

ON THE POSTAGE STAMP PROBLEM WITH THREE STAMP DENOMINATIONS, III

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The present paper is an immediate continuation of Selmer [7] and Selmer - Rødne [8]. All references to theorems and formulas from sections 1-13 are automatically to [7] or [8].

14. The sets of h_0 - and $(h_0 - 1)$ -representable numbers.

Let $A'_k = A_k \cup \{0\}$. The set (1.2) of h -representable numbers (at most h addends) may then in standard terminology be denoted by hA'_k . Our aim in the present section is to determine the sets $h_0A'_3$ and $(h_0 - 1)A'_3$.

We shall rely heavily on the results in Rødseth [6], and use his notation, with one exception: He operates with an integer r , $0 \leq r < a_3$. To avoid confusion with our use of r , we shall replace his r by l .

Rødseth's Lemma 4 states that

$$t^*_{-l} = x_v(a_3 - 1) + y_v(a_3 - a_2), \quad (x_v, y_v) \in X_v \cup Y_v.$$

We consider the numbers (all $\equiv l \pmod{a_3}$):

$$(14.1) \quad (h_0 - t)a_3 - t^*_{-l} = (h_0 - t - x_v - y_v)a_3 + y_va_2 + x_v \geq 0,$$

and claim that these belong to $h_0A'_3$ for $t \geq 0$. This is trivial if $h_0 - t - x_v - y_v \geq 0$, since the coefficient sum $\Sigma = h_0 - t \leq h_0$. It remains to show that the set

$$S_l = \{l, l + a_3, l + 2a_3, \dots, y_va_2 + x_v - a_3\} \subset h_0A'_3.$$

And this is proved by Rødseth, since S_l is just the sequence (4.1) of [6].

On the other hand, the numbers (14.1) do *not* belong to $h_0A'_3$ if $t = -t' < 0$. Assume to the contrary that

$$(h_0 + t')a_3 - t^*_{-l} = x_3a_3 + x_2a_2 + x_1, \quad \Sigma x_i = h' \leq h_0.$$

As in section 3, we conclude that

$$t^*_{-l} - t'a_3 = (h_0 - h')a_3 + x_1(a_3 - 1) + x_2(a_3 - a_2)$$

has a representation by $\bar{A}_3 = \{a_3 - a_2, a_3 - 1, a_3\}$, cf. (2.15). (Rødseth uses $A_3^* = \bar{A}_3 \cup \{0\}$.) But this is a contradiction, since t^*_{-l} is defined as the smallest integer in its residue class $(\text{mod } a_3)$ with a representation by \bar{A}_3 .

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Letting (x_v, y_v) run through all lattice points of $X_v \cup Y_v$, we get all residue classes $l \pmod{a_3}$, and have the following

THEOREM 14.1.

$$h_0 A'_3 = \bigcup_{(x_v, y_v) \in X_v \cup Y_v} \{(h_0 - t - x_v - y_v)a_3 + y_v a_2 + x_v \geq 0, t = 0, 1, \dots\}.$$

For use in the next section, we shall also determine $(h_0 - 1)A'_3$. Clearly

$$(h_0 - 1)A'_3 \subset h_0 A'_3 - a_3 = \{n - a_3 \mid n \in h_0 A'_3\}.$$

If A_3 is *pleasant*, it suffices to use regular representations, and clearly

$$(h_0 - 1)A'_3 = (h_0 A'_3 - a_3) \cap N_0$$

(where $N_0 = \{0, 1, 2, \dots\}$). For non-pleasant A_3 , however, we get problems with the number n_0 of (11.13):

(14.2)

$$n_0 = a_3 - r - 1 = (f - 1)a_2 + a_2 - 1 = n_{h_0 - 1}(A_3) + 1 \notin (h_0 - 1)A'_3,$$

where $n_0 + a_3 = 2fa_2 + r - 1 \in h_0 A'_3$, since $1 \leq r \leq a_2 - f - 1$ by (4.3). (For pleasant A_3 , it follows from (2.8) that $n_0 + a_3 = n_{h_0}(A_3) + 1 \in h_0 A'_3$.)

