

## C\*-ACTIONS

JAMES B. CARRELL and ANDREW JOHN SOMMESE

### I. Introduction.

In this paper we show that the basic structure theorem of Białynicki-Birula [1] for  $G_m$  actions on a complete non-singular variety goes over without change to holomorphic  $C^*$ -actions with fixed points on a connected compact Kaehler manifold  $X$ . Roughly speaking [cf. section III for a full statement],  $X$  can be decomposed in either of two functorial ways into the union of a finite number of  $C^*$ -invariant sets  $X_j$  which have the following properties: each  $X_j$  is Zariski open in its closure which is an analytic set; there exists a  $C^*$ -equivariant maximal rank surjection of  $X_j$  onto a connected component  $F_j$  of the fixed point set of  $X$  with vector space fibres;  $F_j$  is a section of  $X_j$ ; and the normal bundle of  $F_j$  in  $X_j$  is a specific subbundle of the normal bundle of  $F_j$  in  $X$ . One corollary is that  $X$  is projective if and only if the fixed point set of  $C^*$  in  $X$  is projective. Another is that  $X$  is rational if the fixed point set of  $C^*$  in  $X$  is. This fact is due, in the algebraic case, to D. Lieberman [8].

The proof breaks into two halves. The first studies certain local fibrations around the fixed point set of compact groups acting by means of biholomorphisms on a general compact complex manifold  $X$ . Somewhat amazingly, though a complex exponential map doesn't exist, it almost does and this lets one talk about what would be the image on  $X$  of certain subbundles of the normal bundle of the fixed point set. These results can be looked at as globalizations of some of the classical results of H. Cartan [cf. 2, Chap. I] on Reinhardt and other circular domains.

The second half of the proof is a straightforward application of the key result in [15] on the closure of orbits of a holomorphic  $C^*$  action with non-empty fixed point set on a compact connected Kaehler manifold.

We would like to thank the National Research Council of Canada for the wherewithal that made collaboration possible. The second author would like to thank the Institute for Advanced Study in Princeton for their hospitality.

---

Received July 25, 1977.

## II. Local fibrations around the fixed points.

Let  $X$  be a compact complex manifold and  $V$  a  $C^\infty$  vector field on  $X$ . The one parameter group  $\Phi: \mathbb{R} \times X \rightarrow X$  gotten by integrating  $V$  is a one parameter group of biholomorphisms of  $X$  if and only if  $V - iJV$  is a holomorphic vector field, where  $J$  is the complex structure tensor of  $X$ . This remark allows one to show that certain bundles associated to the fixed point set of a compact Lie group  $G$  acting smoothly on  $X$  via biholomorphisms are holomorphic. It also allows one to pass from an  $S^1$ -action on  $X$  (via biholomorphisms) to a *holomorphic*  $\mathbb{C}^*$ -action on  $X$ ; that is an action of  $\mathbb{C}^*$  on  $X$  for which the natural map  $\mathbb{C}^* \times X \rightarrow X$  is homomorphic.

Now let  $\Phi: G \times X \rightarrow X$  be a  $C^\infty$  action of a connected compact Lie group on a not necessarily compact complex manifold by means of biholomorphisms. Then the fixed point set of  $\Phi$  is a complex submanifold of  $X$ . To see that it is a manifold is not hard; e.g. [16, p. 213]. To see that it is a complex submanifold, use the fact that  $F$  is the set of common zeros of the holomorphic vector fields  $V - iJV$  where  $V$  denotes the vector field on  $X$  generated by a one-parameter subgroup of  $G$ . Note that  $\Phi$  gives rise to a fibrewise linear action  $d\Phi$  of  $G$  on  $T(X)|_F$ , where  $T(X)$  denotes the holomorphic tangent bundle of  $X$ . In fact, since  $G$  is compact, hence reductive,  $d\Phi$  extends to a holomorphic action of the complexification  $G_{\mathbb{C}}$  of  $G$  on  $T(X)|_F$ .

A continuous linear representation of  $G$  on a finite dimensional complex vector space  $V$  determines a unique direct sum decomposition  $V = \bigoplus V_\lambda \oplus V_0$ , where  $\lambda$  runs over all irreducible representations of  $G$  on  $V$  and  $G$  acts trivially on  $V_0$ . Here  $V_\lambda$  denotes the direct sum of all representations of type  $\lambda$  in  $V$ . This *isotypic* decomposition is an immediate consequence of the existence of a  $G$ -invariant Hermitian metric on  $V$  and Schur's Lemma which says that an equivariant linear map between two inequivalent irreducible representations is trivial. If  $\Phi: G \times X \rightarrow X$  is a  $C^\infty$  action of  $G$  on  $X$  via biholomorphisms, then  $T(X)|_F$  decomposes correspondingly into a direct sum of holomorphic subbundles  $\bigoplus E_\lambda \oplus T(F)$  and  $G$  acts trivially on  $T(F)$ . This decomposition can be seen directly from the existence of a holomorphic embedding of a  $G$ -invariant neighborhood of each  $x \in F$  in a  $\mathbb{C}^N$  which is equivariant for some representation of  $G$  in  $\text{GL}(N, \mathbb{C})$  [12].

