

INVARIANT DIFFERENTIAL OPERATORS AND POLYNOMIALS OF LIE TRANSFORMATION GROUPS

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Introduction.

Among all differential operators on \mathbb{R}^n , those that have constant coefficients play an important role for analysis. They are characterized by their invariance under the transitive group of translations.

More generally, if G is a group acting on a differentiable manifold M , then it is of great interest for analysis to determine the algebra $\mathcal{D}(M)$ of differential operators on M which are invariant under the action of G (see [8]). In case G is a transitive Lie transformation group of M then M can be identified with the quotient manifold G/H where H is a closed subgroup of G . Nomizu studied the differential geometry of those spaces $M = G/H$ which are *reductive*, that is admit a G -invariant affine connection (cf. [10], [11]). For these spaces the problem of determining $\mathcal{D}(G/H)$ was investigated by S. Helgason [5].

If G is a semisimple Lie group and $G = KAN$ an Iwasawa decomposition let M denote the centralizer of A in K . The space of horocycles in the symmetric space G/K can be identified with G/MN and can, in analogy with the space of hyperplanes in \mathbb{R}^n , be viewed as a dual space to G/K . Although the space G/MN is not reductive the algebra $\mathcal{D}(G/MN)$ can be explicitly determined, (see [7]).

In this paper we study $\mathcal{D}(G/H)$ when G is a nilpotent Lie group and G/H not necessarily reductive. Let \mathfrak{g} and \mathfrak{h} be the Lie algebras of G and H respectively, and let \mathfrak{m} be a linear subspace of \mathfrak{g} such that $\mathfrak{g} = \mathfrak{h} + \mathfrak{m}$ (direct sum). Using the technique of [5] and [6] transferring the problem to one about polynomials on \mathfrak{m} , we give a general condition for an element of $\mathcal{D}(G) \cong \mathcal{U}(\mathfrak{g})$ to define an element of $\mathcal{D}(G/H)$. In [2] and [3] central elements of $\mathcal{U}(\mathfrak{g})$ are studied, and it turns out to be simpler to work in the quotient field of $\mathcal{U}(\mathfrak{g})$. In this paper we proceed in the same way considering a subalgebra $\mathcal{R}(\mathfrak{g})$ of $\mathcal{U}(\mathfrak{g})$ instead of $\mathcal{D}(G/H)$, where $\mathcal{D}(G/H)$ is the canonical image of $\mathcal{R}(\mathfrak{g})$. In section 5 we determine the quotient field of the invariant polynomials on \mathfrak{m} .

I am grateful to Professor S. Helgason for introducing me to this subject.

The reader is referred to [1], [6] and [9] for background material on Lie groups and Lie algebras.

1. Preliminaries.

Let M be a differentiable manifold of dimension m . $C^\infty(M)$ denotes the space of complex C^∞ functions on M . If (φ, U) is a local chart on M and $f \in C^\infty(M)$ we shall sometimes write f^* for the composite function $f \circ \varphi^{-1}$ defined on $\varphi(U)$. Let x_1, x_2, \dots, x_m be the coordinate functions of φ and let $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m)$ be a m -tuple of non-negative integers. We put $\partial_i = \partial/\partial x_i$ ($1 \leq i \leq m$) and $D^\alpha = \partial_1^{\alpha_1} \dots \partial_m^{\alpha_m}$.

$C_c^\infty(M)$ is the subspace of $C^\infty(M)$ consisting of the functions with compact support.

A linear map $D: C_c^\infty(M) \rightarrow C_c^\infty(M)$ is called a *differential operator* on M if the following condition is satisfied: For each $p \in M$ and each local chart (φ, U) around p there exists a finite set of functions $a_\alpha \in C^\infty(U)$ such that for each $f \in C_c^\infty(M)$ with support contained in U ,

$$(1) \quad \begin{aligned} (Df)(x) &= \sum_\alpha a_\alpha(x)[D^\alpha f^*](\varphi(x)) & \text{if } x \in U, \\ (Df)(x) &= 0 & \text{if } x \notin U. \end{aligned}$$

If D is a differential operator on M then it can be extended in the obvious way to a linear map

$$D: C^\infty(M) \rightarrow C^\infty(M).$$

A Lie group G is said to be a *Lie transformation group* of M if to each $g \in G$ is associated a diffeomorphism $\tau(g)$ of M such that

- (i) $\tau(g_1 g_2) = \tau(g_1) \tau(g_2)$ for all $g_1, g_2 \in G$ and
- (ii) the mapping $(g, p) \rightarrow \tau(g)p$ is a differentiable mapping of $G \times M$ onto M .

If the action is transitive, M is called a *homogeneous space*. In this case it follows that M is diffeomorphic to the quotient manifold G/H of left cosets gH , where H is the isotropy group of some element in M . The action on G/H is given by left multiplication,

$$\tau(g)(xH) = gxH \quad \text{for all } g, x \in G.$$

Now, suppose that G is a transitive Lie transformation group of M .

A differential operator D on M is G -invariant if

$$(2) \quad D[\tau(g)f] = \tau(g)(Df) \quad \text{for all } f \in C^\infty(M)$$

and all $g \in G$, where $[\tau(g)f](p) = f(\tau(g)p)$ for all $p \in M$.

We let $\mathcal{D}(M)$ denote the algebra of G -invariant differential operators on M .

For every $D \in \mathcal{D}(M)$, fix $p \in M$ and choose a local chart (φ, U) around p . D has a local expression near p given by (1). Define a polynomial in m variables X_1, X_2, \dots, X_m by

$$P(X_1, \dots, X_m) = \sum_{\alpha} a_{\alpha}(p) X_1^{\alpha_1} \dots X_m^{\alpha_m}.$$

Then

$$(3) \quad (Df)(p) = [P(\partial_1, \dots, \partial_m)f^*](\varphi(p))$$

for every $f \in C^\infty(M)$.

Using (2) we find

$$(4) \quad (Df)(\tau(g)p) = [P(\partial_1, \dots, \partial_m)(\tau(g)f)^*](\varphi(p))$$

for every $f \in C^\infty(M)$ and every $g \in G$. Since the action of G on M is transitive it follows that D is uniquely determined by the polynomial P .

