

# ON C\*-ALGEBRA EXTENSIONS RELATIVE TO A FACTOR OF TYPE II $_{\infty}$

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## Abstract.

The commutative semigroup of strong equivalence classes of unital extensions of the norm-closed two-sided ideal of an infinite semifinite countably decomposable factor by a separable unital C\*-algebra which is the direct limit of a sequence of C\*-algebras with continuous trace is a group. The identity of this group is the class of any trivial unital extension. In the case that the quotient C\*-algebra is the direct limit of a sequence of finite-dimensional C\*-algebras, and the factor is of type II, this group consists of a single element.

## 1. Introduction.

Let  $M$  be an infinite semifinite countably decomposable factor and denote by  $I$  the norm closure of the ideal of elements of finite rank. Let  $A$  be a separable unital C\*-algebra. In [5], Brown, Douglas, and Fillmore introduced the commutative semigroup  $\text{Ext}_s(A, I)$  of strong equivalence classes of unital (essential) extensions of  $I$  by  $A$ . This consists of the unital embeddings of  $A$  into  $M/I$ , up to unitary equivalence by the image in  $M/I$  of a unitary in  $M$ , with the sum of extensions  $\tau_1$  and  $\tau_2$  defined as the class of  $u_1\tau_1u_1^* + u_2\tau_2u_2^*$  where  $u_1$  and  $u_2$  are the images in  $M/I$  of isometries in  $M$  whose range projections are orthogonal with sum 1. If a unital embedding of  $A$  into  $M/I$  is the image of a unital embedding of  $A$  into  $M$ , we shall say that it is trivial.

Brown, Douglas, and Fillmore showed that  $\text{Ext}_s(A, I)$  is a group, with identity the class of any trivial unital extension, if  $M$  is of type I and  $A$  is commutative. If  $M$  is of type II, this was shown by Fillmore in [7].

In the case that  $M$  is of type I, it was shown by Voiculescu in [12] that for arbitrary (separable)  $A$  the class of a trivial unital extension is unique, and is an identity for the semigroup  $\text{Ext}_s(A, I)$ . It was shown by Choi and Effros in [6], using an idea of Arveson in [1], that if  $A$  is nuclear then  $\text{Ext}_s(A, I)$  is a group. For a unified exposition of these results, see [2].

While we cannot extend the result of Voiculescu in full generality to the case

that  $M$  is of type II, we can take a step beyond the result of Fillmore, by dealing with  $C^*$ -algebras with continuous trace (not just commutative ones), and also with direct limits of sequences of such  $C^*$ -algebras.

The result of Arveson, Choi, and Effros holds with the same proof in the case that  $M$  is of type II. In the absence of the analogue of Voiculescu's theorem, the result must be stated as follows: if  $A$  is nuclear then for any unital extension there is another unital extension such that the sum is trivial. This of course says that  $\text{Ext}_s(A, I)$  modulo the trivial classes is a group.

We can compute the group  $\text{Ext}_s(A, I)$  if  $A$  is the direct limit of a sequence of finite-dimensional  $C^*$ -algebras. In the case that  $M$  is of type I, this was done by Pimsner and Popa in [10] and Pimsner in [11] (for a different generalization of this see [8]); the answer is  $\text{Ext}(K_0A/Z, \mathbb{Z})$ , where  $\mathbb{Z}$  is embedded in  $K_0A$  as multiples of the class [1] of the unit of  $A$ . In the case that  $M$  is of type II, the group is zero.

**2. THEOREM.** *Let  $A$  be the  $C^*$ -algebra direct limit of a unital sequence  $A_1 \rightarrow A_2 \rightarrow \dots$  of unital  $C^*$ -algebras with continuous trace. Then there is a unique element of  $\text{Ext}_s(A, I)$  which is the class of a trivial extension, and this element is an identity for the semigroup  $\text{Ext}_s(A, I)$ .*