**LEMMA I.** *Let  $\Phi: G \times X \rightarrow X$  be a  $C^\infty$  action of a compact connected Lie group  $G$  on a complex manifold  $X$  by means of biholomorphisms. Let  $Y$  be a not necessarily reduced complex analytic subspace of  $X$  that is invariant under  $G$ . Let  $A: Y \rightarrow \mathbb{C}^N$  be a holomorphic map equivariant with respect to some representation  $\rho: G \rightarrow \text{GL}(N, \mathbb{C})$ . Then if  $A$  extends to a holomorphic map  $\tilde{A}: X \rightarrow \mathbb{C}^N$ , one can find an equivariant extension,  $\tilde{\tilde{A}}$ . In particular if  $X$  is Stein, then an equivariant extension  $\tilde{\tilde{A}}$  of  $A$  exists.*

PROOF. Let  $dg$  denote the normalized Haar measure on  $G$ . Let

$$\tilde{A}(p) = \int_G \varrho(g^{-1}) \circ \tilde{A} \circ \Phi(g, p) dg \quad \text{where } g \in G \text{ and } p \in X .$$

Note that

$$\begin{aligned} \tilde{A} \circ \Phi(g_0, p) &= \int_G \varrho(g^{-1}) \circ \tilde{A} \circ \Phi(gg_0, p) dg \\ &= \int_G \varrho(g_0g^{-1}) \circ \tilde{A} \circ \Phi(g, p) dg = \varrho(g_0) \circ \tilde{A}(p) . \end{aligned}$$

Also it is clear that  $\tilde{A}|Y = \tilde{A}|Y = A$ . Thus  $\tilde{A}$  is the desired extension.

LEMMA II. Let  $\Phi: G \times X \rightarrow X$  and  $\Psi: G \times Y \rightarrow Y$  be  $C^\infty$  actions of a compact connected Lie group  $G$  on connected complex manifolds  $X$  and  $Y$  by means of biholomorphisms. Let  $A: X \rightarrow Y$  be an equivariant holomorphic map and let  $A(F) \subseteq H$  where  $F$  is a component of the fixed point set of  $X$  and  $H$  is a submanifold of the fixed point set of  $Y$ . If for each  $f \in F$ , no irreducible subrepresentation of  $G$  in  $N(H)_{A(f)}$  occurs among the subrepresentations of  $N(F)_f^{(t)}$  for any  $t > 0$ , where  $N(F)^{(t)}$  is the  $t$ -th symmetric power of the normal bundle of  $F$ , then  $A(X) \subseteq H$ .

PROOF. Using Lemma I,  $T(X)|F$  contains  $N(F)$  as a direct summand.  $dA_f$  thus equivariantly maps  $N(F)_f$  to  $N(H)_{A(f)}$ . By the above hypothesis for  $t = 1$  and Schur's lemma,  $dA_f: N(F)_f \rightarrow N(H)_{A(f)}$  is the 0 map. In this situation one gets an equivariant map from  $N(F)_f^{(2)}$  to  $N(H)_{A(f)}$  which by the above is also zero. Iterating this one has shown that if  $w$  is a holomorphic function on a neighborhood  $U$  of  $A(f)$  such that  $w(H) = 0$  then  $w \circ A$  has zero Taylor series on  $A^{-1}(U) \cap V$  where  $V$  is a neighborhood of  $f$ . Thus  $A(f) \subseteq H$ .

It is convenient when  $\Phi: G \times X \rightarrow X$  is a  $C^\infty$  action of a compact Lie group  $G$  on  $X$  by means of biholomorphisms to introduce some notation. Let  $F$  be a connected component of the fixed point set of  $G$  on  $X$ . We have

$$T(X)|F = T(F) \oplus E_{\lambda_1} \oplus \dots \oplus E_{\lambda_n}$$

where  $\lambda_i$  are the nontrivial irreducible representations that occur in the fibre of  $T(X)_f$  over any point. For  $I \subseteq \{1, \dots, n\}$ , let

$$\lambda_I = \bigoplus_{j \in I} \lambda_j \quad \text{and} \quad E_I = \bigoplus_{j \in I} E_{\lambda_j} .$$

PROPOSITION I. Let  $\Phi: G \times X \rightarrow X$  be a  $C^\infty$  action of a compact connected Lie

group  $G$  on a complex manifold  $X$  by means of biholomorphisms. Let  $F$  be a connected component of the fixed point set of  $G$  in  $X$  and let

$$T(X)|_F = E_{\lambda_1} \oplus \dots \oplus E_{\lambda_n} \oplus T(F).$$

If  $I \subseteq \{1, \dots, n\}$  is such that no subrepresentation of  $\lambda_{I^c}$  ( $I^c$  is the complement of  $I$  in  $\{1, \dots, n\}$ ) occurs in any symmetric tensor power of  $\lambda_I$ , then there exists a connected complex manifold  $\mathcal{F}_I$  with a  $C^\infty$  action of  $G$  by biholomorphisms and a unique equivariant embedding  $\Phi_I: \mathcal{F}_I \rightarrow X$  such that:

A) there is a maximal rank equivariant holomorphic surjection  $p_I: \mathcal{F}_I \rightarrow F$  with a section  $s: F \rightarrow \mathcal{F}_I$ .

