

EIGENSPACE REPRESENTATIONS OF NILPOTENT LIE GROUPS II

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1. Introduction.

The present paper generalizes and completes the results of [2] on eigenspace representations and invariant differential operators on homogeneous spaces for nilpotent Lie groups.

Let G be a connected and simply connected, complex or real, nilpotent Lie group with Lie algebra \mathfrak{g} . Let $\alpha: \mathfrak{g} \rightarrow \mathbb{C}$ be a linear functional on \mathfrak{g} and let \mathfrak{f} be a subalgebra of \mathfrak{g} subordinate to α , i.e. with $\alpha([\mathfrak{f}, \mathfrak{f}]) = \{0\}$.

For G complex we consider the left regular representation $\zeta_{\alpha, \mathfrak{f}}$ of G on the joint eigenspace

$$\mathcal{H}_{\alpha, \mathfrak{f}}(G) := \{f \in \mathcal{H}(G) \mid Xf = \alpha(X)f \ \forall X \in \mathfrak{f}\}$$

of holomorphic functions on G , and for G real we consider the left regular representation $\lambda_{\alpha, \mathfrak{f}}$ of G on the joint eigenspace

$$\mathcal{E}_{\alpha, \mathfrak{f}}(G) := \{f \in \mathcal{E}(G) \mid Xf = \alpha(X)f \ \forall X \in \mathfrak{f}\}$$

of C^∞ -functions on G .

We show that these representations may be realized on $\mathcal{H}(\mathbb{C}^n)$ (respectively $\mathcal{E}(\mathbb{R}^n)$), $n = \dim \mathfrak{g}/\mathfrak{f}$, in such a way that if \mathfrak{f} satisfies a certain maximality condition relative to α , then the derived representation $d\zeta_{\alpha, \mathfrak{f}}$ (respectively $d\lambda_{\alpha, \mathfrak{f}}$) maps the universal enveloping algebra $\mathcal{U}(\mathfrak{g})$ onto the algebra of all differential operators on \mathbb{C}^n (respectively \mathbb{R}^n) with polynomial coefficients (Theorems 3.1 and 3.2). From this, irreducibility of the group representations is derived (Corollary 3.3).

These results contain and extend Theorems 4.1 and 5.1 of [2], where only real groups were considered and where it was assumed that α is of the special form $\alpha = c\beta$ for some $c \in \mathbb{C}$ and $\beta \in \mathfrak{g}^*$.

We prove that the maximality condition on \mathfrak{f} relative to α , imposed as a sufficient condition in the above irreducibility statement, is also a necessary one (Corollary 4.4). No result of this kind was obtained in [2].

We also obtain a necessary and sufficient condition on the Lie algebra of a

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connected subgroup H of G ensuring that the algebra $\mathbf{D}(G/H)$ of G -invariant differential operators on the homogeneous space G/H is generated by a single vector field (Theorem 4.5). Sufficiency of this condition was proved (for real G) in [2].

The results on the eigenspace representations $\zeta_{\alpha, \mathfrak{f}}$ and $\lambda_{\alpha, \mathfrak{f}}$ described above are analogous to classical results on the unitary representations of nilpotent Lie groups, Theorems 7.1 and 5.2(1) of Kirillov [3].

2. Definitions and preliminaries.

Throughout this paper G denotes a connected and simply connected, real or complex nilpotent Lie group with Lie algebra \mathfrak{g} of left invariant vector fields on G . The universal enveloping algebra of \mathfrak{g} is denoted $\mathcal{U}(\mathfrak{g})$.

The dual space of \mathfrak{g} is denoted \mathfrak{g}^* , and for \mathfrak{g} real we denote by $(\mathfrak{g}^*)^{\mathbb{C}}$ the set of all complex-valued, real linear functionals on \mathfrak{g} . For \mathfrak{g} real and $\alpha \in (\mathfrak{g}^*)^{\mathbb{C}}$ we denote by $\alpha^{\mathbb{C}}$ the extension of α to an element of $(\mathfrak{g}^{\mathbb{C}})^*$, where $\mathfrak{g}^{\mathbb{C}}$ denotes the complexification of \mathfrak{g} .

For $\alpha \in \mathfrak{g}^*$, or $\alpha \in (\mathfrak{g}^*)^{\mathbb{C}}$ if \mathfrak{g} is real, $S(\alpha, \mathfrak{g})$ denotes the set of subalgebras \mathfrak{f} of \mathfrak{g} which are subordinate to α , i.e. for which $\alpha([\mathfrak{f}, \mathfrak{f}]) = \{0\}$, and $M(\alpha, \mathfrak{g})$ the subset of $S(\alpha, \mathfrak{g})$ consisting of the subalgebras in $S(\alpha, \mathfrak{g})$ of maximal dimension.

Since \mathfrak{g} is nilpotent we have for $\alpha \in \mathfrak{g}^*$ (\mathfrak{g} real or complex) that a subalgebra \mathfrak{f} of \mathfrak{g} belongs to $M(\alpha, \mathfrak{g})$ if and only if it, as a subspace of \mathfrak{g} , is maximally totally isotropic with respect to the bilinear form $\alpha([\cdot, \cdot])$, i.e. iff

$$\forall X \in \mathfrak{g} : X \in \mathfrak{f} \Leftrightarrow \alpha([X, \mathfrak{f}]) = \{0\} .$$

For G complex, $\alpha \in \mathfrak{g}^*$ and $\mathfrak{f} \in S(\alpha, \mathfrak{g})$, the joint eigenspace $\mathcal{H}_{\alpha, \mathfrak{f}}(G)$ is defined in the introduction. There $\mathcal{H}(G)$ denotes the space of holomorphic functions on G , equipped with the topology of uniform convergence on compact subsets of G . If K denotes the analytic subgroup of G corresponding to \mathfrak{f} , there exists a character $\chi: K \rightarrow \mathcal{C}$ such that $\chi(\exp X) = e^{\alpha(X)}$ for all $X \in \mathfrak{f}$, and then

$$\mathcal{H}_{\alpha, \mathfrak{f}}(G) = \{f \in \mathcal{H}(G) \mid f(gk) = f(g)\chi(k) \quad \forall g \in G, k \in K\} .$$

The space $\mathcal{H}_{\alpha, \mathfrak{f}}(G)$ is a closed subspace of $\mathcal{H}(G)$, invariant under the left regular representation of G on $\mathcal{H}(G)$ which then restricts to a holomorphic representation $\zeta_{\alpha, \mathfrak{f}}$ of G on $\mathcal{H}_{\alpha, \mathfrak{f}}(G)$.

Similarly, for G real, $\alpha \in (\mathfrak{g}^*)^{\mathbb{C}}$ and $\mathfrak{f} \in S(\alpha, \mathfrak{g})$, the left regular representation of G on $\mathcal{E}(G)$ ($= C^\infty(G)$) equipped with its usual topology) restricts to a differentiable representation $\lambda_{\alpha, \mathfrak{f}}$ of G on the joint eigenspace $\mathcal{E}_{\alpha, \mathfrak{f}}(G)$ defined in the introduction. Also $\mathcal{E}_{\alpha, \mathfrak{f}}(G)$ is alternatively described in terms of the character on K determined by α .

