

# THE EFFECT OF THE ROTATION GROUP ON THE BEHAVIOUR OF A ROTATION AUTOMORPHIC FUNCTION

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## 1. Introduction.

If the extended complex plane  $\hat{\mathbb{C}}$  and the sphere  $\{x_1^2 + x_2^2 + (x_3 - \frac{1}{2})^2 = \frac{1}{4}\}$  in  $\mathbb{R}^3$  are identified via the stereographic projection with the point  $(0, 0, 1)$  as the center of the projection, then  $\hat{\mathbb{C}}$  is called the Riemann sphere. A function  $f$ , meromorphic or holomorphic in the unit disk  $D$ , is *rotation automorphic* with respect to a Fuchsian group  $\Gamma$  acting on  $D$  if it satisfies the equation

$$(1) \quad f(T(z)) = S_T(f(z))$$

where  $T \in \Gamma$  and  $S_T$  is a rotation of the Riemann sphere. Then  $\Sigma = \{S_T \mid T \in \Gamma\}$  is a rotation group and  $T \rightarrow S_T$  is a homomorphism of  $\Gamma$  onto  $\Sigma$ .

In [4] we considered rotation automorphic functions  $f$  satisfying the condition

$$(2) \quad \iint_F f^*(z)^2 d\sigma_z < \infty,$$

where  $F$  is a fundamental domain of  $\Gamma$ ,  $f^*(z) = |f'(z)|/(1 + |f(z)|^2)$  is the spherical derivative of  $f$ , and  $d\sigma_z$  is the euclidean area element. Then a condition on  $F$  was derived which implies the normality of  $f$  in  $D$ , i.e.,

$$\sup_{z \in D} (1 - |z|^2) f^*(z) < \infty$$

(cf. [7]). Certain restrictive conditions on  $F$  are also necessary for the normality of  $f$ , since in [3] a non-normal rotation automorphic function was constructed satisfying (2).

In [1] we took another point of view: We let  $\Gamma$  be an arbitrary Fuchsian group but imposed some restrictions on the rotation group  $\Sigma = \{S_T \mid T \in \Gamma\}$ . Then the following theorem holds:

**THEOREM 1.** *Let  $f$  be a rotation automorphic function satisfying (2). If the rotation group  $\Sigma$  is discrete, then  $f$  is a normal function in  $D$ .*

Hence we have obtained a slight generalization of the following theorem of Pommerenke (cf. [8, Corollary 1]):

**THEOREM 2.** *Let  $f$  be an automorphic function with respect to  $\Gamma$ . If the condition (2) holds, then  $f$  is a normal function in  $D$ .*

In section 2 we shall obtain more detailed knowledge on the boundary behaviour of  $f^*(z)$ , and hence improve Theorem 1. The proof of our result (Theorem 3) is easily seen to generalize a result of Yamashita (cf. [9, Lemma 3.2. (II)]) as pointed out briefly in Remark 1.

Section 3 contains examples of different rotation automorphic functions. We first show that there exist rotation automorphic functions with discrete rotation groups  $\Sigma$  (e. g. groups  $\Sigma$  with 1, 3 and 7 rotation axes). Then an example is constructed such that  $\Sigma$  has an infinite number of rotation axes. Finally we construct an example where  $D/\Gamma$  is compact and  $\Sigma$  has  $2g + 1$  rotation axes, where  $g$  is the genus of  $D/\Gamma$ . In this example the meromorphic rotation automorphic function is bounded and hence holomorphic in  $F$ .

## 2. Boundary behaviour of $f^*(z)$ .

Let  $\partial D$  denote the boundary of the unit disk  $D$  in the complex plane. The hyperbolic distance between the points  $z_1, z_2 \in D$  is denoted by  $d(z_1, z_2)$  and the hyperbolic disk  $\{z \mid d(z, z_0) < r\}$  by  $U(z_0, r)$ . We fix the fundamental domain  $F$  of  $\Gamma$  to be some normal polygon in  $D$ . Let  $\bar{F}_D = \bar{F} \cap D$ , where  $\bar{F}$  is the closure of  $F$ . The spherical area of a set  $A \subset \hat{\mathbb{C}}$  is denoted by  $m^*(A)$ .