B)  $\phi_I s$  is the identity on  $F$  and  $d\phi_I|_s(F)$  gives an equivariant isomorphism of  $T(\mathcal{F}_I)|_s(F)$  and  $E_I \oplus T(F)$ .

PROOF. The main idea is to produce an equivariant embedding of a neighborhood of an arbitrary  $x \in F$  into  $\mathbb{C}^m$  where  $m = \dim X$ . As  $G$  is compact, each point  $x \in F$  has arbitrarily small  $G$ -invariant neighborhoods  $U_x$ . By a result of R. Richardson [12], there exists, for some  $U_x$ , an holomorphic embedding  $\phi_x: U_x \rightarrow \mathbb{C}^N$ , equivariant with respect to some representation  $G \rightarrow GL(N, \mathbb{C})$ , such that  $d\phi_x$  is injective for all  $y \in F \cap U_x$ . By Lemma I, there exists an equivariant linear map  $\pi: \mathbb{C}^N \rightarrow T(X)_x \cong \mathbb{C}^m$  for which  $\pi d\phi_x$  is the identity. By the inverse function theorem,  $\pi\phi$  is an embedding of a (possibly smaller) invariant neighborhood onto an open set in  $\mathbb{C}^m$  which has an equivariant local inverse  $g$ . Therefore for some  $G$ -invariant neighborhood  $W_x$  of the zero section of  $N(F)|_{U_x} \cap F$ , one can define a holomorphic map  $\Psi_x: W_x \rightarrow X$  by first denoting the points of  $W_x$  by  $(y, v)$  where  $y \in F$  and  $v \in N(F)_y$  and setting

$$\Psi_x(y, v) = g(\pi\phi(y) + \pi d\phi_y(v)).$$

Since any translation by a fixed point of a map equivariant with respect to a linear action is again equivariant,  $\Psi_x$  is equivariant. Furthermore,  $\Psi_x|_{F \cap U_x}$  is the inclusion into  $X$  where we have identified  $F$  as usual with the zero section of  $N(F)$ . Finally, note that the maps  $\Psi_x$  define a system of local coordinates near  $F$ , since if  $W_x \cap W_y \neq \emptyset$ , then  $\Psi_y^{-1}\Psi_x$  is biholomorphic.

Given  $E_I$  as above, let  $\mathcal{F}_I$  denote the union of the images of the maps  $\Psi_x|_{E_I \cap W_x}$  as  $x$  ranges over  $F$ . By Lemma II and the assumption on  $I$ , these images fit together in the sense that if  $W_x \cap W_y \neq \emptyset$ , then  $\Psi_x(W_x \cap W_y \cap E_I) = \Psi_y(W_x \cap W_y \cap E_I)$  is a well defined submanifold of  $X$  satisfying  $A$  and  $B$  when  $\phi_I$  is the inclusion and  $p_I$  the map defined by composing a  $\Psi^{-1}$  with the bundle projection of  $N(F)$  on  $F$ .

A question that immediately poses itself is when is  $\mathcal{F}_I$  a neighborhood  $Y$  of the zero section  $F$  of a vector bundle (resp. when does an equivariant exponential map on  $Y \subset N(F)$  exist)? The answer is obvious; each  $\Psi_y \Psi_x^{-1}$  must be linear (resp. the identity). An effective criterion (cf. [1, p. 490]) for this to happen in terms of the representation on a fibre of  $E_I$  now follows.

LEMMA III. *Let  $G \rightarrow \text{GL}(V_{\mathbb{C}})$  be a representation of a compact Lie group on a complex vector space  $V_{\mathbb{C}}$ . Let  $f: U \rightarrow V_{\mathbb{C}}$  be a  $G$  equivariant holomorphic function that takes the origin to the origin, where  $U$  is an invariant open set around the origin.  $f$  is the restriction of a linear mapping  $V_{\mathbb{C}} \rightarrow V_{\mathbb{C}}$  if no subrepresentation of  $G$  in  $V_{\mathbb{C}}$  occurs in the representation of  $G$  in any symmetric tensor power  $S^k V_{\mathbb{C}}$  of  $V_{\mathbb{C}}$  for  $k > 1$ .*

PROOF. This is really just Lemma II. Let  $df_0$  denote the linear mapping from  $V_{\mathbb{C}}$  to  $V_{\mathbb{C}}$  gotten by identifying  $T(V_{\mathbb{C}})_0$  with  $V_{\mathbb{C}}$ . Now  $f - df_0 = \varphi$  is still equivariant.  $d\varphi_0$  is so one gets a map from  $S^2 V_{\mathbb{C}}$  to  $V_{\mathbb{C}}$ ; by Schur's lemma and the hypothesis this map is 0. Repeating one gets the Maclaurin–Taylor expansion of  $\varphi$  is 0.

REMARK. One should note if no subrepresentation of  $V_{\mathbb{C}}$  occurred in any symmetric power  $S^k V_{\mathbb{C}}$  for  $k > n$  then one could conclude  $\varphi$  was a polynomial mapping of degree at most  $n$ .

