

## ON SOME EXTREMAL PROBLEMS IN BANACH SPACES

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**1. Introduction.**

In studying various extremal problems in Banach spaces it is often good to know if some functions attain their maximum over certain sets. For the case I of linear functionals, there are two classical results. The first one, due to R. C. James says that a weakly closed subset  $S$  of a Banach space  $X$  is weakly compact iff each continuous linear functional on  $X$  attains its supremum on  $S$  ([10, p. 139]). The second one, due to E. Bishop and R. R. Phelps states that every Banach space is sub-reflexive, i.e. for any Banach space  $X$ , those elements of  $X^*$  which attain their norm on the unit ball of  $X$ , are norm dense in  $X^*$  (see [4, p. 31]).

In the case II of the norm of linear operators, J. Lindenstrauss proved that if  $X, Y$  are Banach spaces,  $X$  reflexive, then the set of all bounded linear operators of  $X$  into  $Y$  which attain their norm on the unit ball of  $X$  (i.e. there is an  $x \in X$ ,  $\|x\|=1$ ,  $\|Tx\|=\|T\|$ ) is norm dense in the Banach space  $B(X, Y)$  of all bounded linear operators of  $X$  into  $Y$  with the usual operator norm.

In the case III of the distance function from a given point, we have the notion of farthest point in a set and the results of E. Asplund and M. Edelstein ([2], [9]) from which we recall here the one of Asplund: If  $S$  is a bounded norm closed subset of a reflexive and locally uniformly rotund Banach space  $X$  (for definition see the section 2), then except on a set of first Baire category, the points in  $X$  have farthest points in  $S$ .

In the present note, we give some contributions to the second and third case. The author thanks the referee for some suggestions that improved the structure of the paper.

**2. Notations and definitions.**

We will work in real Banach spaces. If  $f$  is a proper convex function on  $X$  ( $X^*$ ), then  $f^*$  is the function on  $X^*(X)$ , conjugate, or dual to  $f$  in the Fenchel sense, that is

$$f^*(y) = \sup_{x \in X} (\langle y, x \rangle - f(x))$$

(cf. [1]). For  $a \in X, r > 0,$

$$X \supset K_r(a) = \{x \in X; \|x - a\| \leq r\}.$$

If  $\|\cdot\|$  is an equivalent norm on a given Banach space  $X,$  then by the dual norm of the norm  $\|\cdot\|$  in  $X^*$  we mean the usual dual supremum norm on  $X^*$  with respect to  $\|\cdot\|$  on  $X.$  The set of all positive integers is denoted by  $\mathbb{N}.$   $w(X^*, X)$  respectively  $w(X, X^*)$  denotes the weak topology on  $X^*$  respectively on  $X$  given by all elements of  $X$  respectively  $X^*.$  The first one is sometimes called the  $w^*$  topology of  $X^*.$  A point  $x$  of a convex set  $C$  in a Banach space  $X$  is called an exposed point of  $C$  ([16, p. 140]), if there is an  $f \in X^*$  such that  $f(y) < f(x)$  for every  $y \in C, y \neq x.$  A point  $x$  of a convex set  $C$  in a Banach space  $X$  is called a strongly exposed point of  $C$  if there is an  $f \in X^*$  such that  $f(y) < f(x)$  for any  $y \in C, y \neq x$  and moreover, if

$$f(y_n) \rightarrow f(x), y_n \in C, n = 1, 2, \dots, \quad \text{imply} \quad \|y_n - x\| \rightarrow 0.$$

A Banach space  $X$  is said to be rotund if all points of the norm boundary of its  $K_1(0)$  are extreme points of  $K_1(0).$  Furthermore, a Banach space  $X$  with the norm  $\|\cdot\|$  is locally uniformly rotund (LUR) if for  $x_n, x \in X$  satisfying  $\|x_n\| = \|x\| = 1, n = 1, 2, \dots,$

$$\lim_{n \rightarrow \infty} \|x_n + x\| = 2 \quad \text{imply} \quad \lim_{n \rightarrow \infty} \|x_n - x\| = 0.$$