We shall show that n_0 is usually the *only* exception:

THEOREM 14.2. For A_3 non-pleasant, with $r \neq 1$ and $s \neq q$, we have

$$(14.3) \quad (h_0 - 1)A'_3 = (h_0 A'_3 - a_3) \cap N_0 \setminus \{a_3 - r - 1\}.$$

To prove this, we replace h_0 by $h_0 - 1$ in the arguments leading to Theorem 14.1. The only critical point is whether now $S_i \subset (h_0 - 1)A'_3$.

To show that $S_i \subset h_0 A'_3$, Rödseth used his Lemma 5, which states that for $1 \leq i \leq v$, we have

$$(14.4) \quad x_{i-1} + y_{i-1} + Q_i - 1 \leq h_0 \quad \text{if} \quad P_i \leq s_i$$

$$(14.5) \quad x_i + y_i + R_i - 1 \leq h_0 \quad \text{if} \quad P_i > s_i.$$

If these relations hold with *strict inequalities*, it follows that $S_i \subset (h_0 - 1)A'_3$.

We note that Rödseth's division algorithm for a_3/a_2 is the same as the one leading to our Theorem 6.1. In particular, we have $a_3 = q_1 a_2 - s_1$, hence $q_1 = q$, $s_1 = s$, and $v > 0$ for a non-pleasant A_3 , when $s \geq q$ by (2.10).

Studying Rödseth's proof of his Lemma 5, we observe the following facts:

1) For $i = 1$, when $P_1 = q_1 \leq s_1$, we have equality in (14.4) if and only if (x_0, y_0) is the upper right corner of Y_0 :

$$(14.6) \quad (x_0, y_0) = (s_0 - 1, P_1 - P_0 - 1) = (a_2 - 1, f - 1).$$

Then $y_0 a_2 + x_0$ is just the number n_0 of (14.2).

2) For $i > 1$, hence $Q_i > 1$, a necessary condition for equality in (14.4) or (14.5) is $s_i = s_{i-1} - 1$ or $s_{i+1} = s_i - 1$, respectively. But then such a relation must hold from the start:

$$s = s_1 = s_0 - 1 = a_2 - 1, \text{ hence } r = 1$$

(cf. the recurrence relation $s_{j+1} = q_{j+1}s_j - s_{j-1}$, $q_{j+1} \geq 2$). If $r \neq 1$, we thus have strict inequalities in (14.4-5) for all $i > 1$.

In Rödseth's proof of $S_i \subset h_0 A'_3$, he divides S_i into "subsequences" between $y_{i-1} a_2 + x_{i-1}$ and

$$y_i a_2 + x_i = y_{i-1} a_2 + x_{i-1} + Q_i \left[\frac{x_{i-1}}{s_i} \right] a_3.$$

We have noted that the case $i = 1$ needs a special treatment. Since $s_1 = s$, $Q_1 = 1$, we must consider the numbers $z a_3 + y_0 a_2 + x_0$, $0 \leq z < [x_0/s]$. Using the "a₃-transfer" $a_3 = q a_2 - s$ of section 11, this may be written as

$$(14.7) \quad z a_3 + y_0 a_2 + x_0 = (y_0 + z q) a_2 + x_0 - z s,$$

with positive constant term, and a coefficient sum

$$\Sigma = x_0 + y_0 - z(s - q) \leq x_0 + y_0.$$

If $x_0 + y_0 < h_0$, then also $\Sigma < h_0$ for all z . If $x_0 + y_0 = h_0$, corresponding to the corner (14.6), then $\Sigma < h_0$ for $z > 0$ if $s > q$, but $\Sigma = h_0$ for all z when $s = q$.

If $s = q$, then $v = 1$ by Theorem 7.1, and the "subsequence" just completed covers the whole of S_i . If $v > 1$, we have seen that the remaining subsequences yield no problems if $r \neq 1$.