Let us give a few examples that illustrate the above.

EXAMPLE I. Let  $S^1$  act on  $\mathbb{C}^2$  by  $(a, b) \rightarrow (za, z'b)$ . The map  $(a, b) \rightarrow (a, b + a')$  is not linear though equivariant. Note that the representation on the second factor is contained in the  $t$ th symmetric power of  $\mathbb{C}^2$ . The representation space  $V_1$  of eigenvectors  $(a, 0)$  of weight one admits two equivariant imbeddings satisfying A and B: namely, the identity and  $(a, 0) \rightarrow (a, a^2)$ . Since their images are clearly distinct, the hypothesis on  $I$  in Proposition I cannot be removed.

EXAMPLE II. Let  $\text{SU}(2)$ , the compact real form of  $\text{SL}(2, \mathbb{C})$ , act on  $\mathbb{C}^2$  via the usual representation of  $\text{SL}(2, \mathbb{C})$ . Since any symmetric power of this representation is irreducible the lemma applies; but consider the direct sum of this representation on itself. One has  $\text{SU}(2)$  acting on the  $2 \times 2$  matrices and the determinant is an invariant quadratic function on these matrices! This is because in taking symmetric tensor powers of  $\mathbb{C}^2 \oplus \mathbb{C}^2$  one gets actual tensor powers of  $\mathbb{C}^2$  with itself from the cross terms. One can compute when the lemma applies by using formulas such as the Clebsch–Gordan series [11, p. 33].

Now let us specialize to  $S^1$  actions and give a more complete description of the  $\mathcal{F}_I$  of Proposition I. If  $S^1$  acts complex linearly on a vector space  $V_{\mathbb{C}}$  it splits  $V_{\mathbb{C}}$  into a direct sum  $\bigoplus_{n \in \mathbb{Z}} V_n$ , where  $n \in \mathbb{Z}$  is an integer and  $v \in V_n$  goes to  $z^n v$  under the action of  $z \in S^1$ ; the isomorphism type of an irreducible representation is given by an integer. The  $n \in \mathbb{Z}$  for which  $V_n$  is nontrivial are called weights.

Now note  $S^k V_n$ , the  $k$ th symmetric power of  $V_n$ , is a direct sum of representations of type  $kn$ . Thus:

**COROLLARY I.** *Let  $\Phi: S^1 \times X \rightarrow X$  be a  $C^\infty$  action of  $S^1$  on a complex manifold  $X$  by means of biholomorphisms. Let  $F$  be a fixed point component and let*

$$T(X)|_F = T(F) \oplus \left( \bigoplus_{j \in I} E_j \right) \oplus \left( \bigoplus_{j \in J} E_j \right)$$

where  $I = \{i_1, \dots, i_r\}$  with  $i_1 < i_2 < \dots < i_r < 0$  and  $J = \{j_1, \dots, j_s\}$  with  $0 < j_1 < \dots < j_s$ . Then:

(A)  $\mathcal{F}_I$  and  $\mathcal{F}_J$  are both defined. In fact for  $k > 0$ ,  $\mathcal{F}_{k^+}$  is defined where  $k^+ = \{i \in I : i > k > 0\}$ . Similarly, for  $k < 0$ ,  $\mathcal{F}_{k^-}$  is defined where  $k^- = \{i \in J : i < k < 0\}$ .

(B) Similarly,  $\mathcal{F}_{\mathcal{P}^+}$  is defined where  $\mathcal{P}^+ = \{r \in I : r \text{ is divisible by the prime } p\}$  and likewise  $\mathcal{F}_{\mathcal{P}^-}$ .

(C) More generally,  $\mathcal{F}_{\mathcal{M}}$  is defined if  $\mathcal{M} = (I \cup J) \cap S$ , where  $S$  is a sub-semigroup of  $\mathbb{Z}$  not containing 0.

(D)  $\mathcal{F}_{\mathcal{M}}$  is a tubular neighborhood of the zero section of a vector bundle if  $\mathcal{M} = \{i_n\}$  or  $\{j_s\}$  or more generally  $(I \cap J) \cup S$ , where  $S$  is a sub-semigroup of  $\mathbb{Z}$  such that  $(S + S) \cap I \cup J$  is null.

(E) If  $\Phi$  is the restriction of a holomorphic  $C^*$ -action on  $X$ , then each  $\mathcal{F}_{k^+}$  (respectively  $\mathcal{F}_{k^-}$ ) of (i) may be assumed to have vector space fibres with respect to the morphism  $p_{k^+}$  (respectively  $p_{k^-}$ ) of Proposition I, part A.