**PROOF.** We must show that if  $\sigma \in \text{Ext}_s(A, I)$ , and if  $\tau \in \text{Ext}_s(A, I)$  is the class of a trivial extension, then

$$\sigma + \tau = \sigma.$$

It is sufficient to prove that if  $\sigma$  and  $\tau$  are as above, then there exists  $\sigma' \in \text{Ext}_s(A, I)$  such that

$$\sigma = \sigma' + \tau.$$

Indeed, then, as in [12], we may take in place of  $\tau$  a trivial extension  $\tau'$  for which

$$\tau' + \tau = \tau',$$

and then

$$\sigma + \tau = (\sigma' + \tau') + \tau = \sigma' + (\tau' + \tau) = \sigma' + \tau' = \sigma.$$

( $\tau'$  may be taken, as in [12], to be the class of the extension defined by the orthogonal sum of infinitely many copies of a unital splitting of  $\tau$ , i.e., the sum  $u_1\pi u_1^* + u_2\pi u_2^* + \dots$  where  $\pi$  is a unital splitting of  $\tau$  and  $u_1, u_2, \dots$  are isometries in  $M$  with  $u_1u_1^* + u_2u_2^* + \dots = 1$ .)

In other words, it is sufficient to prove that if  $\sigma$  and  $\tau$  are unital embeddings of  $A$  in  $M/I$ , with  $\tau$  trivial, then there exists a proper isometry  $v \in M/I$  such that  $vv^*$  commutes with  $\sigma(A)$ , and

$$v^* \sigma v = \tau .$$

We shall begin by establishing two basic facts about extensions of  $I$  by  $A_1$  (instead of  $A$ ).

First, in the language of [3] (Definition 6.6), every point of  $\hat{A}_1$  is pull-out-able. In the case that  $M$  is of type II, we define this as follows. If  $\lambda \in \hat{A}_1$ , that is,  $\lambda$  is the unitary equivalence class of a morphism of  $A_1$  onto  $M_n C$  for some  $n = 1, 2, \dots$ , embed  $M_n C$  unitaly in  $M/I$  and consider the composed morphism  $A_1 \rightarrow M/I$ . Note that, as  $M$  is of type II, any two unital embeddings of  $M_n C$  in  $M/I$  are strongly equivalent. The strong equivalence class of the morphism  $A_1 \rightarrow M/I$  therefore depends only on  $\lambda$ ; denote this class by  $\lambda_M$ . We shall say that  $\lambda$  is pull-out-able (with respect to  $M$ ) if for any  $\sigma \in \text{Ext}_s(A_1, I)$ ,  $\sigma + \lambda_M = \sigma$ .

The proof that any  $\lambda \in \hat{A}_1$  is pull-out-able in the case that  $M$  is of type II is similar to the proof in the case that  $M$  is of type I, given in the first half of the paragraph following 6.7 of [3]. This proof is designed for weak equivalence rather than strong, but is easily modified for strong (and, furthermore, by the remark preceding 5.3 of [3], and in view of 6.8d of [3], does not even need to be so modified). We note that the case  $A_1$  is commutative, to which the general case is reduced, is now to be deduced from 2.9 of [7], rather than [5].

The second basic fact about  $A_1$  is as follows. For any unital embedding  $\pi: A_1 \rightarrow M$ , any finite projection  $f$  in  $M$ , any finite subset  $S$  of  $A_1$ , and any  $\varepsilon > 0$ , there exist a finite projection  $g$  in  $M$  with  $g \geq f$ , a finite-dimensional sub-C\*-algebra  $C$  of  $gMg$  containing  $g$ , and a unital morphism  $\varrho: \pi(A_1) \rightarrow C$  such that, for each  $a \in \pi(S)$ ,