A Banach space  $X$  is WUR if for  $x_n, y_n \in X$  satisfying  $\|x_n\| = \|y_n\| = 1,$

$$\|x_n + y_n\| \rightarrow 2 \quad \text{imply} \quad x_n - y_n \rightarrow 0$$

in the  $w(X, X^*)$  topology of  $X.$

A Banach space  $X$  is an SDS space (cf. [1, p. 31]) if every continuous convex function is Fréchet differentiable on a dense  $G_\delta$  subset of its domain of continuity, where by a continuous convex function on a Banach space we mean a function which is defined and convex on all of  $X,$  with values in  $(-\infty, +\infty),$  and finite valued and continuous at least at some point of  $X.$  E. Asplund ([1, p. 32]) proved, that if  $X$  is a Banach space which can be given an equivalent norm, such that the corresponding dual norm in  $X^*$  is LUR, then  $X$  is an SDS space. Thus any Banach space  $X$  with  $X^*$  separable is an SDS space (see [7], [1, p. 41]). The same is true for any reflexive Banach space (cf. [22]) and any Banach space  $X,$  if  $X$  and  $X^*$  are both weakly compactly generated (see [12]). Furthermore, R. C. James proved in [11, p. 571] that for any  $n \in \mathbb{N},$  there is a Banach space  $B_n$  such that the  $n$ th conjugate space of  $B_n$  is the first nonseparable conjugate space of  $B_n.$

In the sequel, we will identify  $X$  with its canonical image in  $X^{**}.$

### 3. The case III of the distance function.

Following E. Asplund ([2, p. 223]), a set  $S \subset X$  is called fat in  $X$  if it contains a set  $G \subset S$  which is a dense  $G_\delta$  set in  $X$ . E. Asplund proved in [2] the following result:

If  $B$  is a reflexive locally uniformly rotund Banach space and  $S \subset B$  is a norm closed bounded subset of  $B$ , then the set

$$a(S) = \{c \in B : \exists s \text{ such that } \|c-s\| \geq \|c-x\| \quad \forall x \in S\}$$

(i.e. the set of all points in  $B$  which have farthest points in  $S$ ), is fat in  $B$ .

In the following result, some further information on the set of farthest points is derived.

**PROPOSITION 1.** *Suppose  $B$  is a Banach space such that  $X^*$  is LUR and SDS. Then if  $S$  is an arbitrary norm closed bounded subset of  $B^*$ , we have that the set  $a(S)$  defined above is fat in  $B^*$ . Moreover, if we define a set-valued mapping on  $a(S)$  into  $\exp B^*$ , by*

$$Ty = \{s \in S \text{ such that } \|s-y\| \geq \|x-y\|, \forall x \in S\},$$

*then there exists a subset  $F \subset a(S)$  which is fat in  $B^*$  such that the mapping  $T$  considered on  $F$  is single-valued and norm-norm continuous.*

**PROOF.** Let us follow first the ideas of the proof of E. Asplund in [2, p. 213–216], together with the following considerations. Denote the original norm of  $B^*$  by  $\|\cdot\|$ . Let  $r(x)$ , for  $x \in B^*$  be defined as in [2, p. 214], i.e.  $r(x) = \sup_{s \in S} \|x-s\|$ . Then, as  $r$  is the supremum of  $w^*$ -lower semi-continuous functions on  $B^*$ , it is  $w^*$ -lower semicontinuous on  $B^*$ . Suppose  $S$  has at least two distinct points. Then  $r$  is positive, finite, convex and satisfies the Lipschitz condition with  $C=1$  (see [2, p. 214]). Therefore, by another result of E. Asplund [1, p. 32 and 37],  $r$  is Fréchet differentiable on a fat subset  $E_1 \subset B^*$  with the differentials from  $B$ . Denote by  $F$  the set  $E_1 \cap E$ , where  $E$  is a fat set from Lemma 1 of [2, p. 213]. Then  $F$  is fat in  $B^*$ . Take  $y \in F$  and denote by  $p_y$  the differential of  $r$  at  $y$ . Then  $p_y \in B$ ,  $\|p_y\|=1$  (by Corollary to Lemma 3 of [2, p. 215]). Thus  $p_y$  attains its minimum over  $K_{r(y)}(y) \subset B^*$  at a point  $x$ . The rest of the first part of the proof is the same as Asplund's one (see [2, p. 216]).