**PROOF.** To prove parts A–D, simply use the fact that  $S^k V_n$ , the  $k$ th symmetric power of  $V_n$ , is of type  $kn$  with respect to the induced  $S^1$ -action and apply Lemma III. If  $X$  is compact, then the identity component of the group of all biholomorphisms of  $X$  is a complex Lie group acting holomorphically on  $X$  so  $\Phi$  has an extension to  $C^* \times X$ . This  $C^*$  takes any tubular neighborhood of the zero section of  $E_I$  (respectively  $E_J$ ) onto all of  $E_I$  (respectively  $E_J$ ). Now for any  $x \in F$ , the map  $\Psi_x$  defined in the proof of Proposition I extends to  $E_{I_x}$  (respectively  $E_{J_x}$ ) and every nearby fibre by the duplication formula  $\Psi_x(y, v) = \lambda^{-1} \Psi_x(y, \lambda v)$ , where  $(y, v)$  denotes a point of  $E_{I_y}$  (respectively  $E_{J_y}$ ) and  $\lambda \in C^*$  sends  $(y, v)$  into the domain of  $\Psi_x$ . The independence of  $\lambda$  in this extension

follows from the  $S^1$ -equivariance of  $\psi_x$  and the identity principal. This completes the proof of  $E$ .

We note that one has a filtration  $\mathcal{F}_{i_r} \subset \mathcal{F}_{\{i_r, i_{r-1}\}} \subset \dots$ . It is interesting that though they are not in general tubular neighborhoods of the zero sections of vector bundles, quotients are well defined and the graded object gotten is simply a tubular neighborhood of  $F$  in  $\bigoplus_{j \in I} E_j$  (and similarly for  $J$ ). This follows immediately from:

LEMMA IV. *Let  $S^1$  act complex linearly on a complex vector space  $V_C$ . Let  $V_C = V_I \oplus V_J$  where*

$$I = \{\lambda_j \in \mathbf{Z} \mid 0 < \lambda_1 < \dots < \lambda_r \leq a\}$$

and

$$J = \{\mu_j \in \mathbf{Z} \mid a < \mu_1 < \dots < \mu_s\}$$

for some  $a$ , where  $V_I$  and  $V_J$  are the subrepresentation spaces containing all subrepresentations of type  $j \in I$  and  $j \in J$  respectively. Let  $f: U \rightarrow V_C$  be an equivariant holomorphic map where  $U$  is a neighborhood of the origin in  $V_C$ . Let  $p_I: V_C \rightarrow V_I$  denote the usual projection. Then  $f$  extends to  $V_C$  and there is a unique equivariant map  $f_I: V_I \rightarrow V_I$  such that  $f_I \circ p_I = p_I \circ f$ .

PROOF.  $f$  extends to  $V_C$  by the remark. If such an  $f_I$  exists it is clearly unique since  $f_I: V_I \rightarrow V_I$  must be gotten by composing the inclusion  $V_I \rightarrow (V_I, 0)$  with  $p_I \circ f$ . If we write  $f$  as  $p_I \circ f \oplus p_J \circ f$  what we must show is that  $p_I \circ f$  does not depend on the  $V_J$  variables. Let  $V_I$  have coordinates  $\{z_{11}, z_{12}, \dots, z_{21}, z_{22}, \dots\}$  and  $V_J$  have coordinates  $\{w_{11}, w_{12}, \dots, w_{21}, w_{22}, \dots\}$  where  $\eta \in S^1$  takes  $\{z_1, z_{12}, \dots, z_{21}, z_{22}, \dots, w_{11}, w_{12}, \dots, w_{21}, w_{22}, \dots\}$  to  $\{\eta^{\lambda_1} z_{11}, \eta^{\lambda_1} z_{12}, \dots, \eta^{\lambda_2} z_{22}, \dots, \eta^{\mu_1} w_{11}, \dots, \eta^{\mu_2} w_{21}, \dots\}$ . Now by projecting  $V_I$  onto a one dimensional irreducible vector subspace one gets a holomorphic function  $\tilde{f}_I: V \rightarrow \mathbf{C}$  such that  $\tilde{f}_I(\Phi(\eta, (z, w))) = \eta^\delta \tilde{f}_I(z, w)$  where  $\delta \leq a$ . One concludes from power series expansions that  $\tilde{f}_I$  is a sum of monomials  $a_{ijst} z_{ij}^r w_{st}^{q_s}$  with  $r_{ij} \lambda_i + q_{st} \mu_s = \delta$ . But since  $\lambda_i > 0$  and  $\mu_s > a$  and  $\delta \leq a$  we see that  $q_{st} = 0$ .

### III. The invariant decomposition.

In this section we will be solely concerned with holomorphic  $C^*$ - (and  $(C^*)^n$ -) actions on a compact Kaehler manifold  $X$  that have at least one fixed point on each component of  $X$ . By the main result of [15], this happens if the Lie algebra of vector fields  $(C^*)^n$  induces on  $X$  is annihilated by every holomorphic one form. The following is due to Bialynicki-Birula in the algebraic case [1].

PROPOSITION II. *Let  $C^*$  act holomorphically with at least one fixed point on a connected compact Kaehler manifold  $X$ . Let  $\{F_j \mid 1 \leq j \leq r\}$  be the connected*

components of the fixed point set of  $\mathbf{C}^*$  on  $X$ . There exist two functorial decompositions (the plus and the minus decompositions) into  $\mathbf{C}^*$ -invariant sets  $\{V_j^+ \mid 1 \leq j \leq r\}$  (respectively  $\{V_j^- \mid 1 \leq j \leq r\}$ ) such that

A)  $V_j^+$  (respectively  $V_j^-$ ) is Zariski open in its closure which is an analytic subset of  $X$ .