$$\|ga - ag\| < \varepsilon ,$$

$$\|gag - \varrho(a)\| < \varepsilon .$$

To prove this, first note that it suffices to prove the same statement with  $\pi(A_1)$  replaced by a larger sub-C\*-algebra of  $M$ . We shall prove the statement with  $\pi(A_1)$  replaced by a larger separable C\*-algebra with continuous trace,  $D$ , with totally disconnected spectrum, chosen as follows. Since  $\pi(A_1)$  is unital with continuous trace, its weak closure  $\pi(A_1)''$  is a finite direct sum of finite homogeneous von Neumann algebras of type I, and is therefore the algebra generated by its centre and a finite-dimensional sub-C\*-algebra  $D_1$ . Choose a separable approximately finite-dimensional sub-C\*-algebra  $D_2$  of the centre of  $\pi(A_1)''$  containing the centres of  $\pi(A_1)$  and of  $D_1$ , and denote by  $D$  the algebra generated by  $D_1$  and  $D_2$ . Then  $D$  is a C\*-algebra,  $\pi(A_1) \subseteq D$ ,  $D$  has continuous trace, and the centre of  $D$ ,  $D_2$ , is separable and approximately finite-dimensional. We shall use that  $D_2$  is the C\*-algebra generated by a single selfadjoint element  $h$ .

Let  $f$  be a finite projection in  $M$ ,  $S_2$  a finite subset of  $D_2$ , and  $\varepsilon_1 > 0$ . We shall show that there exist a finite projection  $g \geq f$  in  $M$  commuting with  $D_1$ , a finite-

dimensional commutative sub-C\*-algebra  $C_2$  of  $gMg$  commuting with  $gD_1$ , and a surjective morphism  $\varrho_2: D_2 \rightarrow C_2$  such that, for each  $d \in S_2$ ,

$$\begin{aligned} \|gd - dg\| &< \varepsilon_1, \\ \|gdg - \varrho_2(d)\| &< \varepsilon_1. \end{aligned}$$

Since  $h$  is approximately contained in a finite-dimensional sub-C\*-algebra of  $D_2$ , it follows that  $h$  is approximately contained in a finite-dimensional sub-C\*-algebra of  $D$  containing  $D_1$ . Denote by  $g$  the smallest projection in  $M$  containing  $f$  and commuting with this finite-dimensional algebra. Then  $g$  is finite, and  $g$  approximately commutes with  $h$ . In particular, since the elements of  $S_2$  are approximately polynomials in  $h$ ,  $g$  approximately commutes with  $S_2$ . Furthermore, since  $ghg + (1 - g)h(1 - g)$  is close to  $h$ , each point of the spectrum of  $ghg$  is close to some point in the spectrum of  $h$ . Therefore,  $ghg$  is close to a selfadjoint element  $h'$  of  $\{ghg\}''$  such that the spectrum of  $h'$  is finite and is contained in the spectrum of  $h$ . This defines a surjective morphism  $\varrho_2$  from  $D_2$  onto a finite-dimensional subalgebra  $C_2$  of  $\{ghg\}''$ , a subalgebra commuting with  $gD_1$  since  $ghg$  does, such that  $gdg$  is close to  $\varrho_2(d)$  for all  $d \in S_2$ . Thus, if the commutative finite-dimensional subalgebra of  $D_2$  chosen above approximates  $h$  sufficiently well, we have  $\|gd - dg\| < \varepsilon_1$ ,  $\|gdg - \varrho_2(d)\| < \varepsilon_1$  for all  $d \in S_2$ . In particular, if a central projection  $e$  of  $D_1$  belongs to  $S_2$ , and  $\varepsilon_1 \leq 1$ , then  $g$  and  $e$  both commute with  $\varrho_2(e)$ , and  $\|ge - \varrho_2(e)\| < 1$ , so  $\varrho_2(e) = ge$ .

It follows immediately from what was shown in the preceding paragraph that for any finite projection  $f$  in  $M$ , any finite subset  $S$  of  $D$ , and any  $\varepsilon > 0$ , there exist a finite projection  $g$  in  $M$  with  $g \geq f$ , a finite-dimensional sub-C\*-algebra  $C$  of  $gMg$  containing  $g$ , and a surjective morphism  $\varrho: D \rightarrow C$  such that  $\|ga - ag\| < \varepsilon$ ,  $\|gag - \varrho(a)\| < \varepsilon$  for all  $a \in S$ . Just choose  $g$  as above, with  $\varepsilon_1$  sufficiently small, and with  $S_2$  such that  $S$  is contained in the algebra generated by  $S_2$  and  $D_1$ , and such that each central projection of  $D_1$  belongs to  $S_2$ , and take for  $C$  the subalgebra generated by  $C_2$  defined above and by  $gD_1$ , and for  $\varrho$  the unique extension of  $\varrho_2$  from  $D_2$  to  $D$  which on  $D_1$  coincides with multiplication by  $g$ . (Note that if  $\varepsilon_1 < 1$  then  $\varrho_2(e) = ge$  for all  $e \in D_2 \cap D_1$ .)