Suppose that V is a linear space of finite dimension over a field K of characteristic 0, let $T(V)$ denote the tensor algebra over V and let J be the ideal in $T(V)$ generated by the set of elements of the form $X \otimes Y - Y \otimes X$, $X, Y \in V$. The factor algebra $\mathcal{S}(V) = T(V)/J$ is called the *symmetric algebra* over V . If X_1, X_2, \dots, X_n is a basis of V , $\mathcal{S}(V)$ can be identified with the abelian algebra of polynomials in the base elements over K .

Given a Lie group G with Lie algebra \mathfrak{g} , let $\mathcal{S}(\mathfrak{g})$ be the symmetric algebra of \mathfrak{g} . G acts on itself by left multiplication. As noted above, every $D \in \mathcal{D}(G)$ determines a unique polynomial $P \in \mathcal{S}(\mathfrak{g})$ such that

$$(5) \quad (Df)(g) = [P(\partial_1, \dots, \partial_n)f(g \exp(x_1 X_1 + \dots + x_n X_n))](0)$$

for all $g \in G$ and all $f \in C^\infty(G)$. (X_1, \dots, X_n is a basis of \mathfrak{g} .)

For $P \in \mathcal{S}(\mathfrak{g})$ arbitrary, (5) defines a left invariant differential operator $\lambda(P)$ on G . The map

$$\lambda: \mathcal{S}(\mathfrak{g}) \rightarrow \mathcal{D}(G)$$

is a *linear* isomorphism. If $Y_1, \dots, Y_p \in \mathfrak{g}$ then

$$(6) \quad \lambda(Y_1 Y_2 \dots Y_p) = (p!)^{-1} \sum_{\sigma} Y_{\sigma(1)} Y_{\sigma(2)} \dots Y_{\sigma(p)}$$

where the right hand side is calculated in $\mathcal{D}(G)$. The sum is taken over all permutations σ of $\{1, \dots, p\}$.

2. A criterium for a differential operator to be invariant.

Let G be a Lie group with Lie algebra \mathfrak{g} and let H be a closed subgroup of G . If \mathfrak{h} denotes the Lie algebra of H let \mathfrak{m} be any linear subspace of \mathfrak{g} such that

$$\mathfrak{g} = \mathfrak{h} + \mathfrak{m} \quad (\text{direct sum}) .$$

Choose a basis $X_1, \dots, X_m, \dots, X_n$ of \mathfrak{g} such that X_1, \dots, X_m is a basis of \mathfrak{m} and X_{m+1}, \dots, X_n is a basis of \mathfrak{h} . If $\pi: G \rightarrow G/H$ is the canonical projection map, then

$$(7) \quad \pi(g \exp(x_1 X_1 + \dots + x_m X_m)) \rightarrow (x_1, \dots, x_m)$$

defines a local chart of gH .

For $D \in \mathcal{D}(G/H)$ let $P \in \mathcal{S}(\mathfrak{m})$ be the unique polynomial determined by (3) with respect to this chart when $g = e = \text{identity element of } G$. Then by (4)

$$(8) \quad (Df)(gH) = [P(\partial_1, \dots, \partial_m) f(g \exp(x_1 X_1 + \dots + x_m X_m)H)](0)$$

for all $g \in G$ and all $f \in C^\infty(G/H)$.

LEMMA 2.1. *Let $\mathcal{D}(G)\mathfrak{h}$ denote the set of all real linear combinations of elements of the form DT where $D \in \mathcal{D}(G)$ and $T \in \mathfrak{h}$. Then*

$$\mathcal{D}(G) = \mathcal{D}(G)\mathfrak{h} + \lambda(\mathcal{S}(\mathfrak{m})) \quad (\text{direct sum}) .$$

For a proof see [5, p. 394].

Let $C_0^\infty(G)$ denote the set of C^∞ functions on G which are constant on each coset gH . Then the mapping $f \rightarrow \tilde{f}$ where $\tilde{f} = f \circ \pi$, is an isomorphism of the algebra $C^\infty(G/H)$ onto $C_0^\infty(G)$.

Now, suppose that $D \in \mathcal{D}(G/H)$ and let $P \in \mathcal{S}(\mathfrak{m})$ denote the corresponding polynomial defined by (8). Since $\mathcal{S}(\mathfrak{m}) \subset \mathcal{S}(\mathfrak{g})$, $E = \lambda(P)$ is a left invariant differential operation on G . From (5) it is clear that

$$(9) \quad E\tilde{f} = (Df)^\sim \quad \text{for all } f \in C^\infty(G/H) .$$

Because $\tau(h)H = H$ for all $h \in H$, D must satisfy

$$(10) \quad (Df)(ghH) = (Df)(gH)$$

for all $g \in G$ and all $f \in C^\infty(G/H)$. Using (5) and (9) this means that

$$\begin{aligned} (11) \quad & [P(\partial_1, \dots, \partial_m) \tilde{f}(g \exp(x_1 X_1 + \dots + x_m X_m))](0) \\ & = [P(\partial_1, \dots, \partial_m) \tilde{f}(gh \exp(x_1 X_1 + \dots + x_m X_m))](0) \\ & = [P(\partial_1, \dots, \partial_m) \tilde{f}(g \exp(x_1 \text{Ad}(h)X_1 + \dots + x_m \text{Ad}(h)X_m))](0) \\ & = [Q(\partial_1, \dots, \partial_n) \tilde{f}(g \exp(x_1 X_1 + \dots + x_n X_n))](0) \end{aligned}$$

for all $f \in C^\infty(G/H)$ and all $h \in H$. The polynomial $Q \in \mathcal{S}(\mathfrak{g})$ is given by

$$(12) \quad Q(X_1, \dots, X_n) = P(\text{Ad}(h)X_1, \dots, \text{Ad}(h)X_m).$$

(11) can be expressed in the following way:

$$(13) \quad \lambda(Q - P) \text{ restricted to } C_0^\infty(G) \text{ defines the zero operator.}$$

Because of Lemma 2.1 we can find $D \in \mathcal{D}(G)\mathfrak{h}$ and $R \in \mathcal{S}(\mathfrak{m})$ such that $\lambda(Q - P) = D + \lambda(R)$. Now $Df = 0$ for all $f \in C_0^\infty(G)$, and it follows that $\lambda(R)f = 0$ for all $f \in C_0^\infty(G)$.