An ordered basis $\Xi = \{X_1, \dots, X_n\}$ for \mathfrak{g} modulo a subalgebra \mathfrak{f} is called

coexponential, if for every $i = 1, \dots, n$, $\mathfrak{g}_i := \text{span} \{X_{i+1}, \dots, X_n, \mathfrak{f}\}$ is an ideal of \mathfrak{g}_{i-1} . Such a basis exists for every \mathfrak{f} since \mathfrak{g} is nilpotent.

In the rest of this section we let G be complex, the real case is quite analogous, just exchange \mathbb{C} with \mathbb{R} , \mathcal{H} with \mathcal{E} and ζ with λ ; cfr. [2].

Let \mathfrak{f} be a subalgebra of \mathfrak{g} , K the corresponding analytic subgroup of G and $\Xi = \{X_1, \dots, X_n\}$ a coexponential basis for \mathfrak{g} modulo \mathfrak{f} . Then the map

$$s : (x_1, \dots, x_n) \mapsto \exp(x_1 X_1) \dots \exp(x_n X_n) K$$

is a bianalytic diffeomorphism of \mathbb{C}^n onto the coset space G/K , and if Ξ' is another coexponential basis for \mathfrak{g} modulo \mathfrak{f} with corresponding map $s' : \mathbb{C}^n \rightarrow G/K$, then the composite map $s^{-1} \circ s' : \mathbb{C}^n \rightarrow \mathbb{C}^n$ is polynomial. Thus the identification of G/K with \mathbb{C}^n via s gives rise to an unambiguous notion of the algebra $\text{Pol}(G/K)$ of polynomial functions on G/K and the algebra $\text{DP}(G/K)$ of differential operators on G/K with polynomial coefficients.

Also, if $\mathfrak{f} \in S(\alpha, \mathfrak{g})$, the map $S : \mathcal{H}(G) \rightarrow \mathcal{H}(\mathbb{C}^n)$ given by

$$(Sf)(x_1, \dots, x_n) = f(\exp(x_1 X_1) \dots \exp(x_n X_n))$$

restricts to a topological isomorphism $S_{\alpha, \mathfrak{f}, \Xi} : \mathcal{H}_{\alpha, \mathfrak{f}}(G)$ onto $\mathcal{H}(\mathbb{C}^n)$ making $\zeta_{\alpha, \mathfrak{f}}$ equivalent to a representation $\zeta_{\alpha, \mathfrak{f}, \Xi}$ of the form

$$[\zeta_{\alpha, \mathfrak{f}, \Xi}(g)f](x) = e^{\alpha(p(g, x))} f(g^{-1} \cdot x),$$

where $g \in G$, $f \in \mathcal{H}(\mathbb{C}^n)$, and $x \in \mathbb{C}^n$. Here p is a polynomial map $G \times \mathbb{C}^n \rightarrow \mathfrak{f}$ and $g \cdot x$ denotes the action of g on x induced by the identification of G/K with \mathbb{C}^n by means of s . The formula implies that $d\zeta_{\alpha, \mathfrak{f}, \Xi}(\mathcal{U}(\mathfrak{g}))$ is contained in $\text{DP}(\mathbb{C}^n)$, the algebra of all differential operators on \mathbb{C}^n with polynomial coefficients.

If Ξ' is another coexponential basis for \mathfrak{g} modulo \mathfrak{f} then the equivalence $T = S_{\alpha, \mathfrak{f}, \Xi'} \circ S_{\alpha, \mathfrak{f}, \Xi}^{-1}$ between $\zeta_{\alpha, \mathfrak{f}, \Xi'}$ and $\zeta_{\alpha, \mathfrak{f}, \Xi}$ is of the form

$$[Tf](x) = e^{\alpha(q(x))} f(r(x)), \quad f \in \mathcal{H}(\mathbb{C}^n),$$

for certain polynomial maps $q : \mathbb{C}^n \rightarrow \mathfrak{f}$ and $r : \mathbb{C}^n \rightarrow \mathbb{C}^n$, where also r^{-1} is polynomial. In particular T defines an algebra automorphism $D \mapsto T \circ D \circ T^{-1}$ of $\text{DP}(\mathbb{C}^n)$.

Notation analogous the one introduced for complex G will be used for real G .

$\text{DP}(\mathbb{R}^n)$ will denote the complex algebra of all differential operators on \mathbb{R}^n with polynomial coefficients.

3. Representations of $\mathcal{U}(\mathfrak{g})$. Irreducibility.

The following theorem is the analogue for complex groups of Theorem 4.1 in [2].

3.1. THEOREM. Let G be a connected and simply connected, complex nilpotent Lie group with Lie algebra \mathfrak{g} . Let $\alpha \in \mathfrak{g}^*$, $\mathfrak{f} \in M(\alpha, \mathfrak{g})$, and let Ξ be a coexponential basis for \mathfrak{g} modulo \mathfrak{f} , then

$$(3.1) \quad d\zeta_{\alpha, \mathfrak{f}, \Xi}(\mathcal{U}(\mathfrak{g})) = \text{DP}(\mathbb{C}^n),$$

where $n = \dim \mathfrak{g}/\mathfrak{f}$.

PROOF. If $\dim \mathfrak{g} \leq 2$, then \mathfrak{g} is abelian and so $\mathfrak{f} = \mathfrak{g}$, that is $n = 0$. In this case $\text{DP}(\mathbb{C}^n)$ consists of the scalars only in which case (3.1) clearly holds. We proceed by induction on $\dim \mathfrak{g}$.

By the last paragraph of Section 2 it suffices to prove (3.1) for one choice of Ξ .

Let \mathfrak{z} denote the center of \mathfrak{g} and observe that $\mathfrak{z} \subseteq \mathfrak{f}$ since $\mathfrak{f} \in M(\alpha, \mathfrak{g})$.

If $\mathfrak{i} := \mathfrak{z} \cap \ker \alpha \neq \{0\}$, we consider the quotient group $\tilde{G} = G/\exp(\mathfrak{i})$ with Lie algebra $\tilde{\mathfrak{g}} = \mathfrak{g}/\mathfrak{i}$. Since $\mathfrak{i} \subset \ker \alpha$, there exists $\tilde{\alpha} \in \tilde{\mathfrak{g}}^*$ such that $\tilde{\alpha}(\tilde{X}) = \alpha(X)$ for all $X \in \mathfrak{g}$, where $X \mapsto \tilde{X}$ denotes the quotient map $\mathfrak{g} \rightarrow \tilde{\mathfrak{g}}$. Clearly $\tilde{\alpha} \neq 0$, $\tilde{\mathfrak{f}} \in M(\tilde{\alpha}, \tilde{\mathfrak{g}})$, $\tilde{\Xi}$ is a coexponential basis for $\tilde{\mathfrak{g}}$ modulo $\tilde{\mathfrak{f}}$ and

$$d\zeta_{\alpha, \mathfrak{f}, \Xi}(X) = d\zeta_{\tilde{\alpha}, \tilde{\mathfrak{f}}, \tilde{\Xi}}(\tilde{X}) \quad \text{for all } X \in \mathfrak{g}.$$

Hence

$$d\zeta_{\alpha, \mathfrak{f}, \Xi}(\hat{\mathcal{U}}(\mathfrak{g})) = \text{DP}(\mathbb{C}^n)$$

by the induction hypothesis applied to \tilde{G} .

