neq \pm 1_V$ in H such that σ keeps a certain non-isotropic line $S(x)$ fixed. Then, by lemma 7, we have again that

there must be a vector y such that $y^2 = x^2$, but $S(y)$ is moved by σ . Let $\sigma y = z$, then $S(y) \neq S(z)$. Let τ_x be the symmetry defined by the hyperplane $S(x)^*$, that is

$$\tau_x(u) = u - 2 \frac{x \cdot u}{x \cdot x} x.$$

Let W be a semi-simple subspace of finite dimension containing x and y . Then Witt's theorem says that there is an element $\mu \in O(W)$ and hence an element $\mu \in O_F(V) = G_F$, such that $\mu(x) = y$. Now $\mu \tau_x \mu^{-1} = \tau_{\mu(x)} = \tau_y$, therefore

$$\lambda = \tau_y \tau_x = \mu \tau_x \mu^{-1} \tau_x^{-1} \in \Omega_F(V, Q).$$

Again, $\sigma \tau_x \sigma^{-1} = \tau_{\sigma x} = \tau_{\pm x} = \tau_x$, and $\sigma \tau_y \sigma^{-1} = \tau_{\sigma y} = \tau_z$. Therefore

$$\sigma \lambda \sigma^{-1} = \sigma \tau_y \tau_x \sigma^{-1} = \sigma \tau_y \sigma^{-1} \sigma \tau_x \sigma^{-1} = \tau_z \tau_x.$$

Hence $\rho = \sigma \lambda \sigma^{-1} \lambda^{-1} = \tau_z \tau_x \tau_x \tau_y = \tau_z \tau_y$. But $\rho \in H$, and $\rho \neq 1_V$, since $S(z) \neq S(y)$. Further $\rho \in \Omega_F(V, Q)$, (ref. V) on the spinorial norm. Finally, let $P = S(y, z)$, then $\text{rad } P = P^* \cap P = \text{rad } P^*$. Since $y^2 \neq 0$, $\dim \text{rad } P \leq 1$, let W be any finite dimensional semi-simple subspace of $\dim = n_w \geq 5$ containing P . Then $P^* \cap W$ contains a semi-simple subspace W_1 of dimension $n_w - 3$. Let U be a three-dimensional semi-simple subspace orthogonal to W_1 in W . So that ρ is a rotation of U , since $\rho = \tau_z \tau_y$ leaves each element of P^* , and hence those of W_1 , fixed. But $\rho \in \Omega(W) \subseteq \ker \theta_w$, and by properties iv) and v) of θ_w , we get

$$\rho \in \ker \theta_u = O^+(U) \cap \ker \theta_w, \quad \ker \theta_u = \Omega(U).$$

So that $\rho \in \Omega(U)$ and ρ is a square of a rotation of U .

This completes the proof, since $\rho \neq 1_V$, $\rho \in H$, and ρ is the square of a rotation of U a three-dimensional semi-simple subspace of V ; hence $\rho \in \Omega_F(V, Q)$.

Suppose now that (V, Q) contains isotropic vectors. Let U be a three-dimensional semi-simple subspace of V , and ρ the square of a rotation of U . Suppose U is anisotropic. Then the axis of rotation of ρ is not isotropic and thus ρ is the square of a rotation of an anisotropic plane P . We prove that any anisotropic plane P can be imbedded in a three-dimensional semi-simple subspace U' of V containing isotropic vectors, as follows: Select $u \neq 0$, such that $u^2 = 0$ and u is not orthogonal to P . This is possible as follows: Let $S(u, v)$ be a hyperbolic plane, $P = S(y, z)$. Then

$$(u + \frac{1}{2}y^2v)^2 = 2 \frac{1}{2}y^2(u \cdot v) = y^2.$$

Let $\tau: u + \frac{1}{2}y^2v \rightarrow y$, extend τ to V . Then $\tau: S(u,v) \rightarrow S(t,s)$ a hyperbolic plane containing y , so that

$$\tau: u + \frac{1}{2}y^2 \cdot v \rightarrow t + \frac{1}{2}y^2 \cdot s = y, \quad t(t + \frac{1}{2}y^2s) = \frac{1}{2}y^2 \neq 0.$$