Now we prove that  $T$  is single valued on  $F$ . Suppose there exist  $z_1, z_2 \in T(0)$ ,  $0 \in F$ , such that  $z_1 \neq z_2$ ,  $r(0)=1$ , and  $\|z_1\|=\|z_2\|=1$ . If  $z_1 = -z_2$ , then for  $t$  real,  $r(tz_1) \geq 1 + |t|$ , so as  $r(0)=1$  and  $r$  convex we get a contradiction with the differentiability of  $r(tz_1)$  at  $t=0$ . Assume now  $z_1 \neq -z_2$ .

Consider the two dimensional subspace  $P \subset B^*$  determined by  $z_1, z_2$ . For an arbitrary  $h \in P$  satisfying  $\|h\|=1$ , the convex functions

$$\varphi_i^h(t) = \|th - z_i\|, \quad i = 1, 2,$$

are differentiable at  $t=0$ , since  $\varphi_i^h(0)=r(0)=1$  and  $\varphi_i^h(t) \leq r(th)$ . Thus the norm of  $P$  induced from  $B^*$  is differentiable at  $-z_i, i=1,2$ . Let  $f_{-z_i} \in P^*, i=1,2$  denote the differentials of the norm of  $P$  at  $-z_i$ . Then  $f_{-z_1} \neq f_{-z_2}$  since  $P$  is rotund. Take  $h_0 \in P$  satisfying  $\|h_0\|=1$  such that  $f_{-z_1}(h_0) \neq f_{-z_2}(h_0)$ . Then

$$(\varphi_1^{h_0})'(0) \neq (\varphi_2^{h_0})'(0).$$

This easily gives a contradiction with the differentiability of  $r(th_0)$  at  $t=0$ .

Now we prove the continuity of the restriction of  $T$  to  $F$  on  $F$ . The mapping  $y \mapsto p_y$  is norm-norm continuous as a mapping considered on  $F$  (by [1, Lemma 5, p. 43]). Suppose  $y_n \in F, y_n \rightarrow 0 \in F$  (again without loss of generality), and  $r(0)=1$ . Each  $p_{y_n}$  respectively  $p_0, n=1,2,\dots$ , has the norm 1 in  $B$  and each of them attains its minimum over  $K_1(0) \subset B^*$  at a unique point  $x_n$  respectively  $x$ , since the norm of  $B^*$  is LUR. Moreover, if  $p_{y_n} \rightarrow p_0$ , then  $x_n \rightarrow x$  in the norm of  $B^*$ , since the norm of  $B$  is Fréchet differentiable at each  $z \neq 0$  (see [9]). It is easy to see that  $p_{y_n}$  respectively  $p_0$  attain their minimum over  $K_{r(y_n)}(y_n) \subset B^*$  respectively  $K_1(0) \subset B^*$  at the point  $y_n + r(y_n)x_n$  respectively  $x$ . As above,

$$y_n + r(y_n)x_n \in T y_n, \quad x \in T 0$$

and from the continuity of  $r$  on  $B^*$  we have  $y_n + r(y_n) \cdot x_n \rightarrow x$ .

**REMARK.** It follows from Proposition 1 and from remarks in Section 2 that the “farthest point property” of the space does not imply its reflexivity.

The following result exhibits a class of Banach spaces which have “farthest point property” with respect to weakly compact subsets.

