

CLASSIFICATION OF ALGEBRAIC SURFACES  
WITH SECTIONAL GENUS  
LESS THAN OR EQUAL TO SIX.

III: RULED SURFACES WITH  $\dim \varphi_{K_X \otimes L}(X) = 2$

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**Introduction.**

In this paper we have considered the problem of classifying biholomorphically smooth, connected, projective, ruled, non rational surfaces  $X$  with smooth hyperplane section  $C$  such that the genus  $g = g(C)$  is less than or equal to six and  $\dim \varphi_{\bar{L}}(X) = 2$ , where  $\varphi_{\bar{L}}$  is the map associated to  $\bar{L} = K_X \otimes L$ . L. Roth in [12] had given a birational classification of such surfaces.

Let  $L = [C]$  for some hyperplane section  $C$ . From the adjunction formula, see [5], we have that

$$2g - 2 = L \cdot (K_X + L)$$

where by  $K_X$  we denote the canonical line bundle on  $X$ . If  $g = 0$  or  $1$ , then  $X$  has been classified, see [10]. If  $g = 2 \neq h^{1,0}(X)$ , by [14, Lemma (2.2.2)] it follows that  $X$  is a rational surface. Thus we can assume  $g \geq 3$ .

Since  $X$  is ruled,  $h^{2,0}(X) = 0$  and

$$(*) \quad \frac{L \cdot L}{8} + h^{1,0}(X) \leq \frac{g+1}{2},$$

see [4] and [14, p. 390]. Moreover by the classification of surfaces in  $\mathbf{P}^2$  and  $\mathbf{P}^3$ , it follows that  $h^0(L) \geq 5$ . Our classification is essentially based on the adjunction process which has been introduced by the Italian school and which has been particularly studied by A. J. Sommese [14]. Let  $\varphi_L = r \circ s$  be the Remmert–Stein factorization of  $\varphi_L$ . When  $\dim \varphi_{\bar{L}}(X) = 2$ , Sommese, in [14, p. 392], has proved that there exists a pair  $(\hat{X}, \hat{L})$  such that:

- (a)  $X$  is obtained by blowing up a finite set  $F$  of points on  $\hat{X}$ ,  $\pi: X \rightarrow \hat{X}$ .
- (b) Every smooth hyperplane section  $C \in |L|$  is the proper transform of a hyperplane section  $\hat{C} \in |\hat{L}|$ .

- (c)  $\hat{L}$  is ample and spanned off  $F$ .
- (d)  $\hat{L}$  is very ample if  $H^1(X, L) = 0$ .
- (e) If  $h^{1,0}(X) = 0$ , then  $\hat{s}$  is an embedding unless there is a smooth hyperelliptic  $C \in |L|$ . This can happen only in the cases (2.5.1) and (2.5.2) of [14, p. 394].

Let  $L = K_{\hat{X}} \otimes \hat{L}$  and  $\varphi_L = \varphi_{K_{\hat{X}} \otimes \hat{L}}$ . Then  $\varphi_L = s$ . We call  $\hat{X}$  the minimal model of  $X$  relative to  $L$ . It has the property that there is no irreducible curve  $\mathcal{P} \subset \hat{X}$  such that  $\mathcal{P} \cdot \mathcal{P} = -1$  and  $\hat{L} \cdot \mathcal{P} = 1$ . We call  $(\hat{X}, \hat{L})$  the minimal pair.

Moreover by the construction of  $\hat{X}$  in [14] it follows that  $\hat{C}$  is smooth. Our main goal is to classify the pairs  $(\hat{X}, \hat{L})$ .

We shall mention that our classification has a slight overlap with the classification that P. Ionescu [6] has given for projective surfaces of sectional genus less than or equal to four. We have summarized our results in Table 1, where  $e$  is, by [5], the invariant which characterizes  $\mathcal{P}(E)$ . We wish to thank Andrew J. Sommese for suggesting the problem and Alan Howard for helpful discussions about ruled surfaces.

## 0. Background material.

We have already fixed the meaning of  $X, L, C, \hat{X}, \hat{L}, \hat{C}, \bar{L}$  and  $L$ . We would like to fix now the following notations.

We let  $d = L \cdot L, g = g(C) = g(L), \hat{d} = \hat{L} \cdot \hat{L}, d' = L \cdot \bar{L}, g' = g(\bar{L}), c_1^2 = K_X \cdot K_X, \hat{c}_1^2 = K_{\hat{X}} \cdot K_{\hat{X}}$ .

(0.1) **PROPOSITION.** *Let  $L$  be a line bundle on a smooth, connected, projective surface  $X$ . Then:*

- (1)  $d' = g' + g - 2$ ,
- (2)  $dd' \leq 4(g-1)^2$ ,
- (3)  $d + d' = c_1^2 + 4(g-1)$ .