Hence this t works. Let u then be such that $u^2=0$ and not $u \perp P$, set $U' = S(y,z,u)$. If U' were singular, and $S(v)$ its radical, then $S(v)$ would be the only isotropic line of U' , since P is anisotropic. So that $S(v) = S(u)$ and this implies that $u \in P^*$, contradicting the fact that not $u \perp P$. Hence U' is semi-simple. This proves our assertion, so that we may assume that ϱ is the square of rotation of U which contains isotropic vectors in the first place. This also proves that the generators (see [1, p. 135]) $(\tau_x \tau_y)^2$ of $\Omega_F(V)$ are squares of rotations of three-dimensional subspaces U which contain isotropic lines. We will use this remark below.

Now let (V,Q) be a semi-simple Q -space of dimension ≥ 5 , containing isotropic vectors and H a subgroup of $O(V)$ as in the proposition above. Let

$$\varrho \in H \cap \Omega_F(V), \quad \varrho \neq 1_V,$$

and such that ϱ is the square of a rotation of a three-dimensional semi-simple subspace U containing isotropic vectors.

i) Suppose k contains more than three elements. Then from the finite dimensional theory we know that $\Omega(U) \approx PSL_2(k)$, the projective special linear group, is simple. $H \cap \Omega(U)$ contains ϱ and is an invariant subgroup of $\Omega(U)$, hence $\Omega(U) \subseteq H$, by simplicity of $\Omega(U)$. The subspace U contains a hyperbolic plane P and therefore H contains $\Omega(P)$. Let U' be a subspace, such as U, P' a hyperbolic plane of U' . By lemma 5, there is a $\lambda \in \Omega_F(V)$ such that $P' = \lambda P$. So that $\Omega(P') = \lambda \Omega(P) \lambda^{-1}$, hence $\Omega(P') \subseteq H$. The group $\Omega(U')$ is simple and $\Omega(U') \cap H \supseteq \Omega(P')$, a non-trivial group. But $\Omega(U') \cap H$ is invariant in $\Omega(U')$, hence $\Omega(U') \subseteq H$. This implies that H contains all generators of $\Omega_F(V)$, and hence H contains $\Omega_F(V,Q)$.

ii) Suppose $k = GF(3)$. We use the fact that a finite dimensional Q -space over a finite field contains a proper subspace of arbitrary prescribed geometry (by use of lemma 1 repeatedly). Since $\dim V \geq 5$, we can find a semi-simple subspace V_0' of dimension 4 which is of index 1. Then the subspace V_0' contains a three-dimensional subspace U' isometric to U , by use of the same fact. So by extending this isometry of U' with U to V , U is contained in a 4-dimensional semi-simple subspace V_0 of V with index 1. And again by the finite dimensional theory the group $\Omega(V_0) (\approx PSL_2(kd^{\frac{1}{2}}))$ is simple. Further $\Omega(V_0) \cap H$ contains ϱ . Hence $\Omega(V_0) \subseteq H$. Then, as before, with V_1 any 4-dimensional semi-simple subspace of index 1, we have again $\Omega(V_1) \subseteq H$. If U' is any sub-

space such as U we can imbed it in such a space V_1 . Thus we can get $\Omega(U') \subseteq H$. And, as in i) we thus have $\Omega_{\mathbb{F}}(V) \subseteq H$. We have proved

THEOREM 3. *Suppose (V, Q) is a semi-simple quadratic space of dimension ≥ 5 , and that V contains isotropic vectors. Let H be a subgroup of $O(V)$ having the following properties:*

i) H is invariant under transformation by $\Omega_{\mathbb{F}}(V, Q)$; that is to say, if $\tau \in \Omega_{\mathbb{F}}(V, Q)$, then $\tau H \tau^{-1} \subseteq H$.

ii) H is not contained in $Z(V, Q)$.

