

## ON THE POSTAGE STAMP PROBLEM WITH THREE STAMP DENOMINATIONS, II

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The present paper is an immediate continuation of Selmer [8]. With one exception for Theorems 10.4-5 below, all references to theorems and formulas from section 1-10 are automatically to [8].—Incidentally, note also the completed references [4] and [7].

In section 4, we left the open question whether we always have

$$n_h(k) > g_h(k), \quad h \geq 2, k \geq 3.$$

This has now been *proved* by Rossbach [5]. We mention this here since [5] is not easily accessible.

### 10. Some inequalities for $n_h(A_3)$ (continued).

For use below, we shall need other upper bounds for  $\eta$  and  $\vartheta$  of (10.9). With  $f=1$ , it follows from (10.16) that

$$\eta \leq a_3 - r - 1 = a_2 - 1,$$

with equality for instance in the case (10.10). For general  $f$ , we can only prove the slightly weaker

**THEOREM 10.4.** *For a non-pleasant basis  $A_3$ , we always have*

$$(10.17) \quad \eta \leq a_2 - f + 1.$$

We note that the bound  $a_2 - f + 1 \geq r + 2 \geq 3$ . There is equality in (10.17) for instance if  $h_0$  is even,  $a_2 = \frac{1}{2}h_0 + 2$ ,  $f = \frac{1}{2}h_0$ ,  $r = 1$ , when  $\eta = 3$  by (2.28).

The proof runs exactly as for Theorem 10.2. In both cases 1 and 2, we need the inequality

$$Q_{v+1} \geq Q_f = (f-1)q_f - (f-2) \geq 3(f-1) - (f-2) = 2f-1,$$

cf. the comments to (7.14-15).

We can also give another upper bound for  $\vartheta$ :

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THEOREM 10.5. *We always have*

$$(10.18) \quad \vartheta \leq a_2 + 1 .$$

*If  $f > 1$ , we even have*

$$(10.19) \quad \vartheta \leq a_2 ,$$

*except in the pleasant cases with  $s = 1$ .*

In the proof of Theorem 10.2, it was pointed out that  $\vartheta_1 \leq a_2 + 1$ , while the possibility  $\vartheta_2 = a_2 + 2$  was considered separately. However, this can be excluded immediately by the contradiction

$$\vartheta_2 = a_2 + 2 \Rightarrow Q_{v+1} = s_{v+1} \leq Q_v .$$

We then turn to the inequality  $\vartheta \leq a_2$ . If  $A_3$  is pleasant,  $\vartheta = r + 2$  by (2.11), and the only exception clearly occurs for  $r = a_2 - 1$ . We may therefore assume  $A_3$  non-pleasant.

Since  $s_{v+1} < s_v$  and  $Q_{v+1} > Q_v$ ,  $\vartheta_1 \leq a_2$  follows immediately. To prove that  $\vartheta \leq a_2$ , we must show that  $s_{v+1} \leq Q_{v+1} - 2$ . The only exception to this would be for

$$(10.20) \quad s_{v+1} = Q_v, \quad Q_{v+1} = Q_v + 1 .$$

Again, we use that  $v \geq f - 1$  and  $q_f > 2$ . We have  $Q_1 = Q_0 + 1$ , even if  $q_1 > 2$ . For  $f > 1$ , however, it follows from the recursion  $Q_{i+1} = q_{i+1}Q_i - Q_{i-1}$  that

$$q_f > 2 \Rightarrow Q_f > Q_{f-1} + 1 \Rightarrow Q_{i+1} > Q_i + 1, \quad i \geq f - 1 ,$$

showing that (10.20) is impossible.

We can characterize equality in (10.18) by

$$(10.21) \quad f = 1 : \vartheta = a_2 + 1 \Leftrightarrow (q + 1) \mid (r + 1) .$$

As in (8.4), we have put  $h_0 = \tau r + \varrho$ ,  $0 \leq \varrho < r$ .