This completes the proof of (14.3), and also shows that if $s = q$, then

$$(14.8)$$

$$(h_0 - 1) A'_3 = (h_0 A'_3 - a_3) \cap N_0 \setminus \left\{ t a_3 - r - 1 \mid t = 1, 2, \dots, \left[\frac{a_2 - 1}{s} \right] \right\}.$$

Here $t a_3 - r - 1 = n_0 + (t - 1) a_3 = n_0 + z a_3$, with $0 \leq z < [x_0/s]$ = $[(a_2 - 1)/s]$. Note that we may use also $z = [x_0/s]$ in (14.7), but the resulting number is then contained in $h_0 A'_3$ but not in $h_0 A'_3 - a_3$.

We finally treat the case $r = 1$. A modification of Rödseth's method then seems to become rather complicated. However, we can settle the case directly by a more elementary application of a_3 -transfers. With $r = 1$, the only such transfers which may reduce the coefficient sum are of the form

$$(14.9) \quad ea_3 = (ef + 1)a_2 - (a_2 - e), \quad e = 1, 2, \dots$$

As in section 11, we start with the *regular* representations

$$(14.10) \quad n = e_3a_3 + e_2a_2 + e_1, \quad e_1 \leq a_2 - 1, \quad e_2 \leq f - 1.$$

For $r = 1$, it is unnecessary to consider $e_2 = f$, since already $fa_2 + 1$ gives a new a_3 .

For the n of (14.10), we shall decide if $n \in h_0A'_3$. If $\Sigma_e = \Sigma e_i \leq h_0$, we are finished. If $\Sigma_e > h_0$, we must try a transfer (14.9) with $e \leq e_3$. The transfer is possible only if it yields a non-negative constant term, that is, if $e_1 \geq a_2 - e$.

Similarly, we shall decide if $n' \in (h_0 - 1)A'_3$, where

$$(14.11) \quad n' = n - a_3 = (e_3 - 1)a_3 + e_2a_2 + e_1 \quad (e_3 > 0),$$

with $\Sigma'_e = \Sigma_e - 1$, hence no problem if $\Sigma_e \leq h_0$. If an a_3 -transfer (14.9) is necessary and possible in (14.10), and yields a new $\Sigma \leq h_0$, then the *same* transfer gives $\Sigma' \leq h_0 - 1$ in (14.11), provided it is possible, that is, if $e \leq e_3 - 1$. It is easily seen that this combination of conditions *fails* only in the case

$$(14.12) \quad n = e_3a_3 + (f - 1)a_2 + a_2 - e_3, \quad \Sigma = h_0 + 1.$$

Thus $n' = n - a_3 \notin (h_0 - 1)A'_3$ if $n' = e_3a_3 - e_3 - 1$.

For the n of (14.12), we must use $e = e_3$ in (14.9), and get $n = (e_3 + 1)fa_2$, hence

$$n \in h_0A'_3 \Leftrightarrow (e_3 + 1)f \leq h_0 = a_2 + f - 2 \Leftrightarrow e_3 \leq \left[\frac{a_2 - 2}{f} \right].$$

We have thus shown that if $r = 1$, then

$$(14.13) \quad (h_0 - 1)A'_3 = (h_0A'_3 - a_3) \cap \mathbf{N}_0 \setminus \left\{ t(a_3 - 1) - 1 \mid t = 1, 2, \dots, \left[\frac{a_2 - 2}{f} \right] \right\}.$$

For $t = 1$, we get $t(a_3 - 1) - 1 = a_3 - 2 = n_0$.

No problems arise if we have $s = q$ and $r = 1$ *simultaneously*. Then $s = q = a_2 - 1$, $f = q - 1 = a_2 - 2$, and the "subtrahends" $\{ \cdot \}$ in (14.8) and (14.13) both consist of n_0 only.