Given $\alpha_1, \dots, \alpha_m \in \mathbb{R}$, we see by (7) that there exists a function $f \in C^\infty(G/H)$ such that

$$(14) \quad f(\pi(\exp(x_1X_1 + \dots + x_mX_m))) = e^{\alpha_1x_1 + \dots + \alpha_mx_m}$$

in a neighborhood of $\{H\}$ in G/H .

If $R \in \mathcal{S}(\mathfrak{m})$ and $R \neq 0$ we can find $\alpha_1, \dots, \alpha_m \in \mathbb{R}$ such that $R(\alpha_1, \dots, \alpha_m) \neq 0$. With such a choice in (14) it follows that

$$\lambda(R)\tilde{f} = R(\alpha_1, \dots, \alpha_m)\tilde{f}$$

in a neighbourhood of e , and in particular that $\lambda(R)\tilde{f} \neq 0$. This proves the following

LEMMA 2.2. *If $D \in \mathcal{D}(G/H)$ let $P \in \mathcal{S}(\mathfrak{m})$ be the unique polynomial defined by (8). Putting*

$$[\text{Ad}(h) \cdot P](X_1, \dots, X_n) = P(\text{Ad}(h)X_1, \dots, \text{Ad}(h)X_m),$$

we have

$$(15) \quad \lambda(\text{Ad}(h) \cdot P) = D(h) + \lambda(P)$$

for all $h \in H$, where $D(h) \in \mathcal{D}(G)\mathfrak{h}$.

For each $X \in \mathfrak{g}$ let $d(X)$ be the uniquely determined derivation of $\mathcal{D}(G)$ (respectively $\mathcal{S}(\mathfrak{g})$), which extends the endomorphism $\text{ad}(X)$ of \mathfrak{g} . This defines a \mathfrak{g} -module structure on $\mathcal{D}(G)$ and $\mathcal{S}(\mathfrak{g})$ and the linear map λ becomes a \mathfrak{g} module isomorphism.

For each $g \in G$, the automorphism $\text{Ad}(g)$ of \mathfrak{g} extends uniquely to an automorphism of $\mathcal{D}(G)$. Let the extension also be denoted by $\text{Ad}(g)$. Then $\lambda(\text{Ad}(g) \cdot P) = \text{Ad}(g)\lambda(P)$. Since the order of $d(X)D$ is less than or equal to the order of D for all $X \in \mathfrak{g}$, all differential operators $d(X)^n D$ lie in a finite dimensional subspace of $\mathcal{D}(G)$. This implies the convergence of the following series

$$(16) \quad e^{d(X)}D = \sum_{n=0}^\infty \frac{1}{n!} d(X)^n D.$$

From the uniqueness mentioned above it follows that

$$(17) \quad \text{Ad}(\exp X)D = e^{d(X)}D$$

for all $X \in \mathfrak{g}$ and all $D \in \mathcal{D}(G)$. By differentiation

$$(18) \quad \frac{d}{dt} [e^{td(X)}D]_{t=0} = d(X)D.$$

THEOREM 2.1. *If H is a closed connected subgroup of G , then $P \in \mathcal{S}(\mathfrak{m})$ defines an element of $\mathcal{D}(G/H)$ by (8) if and only if $d(T)\lambda(P) \in \mathcal{D}(G)\mathfrak{h}$ for all $T \in \mathfrak{h}$.*

PROOF. First suppose that $D \in \mathcal{D}(G/H)$. If $P \in \mathcal{S}(\mathfrak{m})$ is the corresponding polynomial then Lemma 2.2 and (18) imply

$$d(T)\lambda(P) \in \mathcal{D}(G)\mathfrak{h} \quad \text{for all } T \in \mathfrak{h}.$$

$\mathcal{D}(G)\mathfrak{h}$ is closed with respect to the limit process in (18).

Next suppose that $P \in \mathcal{S}(\mathfrak{m})$ satisfies $d(T)\lambda(P) \in \mathcal{D}(G)\mathfrak{h}$ for all $T \in \mathfrak{h}$. The subalgebra $\mathcal{D}(G)\mathfrak{h}$ is invariant under all derivations $d(T)$, $T \in \mathfrak{h}$. This means that the series

$$(19) \quad e^{d(T)}\lambda(P) - \lambda(P) = \sum_{n=1}^{\infty} \frac{1}{n!} d(T)^n \lambda(P)$$

converges in $\mathcal{D}(G)\mathfrak{h}$ for all $T \in \mathfrak{h}$. (17), (19) and the fact that H is connected imply that

$$(20) \quad \lambda(\text{Ad}(h) \cdot P) = D(h) + \lambda(P)$$

for all $h \in H$, where $D(h) \in \mathcal{D}(G)\mathfrak{h}$. Put $E = \lambda(P)$ and define a linear transformation D of $C^\infty(G/H)$ by

$$(21) \quad (Df)^{\sim} = E\tilde{f}.$$

D is well defined because of (20). It is clear from the local expression of E that D is a differential operator. The left invariance of E finally shows that $D \in \mathcal{D}(G/H)$.

COROLLARY. *Suppose that $\mathfrak{g} = \mathfrak{h} + \mathfrak{m}$ (direct sum). Let σ be the projection of \mathfrak{g} onto \mathfrak{m} . Denote by $\delta(X)$, $X \in \mathfrak{g}$, the derivation of $\mathcal{S}(\mathfrak{m})$ extending the endomorphism $\sigma \circ \text{ad}(X)$ of \mathfrak{m} . If $D \in \mathcal{D}(G/H)$ and $P \in \mathcal{S}(\mathfrak{m})$ is the polynomial defined by (8) let P_D denote the component of highest degree. Then*

$$(22) \quad \delta(T)P_D = 0 \quad \text{for all } T \in \mathfrak{h}.$$

PROOF. Write $P = P_D + Q$ where Q is of lower degree than P_D . By Theorem 2.1

$$(23) \quad \lambda(d(T)P_D) + \lambda(d(T)Q) \in \mathcal{D}(G)\mathfrak{h}$$

for all $T \in \mathfrak{h}$. But this is only possible if each term in $d(T)P_D$ contains at least one $T \in \mathfrak{h}$. Applying σ to (23) we find

$$\delta(T)P_D = \sigma \cdot [d(T)P_D] = 0$$

for all $T \in \mathfrak{h}$.

