

## ON MONOMIAL $p^a$ -REPRESENTATIONS OF FINITE $p$ -GROUPS

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In his paper [2] D. L. Johnson studied minimal faithful permutation representations of finite groups. If  $G$  is a finite group, a homomorphism of  $G$  into a symmetric group is called a permutation representation, and we let  $\mu(G)$  denote the smallest possible degree (dimension) of a faithful  $(1-1)$  permutation representation of  $G$ .

In the present note we study a natural generalization of this, monomial  $p^a$ -representations. These were first studied by H.-P. Jacobs in his thesis [1], written at Universität Dortmund under the supervision of Professor R. Kochendörffer. This note also contains an apparently new description of the rank of a finite  $p$ -group (in terms of intersections of subgroups), which may be of some independent interest.

Let  $a$  be a nonnegative integer,  $p$  a prime integer and  $n$  a positive integer. If  $\text{Sym}(n)$  is the symmetric group on  $n$  letters and  $\wr$  denotes wreath product, the group  $\mathbb{Z}_{p^a} \wr \text{Sym}(n)$  may be considered as the group of  $n \times n$  complex monomial matrices, whose nonzero entries are  $p^a$ th roots of unity. If  $G$  is a finite group, a homomorphism  $M$  of  $G$  into  $\mathbb{Z}_{p^a} \wr \text{Sym}(n)$  is called a *monomial  $p^a$ -representation of  $G$  (of degree  $n$ )*. If  $M$  is  $1-1$ , it is called *faithful*. A faithful monomial  $p^a$ -representation of  $G$  is denoted briefly a *FM ( $p^a$ ) of  $G$* . A FM ( $p^a$ ) of  $G$  of smallest possible degree is called *minimal* and is denoted briefly a *FMM ( $p^a$ ) of  $G$* . The degree of a FMM ( $p^a$ ) of  $G$  is denoted  $\mu(G, p^a)$ . Thus  $\mu(G, 1) = \mu(G)$  in Johnson's notation.

A monomial  $p^a$ -representation of  $G$  is in particular a monomial representation of  $G$  and is therefore a direct sum of transitive monomial  $p^a$ -representations of  $G$ . Any transitive monomial representation of  $G$  is similar to a representation  $T^G$  induced from a linear representation  $T$  of a subgroup  $H$  of  $G$ , and it is a monomial  $p^a$ -representation of  $G$ , if and only if,  $H/\text{Ker } T$  is cyclic of an order dividing  $p^a$ . (Since  $H/\text{Ker } T$  is isomorphic to a subgroup of  $\mathbb{C}$ , it is cyclic. Moreover the values  $T(x)$ ,  $x \in H$ , occur as entries in the monomial matrices  $M(g)$ ,  $g \in G$ , where  $M = T^G$ . Thus  $T(x)$ ,  $x \in H$ , have to be  $p^a$ th roots of

unity.) It is easily seen, the kernel of  $M = T^G$  is just the  $G$ -core of  $K = \text{Ker } T$ , that is,  $\bigcap_{g \in G} K^g$ .

For our purposes it is most convenient to describe an arbitrary monomial  $p^a$ -representation of  $G$  as a sequence

$$M = \{(H_1, K_1), \dots, (H_r, K_r)\},$$

where for  $1 \leq i \leq r$ ,  $H_i$  and  $K_i$  are subgroups of  $G$ ,  $K_i \triangleleft H_i$ , and  $H_i/K_i$  is cyclic of an order dividing  $p^a$ . This signifies that  $M$  is similar to  $\sum_{i=1}^r T_i^G$ , where  $T_i$  is a linear representation of  $H_i$  with kernel  $K_i$ . The kernel of  $M$  is then just the  $G$ -core of  $\bigcap_{i=1}^r K_i$ , and the degree of  $M$  is  $\sum_{i=1}^r |G : H_i|$ . We call  $r$  the length of  $M$ .

If  $G$  is a group of  $p'$ -order, then a monomial  $p^a$ -representation of  $G$  is just a permutation representation of  $G$ . Since we have in the definition of a monomial  $p^a$ -representation already chosen a prime  $p$ , we restrict our attention to the case where  $G$  is a finite  $p$ -group.