The proof follows using the adjunction formula [5, p. 361].

(0.2) **PROPOSITION.** *Let  $X$  be a smooth, connected, projective surface embedded by a very ample line bundle  $l$  into  $\mathbf{P}^4$ . Then*

$$l \cdot l(l \cdot l - 5) - 10(g(l) - 1) + 12\chi(\mathcal{O}_X) = 2c_1^2.$$

**PROOF.** See [5, p. 434].

$\dim \varphi_L(X)$	$g$	$h^{1,0}(X)$	$h^{2,0}(X)$	$d$	$\partial_1^2$	$h^0(\hat{L})$	$d'$	$g'$	$h^0(K_X \otimes L)$	$(X, \hat{L})$
2	4	1	0	9	0	6	3	1	3	$e = -1, \hat{L} \equiv \zeta_E^3$
2	5	1	0	9	0	5	7	4	4	$e = -1, \hat{L} \equiv \zeta_E^2 \otimes \mathcal{L}^{-4}$
2	6	1	0	11	0	6	9	5	5	$e = -1, \hat{L} \equiv \zeta_E^{11} \otimes \mathcal{L}^{-5}$
2	6	1	0	12	0	7	8	4	5	$e = -1, \hat{L} \equiv \zeta_E^6 \otimes \mathcal{L}^{-2}$
2	6	1	0	15	0	10	5	1	5	$e = -1, \hat{L} \equiv \zeta_E^3 \otimes \mathcal{L}$
2	6	1	0	10	-1	6	9	5	5	$e = 0, \hat{L} \equiv \zeta_E^{11} \otimes \mathcal{L}^5 \otimes [\mathcal{P}]^{-10}$ or $e = -1, \hat{L} \equiv \zeta_E^7 \otimes \mathcal{L}^{-1} \otimes [\mathcal{P}]^{-5}$
2	6	1	0	11	-1	6,7	8	4	5	$e = -1, 0, 1$
2	6	1	0	12	-1	7,8	7	3	5	$e = -1, 0, 1$
2	6	1	0	9	-2	6	9	5	5	$e = -1, 0, 1$
2	6	1	0	9	-1	6	10	6	5	$e = -1, 0$

Table 1.

(0.3) **PROPOSITION.** (Castelnuovo's inequality [2, p. 234 ff]; [4].) *If  $C$  is an irreducible curve embedded in  $\mathbf{P}_C^{l-1}$  and  $C$  belongs to no linear hyperplane  $\mathbf{P}_C^{l-2}$ , then with  $d$  the degree of  $C$  and  $g$  the genus:*

$$g \leq \left[ \frac{d-2}{l-2} \right] \left( d-l+1 - \left[ \frac{d-l}{l-2} \right] \left( \frac{l-2}{2} \right) \right),$$

where  $[\cdot]$  is the least integer function.

(0.4) **PROPOSITION.** *Let  $X$  be any projective, smooth surface and let*

$$0 \rightarrow E \rightarrow F \rightarrow G \rightarrow 0$$

*be the short exact sequence obtained by tensoring the sequence*

$$0 \rightarrow [C]^{-1} \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_C \rightarrow 0$$

*with a line bundle  $F$ , where  $C$  is a curve in  $X$ . Suppose that:*

- (a)  *$G$  is a very ample line bundle on  $C$ ,*
- (b)  *$E$  is very ample,*
- (c)  *$\ker(H^0(G) \rightarrow H^1(E))$  gives an embedding of  $C$ .*

*Then  $F$  is very ample.*

Since the proof is standard we will omit it.

(0.5) **RULED SURFACES.** Let  $X$  be a smooth, connected, projective, geometrically ruled surface, i.e. a fibration  $\pi: X \rightarrow \bar{C}$ , over a curve  $\bar{C}$  whose fibres are  $\mathbf{P}^1$ . Then there exists a rank two vector bundle  $E$  (not unique) over  $\bar{C}$  and an isomorphism  $X = \mathbf{P}(E)$ , where  $\mathbf{P}(E)$  denotes the associated projective bundle of  $E$ . Let  $\bar{g}$  be the genus of  $\bar{C}$ . Let  $\sigma$  be a minimal section of  $\pi$ , there is a line bundle  $\underline{\Omega}$  on  $\bar{C}$  and an extension  $E$  of  $\underline{\Omega}$  by  $\mathcal{O}_{\bar{C}}$

$$(0.5.1) \quad 0 \rightarrow \mathcal{O}_{\bar{C}} \rightarrow E \rightarrow \underline{\Omega} \rightarrow 0$$

such that  $X = \mathbf{P}(E)$  and

$$\underline{\Omega} = \sigma^* \mathcal{O}_{\mathbf{P}(E)}(1) = \mathcal{O}_{\sigma(\bar{C})}(\zeta_E),$$

where  $\zeta_E$  is the tautological line bundle.