THEOREM 2.2. Let $\mathfrak{g} = \mathfrak{h} + \mathfrak{m}$ (direct sum) as previously. Denote by $I(\mathfrak{m})$ the subspace of $\mathcal{S}(\mathfrak{m})$ consisting of those P which satisfy $\delta(T)P = 0$ for all $T \in \mathfrak{h}$. Let

$$\mathfrak{n} = \{X \in \mathfrak{m} \mid [X, T] \in \mathfrak{h} \text{ for all } T \in \mathfrak{h}\}.$$

If $I(\mathfrak{m}) = \mathcal{S}(\mathfrak{n})$ then the mapping $E \rightarrow D_E$ of $\mathcal{D}(N) \rightarrow \mathcal{D}(G/H)$ defined by

$$(24) \quad (D_E f)(gH) = E_n(n \rightarrow f(gnH))_{n=e}$$

for all $f \in C^\infty(G/H)$, where $n \in N$ and N is the normalizer of H in G , is onto and is an algebraic homomorphism.

PROOF. Let $D \in \mathcal{D}(G/H)$ and let $P \in \mathcal{S}(\mathfrak{m})$ be the corresponding polynomial. Then $P_D \in I(\mathfrak{m})$. By assumption P_D defines a left invariant differential operator E on N . It is easily shown that D_E given by (24) is well defined and that it is an element of $\mathcal{D}(G/H)$. The corresponding polynomial of D_E is of course P_D . Hence

$$D - D_E \in \mathcal{D}(G/H),$$

and this operator is determined by $P - P_D \in \mathcal{S}(\mathfrak{m})$ which is of lower degree than P . It follows by induction on the degree of P that every $D \in \mathcal{D}(G/H)$ comes from some $E \in \mathcal{D}(N)$.

The multiplicative property $D_{E_1 E_2} = D_{E_1} D_{E_2}$ where $E_1, E_2 \in \mathcal{D}(N)$ is obviously satisfied.

EXAMPLE 1. As was shown by S. Helgason in [7] the hypothesis of Theorem 2.2 are satisfied for the space of horocycles in a symmetric space. Let G be a connected semisimple Lie group and let

$$G = KAN$$

be an Iwasawa decomposition of G . Put $M =$ centralizer of A in K . Denote by $\mathfrak{g}, \mathfrak{k}, \mathfrak{a}, \mathfrak{n}$ and \mathfrak{m} the Lie algebras of G, K, A, N and M , respectively. The set of horocycles in G/K is G/MN . The normalizer of MN

(respectively N) in G is MAN . In both cases $\mathcal{D}(G/MN)$ (respectively $\mathcal{D}(G/N)$) is determined by $\mathcal{D}(A)$ (respectively $\mathcal{D}(MA)$).

If H is a normal subgroup then of course $n(\mathfrak{h}) = \mathfrak{g}$ and $I(\mathfrak{m}) = \mathcal{S}(\mathfrak{m})$ where $n(\mathfrak{h})$ is the normalizer of \mathfrak{h} in \mathfrak{g} .

EXAMPLE 2. Let \mathfrak{g} be the 3-dimensional real Lie algebra generated by x, y and z where

$$[x, y] = z, \quad [x, z] = [y, z] = 0.$$

\mathfrak{g} is nilpotent. Putting $\mathfrak{h} = R\mathfrak{x}$ then $n(\mathfrak{h}) = R\mathfrak{x} + R\mathfrak{z} \neq \mathfrak{g}$. The polynomial

$$P(y, z) = Q_n(z)y^n + \dots + Q_1(z)y + Q_0(z)$$

is invariant if and only if the polynomials $Q_1(z) = \dots = Q_n(z) = 0$, hence $I(\mathfrak{m}) =$ all polynomials in z .

EXAMPLE 3. As the following example shows Example (2) does not give the general situation for nilpotent Lie algebras. Let \mathfrak{g} be the 4-dimensional Lie algebra generated by x, y, z, w where

$$\begin{aligned} [x, y] &= z, & [x, w] &= y, \\ [x, z] &= [y, z] = [w, z] &= 0. \end{aligned}$$

Putting $\mathfrak{h} = R\mathfrak{x}$ then $n(\mathfrak{h}) = R\mathfrak{x} + R\mathfrak{z}$. Simple computation shows that a polynomial $P(z, y, w) \in I(\mathfrak{m})$ if and only if it is generated by z and $y^2 - 2zw$. The polynomial $y^2 - 2zw$ is G -invariant and the associated left invariant differential operator D defined by (5) belongs to the center of $\mathcal{D}(G)$ where G is a Lie group with Lie algebra \mathfrak{g} .

3. Some algebraic tools.

Let \mathfrak{g} be a Lie algebra over a field of characteristic 0. Let $\mathcal{U}(\mathfrak{g})$ denote the universal enveloping algebra of \mathfrak{g} . If G is a Lie group with Lie algebra \mathfrak{g} , we will identify $\mathcal{U}(\mathfrak{g})$ and $\mathcal{D}(G)$.

In [4] I. M. Gelfand and A. A. Kirillov proved

LEMMA 3.1. $\mathcal{U}(\mathfrak{g})$ is a Noetherian ring without null divisors.

From this it easily follows that

LEMMA 3.2. $\mathcal{U}(\mathfrak{g})$ is an Ore ring without null divisors. (Ore ring means a ring with the following property: For all $a, b \in \mathcal{U}(\mathfrak{g})$, $a \neq 0$, $b \neq 0$ there exist $x, y \in \mathcal{U}(\mathfrak{g})$, $x \neq 0$, $y \neq 0$ such that $xa = yb$.)

If \mathcal{R} is an Ore ring without null divisors, define the quotient field in the following way.