This establishes the two basic facts about  $A_1$  (or  $A_2$ , or  $A_3, \dots$ ) that we shall need. Now let  $\sigma$  and  $\tau$  be unital embeddings of  $A$  in  $M/I$ , with  $\tau$  trivial, and let  $\pi$  be a unital splitting of  $\tau$ . Denote the preimage of  $\sigma(A)$  in  $M$  by  $B$ , choose a dense sequence  $(a_k)$  in  $A$ , and choose a sequence  $(b_k)$  in  $B$  with  $b_k + I = \sigma(a_k)$ .

By the second basic fact established above, applied to  $A_{n_1}, A_{n_2}, \dots$  and  $S_1 \subseteq A_{n_1}, S_2 \subseteq A_{n_2}, \dots$  where  $S_k$  is a finite set containing elements strictly within distance  $2^{-k}$  of  $a_1, \dots, a_k, k = 1, 2, \dots$ , there exist an increasing sequence

$$g_1 \leq g_2 \leq \dots$$

of finite projections in  $M$ , with supremum 1, finite-dimensional sub-C\*-algebras  $C_1 \subseteq g_1 M g_1, C_2 \subseteq g_2 M g_2, \dots$  with  $g_1 \in C_1, g_2 \in C_2, \dots$ , and surjective morphisms  $\varrho_1: A_{n_1} \rightarrow C_1, \varrho_2: A_{n_2} \rightarrow C_2, \dots$  such that, for all  $a \in \pi(S_k)$ ,

$$\begin{aligned} \|g_k a - a g_k\| &< 2^{-k} \\ \|g_k a g_k - \varrho_k \pi^{-1}(a)\| &< k^{-1}. \end{aligned}$$

We remark that we do not need that the sequence  $A_1, A_2, \dots$  is increasing; the only assumption we need on the separable unital C\*-algebra  $A$  is that any finite subset can be approximated by elements of some unital sub-C\*-algebra with continuous trace. Thus, the class of separable unital C\*-algebras to which  $A$  may belong is closed under taking direct limits.

By the first basic fact established above, for each  $k=1, 2, \dots$  there exist an infinite projection  $p_k$  in  $M$  commuting modulo  $I$  with the preimage  $B_{n_k}$  in  $M$  of  $\sigma(A_{n_k}) \subseteq M/I$ , a finite-dimensional sub-C\*-algebra  $\bar{C}_k$  of  $p_k M p_k$  containing  $p_k$ , with  $\bar{C}_k \cap I = 0$ , and an isomorphism  $\theta_k: C_k \rightarrow \bar{C}_k$  such that for all  $b \in B_{n_k}$ ,

$$p_k b p_k - \theta_k \varrho_k \sigma^{-1}(b + I) \in I.$$

It follows that there exists an orthogonal sequence  $(f_1, f_2, \dots)$  of finite projections in  $M$  (with  $f_k \leq p_k$ ) such that  $1 - \sum_1^\infty f_k$  is infinite in  $M$ ,  $f_k$  commutes with  $\bar{C}_k$ , the map  $f_k \theta_k: C_k \rightarrow f_k \bar{C}_k$  is determined by a partial isometry  $u_k$  in  $M$ , i.e.,

$$\begin{aligned} u_k^* u_k &= g_k, & u_k u_k^* &= f_k, \\ u_k^* \theta_k(c) u_k &= c, & c &\in C_k, \end{aligned}$$

and for each  $b$  belonging to a fixed finite subset of  $B$  which maps onto  $\sigma(S_k) \subseteq \sigma(A_{n_k})$  and contains elements strictly within distance  $2^{-k}$  of  $b_1, \dots, b_k$  (recall that  $b_i$  maps onto  $\sigma(a_i)$ ),

$$\begin{aligned} f_k b f_j &= f_j b f_k = 0, & 1 \leq j < k, \\ \|f_k b f_k - f_k \theta_k \varrho_k \sigma^{-1}(b + I) f_k\| &< k^{-1}. \end{aligned}$$