From the above proof, it follows that we can have  $\vartheta = a_2 + 1$  only if (10.20) holds. Now,

$$Q_{v+1} = Q_v + 1 \Rightarrow q_i = 2, \quad i \in \{2, 3, \dots, v+1\} \text{ (empty if } v=0\text{)} .$$

Then  $Q_i = i$  for  $i \leq v + 1$ , and the division algorithm (2.20) gives

$$(10.22) \quad s_{v+1} = (v+1)s_1 - vs_0 .$$

We are applying Theorem 6.2, hence

$$a = a_3 - 1 = a_2 + r - 1 = h_0 + r = (\tau + 1)r + \varrho, \quad s_0 = a_3 - a_2 = r .$$

If  $\varrho = 0$ , then  $(q + 1) \mid (r + 1)$ . If  $\varrho > 0$ , we can write

$$a = (\tau + 2)r - (r - \rho) \Rightarrow q_1 = \tau + 2, \quad s_1 = r - \rho.$$

Substituting  $s_0 = r$ ,  $s_1 = r - \rho$  in (10.22), and using  $s_{v+1} = Q_v = v$ , we get the relation  $r + 1 = (v + 1)(\rho + 1)$ , and  $\Rightarrow$  in (10.21) follows. To show  $\Leftarrow$ , we note that  $(\rho + 1) | (r + 1)$  implies (8.2), and  $\vartheta = a_2 + 1$  is then an easy consequence of (8.3).

**11. The sequence of  $h_0$ -ranges.**

Let the positive integer  $n$  have the *regular* representation

$$(11.1) \quad n = e_3 a_3 + e_2 a_2 + e_1; \quad e_1 \leq a_2 - 1, \quad e_1 + e_2 a_2 \leq a_3 - 1.$$

We may in some cases *reduce the coefficient sum*  $\Sigma$  by using what we will call " $a_3$ -transfers", a technique closely related to the " $s$ -Stellen" of Hofmeister [2]. Assuming  $A_3$  non-pleasant, hence  $q \leq s$ , we may replace one  $a_3$  in (11.1) by  $q a_2 - s$ :

$$(11.2) \quad n = (e_3 - 1)a_3 + (e_2 + q)a_2 + e_1 - s.$$

If  $e_3 \geq 1$  and  $e_1 \geq s$ , this is a (non-regular) representation where  $\Sigma$  has been reduced with at least one unit compared to (11.1).

If  $e_3 \geq 2$ , the process can be repeated. To recover a non-negative constant term, it may sometimes be necessary to use substitutions  $a_3 = f a_2 + r$  instead of  $a_3 = q a_2 - s$ .

To determine  $n_{h_0}(A_3)$ , we must construct a consecutive string of integers  $n$  with  $\Sigma \leq h_0 = a_2 + f - 2$ . We begin with  $e_3 = 0$  in (11.1):

$$\begin{aligned} n &= e_2 a_2 + e_1; & e_1 &\leq a_2 - 1, \quad e_2 \leq f - 1 \\ n &= f a_2 + e_1; & e_1 &\leq r - 1 \leq a_2 - 2. \end{aligned}$$

With  $n = f a_2 + r = a_3$ , we start a new sequence with  $e_3 = 1$ :

$$\begin{aligned} n &= a_3 + e_2 a_2 + 1_1; & e_1 &\leq a_2 - 1, \quad e_2 \leq f - 2 \\ n &= a_3 + (f - 1)a_2 + e_1; & e_1 &\leq a_2 - 2. \end{aligned}$$

If  $A_3$  is *pleasant*, we cannot get further, since an  $a_3$ -transfer does not reduce  $\Sigma$ . In this case, the  $h_0$ -range is consequently given by (2.11):

$$(11.3) \quad n_{h_0}(A_3) = a_3 + (f - 1)a_2 + a_2 - 2 = 2a_3 - (r + 2).$$

If  $A_3$  is *non-pleasant*, however, the  $a_3$ -transfer of (11.2) works for the next  $n$ :

$$n = a_3 + (f - 1)a_2 + a_2 - 1 = 2f a_2 + r - 1,$$

where  $\Sigma \leq h_0$  since

$$(11.4) \quad 1 \leq r \leq a_2 - f - 1 \leq a_2 - 2$$

by (4.3). Using (2.9) and (2.13), we have thus given a very simple proof of the inequality (2.12).