Consider all expressions of the forms $a^{-1}b$ and ba^{-1} where $a, b \in \mathcal{R}$ and $a \neq 0$. Identify $a^{-1}b$ and cd^{-1} if $ac = bd$. Since \mathcal{R} is an Ore ring it follows that every "right expression" cd^{-1} can be put into a left expression $a^{-1}b$. Also every couple of fractions $a^{-1}b, c^{-1}d$ can be reduced to one with common denominator. For expressions with common denominator define the operations addition, subtraction and division:

$$\begin{aligned} a^{-1}b_1 \pm a^{-1}b_2 &= a^{-1}(b_1 \pm b_2), \\ (a^{-1}b_1)^{-1}(a^{-1}b_2) &= b_1^{-1}b_2. \end{aligned}$$

Finally, multiplication by $a^{-1}b$ can be considered as division by the inverse element $b^{-1}a$.

Denote by $C(\mathfrak{g})$ the quotient field of $\mathcal{U}(\mathfrak{g})$. For any subset $\mathcal{A} \subset \mathcal{U}(\mathfrak{g})$ let $\mathcal{K}(\mathcal{A})$ be the subalgebra of $C(\mathfrak{g})$ generated by \mathcal{A} .

4. Comparison of $\mathcal{D}(G_0/H)$ and $\mathcal{D}(G/H)$ when $H \subset G_0 \subset G$.

Let H, G, \mathfrak{h} and \mathfrak{g} be as in section 2. If $D \in \mathcal{D}(G/H)$ put $E(D) = \lambda(P)$ where $P \in \mathcal{S}(\mathfrak{m})$ is the polynomial defined by (8) corresponding to D . Moreover let

$$J(\mathfrak{m}) = \{P \in \mathcal{S}(\mathfrak{m}) \mid P \text{ corresponds to some } D \in \mathcal{D}(G/H)\}.$$

Finally put

(25)
$$\mathcal{R}(\mathfrak{g}) = \mathcal{U}(\mathfrak{g})\mathfrak{h} + \lambda(J(\mathfrak{m})).$$

For $E \in \mathcal{R}(\mathfrak{g})$ define

$$[\varrho(E)f](gH) = E_x[x \rightarrow f(gxH)]_{x=\epsilon}$$

for all $f \in C^\infty(G/H), g \in G$.

LEMMA 4.1. $\mathcal{R}(\mathfrak{g})$ is a subalgebra of $\mathcal{U}(\mathfrak{g})$ and ϱ is an algebraic homomorphism of $\mathcal{R}(\mathfrak{g})$ onto $\mathcal{D}(G/H)$ with kernel $\mathcal{U}(\mathfrak{g})\mathfrak{h}$.

PROOF. If $E_1, E_2 \in \mathcal{R}(\mathfrak{g})$ then E_1E_2 leaves $C_0^\infty(G)$ invariant and this means that $E_1E_2 = D + \lambda(P)$ for some $D \in \mathcal{U}(\mathfrak{g})\mathfrak{h}$ and some $P \in J(\mathfrak{m})$ (Lemma 2.1). Therefore $\mathcal{R}(\mathfrak{g})$ is closed under multiplication and the algebra property follows. The last part of the statement is immediate by the construction.

Now suppose that G_0 is a closed, connected and normal subgroup of G with Lie algebra \mathfrak{g}_0 such that $\mathfrak{h} \subset \mathfrak{g}_0 \subset \mathfrak{g}$. Let \mathfrak{m}_0 be a complementary subspace of \mathfrak{h} in \mathfrak{g}_0 .

THEOREM 4.1. *If \mathfrak{g}_0 is an ideal of codimension 1 in \mathfrak{g} and x an element of \mathfrak{g} not in \mathfrak{g}_0 , then for $\mathfrak{m} = \mathfrak{m}_0 + Rx$ either*

- (i) $J(\mathfrak{m}) = J(\mathfrak{m}_0)$ or
(ii) *there exist elements $a_1 \in \lambda(J(\mathfrak{m}_0))$, $a_1 \neq 0$ and $a_2 \in \mathcal{U}(\mathfrak{g}_0)$ such that such that $a = xa_1 + a_2 \in \lambda(J(\mathfrak{m}))$.*

Moreover $\mathcal{K}(\mathcal{R}(\mathfrak{g})) \subset \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0))$ and a is transcendental over $C(\mathfrak{g}_0)$.

PROOF. Suppose that $J(\mathfrak{m}) \neq J(\mathfrak{m}_0)$. Choose the element

$$x^n b_n + x^{n-1} b_{n-1} + \dots + b_0 \in \lambda(J(\mathfrak{m}))$$

where $b_0, b_1, \dots, b_n \in \mathcal{U}(\mathfrak{g}_0)$, $n > 0$ and $b_n \neq 0$. We apply $d(T)$, $T \in \mathfrak{h}$ to this element noting that

$$(26) \quad \begin{aligned} d(T)(x^m) &= x^{m-1}[d(T)x] + x^{m-2}[d(T)x]x + \dots + [d(T)x]x^{m-1} \\ &= mx^{m-1}[d(T)x] + x^{m-2}c_{m,m-2} + \dots + xc_{m,1} + c_{m,0} \end{aligned}$$

where $c_{m,m-2}, c_{m,m-3}, \dots, c_{m,0} \in \mathcal{U}(\mathfrak{g}_0)$. Using Theorem 2.1 and (26) we find

$$(27) \quad \begin{aligned} &d(T)(x^n b_n + x^{n-1} b_{n-1} + \dots + b_0) \\ &= x^n[d(T)b_n] + nx^{n-1}[d(T)x]b_n + x^{n-2}c_{n,n-2}b_n + \dots + c_{n,0}b_n + \\ &\quad + x^{n-1}[d(T)b_{n-1}] + (n-1)x^{n-2}[d(T)x]b_{n-1} + \\ &\quad + x^{n-3}c_{n-1,n-3}b_{n-1} + \dots + \\ &\quad + c_{n-1,0}b_{n-1} + \dots + d(T)b_0 \in \mathcal{U}(\mathfrak{g})\mathfrak{h} \end{aligned}$$

for all $T \in \mathfrak{h}$. Collecting different powers of x we find

$$(28) \quad d(T)b_n \in \mathcal{U}(\mathfrak{g})\mathfrak{h} \quad \text{and} \quad n[d(T)x]b_n + d(T)b_{n-1} \in \mathcal{U}(\mathfrak{g})\mathfrak{h}$$

for all $T \in \mathfrak{h}$.