**PROPOSITION 2.** *Assume  $X$  is a WUR Banach space. Let  $S$  be a weakly compact subset of  $X$ . Then the set  $a(S)$  defined as above is fat in  $X$ .*

**PROOF.** Follow the proof of Theorem of E. Asplund [2, p. 216], with the following additional considerations: Take again  $y \in E$  and  $p \in \partial r(y)$  (the subdifferential of  $r$  at  $y$ ). Suppose  $y=0, r(0)=1$ . We again have  $\|p\|=1$ . Now take  $x_n \in X, n=1,2,\dots$ , satisfying  $\|x_n\|=1$ , such that

$p(x_n) < -1 + 1/n$ . Consider the function  $r$  on the closed line segment  $\langle 0, -x_n \rangle$ . Then for the increase  $\delta_n$  of  $r$  from 0 to  $-x_n$  we have from the Lipschitzian property ( $C=1$ ), that  $\delta_n \leq 1$ , and since  $p \in \partial r(0)$ , we also have  $\delta_n \geq 1 - 1/n$ . Hence the ball  $K_{\delta_n+1}(-x_n)$  is the smallest closed ball with the center  $-x_n$  that contains  $S$ , so we may find points  $z_n \in S, n=1, 2, \dots$ , such that

$$\|z_n + x_n\| - (\delta_n + 1) \rightarrow 0.$$

Of course, since  $S \subset K_1(0) \subset X$  ( $r(0)=1$ ), we have  $\|z_n\| \leq 1$ . Thus,

$$\|z_n\| \leq 1 = \|x_n\|, \quad \|x_n + z_n\| \rightarrow 2.$$

Therefore, by the WUR property,  $z_n - x_n \rightarrow 0$  in the weak topology of  $X$ . From the weak compactness of  $S$ , assume without loss of generality that  $z_n \rightarrow x \in S$  in  $w(X, X^*)$ . Then  $x_n \rightarrow x$  in  $w(X, X^*)$  and therefore  $p(x_n) \rightarrow p(x)$ . Thus  $1 \geq \|x\| \geq |p(x)| = 1$ . Hence  $x$  is a farthest point to 0 in  $S$ .

In the following,  $\text{ext } \overline{\text{conv}} S$  will mean the set of all extreme points of the closed convex hull of the set  $S$ . Moreover,  $\text{wcl } S$  denotes the weak closure of the set  $S$ .

**COROLLARY.** *Assume a Banach space  $X$  is WUR and its norm is Fréchet differentiable at all nonzero points. Let  $S$  be a weakly compact subset of  $X$ . Denote by  $M_S$  the set of all  $s \in S$  for which an element  $c$  of  $X$  exists such that  $\|s - c\| = \sup_{x \in S} \|x - c\|$  (i.e. the set of all farthest points in  $S$ ).*

*Then the closed convex hull of  $M_S$  is equal to that of  $S$  and thus  $\text{ext } \overline{\text{conv}} S \subset \text{wcl } M_S$ .*

**PROOF.** We will use Šmuljan's theorem on duality of Fréchet differentiability and strong exposedness (see [20], [21], or [1, p. 35]), together with the Bishop-Phelps theorem on subreflexivity of Banach spaces. From these results it follows that those points which are strongly exposed of  $K_1(0) \subset X^*$ , by elements of  $X$  are norm dense on the boundary of  $K_1(0) \subset X^*$ . Then by the result of S. Mazur and R. R. Phelps (cf. [18, p. 976]), every closed convex bounded subset of  $X$  can be represented as the intersection of all closed balls that contain it. This fact may be used to prove our statement (cf. [9, p. 175]) as follows: Denote the closed convex hull of  $S$  by  $T$ . Clearly,  $M_S \subset T$ . Suppose there is an  $x \in T, x \notin M_S$ . Then there is, by the Mazur-Phelps theorem a  $c_0 \in X$  and  $r > 0$  such that

$$x \notin K_r(c_0), \quad K_r(c_0) \supset M_S.$$

Take an  $\varepsilon > 0$  such that  $r + 3\varepsilon \leq \|x - c_0\|$ . Then there is a  $c \in a(S)$  such that  $\|c - c_0\| \leq \varepsilon$ . Let  $s \in S$  be the farthest point to  $c$  in  $S$ . We have for any  $y \in S$

$$\begin{aligned} \|y - c_0\| &\leq \|y - c\| + \|c - c_0\| \leq \|s - c\| + \|c - c_0\| \leq \|s - c_0\| + 2\|c - c_0\| \\ &\leq r + 2\varepsilon. \end{aligned}$$