For proving our theorem we need the following lemma [4, Lemma]:

**LEMMA.** *Let  $(z_n) \subset F$  be a sequence of points converging to  $\partial D$ , that is  $|z_n| \rightarrow 1$ . If  $r > 0$ ,  $0 < R < 1$  and  $D_R = \{z \mid |z| > R\}$ , then  $T(U(z_n, r)) \cap D_R \neq \emptyset$  for finitely many  $T \in \Gamma$  and  $n \in \mathbb{N}$  only.*

We are now ready to prove the main result:

**THEOREM 3.** *Let  $f$  be a rotation automorphic function with respect to  $\Gamma$  for which the condition (2) holds. If the rotation group  $\Sigma$  is discrete, then*

$$\lim_{n \rightarrow \infty} (1 - |z_n|^2) f^*(z_n) = 0$$

for every sequence of points  $(z_n) \subset \bar{F}_D$  converging to  $\partial D$ .

PROOF. Suppose, on the contrary, that there is a subsequence  $(z_k)$  of  $(z_n)$  for which

$$(3) \quad \inf_k (1 - |z_k|^2) f^*(z_k) = \alpha > 0.$$

We choose the hyperbolic disks  $U(z_k, r)$ ,  $r > 0$ ,  $k = 1, 2, \dots$ . Let

$$f_k(\zeta) = f\left(\frac{\zeta + z_k}{1 + \bar{z}_k \zeta}\right).$$

By [1],  $\{f_k(\zeta)\}$  is a normal family in  $D$ . We may assume, without loss of generality, that  $(f_k(\zeta))$  tends to  $f_0(\zeta)$  locally uniformly in  $D$ . Here  $f_0$  is a meromorphic function or  $\infty$  in  $D$ . If  $f_0$  is not constant, then  $f_0(U(0, r)) \supset B(a, s)$  where  $B(a, s)$  is a disk on the Riemann sphere  $\hat{\mathbb{C}}$  with center  $a$  and radius  $s$ .

We choose an increasing sequence of positive real numbers  $(R_k)$  tending to 1. By Lemma, there is an index sequence  $(h_k)$  such that

$$(4) \quad T(U(z_{h_k}, r)) \cap D(0, R_k) = \emptyset \quad \text{for all } T \in \Gamma.$$

By [5, 5.1. Theorem], the group  $\Sigma$  contains  $m_0$  rotations, that is,

$$\Sigma = \{S_{T_0}, S_{T_1}, \dots, S_{T_{m_0-1}}\}.$$

By (4),

$$(5) \quad \begin{aligned} f(U(z_{h_k}, r)) &\subset \bigcup_{T \in \Gamma} f(T(\bar{F}_D \setminus D(0, R_k))) \\ &= \bigcup_{i=0}^{m_0-1} S_{T_i}(f(\bar{F}_D \setminus D(0, R_k))). \end{aligned}$$

We denote  $\bigcup_{i=0}^{m_0-1} S_{T_i}(f(\bar{F}_D \setminus D(0, R_k)))$  by  $A_k$ . By (2),

$$(6) \quad m^*(A_k) \rightarrow 0$$

as  $k \rightarrow \infty$ . Since  $(R_k)$  is increasing, we have

$$(7) \quad A_{k+1} \subset A_k$$

for each  $k = 1, 2, \dots$ . By (6) and (7) we find a point  $b \in B(a, s)$ ,  $b \neq \infty$ , such that  $b \notin A_k$ ,  $k \geq k_0$ . Thus, by (5),  $b \notin f(U(z_{h_k}, r))$  for each  $k \geq k_0$ . Now

there is a  $z_0 \in U(0,r)$  such that  $f_0(z_0) = b$ . We choose a hyperbolic disk  $U(z_0,r') \subset U(0,r)$  such that  $|f_0(z_0)| \leq M < \infty$  for each  $z \in U(z_0,r')$ . In this disk,  $(f_{h_k})$  converges to  $f_0$  uniformly (also in the sense of the euclidean metric) and we may assume that all  $f_{h_k}$ ,  $k \geq k'_0 \geq k_0$ , are analytic in  $U(z_0,r')$ . By the Hurwitz theorem, there exists a sequence of points  $(w_{h_k}) \subset U(z_0,r') \subset U(0,r)$  such that  $f_{h_k}(w_{h_k}) = b$  for each  $k \geq k''_0 \geq k'_0$ . But this is a contradiction and thus  $f_0$  is constant. Therefore, for the sequence of spherical derivatives  $(f_{h_k}^*(0))$ ,

$$(1 - |z_{h_k}|^2)f^*(z_{h_k}) = f_{h_k}^*(0) \rightarrow 0$$

as  $k \rightarrow \infty$ . This contradicts (3) and thus the theorem is proved.