In what follows, we assume that  $A_3$  is non-pleasant, hence (11.4) satisfied. The string of  $h_0$ -representable integers  $n$  then continues with

$$\begin{aligned} n &= a_3 + fa_2 + e_1; & e_1 &\leq r - 1 \\ n &= 2a_3 + e_2a_2 + e_1; & e_1 &\leq a_2 - 1, \quad e_2 \leq f - 3 \\ n &= 2a_3 + (f - 2)a_2 + e_1; & e_1 &\leq a_2 - 2. \end{aligned}$$

The next  $n$ , with  $e_1 = a_2 - 1$ , can be "saved" with one  $a_3$ -transfer (11.2), and we enter a new sequence

$$n = 2a_3 + (f - 1)a_2 + e_1; \quad e_1 \leq a_2 - 3.$$

In particular, we have shown that

$$(11.5) \quad n_{h_0}(A_3) \geq 2a_3 + (f - 1)a_2 + a_2 - 3 = 3a_3 - (r + 3)$$

for non-pleasant  $A_3$ .

The next  $n$ , with  $e_1 = a_2 - 2$ , cannot be saved by (11.2) if  $s = a_2 - 1$ , hence  $r = 1$ . But using one more  $a_3$ -transfer, now in the form  $a_3 = fa_2 + 1$ , we get  $n = 3fa_2$  with  $\Sigma = 3f \leq h_0$  if  $a_2 \geq 2q$ . Hence (11.5) holds with equality if and only if  $r = 1$ ,  $a_2 < 2q$ .

It is clear how these arguments may be continued. Details are found in Rödne [6], from which we quote the following

**THEOREM 11.1.** *Let  $A_3$  be non-pleasant. We then have the following sequence of  $h_0$ -ranges:*

$$(11.6) \quad n_{h_0}(A_3) = 3a_3 - (r + 3) \Leftrightarrow r = 1, \quad q > \frac{1}{2}a_2$$

$$(11.7) \quad n_{h_0}(A_3) = 3a_3 - (r + 2) \Leftrightarrow \frac{1}{2}(a_2 - 1) < s = q < a_2 - 1$$

$$(11.8) \quad n_{h_0}(A_3) = 4a_3 - (r + 4) \Leftrightarrow r = 2, \quad \frac{1}{2}(a_2 - 2) < q < a_2 - 2 \text{ or} \\ r = 1, \quad \frac{1}{3}(a_2 + 1) < q < \frac{1}{2}(a_2 + 1)$$

$$(11.9) \quad n_{h_0}(A_3) = 4a_3 - (r + 3) \Leftrightarrow s = q = \frac{1}{2}(a_2 - 1)$$

$$(11.10) \quad n_{h_0}(A_3) = 4a_3 - (r + 2) \Leftrightarrow \frac{1}{2}(a_2 - 1) < s = q + 1 < a_2 - 2 \text{ or} \\ \frac{1}{3}(a_2 - 1) < s = q < \frac{1}{2}(a_2 - 1).$$

It is apparent that the number of possible forms of  $n_{h_0}(A_3)$  is restricted. This fact is expressed by the following

THEOREM 11.2. *The regular representation of  $n_{h_0}(A_3)$  has the form*

$$(11.11) \quad n_{h_0}(A_3) = e_3 a_3 + (f-1)a_2 + e_1; \quad a_2 - e_3 - 1 \leq e_1 \leq a_2 - 2.$$

This holds for pleasant  $A_3$  by (11.3). To prove it for  $A_3$  non-pleasant, we need the deeper theorems of section 10.

We see at once that (11.11) holds in the case (10.10). In the remaining cases, we write (10.9) as

$$n_{h_0}(A_3) = \eta a_3 - \vartheta = (\eta - 1)a_3 + (f-1)a_2 + a_2 + r - \vartheta.$$

By (10.13) and (10.18), this is the regular representation. Since  $e_3 = \eta - 1$ , the bounds for  $e_1 = a_2 + r - \vartheta$  in (11.11) follow immediately from (10.16) and (10.13). The lower bound is non-negative by (10.17).

The form of  $n_{h_0}(A_3)$  was studied in detail by Windecker [9]. In particular, his Lemma 4.2 states that  $e_2 = f - 1$ , as in (11.11).