Let  $G$  be a finite  $p$ -group  $\neq 1$ . We let  $d(G)$  denote the rank of  $G$ . An intersection set for  $G$  is a set of subgroups  $\{L_1, L_2, \dots, L_s\}$  of  $G$  such that

$$\bigcap_{i=1}^s L_i = 1, \quad \text{and for } 1 \leq j \leq s \quad \bigcap_{\substack{i=1 \\ i \neq j}}^s L_i \neq 1.$$

(For  $s=1$ , this statement means just  $L_1=1$ .)

The intersection rank of  $G$  is the maximal number of elements in an intersection set for  $G$  and is denoted  $\bar{d}(G)$ . An intersection set for  $G$  with  $\bar{d}(G)$  elements is called maximal. As usual,  $\Omega(G)$  is the subgroup of  $G$  generated by all elements of order  $p$  in  $G$ .

**PROPOSITION 1.** *Let  $G$  be a finite  $p$ -group. Then the intersection rank of  $G$  coincides with the (ordinary) rank, that is,  $\bar{d}(G) = d(G)$ .*

**PROOF.** If  $A$  is an abelian subgroup of  $G$  of rank  $r$ , that is,  $A = A_1 \times \dots \times A_r$ , where  $A_1, \dots, A_r$  are cyclic, define for  $1 \leq i \leq r$

$$\hat{A}_i = A_1 \times \dots \times A_{i-1} \times A_{i+1} \times \dots \times A_r.$$

It is easily seen that  $\{\hat{A}_1, \dots, \hat{A}_r\}$  is an intersection set for  $G$ . It follows that  $d(G) \leq \bar{d}(G)$ . On the other hand, let  $\{L_1, \dots, L_r\}$  be an intersection set for  $G$ . We show by induction on  $r$ , that  $G$  contains an abelian subgroup of rank  $r$ . This will prove  $\bar{d}(G) \leq d(G)$ . For  $r=1$ , the claim is trivially true. Since  $L_1 \cap \dots \cap L_r = 1$ , there exists an  $i$ ,  $1 \leq i \leq r$ , such that  $\Omega_1(Z(G)) \not\subseteq L_i$ , say  $\Omega_1(Z(G)) \not\subseteq L_1$ .

(Here  $Z(G)$  is the center of  $G$ .) From the definition of an intersection set it follows, that  $\{L_1 \cap L_2, L_1 \cap L_3, \dots, L_1 \cap L_r\}$  is an intersection set for  $L_1$ . By the induction hypothesis  $L_1$  contains an abelian subgroup  $A$  of rank  $r-1$ . Let

$z \in \Omega_1(Z(G))$ ,  $z \notin L_1$ . Then  $|z|=p$  and  $\langle z \rangle \cap A = \langle z \rangle \cap L_1 = 1$ . Moreover  $[\langle z \rangle, A] = 1$ , because  $z \in Z(G)$ , so  $\langle z \rangle$  and  $A$  form a direct product in  $G$ . Obviously  $\langle z \rangle \times A$  has rank  $r$ . This proves Proposition 1.

Let us note the following trivial result.

LEMMA 2. *Let  $G$  be a finite  $p$ -group,  $L \neq 1$  a subgroup and  $L_1, \dots, L_r$  subgroups of  $L$ . The following statements are equivalent*

- I.  $\{L_1, \dots, L_r\}$  is an intersection set for  $G$
- II.  $\{L_1, \dots, L_r\}$  is an intersection set for  $L$
- III.  $\{L_1 \cap \Omega_1(G), \dots, L_r \cap \Omega_1(G)\}$  is an intersection set for  $\Omega_1(G)$ .

Now we return to monomial  $p^a$ -representations. As in Proposition 2 of [2] we of course have

LEMMA 3. *Let  $G$  and  $H$  be finite groups. Then*

$$\mu(G \times H, p^a) \leq \mu(G, p^a) + \mu(H, p^a) .$$

In the rest of this work  $G$  denotes a finite  $p$ -group  $\neq 1$ .