The results (14.3), (14.8) and (14.13) imply that, but for the specified exceptions with $t > 1$ for $r = 1$ or $s = q$, the integers $\geq a_3$ with a representation in at most h_0 addends from A_3 have such a representation containing a_3 .

In particular, $[0, n_{h_0}(A_3)] \subset h_0 A'_3$. It then follows from (14.3) that

(14.14)

$$r \neq 1, s \neq q \Rightarrow [0, n_{h_0}(A_3) - a_3] \setminus \{a_3 - r - 1\} \subset (h_0 - 1)A'_3.$$

This was first observed numerically for a large number of bases A_3 , and gave the impetus for the investigations in this section.

As in Rödseth [6], let $\Lambda(n)$ denote the number of addends in a *minimal* representation of n by a given basis A_k . We clearly have

$$\Lambda(n_h(A_k) - (x + 1)a_k + 1) \geq h - x, \quad \Lambda(n_h(A_k) - xa_k) \geq h - x,$$

since otherwise addition of $(x + 1)a_k$ or xa_k would yield a contradiction. This raises the question whether there are integers $x > 0$ such that for the interval of length a_k :

$$(14.15) \quad [n_h(A_k) - (x + 1)a_k + 1, n_h(A_k) - xa_k] \subset (h - x)A'_k.$$

We have just seen that this holds with $x = 1$ if $k = 3, h = h_0, A_3$ non-pleasant, $r \neq 1, s \neq q$. Already for $x = 2$, however, it is easy to find counterexamples:

$$A_3 = \{1, 7, 11\}, h_0 = 6, n_6(A_3) = 48; \Lambda(17) = 5.$$

We have made the interesting observation that for *Frobenius-dependent* A_3 with $r > 1$, (14.15) holds also with larger x :

PROPOSITION 14.1. *Let A_3 be Frobenius-dependent, with $r > 1$. In the notation (5.8), let*

$$(p - 1)a_2 \leq n \leq n_{h_0}(A_3), \quad x = \left\lceil \frac{n_{h_0}(A_3) - n}{a_3} \right\rceil.$$

Then

$$n \in (h_0 - x)A'_3.$$

A proof will be published elsewhere.

15. The cases with $n_h(A_4) = n_h(A_3)$.

In (3.3), we raised the question of *basis extensions which do not increase the h -range*. We shall solve this question completely in the case

$$(15.1) \quad n_h(A_4) = n_h(A_3 \cup \{a_4\}) = n_h(A_3), \quad a_4 > a_3.$$

Even if A_4 enters the formulation, the results depend entirely on the properties of A_3 .

We see from (3.4) that the *regular* h -range g_h always increases by a basis extension (assuming admissible bases). The same argument shows that if A_3 is pleasant, then

$$n_h(A_4) \geq g_h(A_4) > g_h(A_3) = n_h(A_3),$$

so that we may assume *non-pleasant* A_3 in (15.1).

If $a_4 > n_{h_0}(A_3) + 1$, then A_4 is *not admissible* for $h = h_0$ (where $h_0 = a_2 + f - 2$ refers to A_3). If then $h = h'_0 > h_0$ is the smallest h for which A_4 is admissible, we trivially have $n_h(A_4) = n_h(A_3)$ for $h < h'_0$. On the other hand, it follows from (2.14) that

$$n_{h'_0}(A_4) \geq a_4 + n_{h'_0-1}(A_3) = a_4 + n_{h'_0}(A_3) - a_3 > n_{h'_0}(A_3).$$

Similarly, it follows from (2.13–14) that

$$n_{h'}(A_4) \geq n_{h'}(A_3), \quad h' \geq h'_0 \Rightarrow n_h(A_4) > n_h(A_3), \quad h > h'.$$

We may therefore restrict the problem (15.1) to the case

$$(15.2) \quad n_{h_0}(A_4) = n_{h_0}(A_3), \quad a_3 < a_4 \leq n_{h_0}(A_3) + 1.$$

Note that a similar simplification does not apply to larger bases, since the analogue of (2.14) does not necessarily hold for $k > 3$.