We now have the following chain of inequalities, whenever  $1 \leq i \leq k$ , where  $b \in B_{n_k}$  is chosen as above close to  $b_i$ :

$$\begin{aligned} \|u_k^* b_i u_k - u_k^* b u_k\| &< 2^{-k}, \\ \|u_k^* b u_k - u_k^* \theta_k \varrho_k \sigma^{-1}(b + I) u_k\| &< k^{-1}, \\ u_k^* \theta_k \varrho_k \sigma^{-1}(b + I) u_k &= \varrho_k \sigma^{-1}(b + I), \\ \|\varrho_k \sigma^{-1}(b + I) - g_k \pi(\sigma^{-1}(b + I)) g_k\| &< k^{-1}, \end{aligned}$$

$$\begin{aligned} \|g_k\pi(\sigma^{-1}(b+I))g_k - g_k\pi(\sigma^{-1}(b_i+I))g_k\| &< 2^{-k}, \\ \sigma^{-1}(b_i+I) &= a_i. \end{aligned}$$

Hence by the triangle inequality, for  $1 \leq i \leq k$ ,

$$\|u_k^*b_iu_k - g_k\pi(a_i)g_k\| < 4k^{-1}.$$

We also have, for  $1 \leq i \leq k$ ,

$$\begin{aligned} \|g_k\pi(a_i) - \pi(a_i)g_k\| &< 3 \cdot 2^{-k} < 2^{-k+2}, \\ \|f_k b_i f_j\| &< 2^{-k}, \quad \|f_j b_i f_k\| < 2^{-k}, \quad 1 \leq j < k. \end{aligned}$$

Finally, set  $g_k - g_{k-1} = e_k$ ,  $k = 1, 2, \dots$ , where  $g_0 = 0$ . Then  $\sum_{k=1}^\infty e_k = 1$  in  $M$ , and if  $1 \leq i < k$ , setting  $u_k e_k = v_k$  we have

$$\begin{aligned} \|v_k^* b_i v_k - e_k \pi(a_i) e_k\| &< 4k^{-1}, \\ \|e_k \pi(a_i) - \pi(a_i) e_k\| &< 2^{-k+3} + 2^{-k+2} < 2^{-k+4}, \\ \|v_k^* b_i v_j\| &< 2^{-k}, \quad \|v_j^* b_i v_k\| < 2^{-k}, \quad 1 \leq j < k. \end{aligned}$$

Moreover,  $\sum_{k=1}^\infty v_k$  is an isometry in  $M$ , with cokernel  $1 - \sum_{k=1}^\infty v_k v_k^*$  containing  $1 - \sum_{k=1}^\infty f_k$  which is infinite. Denote the image of  $\sum_{k=1}^\infty v_k$  in  $M/I$  by  $v$ . Then  $v$  is a proper isometry in  $M/I$ , and for each  $i = 1, 2, \dots$ ,

$$\begin{aligned} v^* \sigma(a_i) v &= v^* (b_i + I) v \\ &= \left( \sum_{k=1}^\infty v_k^* b_i v_k \right) + I \\ &= \left( \sum_{k=1}^\infty e_k \pi(a_i) e_k \right) + I \\ &= \left( \sum_{k=1}^\infty e_k \pi(a_i) \right) + I \\ &= \pi(a_i) + I \\ &= \tau(a_i). \end{aligned}$$

By continuity,

$$v^* \sigma(a) v = \tau(a), \quad a \in A.$$

This implies in particular that  $vv^*$  commutes with  $\sigma(A)$  (see the proof of Corollary 1, page 338 of [2]), and so the proof of the Theorem is complete.