Thus  $S \subset K_{r+2\varepsilon}(c_0)$  and therefore  $T \subset K_{r+2\varepsilon}(c_0)$ , a contradiction. The rest of our statement follows from the famous Krejn-Milman theorems (cf. [15, p. 325, 332]).

REMARK. The WUR spaces are not uncommon. For instance, any Banach space with separable dual can be easily equivalently renormed to be WUR (cf. [24, p. 200]).  $l_2(B_n)$  is WUR if all  $B_n, n = 1, 2, \dots$ , are (cf. [25, p. 22]).

The following result says that also reflexive Banach spaces with Fréchet differentiable norm at all nonzero points have “farthest point property” for weakly closed bounded subsets.

PROPOSITION 3. *Assume  $X$  is a reflexive Banach space with Fréchet differentiable norm at all nonzero points.*

*Then for any weakly closed bounded subset  $S$  of  $X$ , the set  $a(S)$  defined as above (before Proposition 1), is fat in  $X$  and if we use the notations from the preceding Corollary, the closed convex hull of the set  $M_S$  is equal to that of  $S$  and thus  $\overline{\text{extconv}} S \subset \text{wcl} M_S$ .*

PROOF. Follow again the ideas of the proof of the Theorem in [2, p. 216]. Take  $y \in E$  and  $p \in \partial r(y)$ . Suppose  $y = 0$  and  $r(0) = 1$ . Let  $p$  attains its minimum over  $K_1(0) \subset X$  at  $x \in K_1(0)$ . Then as it is shown in the above mentioned proof, for any  $l > 0$ , the smallest closed ball with the center  $-lx$  which contain  $S$  is  $K_{l+1}(-lx)$ . Take the  $f \in K_1(0) \subset X^*$  such that  $f(x) = 1$ . Then  $\sup_{u \in S} f(u) = 1$ , since  $S \subset K_1(0) \subset X$  and since

$$\sup_{u \in S} f(u) \leq 1 - \varepsilon, \quad \varepsilon > 0$$

would imply  $\sup_{u \in S_1} f(u) \leq 1 - \varepsilon$  where  $S_1$  is the closed convex hull of  $S$ . Then from the proof of Mazur-Phelps result we find that there would be a closed ball  $K$  with the center  $-l_0x$  for some  $l_0 > 0$  such that  $S \subset K$  and

$$\sup_{u \in K} f(u) \leq 1 - \frac{1}{2}\varepsilon$$

(for details see [27, Proposition 1]). The radius of  $K$  is evidently smaller than  $l_0 + 1$ , a contradiction. Thus there are  $z_n \in S, n = 1, 2, \dots$ , such that  $f(z_n) \rightarrow 1$ . From the weak compactness of  $S$  suppose without loss of generality that  $z_n \rightarrow z \in S$  in  $w(X, X^*)$ . Then  $f(z) = 1$  and thus  $\|z\| = 1$  and  $z$  is a farthest point to 0 in  $S$ . Therefore we have proved that  $a(S)$  is fat in  $X$ . The proof now proceeds as in Corollary to Proposition 2.

The assumption of weak closedness of subsets in Proposition 3 is dropped in the following.