We may also formulate Theorem 11.2 as

$$(11.12) \quad n_{h_0}(A_3) = (e_3 + 1)a_3 - (r + t), \quad 2 \leq t \leq e_3 + 1.$$

In this form, we recognize the  $h_0$ -ranges as given in Theorem 11.1.

For use in a different context, we shall also mention representations with  $\Sigma \leq h_0 - 1$ . The smallest number where this fails is given by

$$(11.13) \quad n_0 = (f-1)a_2 + a_2 - 1 = a_3 - r - 1.$$

For non-pleasant  $A_3$ , the next case is  $g_{h_0}(A_3)$  of (11.3), which cannot be saved by an  $a_3$ -transfer if  $s = a_2 - 1$ . We formulate this as

PROPOSITION 11.1. *If  $A_3$  is non-pleasant, all integers in the interval  $[a_3 - r, 2a_3 - (r + 3)]$  have representations with at most  $h_0 - 1$  addends.*

## 12. On the minimal value of $n_h(A_3)$ .

For given  $h$ , the extremal bases  $A_3^*$ , with the largest possible extremal  $h$ -range  $n_h(3) = n_h(A_3^*)$ , were determined by Hofmeister [2, Satz 2], cf. the comments to (4.7). He also considered the extremal  $h_0$ -ranges  $l_h(3)$  [2, Satz 3-4], where we only consider those bases  $A_3$  which for given  $h$  have  $h_0 = h$ .

In the literature, "extremal" in this connection always means "maximal". It is not unnatural, however, to ask also for minimal  $h$ -ranges and  $h_0$ -ranges. With  $k=3$ , it turns out that the search should be made over pleasant and non-pleasant  $A_3$  separately. Of the four combinations thus arising, three of them have a trivial solution. It is not difficult to prove the following results for given  $h_0$  or  $h$ :

For  $A_3$  pleasant, the minimal  $h_0$ -range occurs in the case

$$(12.1) \quad n_{h_0}(1, h_0 + 1, 2h_0 + 1) = 3h_0,$$

with one additional possibility for  $h_0 = 2$ :

$$(12.2) \quad n_2(1, 2, 4) = 6 = 3 \cdot 2.$$

For  $A_3$  pleasant, the minimal  $h$ -range occurs in the cases

$$n_h(1, h + 1, 2h + 1) = n_h(1, 2, 3) = 3h,$$

with the one additional possibility (12.2).

For  $A_3$  non-pleasant, the minimal  $h$ -range occurs in the case

$$n_h(1, 3, 4) = 4h.$$

The only non-trivial result is expressed by the following

**THEOREM 12.1.** *Over non-pleasant bases  $A_3$ , the minimal  $h_0$ -range for  $h_0 \neq 4$  is given by*

$$(12.3) \quad h_0 \equiv 0 \pmod{3} : n_{h_0} \left( 1, \frac{2h_0 + 6}{3}, \frac{2h_0^2 + 9h_0 + 9}{9} \right) = \frac{2h_0^2 + 8h_0}{3}$$

$$(12.4) \quad h_0 \equiv 1 \pmod{3} : n_{h_0} \left( 1, \frac{2h_0 + 4}{3}, \frac{2h_0^2 + 8h_0 + 17}{9} \right) = \frac{2h_0^2 + 8h_0 + 5}{3}$$

$$(12.5) \quad h_0 \equiv 2 \pmod{3} : n_{h_0} \left( 1, \frac{2h_0 + 5}{3}, \frac{2h_0^2 + 7h_0 + 14}{9} \right) = \frac{2h_0^2 + 7h_0 + 2}{3}.$$

The bases  $A_3$  were first conjectured by inspection, and the result was verified by Mossige for  $h_0 \leq 100$ . The proof is simplified by assuming  $h_0 \geq 24$ .

The cases (12.4–5) have  $r = 1$ , and (12.3) has  $s = q$ . The expressions for  $n_{h_0}(A_3)$  then follow easily from (2.28–29).