From now on assume $\mathfrak{z} \cap \ker \alpha = \{0\}$. Then $\dim \mathfrak{z} = 1$, since $\mathfrak{z} \subseteq \mathfrak{f}$. Let $Z \in \mathfrak{z}$ with $\alpha(Z) = 1$. Let $Y \in \mathfrak{g}$ represent a non-zero element of the center of $\mathfrak{g}/\mathfrak{z}$, then

$$\mathfrak{g}_0 := \{V \in \mathfrak{g} \mid [V, Y] = 0\}$$

is an ideal of codimension 1 in \mathfrak{g} . We may choose Y so that $\alpha(Y) = 0$.

The rest of the proof is divided according to whether

$$(I) \quad \mathfrak{f} \subseteq \mathfrak{g}_0 \quad \text{or} \quad (II) \quad \mathfrak{f} \not\subseteq \mathfrak{g}_0.$$

(I) Assume $\mathfrak{f} \subseteq \mathfrak{g}_0$ and put $\alpha_0 = \alpha|_{\mathfrak{g}_0}$. Then $\alpha_0 \neq 0$ and $\mathfrak{f} \in M(\alpha_0, \mathfrak{g}_0)$. Choose $X_1 \in \mathfrak{g} \setminus \mathfrak{g}_0$ such that $[X_1, Y] = Z$ and let $\Xi_0 = \{X_2, \dots, X_n\}$ be a coexponential basis for \mathfrak{g}_0 modulo \mathfrak{f} , thus making $\Xi = \{X_1, X_2, \dots, X_n\}$ a coexponential basis for \mathfrak{g} modulo \mathfrak{f} . Set $\zeta = \zeta_{\alpha, \mathfrak{f}, \Xi}$ and $\zeta_0 = \zeta_{\alpha_0, \mathfrak{f}, \Xi_0}$, the latter being a representation of the subgroup $G_0 = \exp(\mathfrak{g}_0)$ of G . Then $d\zeta(X_1) = -\partial/\partial x_1$, while for every $V_0 \in \mathfrak{g}_0$, $\varphi \in \mathcal{H}(\mathbb{C}^n)$ and $(x_1, \underline{x}_0) \in \mathbb{C} \times \mathbb{C}^{n-1} = \mathbb{C}^n$

$$(3.2) \quad [d\zeta(V_0)\varphi](x_1, x_0) = [d\zeta_0(e^{-x_1 \text{ad } X_1} V_0)\varphi(x_1, \cdot)](\underline{x}_0).$$

Since $\mathfrak{f} \in M(\alpha_0, \mathfrak{g}_0)$, \mathfrak{f} contains the central elements Z and Y of \mathfrak{g}_0 , whence $d\zeta_0(Z) = -\alpha_0(Z)I = -I$ and $d\zeta_0(Y) = -\alpha_0(Y)I = 0$. Hence by (3.2), $d\zeta(Y) = x_1$.

Furthermore, since by (3.2)

$$[d\zeta_0(V_0)\varphi(x_1, \cdot)](x_0) = \sum_{k=0}^{\infty} \frac{x_1^k}{k!} [d\zeta((\text{ad } X_1)^k V_0)\varphi](x_1, x_0),$$

the series being finite because \mathfrak{g} is nilpotent, we have

$$1_{\mathbb{C}} \otimes d\zeta_0(\mathcal{U}(\mathfrak{g}_0)) \subseteq d\zeta(\mathcal{U}(\mathfrak{g}_0)).$$

So applying the induction hypothesis to G_0 we conclude $d\zeta(\mathcal{U}(\mathfrak{g})) = \text{DP}(\mathbb{C}^n)$.

(II) Assume $\mathfrak{f} \not\subseteq \mathfrak{g}_0$. Then there exists $X \in \mathfrak{f}$ with $[X, Y] = Z$, and this implies that $Y \notin \mathfrak{f}$ since $\mathfrak{f} \in S(\alpha, \mathfrak{g})$ and $\alpha(Z) \neq 0$. We may choose X such that $\alpha(X) = 0$.

Let $\mathfrak{f}_0 = \mathfrak{f} \cap \mathfrak{g}_0$ and set $\mathfrak{f}' = \mathbb{C}Y + \mathfrak{f}_0$. Then $\mathfrak{f}' \in S(\alpha, \mathfrak{g})$ and $\dim \mathfrak{f}' = \dim \mathfrak{f}$, so in fact $\mathfrak{f}' \in M(\alpha, \mathfrak{g})$. Also $\mathfrak{f}' \subseteq \mathfrak{g}_0$. Note that $\mathfrak{f} = \mathbb{C}X + \mathfrak{f}_0$.

The direct sum of vector spaces $\tilde{\mathfrak{g}} = \mathbb{C}X + \mathbb{C}Y + \mathfrak{f}_0$ is a subalgebra of \mathfrak{g} of codimension $n - 1$, so if $\{X_1, \dots, X_{n-1}\}$ is a coexponential basis for \mathfrak{g} modulo $\tilde{\mathfrak{g}}$, then $\Xi := \{X_1, \dots, X_{n-1}, Y\}$ is a coexponential basis for \mathfrak{g} modulo \mathfrak{f} , while $\Xi' := \{X_1, \dots, X_{n-1}, X\}$ is a coexponential basis for \mathfrak{g} modulo \mathfrak{f}' . Let $\zeta = \zeta_{\alpha, \mathfrak{f}, \Xi}$ and $\zeta' = \zeta_{\alpha, \mathfrak{f}', \Xi'}$, then

$$(3.3) \quad \Phi(d\zeta'(D)) = d\zeta(D) \quad \text{for all } D \in \mathcal{U}(\mathfrak{g}),$$

where Φ denotes the algebra automorphism of $\text{DP}(\mathbb{C}^n)$ given by

$$(3.4) \quad \begin{aligned} \Phi(x_i) &= x_i, & \Phi\left(\frac{\partial}{\partial x_i}\right) &= \frac{\partial}{\partial x_i} & i=1, \dots, n-1 \\ \Phi(x_n) &= -\frac{\partial}{\partial x_n}, & \Phi\left(\frac{\partial}{\partial x_n}\right) &= x_n. \end{aligned}$$

The relation (3.3) is proved by induction on $\dim \mathfrak{g}/\tilde{\mathfrak{g}} = n - 1$: If $\dim \mathfrak{g}/\tilde{\mathfrak{g}} = 0$ then $n = 1$ and by direct calculations $d\zeta'(X) = -\partial/\partial x_n$, $d\zeta(X) = -\alpha(Z)x_n = -x_n$, $d\zeta'(Y) = \alpha(Z)x_n = x_n$, and $d\zeta(Y) = -\partial/\partial x_n$, while $d\zeta'(V) = d\zeta(V) = -\alpha(V)I$ for all $V \in \mathfrak{f}_0$. This proves (3.3) when $\dim \mathfrak{g}/\tilde{\mathfrak{g}} = 0$.