Yamashita [10] considers the set  $K_0(f)$  of the points  $\zeta \in \partial D$  for which

$$\lim_{z \rightarrow \zeta} (1 - |z|^2)f^*(z) = 0$$

along each angular domain at  $\zeta$ . By an angular domain at  $\zeta$  we mean a triangular domain whose vertices are  $\zeta$  and two points of  $D$ .

**COROLLARY.** *Let  $f$  be a rotation automorphic function with respect to  $\Gamma$  for which the condition (2) holds. If the rotation group  $\Sigma$  is discrete, then  $\bar{F} \cap \partial D \subset K_0(f)$ .*

**PROOF.** Let  $\zeta \in \bar{F} \cap \partial D$  and let  $\Delta$  be an arbitrary angular domain at  $\zeta$ . We choose any sequence of points  $(z_n) \subset \Delta$  converging to  $\zeta$ . Then there is a positive constant  $M$  such that

$$(8) \quad \sup_n d(z_n, 0\zeta) \leq M$$

where  $0\zeta$  is the radius from 0 to  $\zeta$ . Let  $T_n \in \Gamma$  such that  $T_n(z_n) = z'_n \in \bar{F}$  for each  $n = 1, 2, \dots$ . By (8) we may apply our Lemma and thus  $|z'_n| \rightarrow 1$  for  $n \rightarrow \infty$ . By Theorem 3 we obtain

$$(1 - |z_n|^2)f^*(z_n) = (1 - |z'_n|^2)f^*(z'_n) \rightarrow 0$$

as  $n \rightarrow \infty$ . Thus the corollary is proved.

**REMARK 1.** In [9, Lemma 3.2. (II)] Yamashita proved the following: Let  $g$  be a meromorphic function in  $D$ . Then

$$(9) \quad \lim_{|z| \rightarrow 1} (1 - |z|^2)g^*(z) = 0$$

if and only if there exists  $r > 0$  such that

$$(10) \quad \lim_{|z| \rightarrow 1} \iint_{U(z,r)} g^*(z)^2 d\sigma_z = 0.$$

The condition (10) means that the spherical area of the Riemannian multiple-sheeted image of  $U(z,r)$  by  $g$  tends to zero. By the proof of Theorem 3 we generalize this result as follows: If the spherical area of the image of  $U(z,r)$  by  $g$  tends to zero for  $|z| \rightarrow 1$ , then (9) holds. We outline the proof briefly. Suppose, on the contrary, that there is a sequence of points  $(z_k)$  for which

$$\inf_k (1 - |z_k|^2) g^*(z_k) = \alpha > 0.$$

We may assume, without loss of generality, that the spherical area (without multiplicities)

$$m^*(g(U(z_k, r))) \leq \frac{\pi}{2^{k+1}}$$

for each  $k = 1, 2, \dots$ . Then

$$(11) \quad \begin{aligned} m^*(g(\bigcup_{k=1}^{\infty} U(z_k, r))) &\leq \sum_{k=1}^{\infty} m^*(g(U(z_k, r))) \\ &\leq \sum_{k=1}^{\infty} \frac{\pi}{2^{k+1}} = \frac{\pi}{2} < \pi. \end{aligned}$$

Let

$$g_k(\zeta) = g\left(\frac{\zeta + z_k}{1 + \bar{z}_k \zeta}\right).$$

By (11) the family  $\{g_k\}$  omits three values in  $U(0, r)$  and thus is a normal family there. After this we shall continue as in the proof of Theorem 3.

**REMARK 2.** By Remark 1 we note that the spherical area of the Riemannian image of  $U(z, r)$  by  $g$  and the spherical area of the image of  $U(z, r)$  by  $g$  simultaneously tend to zero as  $|z| \rightarrow 1$ .

**REMARK 3.** We could compensate  $\bar{F}_D$  by  $G_R = \{z \mid d(z, F) < R\}$  in Theorem 3.

**REMARK 4.** In [3] we constructed a non-normal rotation automorphic function satisfying the condition (2). For this function the rotation group  $\Sigma$  was infinite with one rotation axis only ( $0\infty$ -axis).

This example and Theorem 3 show that if we do not restrict  $\Gamma$  in any way, then changing on the image side from finite  $\Sigma$  to infinite  $\Sigma$  can cause a strict difference in the behaviour of the expression  $(1 - |z|^2)f^*(z)$ .