The formulas (12.3–5) may also be written in the more concentrated form:

$$a_2 = \left[ \frac{2h_0}{3} \right] + 2$$

$$\left. \begin{aligned} h_0 \equiv 0 \pmod{3} : a_3 &= \frac{1}{2}a_2(a_2 - 1), & n_{h_0}(A_3) &= 3a_3 - \frac{1}{2}a_2 - 2 \\ h_0 \equiv 1 \pmod{3} : a_3 &= \frac{1}{2}a_2^2 + 1 \\ h_0 \equiv 2 \pmod{3} : a_3 &= \frac{1}{2}a_2(a_2 - 1) + 1 \end{aligned} \right\} n_{h_0}(A_3) = 3a_3 - 4.$$

To prove Theorem 12.1, we note that the largest  $h_0$ -range, as a function of  $h_0$ , occurs in the case (12.4). We denote this range by

$$m_{h_0} = \frac{1}{3}(2h_0^2 + 8h_0 + 5),$$

and proceed to show that “most” bases  $A_3$  have  $n_{h_0}(A_3) > m_{h_0}$ . For this purpose, we use (10.2):

$$(12.6) \quad n_{h_0}(A_3) > h_0 a_2 ,$$

but must then consider the *Frobenius-dependent* bases separately. For these bases, we know by (5.5) that

$$n_{h_0}(A_3) \geq (h_0 + 1)^2 - r(r - 1) - 1 .$$

Since  $r | h_0$  or  $r | (h_0 + 1)$ , and  $A_3$  is non-pleasant, we have  $r \leq \frac{1}{2}(h_0 + 1)$ . It then follows that  $n_{h_0}(A_3) > m_{h_0}$  for  $h_0 \geq 10$ .

Using (12.6), we may thus confine ourselves to bases  $A_3$  with  $a_2 < m_{h_0}/h_0 \leq \frac{1}{3}(2h_0 + 9)$  for  $h_0 \geq 5$ , hence  $f = h_0 + 2 - a_2 > \frac{1}{3}h_0 - 1$ ,

$$(12.7) \quad f \geq \frac{1}{3}(h_0 - 2) .$$

On the other hand, it follows from (4.4) that

$$(12.8) \quad a_2 \geq \frac{1}{2}(h_0 + 4) .$$

It turns out that it suffices to consider the bases of Theorem 11.1. By Theorem 11.2, the next value in the sequence of  $h_0$ -ranges is namely

$$(12.9) \quad n_{h_0}(A_3) = 4a_3 + (f - 1)a_2 + a_2 - 5 \geq 5fa_2 - 1 ,$$

since  $a_3 \geq fa_2 + 1$ . And by (12.7-8),  $5fa_2 - 1 > m_{h_0}$  for  $h_0 \geq 12$ .

We first consider the case (11.6), with  $r = 1$ :

$$(12.10) \quad n_{h_0}(A_3) = 3a_3 - 4 = 3fa_2 - 1 = 3(h_0 + 2 - a_2)a_2 - 1 ,$$

where

$$q = f + 1 = h_0 + 3 - a_2 > \frac{1}{2}a_2 \Rightarrow a_2 < \frac{2}{3}h_0 + 2 .$$

The last expression in (12.10) attains its (formal) maximum for  $a_2 = \frac{1}{2}(h_0 + 2)$ , which is smaller than the bound (12.8). The minimal value of  $n_{h_0}(A_3)$  in (12.10) thus occurs for the largest value of  $a_2$  with  $a_2 < \frac{2}{3}h_0 + 2$ , which depends on the residue of  $h_0 \pmod{3}$ .

$$h_0 \equiv 0 \pmod{3} : a_2 = \frac{2}{3}h_0 + 1 \Rightarrow n_{h_0}(A_3) = \frac{2}{3}h_0^2 + 3h_0 + 2 > m_{h_0}$$

$$h_0 \equiv 1 \pmod{3} : a_2 = \frac{2h_0 + 4}{3} \Rightarrow (12.4)$$

$$h_0 \equiv 2 \pmod{3} : a_2 = \frac{2h_0 + 5}{3} \Rightarrow (12.5) .$$

We next consider the case (11.7), with  $s = q$ :

$$n_{h_0}(A_3) = 3(qa_2 - q) - (a_2 - q + 2) = (h_0 + 3 - a_2)(3a_2 - 2) - a_2 - 2,$$

where

$$q = h_0 + 3 - a_2 > \frac{1}{2}(a_2 - 1) \Rightarrow a_2 < \frac{1}{3}(2h_0 + 7).$$

Now  $h_0 \equiv 0 \pmod{3} \Rightarrow (12.3)$ , while  $h_0 \equiv 1, 2$  give  $n_{h_0}(A_3) > m_{h_0}$  for  $h_0 \geq 5$ .