**COROLLARY.** *Suppose a reflexive Banach space  $X$  has Fréchet differentiable norm at all nonzero points and satisfies the following condition:*

(H) *If  $\|x_n\| = \|x\| = 1$  and  $x_n \rightarrow x$  in  $w(X, X^*)$ , then  $\|x_n - x\| \rightarrow 0$ .*

*Then for any norm closed bounded subset  $S \subset X$ , the set  $a(S)$  is fat in  $X$  and the closed convex hull of the set  $M_S$  (with the notations as above) is equal to the closed convex hull of the set  $S$ . Thus  $\text{ext } \overline{\text{conv}} S \subset \text{wcl } M_S$ .*

**PROOF.** Follow the proof of Proposition 3. From the reflexivity of  $X$ , assume (without loss of generality)  $z_n \rightarrow z \in X$  in  $w(X, X^*)$ . Since  $\|z_n\| \leq 1$  and  $f(z_n) \rightarrow 1$ , we have  $\|z\| = 1$ . Thus, by the property (H),  $\|z_n - z\| \rightarrow 0$ . Therefore  $z \in S$  and is a farthest to 0 in  $S$ .

**REMARK.** Clearly, LUR implies (H). D. Wulbert proved in [23] that  $l_2(B_n)$  satisfy (H) if all  $B_n, n = 1, 2, \dots$ , does.

#### 4. The case II of linear operators.

In this Section we state a  $w^*$ -analog of the result of J. Lindenstrauss mentioned in the Introduction. First, it is easy to see that Lemma 1 of J. Lindenstrauss [16, p. 140] has the following variant for dual spaces:

**LEMMA 1.** *Suppose  $T$  is a linear bounded operator of  $X^*$  into  $Y^*$ , which is also  $w^*$ - $w^*$  continuous. Then there is an  $x \in X^*, \|x\| = 1$  such that  $\|Tx\| = \|T\|$ , iff the following assertion is valid:*

*There exist  $x_k \in X^*, f_k \in Y, k = 1, 2, \dots$ , such that  $\|x_k\| = \|f_k\| = 1$ , and*

$$|f_j(Tx_k)| \geq \|T\| - 1/j \quad \text{for } \mathbb{N} \ni j \leq k, k = 1, 2, \dots$$

**PROOF.** If the condition holds, take  $x$  a  $w(X^*, X)$ -limit point of the sequence  $\{x_k\}, k = 1, 2, \dots$ . Then  $x \in K_1(0) \subset X^*$  and there exists a subnet  $x_{k_\nu}, \nu \in A$  of the sequence  $\{x_k\}, k = 1, 2, \dots$ , such that  $x_{k_\nu} \rightarrow x$ , in  $w(X^*, X)$ . Now, since  $T$  is  $w(X^*, X)$ - $w(X^*, X)$  continuous on  $X^*$ , we have for any  $j \in \mathbb{N}$

$$|f_j(Tx)| = \lim_{\nu \in A} |f_j(Tx_{k_\nu})|.$$

Thus, since for any  $j \in \mathbb{N}$ ,

$$|f_j(Tx_{k_\nu})| \geq \|T\| - 1/j \quad \text{for } \nu \geq \gamma_0^j, \nu \in A,$$

we have

$$\|T\| \geq |f_j(Tx)| \geq \|T\| - 1/j$$

for any  $j \in \mathbb{N}$ . Therefore

$$\|Tx\| = \sup_{f \in K_1(0) \subset Y} |f(Tx)| = \|T\|.$$

If there is an  $x \in X^*$ ,  $\|x\| = 1$  such that  $\|Tx\| = \|T\|$ , we may simply put  $x_k = x, k = 1, 2, \dots$  and take  $f_j \in Y$  satisfying  $\|f_j\| = 1$  such that

$$|f_j(Tx)| \geq \|Tx\| - 1/j, \quad j \in \mathbb{N}.$$

Next we obtain:

**PROPOSITION 4.** *Let  $X, Y$  be arbitrary Banach spaces. Denote by  $B^*(X^*, Y^*)$  the set of all linear bounded operators of  $X^*$  into  $Y^*$  which are  $w^*-w^*$  continuous.*

*Then there exist a norm dense set  $D \subset B^*(X^*, Y^*)$  formed by operators which attain their norms on  $K_1(0) \subset X^*$ .*

**PROOF.** Following the proof of J. Lindenstrauss for generally nondual spaces, we make only a few changes (see [16, p. 141]).