Then $\Omega_{\mathbb{F}}(V, Q) \subseteq H$.

COROLLARY. *Let (V, Q) be a semi-simple quadratic Q -space of dimension ≥ 5 , containing isotropic vectors. Let H be a closed normal subgroup of $O(V, Q)$, provided with the finite topology, such that $H \not\subseteq Z(V, Q)$. Then H contains the closure of $\Omega_{\mathbb{F}}(V, Q)$.*

If H is also in $\overline{\Omega_{\mathbb{F}}(V)}$, then $H = \overline{\Omega_{\mathbb{F}}(V)}$.

Now since $G_{\mathbb{F}}$ is dense in $G = O(V)$, then by continuity of multiplication $\overline{\Omega_{\mathbb{F}}(V, Q)} = \overline{O(V, Q)'} = \Omega(V, Q)$, the commutator subgroup of $O(V)$. Hence we have

THEOREM 4. *Let (V, Q) be a semi-simple quadratic space of dimension ≥ 5 containing isotropic vectors. Then the group $\Omega(V, Q)/Z(V, Q) \cap \Omega(V, Q)$, where $\Omega(V, Q) =$ closure of the group of commutators of $O(V, Q)$, and $Z(V, Q) =$ center of $O(V, Q)$, is a simple topological group.*

REMARK 1. If we provide the general linear group of an infinite dimensional linearly topologized vector space with the finite topology, we get a topological group. Using the same type of definitions and analysis with the aid of the problems in Bourbaki [2, pp. 97–99], we can prove easily a similar theory for the projective special linear group of the general linear group.

REMARK 2. For the spaces of the type considered in theorem 4 we have a new way to prove that $O(V, Q)$ is not compact; namely, if $O(V, Q)$ is compact, then, since it is also totally disconnected, there exist arbitrarily small open normal subgroups. But this contradicts theorem 3, and hence $O(V, Q)$ could not be compact.

Finally, let us consider when $\Omega(V, Q) = O(V, Q)'$. Since $\overline{\Omega_{\mathbb{F}}(V, Q)} = \Omega(V, Q)$, it suffices to know when $\Omega_{\mathbb{F}}(V, Q)$ is dense in $O(V, Q)$. Again, since $O(V, Q) = \overline{G_{\mathbb{F}}}$, it suffices to ask when $\overline{\Omega_{\mathbb{F}}(V, Q)} \supseteq G_{\mathbb{F}}$. That is, for any $\sigma \in G_{\mathbb{F}}$, and any $E \in \mathcal{E}_0$ does there exist an $\eta \in \varrho(E)$ such that $\sigma \cdot \eta \in \Omega_{\mathbb{F}}$. It is clear that such η must exist in order that $\Omega(V, Q) = O(V, Q)'$; also if

it does exist, then $\eta \in G_F \cap \mathcal{O}(E)$. Hence, it has a norm, $\theta_w(\eta) = \theta(\eta)$, for some $W \in \mathcal{E}_0$. Further, we have $\theta(\sigma\eta) = \theta(\tau) = 1$, since $\sigma\eta = \tau \in \Omega_F(V, Q)$; so that $\theta(\sigma) = \theta(\eta)$. Now $\eta \in O(E^*) \cap G_F$ and we may always pick η such that $\theta(\sigma) = \theta(\eta)$ if E^* has isotropic vectors (if it does not, we may not be able to find η such that $\theta(\eta) = \theta(\sigma)$). Thus we have

THEOREM 5. *Let (V, Q) be a semi-simple quadratic space of dimension ≥ 5 containing infinitely many linearly independent isotropic vectors. Then we have the diagram*

$$\underbrace{\{1_V\}}_{\subseteq} \subseteq \underbrace{Z_V \cap \Omega(V, Q)}_{\subseteq} \subseteq \underbrace{\Omega(V, Q)}_{=} = O(V, Q).$$

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order 1 or 2. logical group.

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