We finally consider the cases (11.8–10). In analogy with (12.9), these give

$$(12.11) \quad n_{h_0}(A_3) \geq 4fa_2 - 1 = 4(h_0 + 2 - a_2)a_2 - 1.$$

For  $h_0 \geq 10$ , hence  $a_2 \geq 7$  by (12.8), the smallest bound for  $q$  in (11.8–10) is  $\frac{1}{3}(a_2 - 1)$ , and

$$q = h_0 + 3 - a_2 > \frac{1}{3}(a_2 - 1) \Rightarrow a_2 < \frac{1}{4}(3h_0 + 10).$$

The last expression (12.11) then shows that  $n_{h_0}(A_3) > m_{h_0}$  for  $h_0 \geq 24$ . This completes the proof of Theorem 12.1.

### 13. On minimal ranges in general.

For regular  $h$ -ranges, it is easily shown that for given  $h$  and  $k$ , the minimal regular  $h$ -range is attained in the one case

$$(13.1) \quad g_h(A_k) = g_h(1, h+1, h+2, \dots, h+k-1) = 2h+k-2.$$

Since  $A_k$  has  $h_0 = h$ , this also gives the minimal regular  $h_0$ -range. For  $k \geq 3$ ,  $A_k$  is non-pleasant, with  $n_h(A_k) = ha_k$ .

The problem of minimal ordinary ranges is much more difficult. We solved it completely for  $k=3$  in the previous section, and shall mention briefly some theoretical and numerical results for  $k > 3$ .

In what follows, we disregard the trivial case

$$(13.2) \quad n_h(1, 2, \dots, k) = hk$$

(the only basis with  $h_0 = 1$ ). All other bases  $A_k$  have  $a_k > k$ .

It was observed that for a large number of combinations of small  $h_0$  and  $k$ , we always have

$$(13.3) \quad n_{h_0}(A_k) \geq h_0 k.$$

For a long time, we even denoted this inequality as the “minimum-conjecture”, until it was recently disproved by Klöve [3]. His simplest counter-example (given by his Theorem 13) is



$$(13.4) \quad \begin{cases} h_0 = 3, \\ A_{44} = \{1, 4, 5, 16, 17, 20, 21, 64-84, 88, 92, 96-100, 104, \\ \quad 108, 112-116, 120, 124\} \\ n_3(A_{44}) = 126 < 3 \cdot 44. \end{cases}$$

If (13.3) holds, it follows from (2.13) and  $a_k > k$  that

$$n_h(A_k) > hk, \quad h > h_0.$$

We saw in the previous section that (13.3) holds for  $k=3$ , with equality for the *pleasant* bases (12.1-2). An immediate generalization of (12.1) is

$$(13.5) \quad n_{h_0}(A_k) = n_{h_0}(1, h_0 + 1, 2h_0 + 1, \dots, (k-1)h_0 + 1) = h_0 k,$$

with pleasant  $A_k$ . For  $k > 3$ , however, there are also *non-pleasant* bases satisfying (13.3). This means that the non-trivial minimal bases of Theorem 12.1 have *no counterpart* for  $k > 3$ .

Klöve [3] shows that (13.3) *always holds* for  $h_0 = 2$ . We shall indicate below a proof of

THEOREM 13.1. *We always have*

$$n_{h_0}(A_k) \geq h_0 k \quad \text{for } k \leq 7.$$

For this purpose, we need the following result which was suggested in a private communication by Rödseth (see also [7, p. 174]):

$$(13.6) \quad a_i \leq (i-1)h + 1, \quad i = 2, 3, \dots, k \Rightarrow n_h(A_k) \geq hk.$$

In particular, equality for all  $a_i$  implies equality for  $n_h(A_k)$  by (13.5).