### 3. Examples.

In this section we give examples of rotation automorphic functions  $f$  holomorphic or meromorphic in  $D$ . We shall always suppose that the rotation group  $\Sigma$  of  $f$  contains rotations with  $0\infty$ -axis. Hence, if  $\Sigma$  has only one rotation axis, then  $f$  is character automorphic (cf. [8]).

Denote by  $\Gamma_0$  a Fuchsian group representing a Riemann surface  $D/\Gamma_0$  conformally equivalent to a sphere with three punctures. Suppose that the metric fundamental polygon  $F$  of  $\Gamma_0$  is a regular non-euclidean quadrilateral with all vertices on the unit circle. Denote by  $s_1, s_2, s_3, s_4$  the positively oriented sides of  $F$ , let  $T_1$  and  $T_2$  be the generating parabolic transformations of  $\Gamma_0$  and suppose that

$$T_1(s_1) = s_2^{-1}, \quad T_2(s_3) = s_4^{-1}.$$

*Character automorphic functions.* Let  $S: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  be the rotation  $S(w) = -w$ , let  $\Sigma$  be the cyclic group generated by  $S$  and let  $F'$  be the upper half plane with the sides  $t_1 = [-1, 0]$ ,  $t_2 = [0, 1]$ ,  $t_3 = [1, \infty]$ ,  $t_4 = [-\infty, -1]$ .

Since  $F$  and  $F'$  both are conformally equivalent to a square, there exists a conformal map  $f: F \rightarrow F'$  for which

$$\begin{aligned} f(s_1) &= t_1, & f(s_2) &= t_2, \\ f(s_3) &= t_3, & f(s_4) &= t_4. \end{aligned}$$

Then we have on the boundary of  $F$

$$f \circ T_1 = S \circ f, \quad f \circ T_2 = S \circ f.$$

Hence  $f$  can be extended to  $D$  and we have obtained a character automorphic function holomorphic in  $D$ .

*Rotation automorphic function with a quadratic group of rotations.* The simplest discrete non-cyclic rotation group, the *quadratic group*, has three different rotation axes which are orthogonal to each other. (In [6] all discrete rotation groups are thoroughly treated.)

Let

$$F' = \{w = u + iv \mid v \geq 0, |w| \geq 1\}$$

and let the sides

$$\begin{aligned} t_1 &= \{w \mid |w| = 1, u \leq 0\}, & t_2 &= \{w \mid |w| = 1, u \geq 0\}, \\ t_3 &= \{w \mid u \geq 1, v = 0\}, & t_4 &= \{w \mid u \leq -1, v = 0\}, \end{aligned}$$

be positively oriented with respect to  $F'$ .

Let  $\Sigma$  be the group generated by the rotations  $S_2(w) = -w$ ,  $S_1(w) = -1/w$ . Then  $\Sigma$  is a quadratic group containing the rotations  $S_1, S_2, S_3(w) = 1/w$  and the identity. Let  $f: F \rightarrow F'$  be a conformal map for which

$$\begin{aligned} f(s_1) &= t_1, & f(s_2) &= t_2, \\ f(s_3) &= t_3, & f(s_4) &= t_4. \end{aligned}$$

Let  $j: \Gamma_0 \rightarrow \Sigma$  be the homomorphism defined by  $j(T_1) = S_1$ ,  $j(T_2) = S_2$ . We can extend  $f$  to a holomorphic function  $f: D \rightarrow \mathbb{C}$  satisfying  $f \circ T = j(T) \circ f$  for all  $T \in \Gamma$ .

An example of a rotation automorphic function with the group of the tetrahedral rotations (i.e. a group containing 12 rotations and 7 axes) is given in [4].

*Rotation automorphic function with a non-discrete group of rotations.* Let  $\Sigma$  be the group generated by the rotations  $S_1(w) = e^{-i}w$  and  $S_2(w) = 1/w$ , let

$$F' = \{w \mid -\frac{1}{2} \leq \arg w \leq \frac{1}{2}, |w| \leq 1\}$$

and let the sides

$$\begin{aligned} t_1 &= \{w \mid \arg w = \frac{1}{2}, |w| \leq 1\}, \\ t_2 &= \{w \mid \arg w = -\frac{1}{2}, |w| \leq 1\}, \\ t_3 &= \{w \mid -\frac{1}{2} \leq \arg w \leq 0, |w| = 1\}, \\ t_4 &= \{w \mid 0 \leq \arg w \leq \frac{1}{2}, |w| = 1\} \end{aligned}$$

be positively oriented with respect to  $F'$ .

The fixed points of the rotation  $S_1^n \circ S_2 \circ S_1^{-n}$  are  $\pm e^{-ni}$ . Hence  $\Sigma$  has infinitely many rotation axes.