We already know one case of (15.2), resulting from the basis A_{h+2} of section 3:

$$(15.3) \quad a_2 = h_0 + 1, \quad a_3 = h_0 + 2, \quad a_4 = \alpha a_2 + a_3, \quad 1 \leq \alpha \leq h_0 - 1.$$

To solve the general problem, we note that

$$(15.4) \quad \begin{aligned} n_{h_0}(A_4) = n_{h_0}(A_3) &\Leftrightarrow n_{h_0}(A_3) + 1 \notin h_0 A'_4 \\ &\Leftrightarrow n_{h_0}(A_3) + 1 - \delta a_4 \notin (h_0 - \delta) A'_3, \quad \delta = 1, 2, \dots, h_0. \end{aligned}$$

In most cases, it suffices to consider $\delta = 1$. Since

$$N = n_{h_0}(A_3) + 1 - a_4 \in [0, n_{h_0}(A_3) - a_3] \subset (h_0 A'_3 - a_3) \cap N_0,$$

(15.4) fails already for $\delta = 1$ if N does not belong to the exceptions in (14.3), (14.8) or (14.13). These cases have the common exception n_0 of (14.2), and $N = n_0$ does in fact lead to a general solution of (15.2):

$$(15.5) \quad \begin{aligned} a_4 = \hat{a}_4 = n_{h_0}(A_3) - a_3 + r + 2 &= n_{h_0}(A_3) - n_{h_0-1}(A_3) \\ &\Rightarrow n_{h_0}(A_4) = n_{h_0}(A_3). \end{aligned}$$

This is clear since we cannot use $\delta \geq 2$ in (15.4):

$$2\hat{a}_4 > n_{h_0}(A_3) + 1 \Leftrightarrow n_{h_0}(A_3) > 2a_3 - 2r - 3,$$

which always holds by (2.8). – Note that $\hat{a}_4 = a_3$ if A_3 is pleasant.

If $a_4 \neq \hat{a}_4$, a necessary condition for (15.2) is that N equals one of the exceptions in (14.8) or (14.13), with $t > 1$ (since $t = 1$ corresponds to n_0).

We start with (14.13), hence $r = 1$. Then $n_{h_0}(A_3)$ is given by (2.28), and we find that we must choose

$$(15.6) \quad a_4 = a_3 + \tau(a_3 - 1), \quad \tau = 1, 2, \dots, \left[\frac{a_2 - 2}{f} \right] - 1$$

(while $\tau = [(a_2 - 2)/f]$ corresponds to \hat{a}_4). We shall see that this is also sufficient for (15.2) to hold.

We consider a representation

$$(15.7) \quad n_{h_0}(A_3) + 1 = x_4 a_4 + x_3 a_3 + x_2 a_2 + x_1,$$

and must show that $\sum x_i > h_0$. This is trivial if $x_4 = 0$, so we can assume $x_4 > 0$, and observe that

$$h_{h_0}(A_3) + 1 \equiv 0, \quad a_4 \equiv a_3 \equiv 1 \pmod{a_3 - 1 = f a_2}.$$

With $x_2 = \kappa f + x'_2$, $0 \leq x'_2 < f$, (15.7) then gives

$$x_4 + x_3 + x_1 \equiv (f - x'_2) a_2, \quad \text{hence } x_4 + x_3 + x_1 \geq (f - x'_2) a_2$$

$$\begin{aligned} x_4 + x_3 + x_2 + x_1 &\geq x_4 + x_3 + x'_2 + x_1 \geq (f - x'_2) a_2 + x'_2 \\ &\geq a_2 + f - 1 = h_0 + 1, \end{aligned}$$

as required. – In particular, we get the known case (15.3) from (15.5–6) with $f = 1$.