To prove (13.6), we use the well known theorem of Dyson, cf. Halberstam and Roth [1, Theorem 7, p. 17]. Let  $\mathcal{A}$  be a finite set of non-negative integers, including 0, and define  $A(m)$  as the number of positive integers  $\leq m$  in  $\mathcal{A}$ . The ratios  $A(m)/m$  may then be considered as *densities* in sections of  $\mathcal{A}$ . In particular,  $A(m)/m = 1$  means that  $\mathcal{A}$  contains *all* positive integers  $\leq m$ .

As usual, we define

$$\mathcal{C} = h\mathcal{A} = \{\alpha_1 + \alpha_2 + \dots + \alpha_h \mid \alpha_i \in \mathcal{A}\},$$

and select  $\mathcal{A} = A_k \cup \{0\}$ . Then  $C(m)/m = 1$  means that  $n_h(A_k) \geq m$ .

It follows from Dyson's theorem that

$$\frac{A(m)}{m} \geq \delta, \quad m = 1, 2, \dots, n \Rightarrow \frac{C(m)}{m} \geq \min\{1, h\delta\}, \quad m = 1, 2, \dots, n.$$

With  $\mathcal{A} = A_k \cup \{0\}$  and  $\delta = 1/h$ , this implies

$$A(m) \geq \frac{m}{h}, \quad m = 1, 2, \dots, n \Rightarrow n_h(A_k) \geq n,$$

where

$$\begin{aligned} m \in [a_{i-1}, a_i - 1] &\Rightarrow A(m) = i - 1, \quad i = 2, 3, \dots, k, \\ m \geq a_k &\Rightarrow A(m) = k. \end{aligned}$$

Now (13.6) is an immediate consequence if we put  $n = hk$ .

The first inequality (13.6),

$$(13.7) \quad a_2 \leq h + 1,$$

must *always* be satisfied for an admissible basis. For  $i > 1$ , however, (13.6) puts severe restrictions on  $A_k$ . All the same, the result must be considered as "deep".

We note that

$$(13.8) \quad a_k > (k-1)h \Rightarrow n_h(A_k) \geq (h-1) \cdot 1 + 1 \cdot a_k \geq hk.$$

As a corollary to (13.6-8), we see at once that (13.3) holds for  $k=3$ . To study  $k > 3$ , we examine the validity of the similar implication

$$(13.9) \quad a_{k-j} > (k-j-1)h + 1 \Rightarrow n_h(A_k) \geq hk, \quad 1 \leq j \leq k-3.$$

If this holds for  $j \leq j_0$ , we conclude similarly that (13.3) holds for  $k \geq j_0 + 3$ .

The proof for  $j = j_0 = 1$  is simple, since

$$n_h(A_k) \geq n_{h-1}(A_k) + a_k \geq n_{h-1}(A_2) + a_k = (h+2-a_2)a_2 - 2 + a_k.$$

If  $a_2 \leq h$ , the product  $(h+2-a_2)a_2$  attains its minimum  $2h$  for  $a_2 = 2$  and  $a_2 = h$ . Since  $a_k \geq a_{k-1} + 1 > (k-2)h + 2$ , we even get  $n_h(A_k) > hk$ .

The case  $a_2 = h + 1$  must be considered separately, using  $a_{k-1} + h \leq a_k + h - 1 \leq n_h(A_k)$ . We can then also represent  $a_{k-1} + h + 1 = a_{k-1} + a_2$  (assuming  $h \geq 2$ ), and further the succeeding integers up to  $a_{k-1} + a_2 + h - 2 > hk$ .

The cases (13.9) with  $j = 2, 3$  are treated in Rödne [6]. The proof for  $j = 2$  is moderately simple, but  $j = 3$  is rather complicated, with many alternatives and special cases.

No attempt has been made to examine (13.9) for  $j = 4$ . To prove (13.3) also for the (final) value  $k = 7$ , the following "shortcut" simplified matters considerably: By the preceding results, it sufficed to show that  $n_h(A_7) \geq 7h$  when  $a_3 > 2h + 1$  and  $a_4 \leq 3h + 1$ .