Let $\dim \mathfrak{g}/\tilde{\mathfrak{g}} > 0$ and suppose that (3.3) holds when \mathfrak{g} there is replaced by any subalgebra \mathfrak{g}_0 of \mathfrak{g} for which $\mathfrak{g}_0 \supseteq \tilde{\mathfrak{g}}$ and $\dim \mathfrak{g}_0/\tilde{\mathfrak{g}} < \dim \mathfrak{g}/\tilde{\mathfrak{g}}$ and ζ, ζ' are replaced by corresponding representations of $G_0 = \exp(\mathfrak{g}_0)$. Then (3.3) follows from the recursion formulas, cfr. (3.2),

$$\begin{aligned} d\zeta(X_1) &= -\frac{\partial}{\partial x_1}; & d\zeta(V) &= \sum_{k=0}^{\infty} \frac{(-x_1)^k}{k!} 1_{\mathbb{C}} \otimes d\zeta_2((\text{ad } X_1)^k V) \\ d\zeta'(X_1) &= -\frac{\partial}{\partial x_1}; & d\zeta'(V) &= \sum_{k=0}^{\infty} \frac{(-x_1)^k}{k!} 1_{\mathbb{C}} \otimes d\zeta'_2((\text{ad } X_1)^k V), \end{aligned}$$

where $V \in \mathfrak{g}_2 = \text{span}\{X_2, \dots, X_{n-1}, \tilde{\mathfrak{g}}\}$, $\zeta_2 = \zeta_{\alpha_2, \mathfrak{f}, \Xi_2}$, and $\zeta'_2 = \zeta_{\alpha_2, \mathfrak{f}, \Xi'_2}$, with $\alpha_2 = \alpha|_{\mathfrak{g}_2}$, $\Xi_2 = \{X_2, \dots, X_{n-1}, Y\}$, and $\Xi'_2 = \{X_2, \dots, X_{n-1}, X\}$.

Now, since $\mathfrak{f}' \subseteq \mathfrak{g}_0$, we have by (I) $d\zeta'(\mathcal{U}(\mathfrak{g})) = \text{DP}(\mathbb{C}^n)$, hence by (3.3) $d\zeta(\mathcal{U}(\mathfrak{g})) = \text{DP}(\mathbb{C}^n)$.

This finishes the proof of the theorem.

As a corollary we obtain the following extension of Theorem 4.1 of [2], cf. Remark 1 below, the extension being that the functional α may be general complex-valued, not just of the special form $\alpha = c\beta$ with $c \in \mathbb{C}$ and $\beta \in \mathfrak{g}^*$.

3.2. THEOREM. *Let G be a connected and simply connected, real nilpotent Lie group with Lie algebra \mathfrak{g} .*

Let $\alpha \in (\mathfrak{g}^)^{\mathbb{C}}$, $\mathfrak{f} \in S(\alpha, \mathfrak{g})$ and let Ξ be a coexponential basis for \mathfrak{g} modulo \mathfrak{f} . If $\mathfrak{f}^{\mathbb{C}} \in M(\alpha^{\mathbb{C}}, \mathfrak{g}^{\mathbb{C}})$ then*

$$d\lambda_{\alpha, \mathfrak{f}, \Xi}(\mathcal{U}(\mathfrak{g})^{\mathbb{C}}) = \text{DP}(\mathbb{R}^n),$$

where $n = \dim \mathfrak{g}/\mathfrak{f}$.

PROOF. Let $G^{\mathbb{C}}$ denote the complexification of G , i.e. the connected and simply connected complex Lie group with Lie algebra $\mathfrak{g}^{\mathbb{C}}$, and consider the representation $\zeta := \zeta_{\alpha^{\mathbb{C}}, \mathfrak{f}^{\mathbb{C}}, \Xi}$ of $G^{\mathbb{C}}$ on $\mathcal{H}(\mathbb{C}^n)$. It is easily seen that the restriction map $f \mapsto f|_{\mathbb{R}^n}$ of $\mathcal{H}(\mathbb{C}^n)$ into $\mathcal{E}(\mathbb{R}^n)$ intertwines the representations $\zeta|_G$ and $\lambda_{\alpha, \mathfrak{f}, \Xi}$ of G . The theorem is now consequence of Theorem 3.1.

REMARK 1. If \mathfrak{g} is real and $\alpha \in (\mathfrak{g}^*)^{\mathbb{C}}$ is of the form $\alpha = c\beta$ for some $c \in \mathbb{C}$ and $\beta \in \mathfrak{g}^*$, then for $\mathfrak{f} \in S(\alpha, \mathfrak{g})$ we have $\mathfrak{f} \in M(\alpha, \mathfrak{g})$ if and only if $\mathfrak{f}^{\mathbb{C}} \in M(\alpha^{\mathbb{C}}, \mathfrak{g}^{\mathbb{C}})$. Hence Theorem 3.1 above contains Theorem 4.1 of [2].

REMARK 2. For \mathfrak{g} real and $\alpha \in (\mathfrak{g}^*)^{\mathbb{C}}$ there may in general not exist a $\mathfrak{f} \in S(\alpha, \mathfrak{g})$ for which $\mathfrak{f}^{\mathbb{C}} \in M(\alpha^{\mathbb{C}}, \mathfrak{g}^{\mathbb{C}})$, as shown by the following Example 1 (see also Remark 5.3 of [2]).

EXAMPLE 1. Let \mathfrak{g} be a real nilpotent Lie algebra and let $\alpha \in \mathfrak{g}^*$. Let \mathfrak{g}_0 denote the underlying real Lie algebra of $\mathfrak{g}^{\mathbb{C}}$ and let α_0 denote $\alpha^{\mathbb{C}}$ considered as an element of $(\mathfrak{g}_0^*)^{\mathbb{C}}$. Note that the elements $1 \otimes_{\mathbb{R}} 1 \otimes_{\mathbb{R}} X + i \otimes_{\mathbb{R}} i \otimes_{\mathbb{R}} X$, $X \in \mathfrak{g}$, span an ideal \mathfrak{i} of $\mathfrak{g}_0^{\mathbb{C}}$ and that $\mathfrak{i} \subseteq \ker \alpha_0^{\mathbb{C}}$ and $\mathfrak{i} + \bar{\mathfrak{i}} = \mathfrak{g}_0^{\mathbb{C}}$.

Now, let $\mathfrak{f} \in S(\alpha_0, \mathfrak{g}_0)$ and suppose $\mathfrak{f}^{\mathbb{C}} \in M(\alpha_0^{\mathbb{C}}, \mathfrak{g}_0^{\mathbb{C}})$. Then $\alpha_0^{\mathbb{C}}([\mathfrak{f}^{\mathbb{C}}, \mathfrak{i}]) = \{0\}$, so $\mathfrak{i} \subseteq \mathfrak{f}^{\mathbb{C}}$ and thus $\bar{\mathfrak{i}} \subseteq \mathfrak{f}^{\mathbb{C}}$, whence $\mathfrak{f}^{\mathbb{C}} = \mathfrak{g}_0^{\mathbb{C}}$, that is $\mathfrak{f} = \mathfrak{g}_0$.