Since  $S_1(t_1) = t_2^{-1}$  and  $S_2(t_3) = t_4^{-1}$ , we can continue the conformal map  $f: F \rightarrow F'$ ,  $f(s_k) = t_k$ ,  $k = 1, 2, 3, 4$ , to a holomorphic rotation automorphic function  $f: D \rightarrow \mathbb{C}$  having  $\Sigma$  as the group of rotations.

*Rotation automorphic function with compact  $D/\Gamma$ .* Let  $F$  be the regular non-euclidean octagon in  $D$  whose vertices are

$$\alpha_j = \frac{1}{2}(\sqrt{\sqrt{2}+1} - i\sqrt{\sqrt{2}-1})e^{(j-1)\pi/4}, \quad j = 1, \dots, 8.$$

Then all vertices of  $F$  lie on the circle  $|z| = 2^{-1/4}$  and the sum of the angles of  $F$  equals  $2\pi$ . Denote by  $s_j$  the side of  $F$  starting from  $\alpha_j$ ,  $j = 1, \dots, 8$  (see Fig. 1).

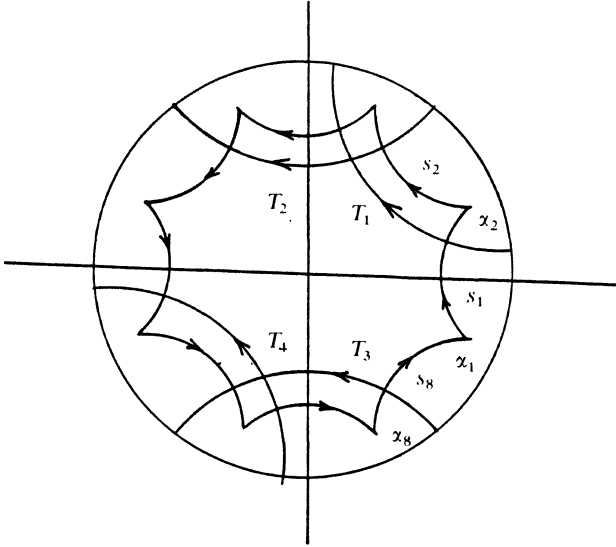


Figure 1.

In order to define a Möbius transformation  $T$  of  $D$  onto itself it suffices to give the isometric circles  $I(T)$  and  $I(T^{-1})$ . Let

$$\begin{aligned} I(T_1) &\supset s_1, & I(T_1^{-1}) &\supset s_3, \\ I(T_2) &\supset s_2, & I(T_2^{-1}) &\supset s_4, \\ I(T_3) &\supset s_8, & I(T_3^{-1}) &\supset s_6, \\ I(T_4) &\supset s_7, & I(T_4^{-1}) &\supset s_5. \end{aligned}$$

Then for instance

$$T_1(z) = i \frac{z\sqrt{\sqrt{2}+1} - 2}{z\sqrt{2} - \sqrt{\sqrt{2}+1}},$$

and all transformations  $T_j: D \rightarrow D$ ,  $j = 1, \dots, 4$ , are hyperbolic. Moreover,  $T_1(s_1) = s_3^{-1}$ ,  $T_2(s_2) = s_4^{-1}$ ,  $T_3(s_8) = s_6^{-1}$ , and  $T_4(s_7) = s_5^{-1}$ .

Let  $\Gamma$  be the Fuchsian group generated by  $T_1, T_2, T_3$ , and  $T_4$ . Then  $\Gamma$  has  $F$  as a metric fundamental polygon. Now  $T_1(\alpha_1) = \alpha_4$ ,  $T_2^{-1}(\alpha_4) = \alpha_3$ ,  $T_1^{-1}(\alpha_3) = \alpha_2$ , and  $T_2(\alpha_2) = \alpha_5$ . Hence



$$T_2(T_1^{-1}(T_2^{-1}(T_1(\alpha_1)))) = \alpha_5.$$

Similarly,  $T_4^{-1}(\alpha_5) = \alpha_8$ ,  $T_3(\alpha_8) = \alpha_7$ ,  $T_4(\alpha_7) = \alpha_6$ , and  $T_3^{-1}(\alpha_6) = \alpha_1$ . Hence

$$T_3^{-1}(T_4(T_3(T_4^{-1}(\alpha_5)))) = \alpha_1.$$

Since the sum of the angles of  $F$  equals  $2\pi$ , it follows that the relation

$$(12) \quad T_3^{-1} \circ T_4 \circ T_3 \circ T_4^{-1} \circ T_2 \circ T_1^{-1} \circ T_2^{-1} \circ T_1 = \text{id}$$

holds. The relation (12) is a basis for all relations in  $\Gamma$ .