Let  $T \in B^*(X^*, Y^*)$  satisfying  $\|T\| = 1$  and  $\varepsilon \in (0, \frac{1}{3})$  be given. Choose a decreasing sequence  $\{\varepsilon_k\}, k = 1, 2, \dots$  of positive numbers such that

$$2 \sum_{i=1}^{\infty} \varepsilon_i < \varepsilon, \quad 2 \sum_{i=k+1}^{\infty} \varepsilon_i < \varepsilon_k^2, \quad \varepsilon_k < 1/10k, \quad k = 1, 2, \dots$$

Next choose inductively a sequence  $\{T_k\}$  of linear bounded operators of  $X^*$  into  $Y^*$  and sequences  $\{x_k\} \subset K_1(0) \subset X^*$  and  $\{f_k\} \subset K_1(0) \subset Y$  such that

$$\begin{aligned} T_1 &= T \\ \|T_k x_k\| &\geq \|T_k\| - \varepsilon_k^2, \quad \|x_k\| = 1, \quad k = 1, 2, \dots, \\ f_k(T_k x_k) &\geq \|T_k x_k\| - \varepsilon_k^2, \quad \|f_k\| = 1, \quad k = 1, 2, \dots, \\ T_{k+1} x &= T_k x + \varepsilon_k f_k(T_k x) T_k x_k, \quad x \in X^*, \quad k = 1, 2, \dots \end{aligned}$$

We may verify that similarly as in the above mentioned work of J. Lindenstrauss,

$$\begin{aligned} \|T_j - T_k\| &\leq 2 \sum_{i=j}^{k-1} \varepsilon_i, \quad \frac{2}{3} \leq \|T_k\| \leq \frac{4}{3}, \quad j < k, \quad k = 1, 2, \dots, \\ \|T_{k+1}\| &\geq \|T_k\| + \varepsilon_k \|T_k\|^2 - 4\varepsilon_k^2, \quad k = 1, 2, \dots, \\ \|T_k\| &\geq \|T_j\| \geq 1, \quad j < k, \quad k = 1, 2, \dots, \\ |f_j(T_j x_k)| &\geq \|T_j\| - 6\varepsilon_j, \quad j < k, \quad k = 1, 2, \dots \end{aligned}$$

Then  $T_j$  converges in the norm of  $B(X^*, Y^*)$  (= the Banach space of all bounded linear operators of  $X^*$  into  $Y^*$ ) to a linear bounded operator  $\hat{T}$  of  $X^*$  into  $Y^*$  such that  $\|\hat{T} - T_j\| \leq \varepsilon_j^2$  and  $\|\hat{T} - T\| \leq \varepsilon$ . Furthermore,



it is easy to see that the partializations  $T_j/K_1(0)$  are continuous with respect to the relativized  $w^*$  topology on  $K_1(0) \subset X^*$  and the  $w^*$  topology of  $Y^*$ . Thus the same property is shared also by  $\hat{T}/K_1(0)$  — their uniform limit with respect to the norm (and thus a fortiori with respect to the  $w^*$ ) topology of  $Y^*$ . Therefore  $\hat{T} \in B^*(X^*, Y^*)$ , by the Banach-Dieudonné theorem (see [5, p. 265]). Now, as in Lindenstrauss' work,

$$|f_j(\hat{T}x_k)| \geq \|\hat{T}\| - 1/j, \quad j < k, \quad k = 1, 2, \dots$$

(cf. 16, p. 142]). Using now Lemma 1, we see  $\hat{T}$  attains its norm on  $K_1(0) \subset X^*$ .

## 5. Applications.

In this Section we give some applications of the notions studied in this note to the structure of Banach spaces.