Hence if $\alpha([\mathfrak{g}, \mathfrak{g}]) \neq \{0\}$, there does not exist $\mathfrak{f} \in S(\alpha_0, \mathfrak{g}_0)$ for which $\mathfrak{f}^{\mathbb{C}} \in M(\alpha_0^{\mathbb{C}}, \mathfrak{g}_0^{\mathbb{C}})$.

EXAMPLE 2. If \mathfrak{g} is the $2n + 1$ dimensional Heisenberg algebra, or more generally if \mathfrak{g} is nilpotent and $\dim [\mathfrak{g}, \mathfrak{g}] \leq 1$, then for every $\alpha \in (\mathfrak{g}^*)^{\mathbb{C}}$ there exists $\mathfrak{f} \in S(\alpha, \mathfrak{g})$ such that $\mathfrak{f}^{\mathbb{C}} \in M(\alpha^{\mathbb{C}}, \mathfrak{g}^{\mathbb{C}})$, in fact then $\mathfrak{f} \in M(\alpha, \mathfrak{g})$ implies that $\mathfrak{f}^{\mathbb{C}} \in M(\alpha^{\mathbb{C}}, \mathfrak{g}^{\mathbb{C}})$.

3.3. COROLLARY. Let G be a connected and simply connected, complex (respectively real) nilpotent Lie group with Lie algebra \mathfrak{g} . Let $\alpha \in \mathfrak{g}^*$ (respectively $(\mathfrak{g}^*)^{\mathbb{C}}$) and $\mathfrak{f} \in S(\alpha, \mathfrak{g})$. Then, if $\mathfrak{f} \in M(\alpha, \mathfrak{g})$ (respectively $\mathfrak{f}^{\mathbb{C}} \in M(\alpha^{\mathbb{C}}, \mathfrak{g}^{\mathbb{C}})$), the representation $\zeta_{\alpha, \mathfrak{f}}$ (respectively $\lambda_{\alpha, \mathfrak{f}}$) of G is both topologically and operator irreducible.

PROOF. For real G this follows from Theorem 3.2 as in [2] and for complex G it follows from Theorem 3.1 via the Lemma below.

3.4. LEMMA. (a) If $V \neq \{0\}$ is a closed subspace of $\mathcal{H}(\mathbb{C}^n)$ invariant under the action of every $D \in \text{DP}(\mathbb{C}^n)$, then $V = \mathcal{H}(\mathbb{C}^n)$.

(b) If A is a densely defined, closed linear operator in $\mathcal{H}(\mathbb{C}^n)$ commuting with every $D \in \text{DP}(\mathbb{C}^n)$, then $A \in \text{CI}$.

PROOF. (a) Let $f \in V, f \neq 0$. Since not all the derivatives of f vanish at 0 we may assume $f(0) = 1$. Let $\mu \in V^{\perp} \subseteq \mathcal{H}'(\mathbb{C}^n)$. Then the function

$$F(a) := \langle \mu_z, f(e^az) \rangle$$

is holomorphic in $a \in \mathbb{C}$ and

$$\left[\left(\frac{d}{da} \right)^k F \right]_{a=0} = \langle \mu, D^k f \rangle = 0 \quad \text{for all } k = 0, 1, 2, \dots$$

where $D = z_1(\partial/\partial z_1) + \dots + z_n(\partial/\partial z_n) \in \text{DP}(\mathbb{C}^n)$. So $F \equiv 0$, and since $f(e^az) \rightarrow f(0) = 1$ uniformly in z on compact sets as $a \rightarrow -\infty$ along \mathbb{R} , it follows that $1 \in V$. Thus V contains all the polynomials on \mathbb{C}^n and is therefore dense in $\mathcal{H}(\mathbb{C}^n)$.

(b) Let $f \neq 0$ be in the domain $D(A)$ of A . Then $f \neq 0$ on some open, connected set Ω in \mathbb{C}^n and $(1/f)Af \in \mathcal{H}(\Omega)$. Since A commutes with multiplication by the polynomials on \mathbb{C}^n and these are dense in $\mathcal{H}(\mathbb{C}^n)$, A commutes with multiplication by every $g \in \mathcal{H}(\mathbb{C}^n)$. So in Ω

$$\frac{\partial}{\partial z_i} \left(\frac{1}{f} Af \right) = \frac{1}{f^2} \left(f \cdot \frac{\partial}{\partial z_i} Af - \frac{\partial f}{\partial z_i} \cdot Af \right) = 0, \quad i = 1, \dots, n,$$

because A commutes with $\partial/\partial z_i$. Thus for some constant $c(f) \in \mathbb{C}, Af = c(f)f$ in Ω and therefore in \mathbb{C}^n by uniqueness of analytic continuation. If $f, g \in D(A) \setminus \{0\}$, then $c(f) = c(g)$ since $c(g)fg = fAg = A(fg) = c(f)fg$. This proves (b).

4. Invariant differential operators. Reducibility.

In this section we shall work with the following non-standard definition.

4.1. DEFINITION. A subalgebra \mathfrak{h} of a (nilpotent) Lie algebra \mathfrak{g} is called *maximal* if there exist $\alpha \in \mathfrak{g}^* \setminus \{0\}$ and $\mathfrak{f} \in M(\alpha, \mathfrak{g})$ such that $\mathfrak{h} = \mathfrak{f} \cap \ker \alpha$.

4.2. LEMMA. Let \mathfrak{g} be a nilpotent Lie algebra and let $\alpha \in \mathfrak{g}^*$, $\mathfrak{f} \in S(\alpha, \mathfrak{g})$. Assume $\alpha|_{\mathfrak{f}} \neq 0$ and set $\mathfrak{h} := \mathfrak{f} \cap \ker \alpha$. Then \mathfrak{h} is maximal (if and) only if $\mathfrak{f} \in M(\alpha, \mathfrak{g})$.