Since $\mathcal{U}(\mathfrak{g})\mathfrak{h}$ is an ideal in $\mathcal{R}(\mathfrak{g})$ we conclude by (28) that

$$(29) \quad d(T)(nx b_n + b_{n-1}) \in \mathcal{U}(\mathfrak{g})\mathfrak{h} \quad \text{for all } T \in \mathfrak{h}.$$

Now put $a_1 = nb_n$ and $a_2 = b_{n-1}$. This proves the first part of (ii).

Suppose that

$$d = x^p d_p + x^{p-1} d_{p-1} + \dots + d_0 \in \lambda(J(\mathfrak{m}))$$

where $d_p, d_{p-1}, \dots, d_0 \in \mathcal{U}(\mathfrak{g}_0)$ and $d_p \neq 0$. We want to prove that d is contained in the subalgebra $\mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0))$ of $C(\mathfrak{g})$. This is obviously satisfied if $p=0$. Suppose that it is proved for all integers $< p$. Using the first part of the proof we know that $d_p \in \lambda(J(\mathfrak{m}_0))$. Therefore

$$da_1^p - d_p a^p \in \mathcal{R}(\mathfrak{g}) .$$

But

$$\begin{aligned} da_1^p - d_p a^p &= (x^p d_p + \dots + \bar{d}_0) a_1^p - d_p (x a_1 + a_2)^p \\ &= \sum_{k < p} x^k d_k' \end{aligned}$$

where $d_k' \in \mathcal{U}(\mathfrak{g}_0)$ for all $1 \leq k \leq p-1$. By the induction hypothesis

$$da_1^p - d_p a^p \in \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0)) .$$

We know that $d_p \in \mathcal{R}(\mathfrak{g}_0)$ and this proves that $d \in \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0))$. It remains to consider the $\mathcal{U}(\mathfrak{g})$ part of $\mathcal{R}(\mathfrak{g})$. That is, we must prove

$$\mathcal{U}(\mathfrak{g})\mathfrak{h} \subset \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0)) .$$

First note that $a = x a_1 + a_2 = a_1 x + a_3$ for some $a_3 \in \mathcal{U}(\mathfrak{g}_0)$. Consider the subset $x\mathcal{U}(\mathfrak{g}_0)\mathfrak{h}$ of $C(\mathfrak{g})$.

$$x\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} = (a_1^{-1}a - a_1^{-1}a_3)\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} \subset \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0))$$

because $a_3\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} \subset \mathcal{R}(\mathfrak{g}_0)$.

Suppose that $x^k\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} \subset \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0))$ for all $1 \leq k < n$. For $k=n$

$$\begin{aligned} x^n\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} &= (a_1^{-1}a - a_1^{-1}a_3)x^{n-1}\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} \\ &= a_1^{-1}ax^{n-1}\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} - a_1^{-1}a_3x^{n-1}\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} . \end{aligned}$$

By the induction hypothesis

$$a_1^{-1}ax^{n-1}\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} \subset \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0)) .$$

Consider the last term. Since

$$a_3x^{n-1} = x^{n-1}a_3 + x^{n-2}a'_{n-2} + \dots + a'_0$$

where $a'_{n-2}, a'_{n-3}, \dots, a'_0 \in \mathcal{U}(\mathfrak{g}_0)$,

$$\begin{aligned} a_3x^{n-1}\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} &\subset x^{n-1}\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} + x^{n-2}\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} + \dots + \mathcal{U}(\mathfrak{g}_0)\mathfrak{h} \\ &\subset \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0)) . \end{aligned}$$

This means that $x^n\mathcal{U}(\mathfrak{g}_0)\mathfrak{h} \subset \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0))$ for all n and hence that

$$\mathcal{U}(\mathfrak{g})\mathfrak{h} \subset \mathcal{K}(a, a_1^{-1}, \mathcal{R}(\mathfrak{g}_0)) .$$

Finally suppose that there exists a relation

$$a^q e_q + a^{q-1} e_{q-1} + \dots + e_0 = 0$$

where $e_q, e_{q-1}, \dots, e_0 \in \mathcal{U}(\mathfrak{g}_0)$ and $e_q \neq 0$. Exchanging a and $xa_1 + a_2$ we find

$$x^q a_1^q e_q + x^{q-1} e'_{q-1} + \dots + e'_0 = 0$$

where $e'_{q-1}, \dots, e'_0 \in \mathcal{U}(\mathfrak{g}_0)$. But this is only possible if $a_1^q e_q = 0$ which is a contradiction, and the proof is complete.

Now suppose that \mathfrak{h} is a subalgebra of a nilpotent Lie algebra \mathfrak{g} . $n(\mathfrak{h})$ denotes the normalizer of \mathfrak{h} in \mathfrak{g} . Put

$$n_r(\mathfrak{h}) = n(n_{r-1}(\mathfrak{h})) \quad \text{for } r \geq 1$$

where $n_0(\mathfrak{h}) = \mathfrak{h}$. Let r_0 be the greatest possible integer such that $n_{r_0}(\mathfrak{h}) \neq \mathfrak{g}$. This is of course only possible if $\mathfrak{h} \neq \mathfrak{g}$. We exclude the case $\mathfrak{g} = \mathfrak{h}$. $n_r(\mathfrak{h})$ is an ideal in $n_{r+1}(\mathfrak{h})$. In particular $n_{r_0}(\mathfrak{h})$ is an ideal in \mathfrak{g} .