3. THEOREM. *Let  $A$  be a separable unital nuclear  $C^*$ -algebra. Then for any  $\tau \in \text{Ext}_s(A, I)$  there exists  $\tau' \in \text{Ext}_s(A, I)$  such that  $\tau + \tau'$  is trivial.*

PROOF. This follows from Corollary 3.11 of [6] (see also the Corollary of Theorem 7 of [2]) applied with  $B=M$  and  $J=I$ , by using the idea of [1], as in the case that  $M$  is of type I.

4. COROLLARY. *Let  $A$  be as in 2. Then  $\text{Ext}_s(A, I)$  is a group.*

PROOF. This follows from 2 and 3.

5. REMARK. The methods used above, slightly modified, yield analogues of 2 and 4 for extensions of  $I$  by a nonunital separable C\*-algebra  $A$  which is the direct limit of C\*-algebras with continuous trace.

In this case, by 3.4 of [5], strong equivalence coincides with weak equivalence, and hence by 3.15 of [5], we may suppose that  $A$  is stable. Then  $A$  is the direct limit of stable C\*-algebras with continuous trace. Since a stable C\*-algebra with continuous trace and with totally disconnected spectrum is trivial, and is hence a direct limit of unital C\*-algebras with continuous trace, the proof of 2 is applicable with only minor modifications.

6. THEOREM. *Let  $A$  be the C\*-algebra direct limit of a unital sequence  $A_1 \rightarrow A_2 \rightarrow \dots$  of finite-dimensional C\*-algebras, and suppose that  $M$  is of type II. Then every unital extension of  $I$  by  $A$  is trivial.*

PROOF. It would be enough to show that if  $1 \in A_1 \subseteq A_2 \subseteq M/I$ , and  $1 \in B_1 \subseteq M$  maps isomorphically onto  $A_1$  by the quotient map  $M \rightarrow M/I$ , then there exists  $B_2 \subseteq M$  with  $B_1 \subseteq B_2$ , mapping isomorphically onto  $A_2$ . (With  $1 \in A_1 \subseteq A_2 \subseteq \dots \subseteq A \subseteq M/I$ , one could just choose successively  $B_1 \subseteq B_2 \subseteq \dots \subseteq M$  mapping isomorphically onto  $A_1 \subseteq A_2 \subseteq \dots$ ) If the finite-dimensional C\*-algebra  $A$ , is not simple, however, this is not true.

The proof seems to require a less direct approach, using  $K$ -theory. The argument consists of five steps. Let  $I \rightarrow B \rightarrow A$  be an essential unital extension of  $I$  by  $A$ .

STEP 1. The induced sequence  $K_0I \rightarrow K_0B \rightarrow K_0A$  defines an abelian group extension of the group  $K_0I (= \mathbb{R})$  by the group  $K_0A$ .

This can easily be shown directly, using that any projection in  $M/I$  is the image of a projection in  $M$  (Theorem 3.2 of [13]), so that any projection in  $A$  is the image of a projection in  $B$ . What is needed — namely, that the sequence of abelian groups

$$0 \rightarrow K_0I \rightarrow K_0B \rightarrow K_0A \rightarrow 0$$

is exact — can also be seen, as Brown has pointed out in the different situation in which  $I$  is an approximately finite-dimensional  $C^*$ -algebra ([4]), by observing that in the six-term exact sequence

$$\begin{array}{ccccc} K_0I & \rightarrow & K_0B & \rightarrow & K_0A \\ & & \uparrow & & \downarrow \\ K_1A & \leftarrow & K_1B & \leftarrow & K_1I \end{array}$$

of Bott periodicity, the groups  $K_1A$  and  $K_1I$  are zero.

STEP 2. The abelian group extension  $K_0I \rightarrow K_0B \rightarrow K_0A$  defined above splits.

To see this note that the subgroup  $K_0I (= \mathbb{R})$  of  $K_0B$  is divisible. It is then by Theorem 2 of [9] a direct summand of  $K_0B$ .

STEP 3. There exists a splitting map  $K_0A \rightarrow K_0B$  for the abelian group extension  $K_0I \rightarrow K_0B \rightarrow K_0A$  which takes  $[1]$  into  $[1]$  (i.e., takes the class of the unit of  $A$  into the class of the unit of  $B$ ).