PROOF. Suppose that \mathfrak{h} is maximal: $\mathfrak{h} = \mathfrak{f}' \cap \ker \alpha'$ where $\alpha' \in \mathfrak{g}^* \setminus \{0\}$ and $\mathfrak{f}' \in M(\alpha', \mathfrak{g})$. Then, since \mathfrak{g} is nilpotent, \mathfrak{f}' equals the normalizer $\mathfrak{n}(\mathfrak{h})$ of \mathfrak{h} in \mathfrak{g} . (Namely, let \mathfrak{i} be a maximal element in the set of ideals of \mathfrak{g} contained in \mathfrak{h} and let Z represent a non-zero element in the center of $\mathfrak{g}/\mathfrak{i}$. Then $\mathfrak{f}' = \text{span}\{Z\} + \mathfrak{h}$ and thus $[\mathfrak{n}(\mathfrak{h}), \mathfrak{f}'] \subseteq \mathfrak{h} \subseteq \ker \alpha$, from which it follows that $\mathfrak{n}(\mathfrak{h}) \subseteq \mathfrak{f}'$, whence $\mathfrak{n}(\mathfrak{h}) = \mathfrak{f}'$.) Also $\mathfrak{h} \neq \mathfrak{f} \subseteq \mathfrak{n}(\mathfrak{h})$ so in fact $\mathfrak{f} = \mathfrak{n}(\mathfrak{h}) = \mathfrak{f}'$. In particular $\mathfrak{f} \in M(\alpha', \mathfrak{g})$. Now, $\alpha(Z) \neq 0$ and $\alpha'(Z) \neq 0$, so we may replace α' by $\alpha(Z)\alpha'(Z)^{-1}\alpha'$ and thus assume that $\alpha' = \alpha$ on \mathfrak{f} . It follows that $\mathfrak{f} \in M(\alpha, \mathfrak{g})$, because, since \mathfrak{g} is nilpotent and $\mathfrak{f} \in M(\alpha', \mathfrak{g})$, \mathfrak{f} satisfies the Pukanszky condition relative to α' : $\mathfrak{f} \in M(\alpha' + \varphi, \mathfrak{g})$ for all $\varphi \in \mathfrak{f}^\perp$, cf. [1, Chap. IV, sec. 3, pp. 69–70]. (The argument in [1] is for real \mathfrak{g} , but it works as well for complex \mathfrak{g} . Or use that if \mathfrak{g} is complex, $\alpha \in \mathfrak{g}^*$ and \mathfrak{f} a subalgebra of \mathfrak{g} , then $\mathfrak{f} \in M(\alpha, \mathfrak{g})$ if and only if $\mathfrak{f}_r \in M(\text{Re } \alpha, \mathfrak{g}_r)$, where \mathfrak{f}_r and \mathfrak{g}_r denote \mathfrak{f} and \mathfrak{g} considered as real Lie algebras).

For a closed subgroup H of G we denote by $\mathbf{D}(G/H)$ the algebra of all G -invariant differential operators on the homogeneous space G/H . Assume that H is connected and proper and let $\mathfrak{h} \subseteq \mathfrak{g}$ be its Lie algebra. Then \mathfrak{h} is properly contained in its normalizer $\mathfrak{n}(\mathfrak{h})$ in \mathfrak{g} , and any $Z \in \mathfrak{n}(\mathfrak{h}) \setminus \mathfrak{h}$ defines a nonzero G -invariant vector field on $\gamma(Z)$ on G/H by

$$[\gamma(Z)f](gH) = \left. \frac{d}{dt} \right|_{t=0} f(g \exp(tZ)H).$$

4.3. THEOREM. Let G be a connected and simply connected, real or complex nilpotent Lie group with Lie algebra \mathfrak{g} . Let H be a proper, connected subgroup of G with Lie algebra \mathfrak{h} and let $Z \in \mathfrak{n}(\mathfrak{h}) \setminus \mathfrak{h}$.

Then, if \mathfrak{h} is not maximal (in the sense of Definition 4.1), there exists $D \in \mathbf{D}(G/H)$ such that D commutes with $\gamma(Z)$ and such that for every $a \in \mathbb{C}$ and $b \in \mathbb{C} \setminus \{0\}$ there exists a polynomial $p_{a,b}$ on G/H for which

- (1) $De^{p_{a,b}} = ae^{p_{a,b}}$
- (2) $\gamma(Z)e^{p_{a,b}} = be^{p_{a,b}}$.

PROOF. We prove the theorem for complex G , the real case is analogous.

Set $\mathfrak{f} = \mathbb{C}Z + \mathfrak{h}$ and choose $\alpha \in \mathfrak{g}^*$ such that $\alpha(\mathfrak{h}) = \{0\}$ and $\alpha(Z) = 1$. Then $\mathfrak{f} \in S(\alpha, \mathfrak{g})$ and $\mathfrak{h} = \mathfrak{f} \cap \ker \alpha$. Since \mathfrak{h} is not maximal we have by Lemma 4.2 that $\mathfrak{f} \notin M(\alpha, \mathfrak{g})$. Set $n = \dim \mathfrak{g}/\mathfrak{f}$.

First consider the case in which \mathfrak{f} does not contain the center \mathfrak{z} of \mathfrak{g} , and let $\{X_1, \dots, X_n, Z\}$ be a coexponential basis for \mathfrak{g} modulo \mathfrak{h} with $X_n \in \mathfrak{z} \setminus \mathfrak{f}$. Then, denoting the corresponding coordinates on G/H by x_1, \dots, x_n, z , we have $\gamma(X_n) = \partial/\partial x_n$ and $\gamma(Z) = \partial/\partial z$. Hence the conclusions of the theorem are satisfied with $D = \gamma(X_n)$ and $p_{a,b} = ax_n + bz$.

This takes care of the cases in which $\dim \mathfrak{g} \leq 2$. We continue by induction on $\dim \mathfrak{g}$ and may in the proof of the induction step assume that $\mathfrak{z} \subseteq \mathfrak{f}$.

If $i := \mathfrak{z} \cap \mathfrak{h} \neq \{0\}$ we consider the quotient group $\tilde{G} = G/\exp(i)$ with Lie algebra $\tilde{\mathfrak{g}} = \mathfrak{g}/i$. Denote the quotient maps $G \rightarrow \tilde{G}$ and $\mathfrak{g} \rightarrow \tilde{\mathfrak{g}}$ by \sim . Let $\tilde{\mathcal{E}}$ be a coexponential basis for \mathfrak{g} modulo \mathfrak{h} and identify G/H and \tilde{G}/\tilde{H} with \mathbb{C}^{n+1} by means of $\tilde{\mathcal{E}}$ and $\tilde{\mathcal{E}}$ respectively. Then $g \cdot x = \tilde{g} \cdot x$ for all $g \in G$ and $x \in \mathbb{C}^{n+1}$, so $D(G/H) = D(\tilde{G}/\tilde{H})$. Also $\tilde{\gamma}(\tilde{Z}) = \gamma(Z)$. Let $\tilde{\alpha} \in \tilde{\mathfrak{g}}^*$ be given by $\tilde{\alpha}(\tilde{X}) = \alpha(X)$ for all $X \in \mathfrak{g}$. Then $\tilde{\mathfrak{f}} \in S(\tilde{\alpha}, \tilde{\mathfrak{g}})$, $\tilde{\alpha}|_{\tilde{\mathfrak{f}}} \neq 0$, and $\tilde{\mathfrak{h}} = \tilde{\mathfrak{f}} \cap \ker \tilde{\alpha}$. It is easily seen that $\mathfrak{f} \notin M(\alpha, \mathfrak{g})$ implies $\tilde{\mathfrak{f}} \notin M(\tilde{\alpha}, \tilde{\mathfrak{g}})$, so by Lemma 4.2 $\tilde{\mathfrak{h}}$ is not maximal in $\tilde{\mathfrak{g}}$. This case is thus concluded by an application of the induction hypothesis to \tilde{G} .

From now on we assume that $\mathfrak{z} \cap \mathfrak{h} = \{0\}$. Then $\mathfrak{f} = \mathfrak{z} + \mathfrak{h}$ (direct sum), so $\dim \mathfrak{z} = 1$. If $Z_1 \in \mathfrak{z}$ and $\alpha(Z_1) = 1$ then $\gamma(Z) = \gamma(Z_1)$, so we may and will assume that $Z \in \mathfrak{z}$. We now choose Y and define \mathfrak{g}_0 as in the proof of Theorem 3.1 and divide the proof into the two cases

- (I) $\mathfrak{f} \subseteq \mathfrak{g}$ and (II) $\mathfrak{f} \not\subseteq \mathfrak{g}_0$.