If \mathfrak{g} is nilpotent and \mathfrak{f} is an ideal in \mathfrak{g} , form the quotient algebra $\tilde{\mathfrak{g}} = \mathfrak{g}/\mathfrak{f}$. Then $\tilde{\mathfrak{g}}$ is nilpotent and we can find a series of ideals in $\tilde{\mathfrak{g}}$

$$(30) \quad (0) = \tilde{\mathfrak{g}}_0 \subset \tilde{\mathfrak{g}}_1 \subset \dots \subset \tilde{\mathfrak{g}}_n = \tilde{\mathfrak{g}}$$

such that $\dim(\tilde{\mathfrak{g}}_{i+1}/\tilde{\mathfrak{g}}_i) = 1$ for $i = 0, 1, \dots, n-1$. If $\pi: \mathfrak{g} \rightarrow \tilde{\mathfrak{g}}$ denotes the canonical projection map put $\mathfrak{g}_i = \pi^{-1}(\tilde{\mathfrak{g}}_i)$. Then

$$(31) \quad \mathfrak{f} = \mathfrak{g}_0 \subset \mathfrak{g}_1 \subset \dots \subset \mathfrak{g}_n = \mathfrak{g}$$

is a series of ideals increasing in dimension by 1 by passing from \mathfrak{g}_k to \mathfrak{g}_{k+1} ($0 \leq k \leq n-1$).

Returning to the situation $\mathfrak{h} \subset \mathfrak{g}$, $\mathfrak{h} \neq \mathfrak{g}$ where \mathfrak{g} is nilpotent we can, by repeated use of the technique described in (30) and (31), find an increasing series of subalgebras of \mathfrak{g}

$$(32) \quad \mathfrak{h} \subset n(\mathfrak{h}) = \mathfrak{g}_0 \subset \mathfrak{g}_1 \subset \dots \subset \mathfrak{g}_m = \mathfrak{g}$$

where $\dim \mathfrak{g}_{j+1} = \dim \mathfrak{g}_j + 1$ for $j = 0, 1, \dots, m-1$. Let x_j be an element of \mathfrak{g}_j not in \mathfrak{g}_{j-1} for $j = 1, \dots, m$. m_j denotes a complementary linear subspace of \mathfrak{h} in \mathfrak{g}_j . These can be chosen in such a way that $m_{j+1} = m_j + \mathbb{R}x_{j+1}$ for $0 \leq j \leq m-1$.

Since every subalgebra of codimension 1 in a nilpotent Lie algebra is an ideal, Theorem 4.1 applies to every pair $(\mathfrak{g}_j, \mathfrak{g}_{j+1})$ for $j = 0, 1, \dots, m-1$.

THEOREM 4.2. *Suppose that \mathfrak{h} is a subalgebra of a nilpotent Lie algebra \mathfrak{g} . Assume $\mathfrak{h} \neq \mathfrak{g}$. Then either*

- (i) $n(\mathfrak{h}) = \mathfrak{g}$ or
- (ii) $m \geq 1$ in (32).

In case (ii) let $j_1 < j_2 < \dots < j_q$ be the indices such that $J(\mathfrak{m}_j) \neq J(\mathfrak{m}_{j-1})$, so that by Theorem 4.1, for each $j \in \{j_1, j_2, \dots, j_q\}$ we can find $a_{j1} \in \lambda(J(\mathfrak{m}_{j-1}))$, $a_{j1} \neq 0$ and $a_{j2} \in \mathcal{U}(\mathfrak{g}_{j-1})$ such that $a_j = x_j a_{j1} + a_{j2} \in \lambda(J(\mathfrak{m}_j))$. Then

$$\mathcal{K}(\mathcal{R}(\mathfrak{g})) \subset \mathcal{K}(a_{j_1}, \dots, a_{j_2}, a_{j_1}^{-1}, \dots, a_{j_q}^{-1}, y_1, \dots, y_r) [\text{mod } \mathcal{U}(\mathfrak{g})\mathfrak{h}]$$

where y_1, \dots, y_r is a basis of \mathfrak{m}_0 .

The theorem is easily proved by induction on the dimension of \mathfrak{g} using Theorem 4.1.

5. Invariant polynomials.

In view of the corollary of Theorem 2.1 it is important to determine the invariant polynomials $I(\mathfrak{m})$ where $\mathfrak{g} = \mathfrak{h} + \mathfrak{m}$ (direct sum). But as we will see it turns out to be easier if we instead of $I(\mathfrak{m})$ consider its quotient field.

THEOREM 5.1. *Suppose that \mathfrak{h} is a subalgebra of a Lie algebra \mathfrak{g} such that $\mathfrak{h} \subset \mathfrak{g}_0 \subset \mathfrak{g}$ where \mathfrak{g}_0 is an ideal of codimension 1 in \mathfrak{g} . Choose an element x in \mathfrak{g} not in \mathfrak{g}_0 and put $\mathfrak{m} = \mathfrak{m}_0 + \mathbb{R}x$ where \mathfrak{m}_0 is any complementary linear subspace of \mathfrak{h} in \mathfrak{g}_0 .*

Then either

- (i) $I(\mathfrak{m}) = I(\mathfrak{m}_0)$ or
- (ii) *there exist elements $a_1 \in I(\mathfrak{m}_0)$, $a_1 \neq 0$ and $a_2 \in \mathcal{S}(\mathfrak{m}_0)$ such that $a = xa_1 + a_2 \in I(\mathfrak{m})$. $I(\mathfrak{m})$ as a subalgebra of the quotient field $C(\mathfrak{m})$ is contained in the subalgebra $\mathcal{K}(a, a_1^{-1}, I(\mathfrak{m}_0))$ generated by a, a_1^{-1} and $I(\mathfrak{m}_0)$.*

In case (ii) we also have:

- (iii) $C(\mathfrak{m})$ is generated by a and $I(\mathfrak{m}_0)$. $C(\mathfrak{m})$ is a transcendental extension of $C(\mathfrak{m}_0)$.

PROOF. If $I(\mathfrak{m}) \neq I(\mathfrak{m}_0)$ choose $P = x^n b_n + \dots + b_0 \in I(\mathfrak{m})$ where $b_0, \dots, b_n \in \mathcal{S}(\mathfrak{m}_0)$, $n > 0$ and $b_n \neq 0$. Applying $\delta(T)$, $T \in \mathfrak{h}$ to P we find

$$\begin{aligned} 0 &= \sigma \cdot [d(T)(x^n b_n + \dots + b_0)] \\ &= \sigma \cdot (x^n [d(T)b_n] + nx^{n-1} [d(T)x] b_n + x^{n-1} [d(T)b_{n-1}] + \dots + d(T)b_0) . \end{aligned}$$

But this is only possible if

$$\delta(T)b_n = 0 \quad \text{and} \quad \delta(T)(nx b_n + b_{n-1}) = 0$$

for all $T \in \mathfrak{h}$.