LEMMA 4. *Let*

$$M = \{(H_1, K_1), (H_2, K_2), \dots, (H_r, K_r)\}$$

*be a FMM ( $p^a$ ) of  $G$ . Then  $\{K_1 \cap Z(G), K_2 \cap Z(G), \dots, K_r \cap Z(G)\}$  is an intersection set for  $Z(G)$  and  $G$  is isomorphic to a subgroup of  $\prod_{i=1}^r G/(K_i \cap Z(G))$ .*

PROOF. Let  $N_i = K_i \cap Z(G)$ ,  $1 \leq i \leq r$ . Now  $M$  is faithful if and only if the  $G$ -core of  $K_1 \cap K_2 \cap \dots \cap K_r$  is 1 and this is obviously equivalent to

$$K_1 \cap K_2 \cap \dots \cap K_r \cap Z(G) = 1 .$$

(If  $K_1 \cap K_2 \cap \dots \cap K_r$  contains a nontrivial normal subgroup of  $G$ , this normal subgroup has a nontrivial intersection with  $Z(G)$ .) So as  $M$  is faithful,  $N_1 \cap N_2 \cap \dots \cap N_r = 1$ . If for some  $i$ ,  $1 \leq i \leq r$ ,

$$N_1 \cap N_2 \cap \dots \cap N_{i-1} \cap N_{i+1} \cap \dots \cap N_r = 1 ,$$

then  $\{(H_1, K_1), (H_2, K_2), \dots, (H_{i-1}, K_{i-1}), (H_{i+1}, K_{i+1}), \dots, (H_r, K_r)\}$  is a FM ( $p^a$ ) of  $G$ . This contradicts that  $M$  is minimal. So  $\{N_1, \dots, N_r\}$  is an intersection set for  $Z(G)$ . Since  $N_1 \cap \dots \cap N_r = 1$ , the homomorphism  $x \mapsto (xN_1, \dots, xN_r)$  from  $G$  to  $\prod_{i=1}^r G/N_i$  is 1-1.

As an extension of Theorem 3 of [2] and Hauptsatz 6 of [1] we offer the following:

**THEOREM 5.** *Let  $a \geq 1$ . The length of a FMM ( $p^a$ ) of  $G$  is at most  $d(Z(G))$ . If  $p$  is odd, it equals  $d(Z(G))$ , and if  $p=2$ , there exists a FMM ( $2^a$ ) of  $G$  of length  $d(Z(G))$ .*

**PROOF.** Let  $M = \{(H_1, K_1), (H_2, K_2), \dots, (H_r, K_r)\}$  be a FMM ( $p^a$ ) of  $G$ , let  $\Omega = \Omega_1(Z(G))$ , and define  $L_i = \Omega \cap K_i$ ,  $1 \leq i \leq r$ . By Lemma 4 and Lemma 2  $\{L_1, L_2, \dots, L_r\}$  is an intersection set for  $\Omega$ . Thus by Proposition 1,  $r \leq d(\Omega) = d(Z(G))$ , proving the first statement of Theorem 5. Since  $\{L_1, L_2, \dots, L_r\}$  is an intersection set for  $\Omega$ ,  $L_i \not\subseteq \Omega$  for  $1 \leq i \leq r$ . Suppose  $|\Omega : L_i| = p$  for all  $i$ ,  $1 \leq i \leq r$ . Then in the chain

$$\Omega \supset L_1 \supset L_1 \cap L_2 \supset \dots \supset L_1 \cap L_2 \cap \dots \cap L_r = 1$$

each subgroup has index exactly  $p$  in the preceding. It follows, that  $|\Omega| = p^r$ . This means that  $d(Z(G)) = r$ , so we have done in this case.

Suppose now  $|\Omega : L_i| > p$  for some  $i$ , say  $|\Omega : L_1| > p$ .

Let  $\hat{H}_1 = \Omega \cdot H_1$ . As  $\Omega \subseteq Z(G)$ , we have for the commutator groups

$$[\hat{H}_1, \hat{H}_1] = [H_1, H_1] \subseteq K_1.$$

It follows that  $K_1 \triangleleft \hat{H}_1$ , and that  $\hat{H}_1/K_1$  is abelian. Moreover, by an isomorphism theorem

$$\hat{H}_1/K_1 = \Omega H_1/K_1 \cong \Omega K_1/K_1 \cong \Omega/\Omega \cap K_1 = \Omega/L_1.$$

Now  $\Omega/L_1$  is elementary abelian of order at least  $p^2$ , so  $\hat{H}_1/K_1$  is not cyclic. By the theory of finite abelian groups we can choose a subgroup  $\hat{H}_1 \subseteq \hat{H}_1$ , such that

$$\hat{H}_1/K_1 \cong H_1/K_1 \times A/K_1,$$

where  $|A : K_1| = p$ . Then obviously  $H_1 \cap A = K_1$ , so

$$\hat{M} = \{(\hat{H}_1, H_1), (\hat{H}_1, A), (H_2, K_2), (H_3, K_3), \dots, (H_r, K_r)\}$$

is a FM ( $p^a$ ) of  $G$ . Thus the degree of  $\hat{M}$  is greater than the degree of  $M$ , i.e.,

$$2 \cdot |G : \hat{H}_1| \geq |G : H_1|.$$

This is impossible when  $p$  is odd. When  $p=2$ , equality is possible, so that  $\hat{M}$  and  $M$  have the same degree. But the length of  $\hat{M}$  is greater than the length of  $M$ . By repeating the above argument we can eventually get a FMM ( $2^a$ ) of  $G$  of length  $d(Z(G))$ . This proves Theorem 5.