(I) Assume $\mathfrak{f} \subseteq \mathfrak{g}_0$ and set $\alpha_0 = \alpha|_{\mathfrak{g}_0}$. As easily seen $\mathfrak{f} \notin M(\alpha_0, \mathfrak{g}_0)$, so by Lemma 4.2, \mathfrak{h} is not maximal in \mathfrak{g}_0 . Let $\tilde{\mathcal{E}}_0$ be a coexponential basis for \mathfrak{g}_0 modulo \mathfrak{h} and extend it with some $X \in \mathfrak{g} \setminus \mathfrak{g}_0$ to a coexponential basis $\tilde{\mathcal{E}}$ for \mathfrak{g} modulo \mathfrak{h} . Identifying G_0/H with \mathbb{C}^n by means of $\tilde{\mathcal{E}}_0$, G/H with \mathbb{C}^{n+1} by means of $\tilde{\mathcal{E}}$ and then G/H with $\mathbb{C} \times G_0/H$ in the natural way: $\mathbb{C}^{n+1} \cong \mathbb{C} \times \mathbb{C}^n$, we may consider the elements of $D(G_0/H)$ as differential operators on G/H . Moreover, since $G = \exp(\mathbb{C}X)G_0$ and

$$\exp(tX)g_0 \cdot (x, \underline{y}) = (x + t, g_0(x) \cdot \underline{y}) \text{ for all } t \in \mathbb{C}, g_0 \in G_0 \text{ and } (x, \underline{y}) \in \mathbb{C} \times \mathbb{C}^n,$$

where $g_0(x) = \exp(-xX)g_0 \exp(xX) \in G_0$, we have $D(G_0/H) \subseteq D(G/H)$. Also $\gamma_0(Z) = \gamma(Z)$. Hence we conclude this case by an application of the induction hypothesis to G_0 .

- (II) Assume $\mathfrak{f} \not\subseteq \mathfrak{g}_0$. Then there exists $X \in \mathfrak{h}$ with $[X, Y] = Z$. It follows that $Y \notin \mathfrak{f}$, because $\mathfrak{f} \subseteq \mathfrak{n}(\mathfrak{h})$ and $Z \notin \mathfrak{h}$.

Define $\mathfrak{h}' = \mathbb{C}Y + \mathfrak{h} \cap \mathfrak{g}_0$ and $\mathfrak{f}' = \mathbb{C}Y + \mathfrak{f} \cap \mathfrak{g}_0$. Then $\mathfrak{f}' = \mathbb{C}Z + \mathfrak{h}'$, $\mathfrak{f}' \in S(\alpha, \mathfrak{g})$, $\alpha|_{\mathfrak{f}'}$

$\neq 0$, and $\mathfrak{h}' = \mathfrak{f}' \cap \ker \alpha$. Since $\dim \mathfrak{f}' = \dim \mathfrak{f}$ and $\mathfrak{f} \notin M(\alpha, \mathfrak{g})$ we have $\mathfrak{f}' \notin M(\alpha, \mathfrak{g})$, so by Lemma 4.2, \mathfrak{h}' is not maximal in \mathfrak{g} .

Choose coexponential bases, Ξ for \mathfrak{g} modulo \mathfrak{f} and Ξ' for \mathfrak{g} modulo \mathfrak{f}' , as in the proof of Theorem 3.1 and set $\zeta_b = \zeta_{b\alpha, \mathfrak{f}, \Xi}$ and $\zeta'_b = \zeta_{b\alpha, \mathfrak{f}', \Xi'}$ for $b \in \mathbb{C} \setminus \{0\}$. Then as in the proof of Theorem 3.1 we have

$$(4.1) \quad \Phi_b(d\zeta'_b(D)) = d\zeta_b(D) \quad \forall D \in \mathcal{U}(\mathfrak{g}),$$

where Φ_b denotes the automorphism of $\text{DP}(\mathbb{C}^n)$ given by

$$(4.2) \quad \begin{aligned} \Phi_b(x_i) &= x_i, & \Phi_b\left(\frac{\partial}{\partial x_i}\right) &= \frac{\partial}{\partial x_i}, & i &= 1, \dots, n-1 \\ \Phi_b(x_n) &= -\frac{1}{b} \frac{\partial}{\partial x_n}, & \Phi_b\left(\frac{\partial}{\partial x_n}\right) &= b x_n. \end{aligned}$$

Identify G/H and G/H' with \mathbb{C}^{n+1} via the coexponential bases $\{\Xi, Z\}$ and $\{\Xi', Z\}$ respectively and denote the corresponding actions of G on $\mathcal{H}(\mathbb{C}^{n+1})$ by ζ and ζ' . Denote the coordinates on \mathbb{C}^{n+1} by x_1, \dots, x_n, z . Then $\gamma(Z) = \gamma'(Z) = \partial/\partial z$, where $\partial/\partial z$ is central in both $\mathbf{D}(G/H)$ and $\mathbf{D}(G/H')$, since $Z \in \mathfrak{z}$.

Now $\mathfrak{f}' \subseteq \mathfrak{g}_0$, so by (I) there exist $D' \in \mathbf{D}(G/H')$, commuting with $\gamma'(Z)$, and polynomials $p'_{a,b}$ on $G/H' = \mathbb{C}^{n+1}$ such that (1) and (2) are satisfied with D' and $p'_{a,b}$ in place of D and $p_{a,b}$. Note that $\mathbf{D}(G/H')$ and $\mathbf{D}(G/H)$ are contained in $\text{DP}(\mathbb{C}^{n+1})$.

Construction of D : Since the operator D' commutes with $\gamma'(Z) = \partial/\partial z$, it is of the form

$$D' = \sum_{k=0}^{K'} D'_k \otimes \left(\frac{\partial}{\partial z}\right)^k \quad \text{with } D'_k \in \text{DP}(\mathbb{C}^n)$$

and leaves each of the eigenspaces

$$\mathcal{H}_b(G/H') := \{f \in \mathcal{H}(G/H') \mid \gamma'(Z)f = bf\} = \mathcal{H}(\mathbb{C}^n) \otimes e^{bz},$$

$b \in \mathbb{C}$, invariant. The map $\varphi \in \mathcal{H}(\mathbb{C}^n) \mapsto \varphi \otimes e^{bz} \in \mathcal{H}_b(G/H')$ is an equivalence between ζ'_b and the restriction of ζ' to $\mathcal{H}_b(G/H')$, and it carries the operator

$$D'(b) := \sum_{k=0}^{K'} b^k D'_k \in \text{DP}(\mathbb{C}^n)$$

into the restriction of D' to $\mathcal{H}_b(G/H')$. Hence $D'(b)$ commutes with ζ'_b and thus with $d\zeta'_b$. By (4.1) the operator

$$D(b) := \Phi_b(D'(b)) = \sum_{k=0}^{K'} b^k \Phi_b(D'_k) \in \text{DP}(\mathbb{C}^n)$$

therefore commutes with $d\zeta_b$ and thus with ζ_b .