To see this, first choose by Step 2 some splitting map  $K_0A \rightarrow K_0B$ . To get a different splitting we must add a map from  $K_0A$  to  $K_0I$ , and it is sufficient for us to show that there is such a map which is nonzero on  $[1] \in K_0A$ . (Since  $K_0I = \mathbb{R}$  it follows that there is such a map which is arbitrary on this element.) The existence of such a map  $K_0A \rightarrow K_0I$  follows from the facts that  $K_0I (= \mathbb{R})$  is divisible and that  $K_0A$  is torsion free. (A maximal additive extension of a nonzero map from  $\mathbb{Z} \subseteq K_0A$  into  $K_0I$  must then be defined on all of  $K_0A$ .)

STEP 4. Any splitting map  $K_0A \rightarrow K_0B$  for the abelian group extension  $K_0I \rightarrow K_0B \rightarrow K_0A$  is positive with respect to the natural preorder in  $K_0A$  and  $K_0B$ .

It is sufficient to show that if  $h$  is an element of  $K_0B$  such that the image of  $h$  in  $K_0A$  is nonzero and positive, then  $h$  is positive in  $K_0B$ . After tensoring with a suitable full matrix algebra of finite order, what we must show is that if  $e$  and  $f$  are orthogonal projections in  $B$  with  $f \in I$  and  $e \notin I$ , then there exists a projection  $f' \in I$  equivalent to  $f$  with  $f' \leq e$ . That this is true for  $B$  follows, as  $B \subseteq M$ , from the fact that it is true for  $M$ .

STEP 5. Let  $\varphi: K_0A \rightarrow K_0B$  be a splitting of  $K_0I \rightarrow K_0B \rightarrow K_0A$  which is unital, i.e., takes  $[1]$  into  $[1]$ . Then there is a unital splitting  $A \rightarrow B$  of the extension of  $C^*$ -algebras  $I \rightarrow B \rightarrow A$  which induces  $\varphi$ .

Denote by



$$\varphi_n : K_0A_n \rightarrow K_0B$$

the composition of  $\varphi$  with the induced map  $K_0A_n \rightarrow K_0A$  (which may not be injective). It will suffice to show that if  $n=1, 2, \dots$  and if

$$\psi_{n-1} : A_{n-1} \rightarrow B$$

is a unital morphism for which the given map  $B \rightarrow A$  is a left inverse, where  $A_0 = \mathbb{C} \subseteq A$ , and such that the induced map  $K_0A_{n-1} \rightarrow K_0B$  is  $\varphi_{n-1}$ , where  $\varphi_0[1] = [1]$ , then there is an extension of  $\psi_{n-1}$  to a morphism

$$\psi_n : A_n \rightarrow B$$

for which the map  $B \rightarrow A$  is a left inverse, and such that the induced map  $K_0A_n \rightarrow K_0B$  is  $\varphi_n$ . The closure of the common extension of  $\psi_1, \psi_2, \dots$  is then a unital splitting  $A \rightarrow B$ , inducing  $\varphi : K_0A \rightarrow K_0B$ .

It is enough to consider the case  $n=2$ . Choose a maximal orthogonal set  $S_1$  of minimal projections in  $A_1$ , and a maximal orthogonal set  $S_2$  of minimal projections in  $A_2$ , such that every element of  $S_2$  lies inside some element of  $S_1$ . Fix  $p \in S_1$ . Choose a morphism  $\psi$  from  $pA_2p$  to  $\psi_1(p)M\psi_1(p)$  for which the map  $M \rightarrow M/I$  is a left inverse; necessarily,  $\psi(pA_2p) \subseteq B$ . For each  $q \in S_2$  with  $q \leq p$ , the element  $\varphi_2[q] - [\psi q]$  of  $K_0B$  belongs to the kernel of  $K_0B \rightarrow K_0A$  and hence, by Step 1, to the image of  $K_0I \rightarrow K_0B$ . Since  $K_0I = \mathbb{R}$ , each such  $\varphi_2[q] - [\psi q]$  is either positive or negative. If  $\varphi_2[q] - [\psi q]$  is negative, then, since  $\psi q \in M \setminus I$ ,  $\psi q$  may be decreased by a projection in  $I$  so that  $\varphi_2[q] - [\psi q] = 0$ . Furthermore, this may be done simultaneously for all the projections in  $S_2$  equivalent in  $A_2$  to  $q$ , in such a way that  $\psi$  is still a morphism. We may therefore suppose that  $\varphi_2[q] - [\psi q]$  is positive for each  $q \in S_2$  with  $q \leq p$ . Since