B) There exists a  $\mathbf{C}^*$ -invariant maximal rank holomorphic surjection  $\pi_j^+ : V_j^+ \rightarrow F_j$  (respectively  $\pi_j^- : V_j^- \rightarrow F_j$ ) with vector space fibres.

C)  $T(V_j^+)|_{F_j} = N(F_j)^+ \oplus T(F_j)$  (respectively  $T(V_j^-)|_{F_j} = N(F_j)^- \oplus T(F_j)$ ), where  $T(X)|_{F_j} = T(F_j) \oplus N(F_j)^+ \oplus N(F_j)^-$  is the usual decomposition in terms of the fixed part and the positive and negative weights of the associated  $S^1$ -action.

D) There is precisely one component  $F_1$  (respectively  $F_r$ ) called the source (respectively the sink) such that  $T(X)|_{F_1} = T(F_1) \oplus N(F_1)^+$  (respectively  $T(X)|_{F_r} = T(F_r) \oplus N(F_r)^-$ ).

E)  $X$  fibres meromorphically over the source (respectively the sink) with generic fibre bimeromorphic to  $\mathbf{C}P^N$ .

PROOF. We will prove the above for the plus decomposition; the minus decomposition is precisely the same. The  $V_j^+$  are defined in accordance with Proposition I as the  $\mathbf{C}^*$ -invariant submanifolds which are associated to the  $N(F_j)^+$ . We first show that  $X = \bigcup V_j^+$ . By the basic Lemma II—A of [15], each point  $x$  of  $X$  has a well defined limit  $x'$  under  $\lim_{\lambda \rightarrow 0} \lambda \cdot x$ , where  $\lambda \in \mathbf{C}^*$  and  $\lambda \cdot x$  denotes the image of  $x$  under  $\lambda$ . It is clear that  $x' \in F$ . By this and the invariance of the  $V_j^+$ , it is sufficient to show that each point  $x' \in \mathring{H}_j$  has a neighborhood  $U$  such that if  $x \in U$  and  $\lim_{\lambda \rightarrow 0} \lambda \cdot x$  is contained in  $F_j$ , then  $x \in V_j^+$ . Let  $U$  be the domain of an equivariant biholomorphism  $\varphi$  onto an open set in  $\mathbf{C}^n$ . By replacing  $\varphi$  by  $\varphi - \varphi(x')$  one can assume  $\varphi(x') = 0$ . Choose local coordinates  $(w_1, \dots, w_n)$  on  $\varphi(U)$  so that if  $\lambda \in \mathbf{C}^*$ , then

$$\lambda \cdot (w_1, \dots, w_n) = (\lambda^{k_1} w_1, \dots, \lambda^{k_s} w_s, w_{s+1}, \dots, w_t, \lambda^{j_{t+1}} w_{t+1}, \dots, \lambda^{j_n} w_n),$$

where each  $k_i > 0$  and each  $j_i < 0$ . Here  $s = \text{rank } N(F_j)^+$  and  $t = \dim V_j^+$ .

Now  $\{w \in \varphi(U) : \lim_{\lambda \rightarrow 0} \lambda \cdot w \in \varphi(U \cap F_j)\}$  is the intersection of  $\varphi(U)$  and the hyperplane  $H = \{w_{t+1} = \dots = w_n = 0\}$ , and by definition  $\varphi(V_j^+ \cap U) \subset H \cap \varphi(U)$ . It is clear that  $V_j^+ \cap U$  is closed in  $U$  so in fact  $\varphi(V_j^+ \cap U) = H \cap \varphi(U)$ . Thus  $x \in V_j^+$ , and hence  $X = \bigcup V_j^+$ .

Each  $V_j^+$  is Zariski open in compact analytic space  $\overline{V_j^+}$  such that the  $\mathbf{C}^*$ -action on  $\overline{V_j^+}$  extends to  $\overline{V_j^+}$  and  $\pi_j^+$  extends to a holomorphic equivariant map  $\pi_j^+ : \overline{V_j^+} \rightarrow F_j$ . Now by a simple application of the main proposition of [13] (or by means of Lemma I-A, and Lemma II-A of [15] and Siu's extension theorem [14]), one sees that the inclusion of  $V_j^+$  in  $X$  extends meromorphically to  $\overline{V_j^+}$ . This shows A. It also shows that one and only one  $V_j^+$  say  $V_1^+$  can be Zariski open; this proves E.

There is an exactly analogous decomposition when  $(\mathbf{C}^*)^n$  acts with at least one fixed point on a compact connected Kaehler manifold  $X$  except that  $T(V_j^+)|_{F_j} = N(F_j)^+ \oplus T(F_j)$ , where

$$T(X)|_{F_j} = T(F_j) \oplus N(F_j)^+ \oplus N(F_j)^- \oplus \Gamma,$$

where  $N(F_j)^+$  is the largest summand with no trivial direct summands on which  $(\mathbf{C}^*)^n$  acts with semi-positive weights (and similarly for  $T(V_j^-)|_{F_j}$ ). This is proven by induction. One does the decomposition for  $(\mathbf{C}^*)^{n-1} \times \{1\}$  and notes that since  $(\mathbf{C}^*)^n$  is commutative,  $\{1\} \times \mathbf{C}^*$  leaves the fixed point set and the decomposition invariant. One now simply applies the above proposition to the action of  $\{1\} \times \mathbf{C}^*$  on the fixed point set of  $(\mathbf{C}^*)^{n-1} \times \{1\}$  and notes everything is compatible. The following corollary is well known.