We next consider (14.8), hence $s = q$, $a_3 = q(a_2 - 1)$. By (2.29), we now have two possibilities for $n_{h_0}(A_3)$:

$$n_{h_0}(A_3) = \left(\left[\frac{a_2 - 1}{s} \right] + 2 \right) a_3 - r - \begin{cases} 2, & \text{if } s \nmid (a_2 - 1) \\ 3, & \text{if } s \mid (a_2 - 1). \end{cases}$$

These two cases must be considered separately.

If $s \nmid (a_2 - 1)$, we find that we must choose

$$(15.8) \quad a_4 = (\tau + 1) a_3, \quad \tau = 1, 2, \dots, \left[\frac{a_2 - 1}{s} \right] - 1$$

(while $\tau = [(a_2 - 1)/s]$ corresponds to \hat{a}_4). Again, this is also sufficient for (15.2) to hold:

We consider a representation (15.7). Since $a_3 | a_4$, we get

$$x_2 a_2 + x_1 \equiv n_{h_0}(A_3) + 1 \equiv -r - 1 = -a_2 + f \pmod{a_3 = q(a_2 - 1)},$$

from which we draw two conclusions:

- 1) $x_2 a_2 + x_1 \geq a_3 - r - 1$
- 2) $x_2 a_2 + x_1 \equiv x_2 + x_1 \equiv f - 1 \pmod{a_2 - 1}$.

Assuming $\sum x_i \leq h_0$ in (15.7), hence $x_4 > 0$, we get $x_2 + x_1 < h_0 = (f - 1) + (a_2 - 1)$, so $x_2 + x_1 = f - 1$, and

$$x_2 a_2 + x_1 \leq (f - 1)a_2 = a_3 - r - a_2,$$

contradicting the first conclusion.

If $s | (a_2 - 1)$, hence $m = (a_2 - 1)/s$ an integer, we find that we must choose

$$a_4 = (\tau + 1)a_3 - 1, \quad \tau = 1, 2, \dots, \frac{a_2 - 1}{s} - 1 = m - 1.$$

Now (15.4) holds for $\delta = 1$, and we examine $\delta = 2$:

$$n_{h_0}(A_3) + 1 - 2a_4 = (m - 2\tau)a_3 - r = (m - 2\tau - 1)a_3 + fa_2.$$

If $\tau \geq [\frac{1}{2}(m + 1)]$, this expression is negative, and an examination of (15.4) for $\delta \geq 2$ is unnecessary, so (15.2) holds. If $\tau < [\frac{1}{2}(m + 1)]$, however, the right hand side belongs to $(h_0 - 2)A'_3$, and (15.4) fails for $\delta = 2$. Thus (15.2) is satisfied only if

$$(15.9) \quad a_4 = (\tau + 1)a_3 - 1, \quad \tau = [\frac{1}{2}(m + 1)], \dots, m - 1; \quad m = \frac{a_2 - 1}{s}.$$

Summing up, we have the following

THEOREM 15.1. *For non-pleasant A_3 , the equality (15.2) holds if and only if we have one of the cases:*

$$(15.5) \quad \text{for arbitrary } A_3,$$

$$(15.6) \quad \text{for } r = 1,$$

$$(15.8-9) \quad \text{for } s = q.$$

Based on computations by Mossige, this result was conjectured long before a proof was found. The cases $r = 1$ or $s = q$ are also proved in Krätzig-Berle [4, Kap. 4], the "if" part along the lines above, the "only if" part by explicit representations for $n_{h_0}(A_3) + 1$ from $h_0 A'_4$ in the remaining cases.

16. The cases with $n_h(A_3 \cup \{a\}) = n_h(A_3)$, $a < a_3$.

In analogy with (3.3), it is quite natural to ask for cases when

(16.1)

$$n_h(A_k^*) = n_h(A_{k-1} \cup \{a\}) = n_h(A_{k-1}), \quad 1 < a < a_{k-1}, \quad a \notin A_{k-1},$$

assuming admissible bases.