$$[\psi_1 p] = \varphi_1[p] = \varphi_2[p] = \sum_{q \in S_2, q \leq p} \varphi_2[q],$$

we have the decomposition

$$\left[ \psi_1 p - \sum_{q \in S_2, q \leq p} \psi q \right] = \sum_{q \in S_2, q \leq p} (\varphi_2[q] - [\psi q])$$

in the positive part of the image of  $K_0I \rightarrow K_0B$ , and since any positive element of this image is the image of the class in  $K_0I$  of a projection in  $I$ , it follows that  $\psi q$  may be increased by a projection in  $I$ , for each  $q \in S_2$  with  $q \leq p$ , with class  $\varphi_2[q] - [\psi q]$  in the image of  $K_0I \rightarrow K_0B$ , in such a way that

$$\sum_{q \in S_2, q \leq p} \psi q = \psi_1 p.$$

Moreover, since the change is by projections of the same dimension in  $I$  for

different  $q \in S_2$  which are equivalent to  $q$  in  $A_2$ , also the partial isometries between different  $\psi q$ 's may be extended so that  $\psi$  is still a morphism. We now have

$$\varphi_2[q] - [\psi q] = 0, \quad q \in S_2, q \leq p.$$

Carry out the construction of  $\psi$  as above for each  $p \in S_1$ . Then we have a morphism

$$\psi: \sum_{p \in S_1} pA_2p \rightarrow B$$

such that for each  $p \in S_1$ ,

$$\sum_{q \in S_2, q \leq p} \psi q = \psi_1 p,$$

and for each  $q \in S_2$ ,

$$\varphi_2[q] - [\psi q] = 0.$$

It is now straightforward to construct a common extension of  $\psi$  and of  $\psi_1$  to a morphism  $\psi_2$  of all of  $A_2$  into  $B$ , necessarily inducing  $\varphi_2: K_0A_2 \rightarrow K_0B$ . We make only the following remarks. If  $p_1$  and  $p_2$  are distinct elements of  $S_1$  which are equivalent in  $A_1$  then a common extension of  $\psi$  and of  $\psi_1$  to  $(p_1 + p_2)A_2(p_1 + p_2)$  is unique. If  $p_1$  and  $p_2$  are elements of  $S_1$  which are not equivalent in  $A_1$ , and if  $q_1$  and  $q_2$  are elements of  $S_2$  with  $q_1 \leq p_1$  and  $q_2 \leq p_2$ , such that  $q_1$  and  $q_2$  are equivalent in  $A_2$ , then

$$[\psi q_1] = \varphi_2[q_1] = \varphi_2[q_2] = [\psi q_2].$$

Hence if  $v$  is a partial isometry in  $A_2$  with  $v^*v = q_1$  and  $vv^* = q_2$ , and  $u$  is any partial isometry in the preimage of  $v$  in  $\psi(q_1)M\psi(q_2)$ , then

$$[\psi q_1 - u^*u] = [\psi q_1] - [u^*u] = [\psi q_2] - [uu^*] = [\psi q_2 - uu^*],$$

and so  $u$  may be extended by adding a partial isometry in  $I$  in such a way that  $u^*u = \psi q_1$ ,  $uu^* = \psi q_2$ .

7. COROLLARY. *Let  $A$  be the  $C^*$ -algebra direct limit of a unital sequence  $A_1 \rightarrow A_2 \rightarrow \dots$  of finite-dimensional  $C^*$ -algebras, and suppose that  $M$  is of type II. Then  $\text{Ext}_*(A, I)$  has only one element.*

PROOF. This follows from 2 and 6.

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