Let  $F'$  be the octagon whose sides lie on the unit circle and whose vertices are

$$\beta_j = e^{i\pi(2j-3)/8}, \quad j = 1, \dots, 8.$$

Denote by  $t_j$  the side of  $F'$  starting from  $\beta_j$ ,  $j = 1, \dots, 8$  (see Fig. 2). Define rotations  $S_j$ ,  $j = 1, 2$ , of the Riemann sphere  $\hat{\mathbb{C}}$  as follows:

$$S_1(z) = i/z,$$

$$S_2(z) = -1/z.$$

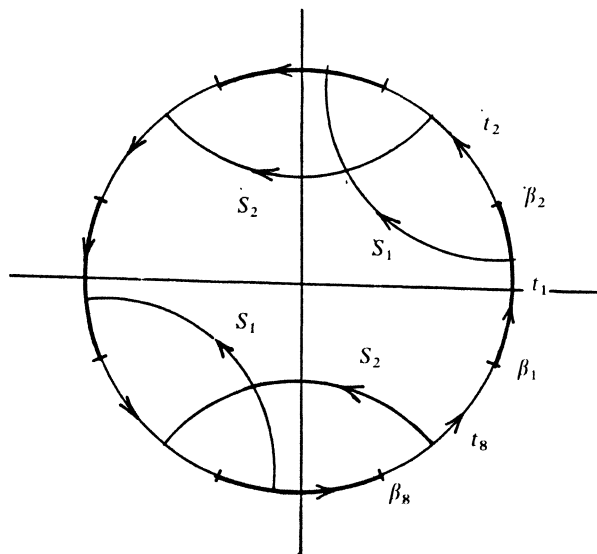


Figure 2.

Then  $S_1$  and  $S_2$  generate a discrete group  $\Sigma$  of dihedral rotations having five different axes. Moreover,  $S_1(t_1) = t_3^{-1}$ ,  $S_2(t_2) = t_4^{-1}$ ,  $S_2(t_8) = t_6^{-1}$ , and  $S_1(t_7) = t_5^{-1}$ .

Define  $j(T_1^{\pm 1}) = j(T_4^{\pm 1}) = S_1$  and  $j(T_2^{\pm 1}) = j(T_3^{\pm 1}) = S_2$ . Since  $S_2(S_1(z)) = iz$ , we have

$$\begin{aligned} j(T_3^{-1}) \circ j(T_4) \circ j(T_3) \circ j(T_4^{-1}) \circ j(T_2) \circ j(T_1^{-1}) \circ j(T_2^{-1}) \circ j(T_1) \\ = (S_2 \circ S_1)^4 = \text{id}. \end{aligned}$$

Since (12) is the basis relation in  $\Gamma$ ,  $j$  extends to a surjective homomorphism  $j: \Gamma \rightarrow \Sigma$ .

Let  $f$  be the conformal map of  $F$  onto  $F'$  for which  $f(\alpha_j) = \beta_j$ ,  $j = 1, \dots, 8$ . Then we have

$$\begin{aligned} f \circ T_1 &= S_1 \circ f && \text{on } s_1, \\ f \circ T_2 &= S_2 \circ f && \text{on } s_2, \\ f \circ T_3 &= S_2 \circ f && \text{on } s_8, \\ f \circ T_4 &= S_1 \circ f && \text{on } s_7. \end{aligned}$$

Define in  $T(F)$ ,  $T \in \Gamma$ ,  $f$  by

$$f|T(F) = j(T) \circ (f|F) \circ T^{-1}.$$

It follows that  $f$  is a well-defined meromorphic rotation automorphic function in  $D$  having  $\Sigma$  as the group of rotations and satisfying the following conditions:

- (i)  $D/\Gamma$  is a compact surface of genus 2,
- (ii)  $\Sigma$  has 5 axes,
- (iii)  $|f(z)| \leq 1$  for all  $z \in F$ .

The above construction applies evidently to every genus  $g > 1$ . The number of axes of  $\Sigma$  is then  $2g + 1$ .

If  $f$  is either automorphic or character-automorphic and  $D/\Gamma$  is compact, then  $f$  cannot be bounded in  $F$  unless  $f$  reduces to a constant (cf. [2]).

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