It follows from (4.2) that there exist $m \in \mathbf{N}$ and $D_k \in \text{DP}(\mathbf{C}^n)$, $k = 1, \dots, K$, such that

$$b^m D(b) = \sum_{k=0}^K b^k D_k \quad \text{for all } b \in \mathbf{C} \setminus \{0\}.$$

Now define

$$(4.3) \quad D := \sum_{k=0}^K D_k \otimes \left(\frac{\partial}{\partial z}\right)^k \in \text{DP}(\mathbf{C}^{n+1}).$$

Then D commutes with ζ on each of the joint invariant subspaces $\mathcal{H}(\mathbf{C}^n) \otimes e^{bz}$, $b \in \mathbf{C} \setminus \{0\}$. Since these span a dense subspace of $\mathcal{H}(\mathbf{C}^{n+1})$, it follows that D commutes with ζ , that is $D \in \mathbf{D}(G/H)$. Clearly $[D, \gamma(Z)] = 0$.

Construction of $p_{a,b}$: By (2) for $p'_{a,b}$ we have

$$p'_{a,b}(x_1, \dots, x_n, z) = q'_{a,b}(x_1, \dots, x_n) + bz$$

for some polynomial $q'_{a,b}$.

Since the operator $D'(b) \in \text{DP}(\mathbf{C}^n)$ commutes with $d''_b(Y) = bx_n$, it is of the form

$$D'(b) = \sum_{i=0}^N D'_i(b)x_n^i \quad \text{where } D'_i(b) \in \text{DP}(\mathbf{C}^{n-1}) \otimes 1_{\mathbf{C}}.$$

It follows that

$$(D'(b)f)(x_1, \dots, x_{n-1}, 0) = (D'_0(b)f)(x_1, \dots, x_{n-1}, 0)$$

for all $f \in \mathcal{H}(\mathbf{C}^n)$, so if we set

$$q_{a,b}(x_1, \dots, x_{n-1}, x_n) = q'_{a,b}(x_1, \dots, x_{n-1}, 0),$$

then

$$D'_0(b)e^{q_{a,b}} = ae^{q_{a,b}}$$

by (1) for D' and $p'_{a,b}$. Since $q_{a,b}$ is independent of x_n and since

$$D(b) = \Phi_b(D'(b)) = \sum_{i=0}^N D'_i(b) \left(-\frac{1}{b} \frac{\partial}{\partial x_n}\right)^i,$$

this implies that

$$D(b)e^{q_{a,b}} = ae^{q_{a,b}}.$$

Hence (1) and (2) are satisfied with D given by (4.3) and $p_{a,b}$ given by $p_{a,b} = q_{c,b} + bz$, where $c = b^{-m}a$. This finishes the proof of the theorem.

As a corollary we obtain that the maximality conditions on \mathfrak{f} relative to α , imposed as sufficient conditions in Theorems 3.1 and 3.2 and Corollary 3.3, are also necessary ones:

4.4. COROLLARY. *Let G be a connected and simply connected, complex (respectively real) nilpotent Lie group with Lie algebra \mathfrak{g} . Let $\alpha \in \mathfrak{g}^*$ (respectively $(\mathfrak{g}^*)^{\mathbb{C}}$), $\mathfrak{f} \in S(\alpha, \mathfrak{g})$ and Ξ be a coexponential basis for \mathfrak{g} modulo \mathfrak{f} .*

Then, if $\mathfrak{f} \notin M(\alpha, \mathfrak{g})$ (respectively $\mathfrak{f}^{\mathbb{C}} \notin M(\alpha^{\mathbb{C}}, \mathfrak{g}^{\mathbb{C}})$), there exist $D \in \text{DP}(\mathbb{C}^n)$ (respectively $\text{DP}(\mathbb{R}^n)$), where $n = \dim \mathfrak{g}/\mathfrak{f}$, and polynomials p_a , $a \in \mathbb{C}$, on \mathbb{C}^n (respectively \mathbb{R}^n) such that

- (1) D commutes with $\zeta_{\alpha, \mathfrak{f}, \Xi}$ (respectively $\lambda_{\alpha, \mathfrak{f}, \Xi}$)
- (2) $De^{p_a} = ae^{p_a}$ for all $a \in \mathbb{C}$.

In particular $\zeta_{\alpha, \mathfrak{f}}$ (respectively $\lambda_{\alpha, \mathfrak{f}}$) is neither topologically nor scalarly irreducible.

PROOF. The corollary for real G follows from that for complex G as in the proof of Theorem 3.2. So let G be complex. Set $\mathfrak{h} = \mathfrak{f} \cap \ker \alpha$.

If $\mathfrak{h} = \mathfrak{f}$, then $D = \partial/\partial x_n$ and $p_a = ax_n$ will do.

If $\mathfrak{h} \neq \mathfrak{f}$, let $Z \in \mathfrak{f} \setminus \mathfrak{h}$ with $\alpha(Z) = 1$. Then $Z \in \mathfrak{n}(\mathfrak{h}) \setminus \mathfrak{h}$ and $\mathfrak{f} = \mathbb{C}Z + \mathfrak{h}$. By Lemma 4.2, \mathfrak{h} is not maximal, since $\mathfrak{f} \notin M(\alpha, \mathfrak{g})$. Identifying G/H with \mathbb{C}^{n+1} by means of $\{\Xi, Z\}$ we have that $\gamma(Z) = \partial/\partial x_{n+1}$ and that the map $\varphi \mapsto \varphi \otimes e^{x_{n+1}}$, $\varphi \in \mathcal{H}(\mathbb{C}^n)$, is an equivalence between $\zeta_{\alpha, \mathfrak{f}, \Xi}$ and the action of G on $\{f \in \mathcal{H}(G) \mid \gamma(Z)f = f\}$. The existence of D and p_a now follows from Theorem 4.3 since $D(G/H) \subseteq \text{DP}(G/H)$.

Finally we obtain the following extension and converse of Theorem 6.1 [2], where it was proved for real G that if H is a connected subgroup of G whose Lie algebra \mathfrak{h} is maximal in \mathfrak{g} in the sense of Definition 4.1 then $D(G/H)$ is generated by a single vector field.

4.5. THEOREM. *Let G be a connected and simply connected, real or complex nilpotent Lie group with Lie algebra \mathfrak{g} and let H be a connected subgroup of G with Lie algebra \mathfrak{h} .*

Then the algebra $D(G/H)$ is generated by a single vector field if and only if \mathfrak{h} is of the form $\mathfrak{h} = \mathfrak{f} \cap \ker \alpha$ for some $\alpha \in \mathfrak{g}^ \setminus \{0\}$ and $\mathfrak{f} \in M(\alpha, \mathfrak{g})$.*

PROOF. Sufficiency of the condition on \mathfrak{h} was proved for real G as Theorem 6.1 of [2]. The proof for complex G is quite analogous to that in [2], now being based on the irreducibility result Corollary 3.3 (the part for complex G) above.

Necessity of the condition on \mathfrak{h} follows immediately from Theorem 4.3.

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