**COROLLARY II.** *Let  $(\mathbf{C}^*)^n$  act holomorphically on a not necessarily connected compact Kaehler manifold  $X$ . The Euler characteristic of  $X$  is equal to the Euler characteristic of the fixed point set of  $X$ .*

**PROOF.** One can assume  $X$  is connected. If  $(\mathbf{C}^*)^n$  has no fixed point set on  $X$  then the Euler characteristic  $\mathcal{E}(X)$  of  $X$  is 0. Thus one can assume  $(\mathbf{C}^*)^n$  has a fixed point set with connected components  $\{F_j \mid 1 \leq j \leq r\}$ . By Proposition II  $X = \bigcup_j V_j^+$ . Thus  $\mathcal{E}(X) = \sum_j \mathcal{E}(V_j^+)$ . Now  $\pi_j^+ : V_j^+ \rightarrow F_j$  is a maximal rank surjection with vector spaces or fibres, and thus  $\mathcal{E}(V_j^+) = \mathcal{E}(F_j)$ .

**COROLLARY III.** *If  $(\mathbf{C}^*)^n$  acts on a compact Kaehler manifold  $X$ , then  $X$  is projective if and only if the source (sink) is projective. In particular,  $X$  is projective if and only if the fixed point set is projective.*

**PROOF.** Only one assertion is non-trivial. Assume the source is projective and that  $X$  is connected. Since  $X$  is bimeromorphic to  $\overline{V_1^+}$  and since a Moisozon Kaehler space is projective by Moisozon's theorem [9, p. 280, Cor. II], it suffices to show that  $\overline{V_1^+}$  is Moisozon. One can do this directly but it is easier to blow up  $F_1$  in  $\overline{V_1^+}$  to get  $V_1^+$  with a meromorphic surjection onto the blown up  $F_1$  and generic fibre  $\mathbf{C}P^1$ . The blown up  $F_1$  is projective and we can use the theorem of Kodaira-Kawai-Hironaka [10, Cor. 5.2].

**REMARK.** If  $X$  is projective it can be shown [8] that  $X$  is birational to  $F_1 \times \mathbf{C}P^a$ , and similarly for  $F_r$ . This yields the pleasant consequence that a Kaehler  $X$  is birational to  $\mathbf{C}P^N$  if  $F_1$  (or  $F_r$ ) is. If  $X$  is not projective,  $X$  is not necessarily bimeromorphic to  $\mathbf{C}P^a \times F_1$ . To see this choose a Kaehler torus  $T$  with no analytic subspaces and  $L \rightarrow T$  a non-trivial line bundle. Add a copy  $T_\infty$  of  $T$  to infinity on  $L$  to get the compact Kaehler manifold  $\overline{L}$  that  $\mathbf{C}^*$  acts on

with source the zero-section and  $T_\infty$  as the sink. If  $\bar{L} = \mathbb{C}P^1 \times T$  bimeromorphically, then  $L$  would have a meromorphic section. But then, since  $L$  is non-trivial,  $T$  would have a non-trivial analytic subset, i.e., the zero and pole loci, contradicting our choice of  $T$ .

The next example shows the situation can, as expected, be quite different for compact complex manifolds.

EXAMPLE II. Let  $H$  be the Hopf manifold  $\mathbb{C}^2 - \{0\}/\mathbb{Z}$  where the  $\mathbb{Z}$  action is generated by  $(z, w) \rightarrow (2z, 2w)$ . The  $\mathbb{C}^*$ -action  $\lambda \cdot (z, w) = (\lambda z, w)$  on  $\mathbb{C}^2 - \{0\}$  gives rise to a  $\mathbb{C}^*$ -action on  $H$  and  $\mathbb{C}P^1$  with respect to which the universal surjection  $p: H \rightarrow \mathbb{C}P^1$  is equivariant. On  $\mathbb{C}P^1$  the fixed point set is  $[0, 1]$  and  $[1, 0]$ . On  $H$  it is only the torus  $T = p^{-1}([0, 1])$ . Now  $p^{-1}([1, 0])$  is an invariant set, and  $H - p^{-1}([1, 0]) \approx T \times \mathbb{C}$  equivariantly with  $\mathbb{C}^*$  acting on the second factor. The closure of any orbit in  $H - p^{-1}([1, 0])$  contains  $p^{-1}([0, 1])$ . Thus there is a source but no sink. The sink is replaced by an invariant set.

EXAMPLE III.  $X$  can have isolated fixed points without being rational. For example, let  $X'$  be any compact Kaehler manifold, and let  $\mathbb{C}^*$  act on  $\mathbb{C}P^1 \times X'$  by acting only on the first factor. On the first factor, let the action be  $\lambda[w, z] = [\lambda w, z]$ . Blow up any point  $([1, 0], x)$ : the action lifts, and the fixed point set consists of one copy of  $X'$ , one copy of  $X'$  with  $x \in X'$  blown up and one isolated point in the  $\mathbb{C}P^n$  one replaced  $([1, 0], x)$  by.