We shall also discuss the cases with *equality* in (13.3)—still disregarding (13.2). For  $k = 3$ , all cases are given by (12.1-2). For  $k = 4$ , it is easily shown (Rödne [6]) that all cases are given by (13.5) and by

$$(13.10) \quad n_{h_0}(1, 2, 2h_0 + 1, 2h_0 + 2) = 4h_0 .$$

In addition, there are three bases with  $h_0 = 2$ :

$$(13.11) \quad A_4 = \{1, 2, 3, 5\}, \{1, 2, 4, 6\}, \{1, 3, 4, 7\} .$$

For  $k \geq 5$ , the numbers in Table 6 were computed by Mossige. Within the range of the table, there are no bases with  $n_{h_0}(A_k) < h_0 k$ .

Table 6. *The number of bases satisfying  $n_{h_0}(A_k) = h_0 k$ .*

$h_0 \backslash k$	5	6	7	8	9	10
2	9	19	36	72	138	274
3	2	4	1	4	6	7
4	1	4	2	3	2	4
5	1	3	2	4	2	3

It is quite striking that we get such large numbers for  $h_0 = 2$ , approximately proportional to  $2^k$ . This case is discussed further in Klöve [3].

For  $h_0 > 2$ , a considerable number of the bases in Table 6 can be covered by general formulas. We first treat a generalization of (13.10) to all cases when  $k$  has a proper factorization  $k = k_1 k_2$ . For instance, we have for  $k = 6$ :

$$n_{h_0}(1, 2, 2h_0 + 1, 2h_0 + 2, 4h_0 + 1, 4h_0 + 2) = 6h_0$$

$$n_{h_0}(1, 2, 3, 3h_0 + 1, 3h_0 + 2, 3h_0 + 3) = 6h_0 .$$

It is not difficult to guess the general form:

$$A_k = A_{k_1 k_2} = \{ik_2 h_0 + j \mid i = 0, 1, \dots, k_1 - 1; j = 1, 2, \dots, k_2\} .$$

A simple proof of (13.3) for these bases, by induction on  $k_1$ , is found in Rödne [6]. It is easily seen that all integers in  $[0, h_0 k]$  have *regular* representations by  $A_k$  with at most  $h_0$  addends, so  $g_{h_0}(A_k) = n_{h_0}(A_k) = h_0 k$ . All the same,  $A_k$  is *not pleasant* for  $h_0 > 1$ , since  $a_k - a_{k-1} = 1$ , and (2.16) then implies  $n_{h_1}(A_k) = h_1 a_k > g_{h_1}(A_k)$ .

Next, we note that the last basis (13.11) is of the form  $A_{h+2}$  of section 3, for which  $n_h(A_{h+2}) = h(h+2) = hk$ . This observation may be generalized in several directions. As an illustration, we mention four simple possibilities.

Let  $a_2 = h_0 + 1$ ,  $a_3 = h_0 + 2$ . The following bases then satisfy  $n_{h_0}(A_k) = h_0 k$ :

$$\{1\} \cup \{(\delta i + 1)a_2 \mid i = 0, 1, \dots, t - 1\}$$

$$\cup \{ja_2 + a_3 \mid j = 0, 1, \dots, th - 1\}, \quad k = th + t + 1$$

$$\{1\} \cup \{\delta ia_2 + a_3 \mid i=0, 1, \dots, t-1\} \\ \cup \{ja_2 \mid j=1, 2, \dots, th+1\}, k=th+t+2.$$

In both cases, we may choose either  $\delta=1$  or  $\delta=h_0$ . The proofs (Rödne [6]) are simple, by induction on  $t$ . All the bases are *non-pleasant* for  $h_0 > 1$ . This is the case for the partial basis  $A_3 = \{1, h_0 + 1, h_0 + 2\}$ , and Zöllner [10] has shown in general that  $A_k$  pleasant  $\Rightarrow A_3$  pleasant.

We conclude with two natural questions regarding the “minimum-conjecture”:

1) *What is the smallest  $k=K$  such that  $n_{h_0}(A_K) < h_0 K$  for some basis  $A_K$ ?*

We have seen that  $8 \leq K \leq 44$ , which means quite a large gap in our knowledge.

2) *What is the smallest  $j=J$  such that the implication (13.9) fails for some basis  $A_k$ ?*

Since Klöve's basis (13.4) has  $a_{k-j} \leq (k-j-1)h + 1$  for  $j \leq 10$  but not for  $j=11$ , we know that  $4 \leq J \leq 11$ .

Both questions are apparently very difficult.

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