**PROPOSITION 5.** *Assume  $X$  is a Banach space. Then*

- (i) *If  $X$  is an SDS space, then the norm of  $X^{**}$  is Fréchet differentiable on a  $w(X^{**}, X^*)$  dense set in  $X^{**}$ .*
- (ii) *If  $X^*$  is an SDS space, then  $K_1(0) \subset X^{**}$  is the  $w(X^{**}, X^*)$  closed convex hull of those of its points lying in  $X$  that are strongly exposed by elements of  $X^*$ .*

**PROOF.** V. L. Šmuljan proved in [20], [21] (see also [7, p. 296]) that the norm of a Banach space  $X$  ( $X^*$ ) is Fréchet differentiable at  $x$ ,  $\|x\| = 1$  iff whenever  $f_n \in X^*$  ( $X$ ) satisfy  $\|f_n\| = 1$  and  $f_n(x) \rightarrow 1$ , then  $\{f_n\}$  is a norm convergent sequence in  $X^*$  ( $X$ ). From this and the  $w(X^{**}, X^*)$  density of the canonical image of  $X$  in  $X^{**}$ , (i) easily follows.

If  $X^*$  is an SDS space, then  $K_1(0) \subset X$  is the closed convex hull of its strongly exposed points—the result following from the ones in Asplund's paper [1] (see [26, p. 452]). From this and the first part of this proof (ii) easily follows.

**COROLLARY 1.** *If for a Banach space  $X$ ,  $X^{**}$  is separable, then the norm of  $X^{**}$  is Fréchet differentiable on a  $w(X^{**}, X^*)$  dense set in  $X^{**}$  and  $K_1(0) \subset X^{**}$  is the  $w(X^{**}, X^*)$  closed convex hull of those of its points from  $X$  that are strongly exposed by elements of  $X^*$ .*

**PROOF.** Use the remarks in Section 2.

**COROLLARY 2.**  *$l_1(\mathbb{N})$  is not isometrically isomorphic to any bidual of Banach space.*

PROOF. The norm of  $l_1(\mathbb{N})$  is nowhere Fréchet differentiable, as it is remarked in [16, p. 145]. This is easily seen, since otherwise there would be a point  $x \in c_0^*(\mathbb{N})$ ,  $\|x\|=1$  at which the norm of  $c_0^*(\mathbb{N})$  is Fréchet differentiable with the differential  $y$ , lying in  $c_0(\mathbb{N})$  by Asplund's result ([1, p. 37]). Then  $y$  would be a strongly exposed point of  $K_1(0) \subset c_0(\mathbb{N})$ , a contradiction.

PROPOSITION 6. *Assume  $X$  is a Banach space such that  $X^*$  is an SDS space. Then*

(i) *Every  $w^*$  compact set in  $X^*$  is the intersection of finite unions of closed balls in  $X^*$ .*

(ii) *Suppose  $\{A_n\}$ ,  $n=1, 2, \dots$  is an arbitrary countable family of closed convex bounded sets in  $X$ . Define the set  $M \subset X^*$  as follows:*

$$M = \{f \in X^* \text{ such that } \forall n \in \mathbb{N}, f \text{ attains its maximum on } A_n\}.$$

*Then  $M$  is fat in  $X^*$ .*

PROOF. (i) follows exactly as Theorem 3 of [6, p. 411], using the  $w^*$  compactness of  $K_1(0) \subset X^*$ .

(ii). For any  $n \in \mathbb{N}$ , let  $F_n$  be a function on  $X$  defined as follows:  $F_n(x) = 0$  on  $A_n$ ,  $F_n(x) = +\infty$  for  $x \notin A_n$ . Then the Fenchel dual function  $F_n^*$  on  $X^*$  is continuous, finite, convex and thus Fréchet differentiable on a dense  $G_\delta$  subset  $G_n \subset X^*$  with the differentials lying in  $X$  ([1] p. 37). Furthermore, if  $F_n^*$  is Fréchet differentiable at  $f$  with the differential  $x (\in X)$  then it follows from the results of [1, Proposition 5 on p. 46] that  $x \in A_n$  and  $f(x) = \sup_{u \in A_n} f(u)$ .

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