We need a particular result for the similar problem regarding regular h -ranges:

(16.2)

$$1 < a < a_2 \Rightarrow g_h(A_k^*) > g_h(A_{k-1}).$$

The proof is simple: It follows from Hofmeister [1, Satz 1] that the constant term of the regular representation for $g_h(A_k)$ equals $a_2 - 2$ for all admissible A_k . We conclude that the constant term $a_2 - 1$ of $g_h(A_{k-1}) + 1$ has a regular representation in at most $a_2 - 2$ addends 1 and $a \leq a_2 - 1$.

In particular, $g_h(A_3^*) > g_h(A_2)$, and hence also $n_h(A_3^*) > n_h(A_2)$. The first possibility for (16.1) thus occurs when $k = 4$:

(16.3)

$$n_h(A_4^*) = n_h(A_3 \cup \{a\}) = n_h(A_3), \quad 1 < a < a_3, \quad a \neq a_2.$$

As in the preceding section, a study of this equality depends entirely on the properties of A_3 .

If $h = h_0^*$ is the smallest h for which A_4^* is admissible, we clearly have $h_0^* \leq h_0$ (where again $h_0 = a_2 + f - 2$ refers to A_3). To be "fair" to A_3 , we restrict the examination of (16.3) to $h \geq h_0$.

Before doing this, we just mention the analogous problem for *regular* h -ranges. By (16.2), we must then assume $a_2 < a < a_3$, and it is not difficult to prove that for $h \geq h_0$:

(16.4)

$$g_h(A_4^*) = g_h(A_3) \Leftrightarrow a = fa_2 + \rho, \quad 0 \leq \rho < r.$$

(My original proof is reproduced in Krätzig-Berle [4, p. 27].)

Similar arguments show that (16.3) is impossible with pleasant A_3 . With $n_h(A_4^*) \geq g_h(A_4^*)$ and $n_h(A_3) = g_h(A_3)$, equality in (16.3) could only occur under the conditions of (16.4). But by (2.8–9), we then have

$$n_h(A_3) + 1 = (h - h_0 + 2)a_3 - r - 1 = (h - h_0)a_3 + 1 \cdot a + fa_2 + r - \rho - 1,$$

with a coefficient sum $\leq h$ except in the one case $r = a_2 - 1$, $\rho = 0$, hence $f \geq 2$. But then

$$n_h(A_3) + 1 = (h - h_0)a_3 + 2a + a_2 - 2, \quad \Sigma \leq h.$$

In what follows, we may thus assume *non-pleasant* A_3 in (16.3).

Since A_3 and A_4^* have a *common largest element* a_3 , it is possible to use

Meures' result (2.16), which in combination with (2.13) shows that for $h \geq h_0 - 1$:

$$n_h(A_k) \leq ha_k - g(\bar{A}_k) - 1,$$

with equality if $h \geq h_1$ ("stabilization", cf. section 3). For non-pleasant A_3 , we know that $h_1 = h_0$. For A_4^* , we put $h_1 = h_1^*$. With

$$\bar{A}_3 = \{a_3 - a_2, a_3 - 1, a_3\}, \quad \bar{A}_4^* = \bar{A}_3 \cup \{a_3 - a\},$$

we thus get, for $h \geq h_0$:

$$n_h(A_3) = ha_3 - g(\bar{A}_3) - 1, \quad n_h(A_4^*) \leq ha_3 - g(\bar{A}_4^*) - 1.$$

Since trivially $n_h(A_4^*) \geq n_h(A_3)$, this shows that

$$(16.5) \quad g(\bar{A}_4^*) = g(\bar{A}_3) \Rightarrow n_h(A_4^*) = n_h(A_3) \text{ for } h \geq h_0$$

$$(16.6) \quad h \geq h_1^*: n_h(A_4^*) = n_h(A_3) \Rightarrow g(\bar{A}_4^*) = g(\bar{A}_3).$$