Let  $\Omega_X$  denote any sheaf on  $X$  of the form  $(\Omega_X^{p_1})^{\otimes a_1} \oplus \dots \oplus (\Omega_X^{p_n})^{\otimes a_n}$  with some  $p_i$  and  $a_i$  both positive, where  $\Omega_X^p$  denotes the sheaf of germs of holomorphic  $p$ -forms on  $X$ . Since  $X$  is bimeromorphic to  $\bar{V}_1^+$  and since holomorphic sections of  $\Omega_X$  are bimeromorphic invariants, it makes sense to talk about sections of  $\Omega_X$  that are pullbacks of sections of  $\Omega_{F_1}$  via  $\pi_1^+ : \bar{V}_1^+ \rightarrow F_1$  (and similarly for  $F_r$ ).

COROLLARY IV. Let  $(\mathbb{C}^*)^d$  act holomorphically with at least one fixed point on a compact Kaehler manifold  $X$ . Let  $F_1$  and  $F_r$  denote the source and the sink. Then for any sheaf  $\Omega_X$  defined above,

$$H^0(X, \Omega_X) \approx H^0(F_1, \Omega_{F_1}) \approx H^0(F_r, \Omega_{F_r}).$$

In particular,  $H^0(X, \Omega_X^q) = 0$  if  $q > \inf \{\dim_{\mathbb{C}} F_1, \dim_{\mathbb{C}} F_r\}$ .

PROOF. It suffices to do the part concerning  $F_1$ . Since  $\pi_1^+ : \bar{V}_1^+ \rightarrow F_1$  is locally a product projection, the tangent sheaf of  $X$  restricted to a fibre is a direct sum of the normal sheaf to the fibre and the tangent sheaf to the fibre.

Now if  $\eta \in H^0(\overline{V}_1^+, \Omega_{\overline{V}_1^+})$  was not the pullback of a section of  $\Omega_{F_1}$ , then, by using the last line and the fact that the normal sheaf to a fibre is trivial, one could find some section  $\chi$  of an  $\Omega_{\mathbb{C}P^n}$ , which is absurd.

The above sharpens and generalizes [3], [5], and [7, p. 161] for C\*-actions.

## REFERENCES

1. A. Białyński-Birula, *Some theorems on actions of algebraic groups*, Ann. of Math. 98 (1973), 480–497.
2. S. Bochner and W. T. Martin, *Several Complex Variables*, Princeton University Press, The Maple Press Co., Pennsylvania, 1948.
3. J. B. Carrell and D. Lieberman, *Holomorphic vector fields and Kaehler manifolds*, Invent. Math. 23 (1973), 303–309.
4. T. Frankel, *Fixed points and torsion on Kaehler manifolds*, Ann. of Math. (2) 70 (1959), 1–8.
5. A. Howard, *Holomorphic vector fields on algebraic manifolds*, Amer. J. Math. 94 (1972), 1282–1290.
6. B. Iversen, *Cohomology and torus actions*, preprint.
7. S. Kobayashi, *Transformation groups in differential geometry* (Ergebnisse Math. 70), Springer-Verlag, Berlin - New York, 1972.
8. D. Lieberman, *Vector fields on projective manifolds.*, Proceedings of Symposia in Pure Mathematics, Vol. XXX (1976).
9. B. G. Moisezon, *On n-dimensional compact varieties within algebraically independent meromorphic functions* I, II, III, Amer. Math. Soc. Transl. 63 (2) (1967), 51–177. (Izvestija Akad. Nauk. SSSR, Ser. Mat. 30 (1966), 133–174, 345–386, 621–656).
10. J. Morrow and H. Rossi, *Some theorems of algebraicity for complex spaces*, J. Math. Soc. Japan 27 (1975), 167–183.
11. O. Riemenschneider, *Characterizing Moisezon spaces by almost positive coherent analytic sheaves*, Math. Z. (1971), 263–284.
12. R. Richardson, *Principal orbit types for reductive groups acting on Stein manifolds*, Math. Ann. 208 (1974), 323–331.
13. H. Samelson, *Notes on Lie algebras*, Van Nostrand Reinhold Co., New York 1969.
14. Y.-T. Siu, *Extension of meromorphic maps into Kähler manifolds*, Ann. of Math. 102 (1975), 421–462.
15. A. J. Sommese, *Extension theorems for reductive group actions on compact Kaehler manifolds*, Math. Ann. 218 (1975), 107–116.
16. S. Sternberg, *Lectures on differential geometry*, Prentice-Hall, 1964.
17. P. Wagreich, *Algebraic varieties with group action* in *Algebraic Geometry*, Proceedings of Symposia in Pure Mathematics, Vol. 29 pp. 633–642, Amer. Math. Soc., Providence R.I., 1975.

UNIVERSITY OF BRITISH COLUMBIA  
VANCOUVER, B.C., CANADA V6T 1W5

AND

CORNELL UNIVERSITY  
ITHACA, NEW YORK 14850, U.S.A.