Define $a_1 = nb_n$ and $a_2 = b_{n-1}$. Then $a = xa_1 + a_2 \in I(m)$. Following the lines of the proof of Theorem 4.1, (ii) follows. To prove (iii) we first note that $\mathcal{S}(m)$ is abelian without null divisors and the quotient fields can be formed. See [1, p. 24]. $a_1 \in I(m_0)$ and therefore $a_1 \in C(m_0)$. From (ii) it is clear that $C(m)$ is generated by a and $I(m_0)$. The last part of (iii) is proved in the same manner as in Theorem 4.1.

THEOREM 5.2. *Suppose that $\mathfrak{h} \subset \mathfrak{n}(\mathfrak{h}) = \mathfrak{g}_0 \subset \dots \subset \mathfrak{g}_m \subset \mathfrak{g}$ as in Theorem 4.2, where \mathfrak{g} is a nilpotent algebra. If $m \geq 1$ let $j_1 < j_2 < \dots < j_q$ be the indices such that $I(m_{j_i}) \neq I(m_{j_{i-1}})$. For each $j \in \{j_1, \dots, j_q\}$ we can find $a_{j_1} \in I(m_{j-1})$, $a_{j_1} \neq 0$ and $a_{j_2} \in \mathcal{S}(m_{j-1})$ such that $a_j = x_j a_{j_1} + a_{j_2} \in I(m_j)$. Then*

$$(i) \mathcal{K}(I(m)) \subset \mathcal{K}(a_{j_1}, \dots, a_{j_q}, a_{j_1}^{-1}, \dots, a_{j_q}^{-1}, y_1, \dots, y_r)$$

where y_1, \dots, y_r is a basis of m_0 and

(ii) the quotient field of $I(m)$ is the field generated by the algebraic independent elements $a_{j_1}, \dots, a_{j_q}, y_1, \dots, y_r$.

(iii) By (ii) we have $a_{j_1}^{-1} = b_1(b_1')^{-1}, \dots, a_{j_q}^{-1} = b_q(b_q')^{-1}$ where $b_q, b_q' \in \mathcal{K}(a_{j_1}, \dots, a_{j_{q-1}}, y_1, \dots, y_r)$.

Putting $b = b_1 b_2 \dots b_q$ we have

$$I(m) \subset \mathcal{K}(a_{j_1}, \dots, a_{j_q}, y_1, \dots, y_r, b^{-1}).$$

PROOF. (i) and (ii) are consequences of Theorem 5.1. To prove (iii) we use induction on the dimension of \mathfrak{g} . Note that

$$a_{j_1}^{-1} = b_1' b^{-1} b_2 b_3 \dots b_q \in \mathcal{K}(a_{j_1}, \dots, a_{j_{q-1}}, y_1, \dots, y_r, b^{-1}).$$

Similar results for $a_{j_2}^{-1}, \dots, a_{j_q}^{-1}$ hold. (iii) now follows from (ii).

THEOREM 5.3. *Let \mathfrak{h} be a subalgebra of a nilpotent Lie algebra \mathfrak{g} . Denote by n the dimension of \mathfrak{g} , h the dimension of \mathfrak{h} and by n_1 the transcendence degree of $C(m)$ over the scalar field \mathfrak{k} of \mathfrak{g} . If $n = n_1 + h$ then \mathfrak{h} is an ideal of \mathfrak{g} .*

PROOF. $n_1 = r + q$ where $r = \dim m_0$ and q is as in Theorem 5.2. We prove the theorem by induction on the degree of \mathfrak{g} . If $n = 1$ then either $\mathfrak{h} = \mathfrak{g}$ or $\mathfrak{h} = (0)$. In both cases the statement is satisfied. Suppose that it has been proved for all nilpotent Lie algebras of dimension $< n$, and let \mathfrak{g} be a nilpotent Lie algebra of dimension n . \mathfrak{h} is a subalgebra. If $n = n_1 + h$ then either $q = 0$ or $q > 0$. We only have to consider the possibility $q > 0$. With the notation of Theorem 5.2 this means that $j_q = m$.

Choose $a_{m_1} \in I(\mathfrak{m}_{m-1})$, $a_{m_1} \neq 0$ and $a_{m_2} \in \mathcal{S}(\mathfrak{m}_{m-1})$ such that $x_m a_{m_1} + a_{m_2} \in I(\mathfrak{m})$. Then for $T \in \mathfrak{h}$

$$(33) \quad \begin{aligned} 0 &= \delta(T)[x_m a_{m_1} + a_{m_2}] \\ &= \sigma \cdot ([d(T)x_m]a_{m_1} + x_m[d(T)a_{m_1}] + d(T)a_{m_2}) \end{aligned}$$

where $\sigma \cdot P(y_1, \dots, y_r, x_1, \dots, x_m) = P(\sigma(y_1), \dots, \sigma(x_m))$ for all $P \in \mathcal{S}(\mathfrak{m})$. By induction hypothesis $\delta(T)a_{m_2} = 0$. We also know that $\delta(T)a_{m_1} = 0$ for all $T \in \mathfrak{h}$. (33) therefore reduces to $[\delta(T)x_m]a_{m_1} = 0$, but this is only possible if $[T, x_m] \in \mathfrak{h}$ for all $T \in \mathfrak{h}$. The theorem follows.

COROLLARY. *Let G be a nilpotent Lie group with Lie algebra \mathfrak{g} and let H be the connected Lie subgroup of G corresponding to a Lie subalgebra \mathfrak{h} of \mathfrak{g} . Suppose that $\mathfrak{n}(\mathfrak{h})$ is of codimension 1 in \mathfrak{g} . Then $I(\mathfrak{m}) = \mathcal{S}(\mathfrak{m}_0)$ and $\mathcal{D}(G/H)$ is determined by (24).*

PROOF. Using the previous notation we must have $n_1 = r$ and the first part follows. To complete the proof apply Theorem 2.2.

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