We obviously have $g(\bar{A}_4^*) \leq g(\bar{A}_3)$. With strict inequality, $g(\bar{A}_3)$ has a representation by \bar{A}_4^* :

$$g(\bar{A}_3) = x_1(a_3 - a) + x_2(a_3 - a_2) + x_3(a_3 - 1) + x_4a_3.$$

It follows that

$$n_{h_0}(A_3) + 1 = h_0a_3 - g(\bar{A}_3) = (h_0 - \sum x_i)a_3 + x_1a + x_2a_2 + x_3$$

has a representation by \bar{A}_4^* with coefficient sum $h_0 - x_4 \leq h_0$, provided that $\sum x_i \leq h_0$. We thus have the following partial converse of (16.5):

$$(16.7) \quad g(\bar{A}_3) \in h_0\bar{A}_4^* \Rightarrow n_h(A_4^*) > n_h(A_3) \text{ for } h \geq h_0.$$

We only proved this for $h = h_0$ above, but the general result with $h \geq h_0$ then follows immediately from (2.13–14).

There is one trivial case of equality in (16.3):

$$(16.8) \quad f = 1, a_2 = h_0 + 1, a_3 = h_0 + r + 1, a = a_2 - tr \geq 2$$

$$(16.9) \quad \Rightarrow n_h(A_4^*) = n_h(A_3) \text{ for } h \geq h_0.$$

This follows from (16.5), since \bar{A}_3 and \bar{A}_4^* are "equivalent" as Frobenius bases:

$$\bar{A}_3 = \{r, a_3 - 1, a_3\}, \quad \bar{A}_4^* = \{r, (t+1)r, a_3 - 1, a_3\}.$$

The second element of \bar{A}_4^* is a multiple of the first one.

We assume that A_3 is non-pleasant. If it is also non-dependent, it follows from Theorem 10.1 that

$$n_{h_0}(A_4^*) \geq n_{h_0}(A_3) \geq (h_0 + 1)a_2 - a_3.$$

Let $1 < a < a_2$. We then get $h_1^* \leq h_0$ by Theorem 3.1, and can combine (16.5–6) to an equivalence for non-dependent A_3 . And for Frobenius-dependent A_3 , Krätzig-Berle [4, p. 23] shows very simply that we always have $n_h(A_4^*) > n_h(A_3)$ except in the already settled cases (16.8), hence

$$(16.10) \quad 1 < a < a_2: g(\bar{A}_4^*) = g(\bar{A}_3) \Leftrightarrow n_h(A_4^*) = n_h(A_3).$$

Based on extensive computations by Mossige, I conjectured the following results:

THEOREM 16.1. *Let $a_2 < a < a_3$. Then*

$$n_h(A_4^*) > n_h(A_3) \text{ for } h \geq h_0.$$

THEOREM 16.2. *Let $1 < a < a_2$. In addition to (16.8), there is one more case of equality in (16.9):*

$$\begin{aligned} f = 1, a_2 = h_0 + 1, a_3 = h_0 + r + 1, a = tr + 1 \\ h_0 = \tau r + \rho, 0 \leq \rho < r - 1, \tau \geq \rho \\ r \equiv -1 \pmod{\rho + 1}, t = 1, 2, \dots, \left\lceil \frac{\tau + 1}{\rho + 1} \right\rceil. \end{aligned}$$

Both theorems were proved in the Master's thesis [2] of my student Kirfel. He used the methods of Rødseth [5] for determining the Frobenius number $g(\bar{A}_3)$. A shortened version [3] is submitted for publication.

Another student of mine, Krätzig-Berle, gave an independent and very elegant proof of Theorem 16.1 in her Diplomarbeit [4, Satz 3.1]. Using the inequalities of Theorems 10.2–5, she could determine a h_0 -representation by A_4^* of $n_{h_0}(A_3) + 1$.

We note that the bases A_3 of Theorem 16.2 satisfy the conditions (8.1–2), and so $n_h(A_3)$ can be determined explicitly by (8.3). It is fairly straightforward (cf. [4, Satz 2.3]) to show that this h -range is not increased when extending the basis with $a = tr + 1$. The hard problem is of course to show that all *other* cases (except (16.8)) lead to an increase of the h -range.

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