Let us note, that in the case  $G$  is abelian we have the following trivial Corollary to Theorem 5:

**COROLLARY 6.** *Suppose  $G$  is abelian,  $a \geq 1$ . If there exists a subgroup  $H$  of  $G$ , such that  $\{(H, 1)\}$  is an FMM ( $p^a$ ) of  $G$  of maximal length, then  $G = Z(G)$  is cyclic.*

(When  $p$  is odd one can drop the condition on maximal length in Corollary 6, but not for  $p = 2$ . See Satz 10 in [1].)

A subgroup  $H$  of  $G$  is called *primitive*, if there does not exist two subgroups  $L, N$  of  $G$  with  $L \neq H, N \neq H$  and  $L \cap N = H$ . Since we are assuming that  $G$  is a  $p$ -group,  $H \subseteq G$  is primitive, if and only if,  $d(N_G(H)/H) = 1$ . This is fairly easy to show. It can for instance be proved by using Proposition 1.

If  $M = \{(H_1, K_1), (H_2, K_2), \dots, (H_r, K_r)\}$  is a FMM ( $p^a$ ) of  $G$ , one may ask whether the subgroups  $K_1, \dots, K_r$  of  $G$  are primitive. For  $a = 0, 1$ , this is true by results of Johnson and Jacobs. However, for  $a \geq 2$ , it is generally false, as the following simple example shows. Let

$$D = \langle x, y \mid x^4 = y^2 = 1, y^{-1}xy = x^{-1} \rangle$$

be the dihedral group of order 8. As  $Z(D) = \langle x^2 \rangle$  is cyclic, a FMM ( $2^a$ ) of  $D$  has length 1 by Theorem 5. If it is  $\{(H, K)\}$ , then  $K \cap Z(D) = 1$ , so  $K \cap \langle x \rangle = 1$ . Now  $\{(\langle y, x^2 \rangle, \langle y \rangle)\}$  and  $\{(\langle x \rangle, 1)\}$  are both FMM ( $2^a$ )'s of  $D$  if  $a \geq 2$ . But 1 is not a primitive subgroup of  $D$ . A similar example exists for odd  $p$ . (Take a group of order  $p^3$  and exponent  $p^2$ ).

However, we can prove the following result for all  $a \geq 1$ , which puts some restriction on the  $K_i$ 's of a FMM ( $p^a$ ) of  $G$ .

**PROPOSITION 7.** *Let  $M = \{(H_1, K_1), (H_2, K_2), \dots, (H_r, K_r)\}$  be a FMM ( $p^a$ ) of  $G$  of maximal length,  $a \geq 1$ . Let  $1 \leq i \leq r$ . If  $N_i = N_G(K_i)$  and  $\tilde{N}_i$  is a subgroup of  $N_i$  containing  $H_i \cdot Z(G)$ , then  $\{(H_i/K_i, 1)\}$  is a FMM ( $p^a$ ) of  $\tilde{N}_i/K_i$  of maximal length. The center of  $\tilde{N}_i/K_i$  is cyclic. In particular, if  $N_i/K_i$  is abelian, it is cyclic.*

**PROOF.** We assume  $i = 1$ . Suppose that  $\{(H_1/K_1, 1)\}$  is not a FMM ( $p^a$ ) of  $\tilde{N}_1/K_1$ . It is obviously a FM ( $p^a$ ). Let

$$\bar{M} = \{(\bar{R}_1, \bar{S}_1), (\bar{R}_2, \bar{S}_2), \dots, (\bar{R}_t, \bar{S}_t)\}$$

be a FMM ( $p^a$ ) of  $\tilde{N}_1/K_1$ . If  $Z_1$  is defined by  $Z_1/K_1 = Z(\tilde{N}_1/K_1)$  and  $R_j, S_j$  by

$$R_j/K_1 = R_j, \quad S_j/K_1 = S_j, \quad 1 \leq j \leq t,$$

then  $Z(G) \subseteq Z_1$ , (since  $Z(G) \subseteq \tilde{N}_j$  by assumption), and

$$(*) \quad Z_1 \cap S_1 \cap S_2 \cap \dots \cap S_t = K_1,$$

(since  $\bar{M}$  is faithful).

