

NON SELF-DETERMINING FACES - AN EXAMPLE

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Alfsen comments [1,p.111] that it is rather hard to find a closed face F of a compact convex set K in a locally convex space which is not self determining, that is, for which the set

$$\{x \in K : a(x) = 0 \text{ for every } a \in A(K) \text{ which vanishes on } F\}$$

properly contains F . An example of such a face, due to Asimow, is given in Ellis' lecture notes on affine functions and faces of convex sets [2, p.46]. This note gives a very simple example of a K and an F such that any bounded (not assumed continuous) affine function on K that vanishes on F must vanish identically, so that F is rather drastically non self-determining.

The following lemma is proved by a simple computation. ($\text{aff } S, \text{co } S$ denote the affine and convex hull of a set S respectively.)

LEMMA. *Let F, G be convex sets in a linear space E such that $\text{aff } F$ misses G . Then F is a face of $\text{co } (F \cup G)$.*

Now let E be the real space $L_2[0,1]$ with the usual pointwise ordering. ($L_p, 1 < p < \infty$, will do equally well). Let

$$\begin{aligned} F &= \{x \in E : 0 \leq x \leq 1\}, \\ G &= \{x \in E : x \geq 0 \text{ and } \|x\| \leq 1\}. \end{aligned}$$

Let a be any non-negative element of E which is essentially unbounded on $[0,1]$. Define

$$K = \text{co } (F \cup (a + G)),$$

in the weak topology of E . Clearly F and G are convex, closed and bounded and hence weakly compact since E is norm-reflexive. Hence K is compact.

Further, since all the members of $\text{aff } F$ are bounded functions, while those in $a + G$ are (essentially) unbounded, the Lemma implies that F is a face of K — clearly a closed face.

THEOREM. *Let f be a bounded affine function on K such that $f=0$ on F . Then $f=0$.*

PROOF. It is clear that $\text{aff } G = E$, hence $\text{aff } K = E$. Thus the affine function f on K extends uniquely to an affine function, also called f , on the whole of E . Since f vanishes at $0 \in F$, it must in fact be linear. Now f is bounded on $a+G$, hence on $G-G$: the latter is a norm-neighbourhood of 0 and therefore $f \in E^*$. But the linear span of F (which is L_∞), is norm-dense in E , so F is total for E^* and hence $f=0$.

REFERENCES

1. E. M. Alfsen, *Compact convex sets and boundary integrals*, (Ergebnisse der Mathematik 57) Springer-Verlag, Berlin, Heidelberg, New York, 1971.
2. A. J. Ellis, *Lecture notes on affine functions and faces of convex sets*, Calif. Inst. of Tech. (mimeographed).

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