Now consider

$$M' = \{(R_1, S_1), (R_2, S_2), \dots, (R_r, S_r), (H_2, K_2), (H_3, K_3), \dots, (H_r, K_r)\}$$

as a monomial  $p^a$ -representation of  $G$ . By (\*)

$$\begin{aligned} & (S_1 \cap \dots \cap S_t) \cap (K_2 \cap \dots \cap K_r) \cap Z(G) \\ &= ((S_1 \cap \dots \cap S_t \cap Z_1) \cap Z(G)) \cap (K_2 \cap \dots \cap K_r) \\ &= K_1 \cap K_2 \cap \dots \cap K_r \cap Z(G) \\ &= 1, \end{aligned}$$

because  $M$  is faithful. Thus  $M'$  is faithful. Moreover, since  $\bar{M}$  is a FMM ( $p^a$ ) of  $\tilde{N}_1/K_1$ ,

$$|\tilde{N}_1 : H_1| > |\tilde{N}_1 : R_1| + |\tilde{N}_2 : R_2| + \dots + |\tilde{N}_2 : R_t|,$$

so multiplying by  $|G : \tilde{N}_1|$  gives

$$|G : H_1| > |G : R_1| + |G : R_2| + \dots + |G : R_t|.$$

We now have a contradiction to the assumption, that  $M$  is minimal. Thus  $\{(H_1/K_1), 1\}$  is a FMM ( $p^a$ ) of  $\tilde{N}_1/K_1$ . A similar argument shows, that since  $M$  is of maximal length, the same is true for  $\{(H_1/K_1), 1\}$ . We can now apply Theorem 5 to get the rest of the statements of Proposition 7.

If  $i \in \mathbb{Z}$  we define

$$\{p^i\} = \begin{cases} p^i, & \text{if } i \geq 0 \\ 1, & \text{if } i \leq 0. \end{cases}$$

We finish this note by computing  $\mu(G, p^a)$ , if  $G$  is abelian. (In [1], this was done for  $d(G)=2$  or  $a=1$ ).

**THEOREM 8.** *If  $a \geq 1$  and  $G$  is abelian of type  $(p^{a_1}, \dots, p^{a_r})$ , then*

$$\mu(G, p^a) = \sum_{j=1}^r \{p^{a_j - a}\}.$$

**PROOF.** Let  $M = \{(H_1, K_1), (H_2, K_2), \dots, (H_r, K_r)\}$  be a FMM ( $p^a$ ) of  $G$  of maximal length (cf. Theorem 5!). Let  $1 \leq i \leq r$ . Since  $G$  is abelian,  $N_G(K_i) = G$ , and therefore  $G/K_i$  is cyclic by Proposition 7. It is easy to see, that

$$|G: H_i| = \left\{ \frac{|G: K_i|}{p^a} \right\}.$$

By Lemma 4 we may consider  $G$  as a subgroup of  $\prod_{j=1}^r G/K_j$ . By a well-known theorem on abelian group we get, that after possibly reordering the  $a_j$ 's, we have  $p^{a_i} \mid |G: K_i|$ ,  $1 \leq i \leq r$ . Thus

$$\{p^{a_i - a}\} \leq \left\{ \frac{|G: K_i|}{p^a} \right\}, \quad 1 \leq i \leq r.$$

By assumption  $M$  is minimal, so

$$\mu(G, p^a) = \sum_{j=1}^r |G: H_j| = \sum_{j=1}^r \left\{ \frac{|G: K_j|}{p^a} \right\} \geq \sum_{j=1}^r \{p^{a_j - a}\}$$

proving one inequality. The other inequality is trivial for  $r=1$ , and for arbitrary  $r$  it then follows from Lemma 3.

One final remark: It is easy to prove that for an arbitrary finite group  $G$  and  $a \geq 0$

$$p^a \mu(G, p^a) \geq \mu(G) \geq \mu(G, p^a)$$

and that these bounds are the best possible.

#### REFERENCES

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