$$e = -\zeta_E \cdot \zeta_E = -\deg \underline{\Omega}$$

is an invariant of the surface  $X$ . If  $E$  is decomposable, then  $e \geq 0$  and all the values of  $e$  are possible. If  $E$  is indecomposable, then

$$(0.5.2) \quad -\bar{g} \leq e \leq 2\bar{g} - 2.$$

See [5, p. 376 and 384] and [11, p. 191].

Let  $f$  be a fiber of  $\pi: X \rightarrow \bar{C}$ . Then every line bundle  $L$  on  $X$  is numerically equivalent to  $\zeta_E^a \otimes \mathcal{L}^b$ , that is  $L \equiv \zeta_E^a \otimes \mathcal{L}^b$  for some integers  $a, b$  and  $\mathcal{L} = \mathcal{O}_X(f)$ , so

$$(0.5.2) \quad \begin{aligned} \deg \mathcal{L}|_{\sigma(\bar{C})} &= 1, \\ L \cdot L &= -a^2 e + 2ab \quad \text{and} \\ 2g(L) - 2 &= -a^2 e + ae + 2ab - 2b - 2a + 2a\bar{g}. \end{aligned}$$

The canonical line bundle  $K_X$  of  $X$  is  $K_X \equiv \zeta_E^{-2} \otimes \mathcal{L}^{(2\bar{g}-2-e)}$ . Given a line bundle  $\mathfrak{A}$  on  $\bar{C}$  we will denote its lift  $\pi^* \mathfrak{A}$  on  $X$  again by  $\mathfrak{A}$ . We have the following propositions:

(0.5.4) PROPOSITION. *Let  $X$  be a geometrically ruled surface over a curve  $\bar{C}$ , with invariant  $e \geq 0$ .*

- (i) *If  $Y \equiv a\zeta_E + b\mathcal{L}$  is an irreducible curve,  $Y \not\equiv \zeta_E, \mathcal{L}$ , then  $a > 0$ ,  $b \geq a \cdot e$ .*
- (ii) *A divisor  $D \equiv a\zeta_E + b\mathcal{L}$  is ample if and only if  $a > 0$ ,  $b > a \cdot e$ .*

PROOF. See [5, p. 382].

(0.5.5) PROPOSITION. *Let  $X$  be a geometrically ruled surface over a curve  $\bar{C}$ , of genus  $\bar{g}$  and invariant  $e < 0$ .*

- (i) *If  $Y \equiv a\zeta_E + b\mathcal{L}$  is an irreducible curve,  $Y \not\equiv \zeta_E, \mathcal{L}$ , then either  $a = 1$ ,  $b \geq 0$  or  $a \geq 2$ ,  $b \geq \frac{1}{2}ae$ .*
- (ii) *A divisor  $D \equiv a\zeta_E + b\mathcal{L}$  is ample if and only if  $a > 0$ ,  $b > \frac{1}{2}ae$ .*

PROOF. See [5, p. 382].

The determination of the very ample divisors on a ruled surface with  $\bar{g} \geq 1$ , is more difficult than in the case of a rational ruled surface, i.e. a Hirzebruch surface. There is moreover the following result which is stated as an exercise in [5, p. 385] and it is not too difficult to prove.

(0.5.6) PROPOSITION. *Let  $X$  be a geometrically ruled surface with invariant  $e$  over an elliptic curve  $\varepsilon$ . Let  $L \equiv \zeta_E \otimes \mathcal{L}^b$ . Then*

- (i)  *$L$  is spanned if and only if  $b \geq e + 2$ .*
- (ii)  *$L$  is very ample if and only if  $b \geq e + 3$ '*

(0.5.7) THEOREM. *Let  $X = \mathbf{P}(E)$  be a geometrically ruled surface over an elliptic curve  $\varepsilon$ . Then  $L \equiv \zeta_E^a \otimes \mathcal{L}^b$  is very ample if  $a \geq 1$  and  $b \geq \max_{1 \leq k \leq a} \{3 + ke\}$ .*

PROOF. See [8, Theorem (1.6)].

(0.5.8) **PROPOSITION.** *Let  $X$  be a geometrically ruled surface over a curve  $\bar{C}$  with  $\bar{g} = g(\bar{C})$  and invariant  $e$ . Let  $L \equiv \zeta_{\bar{E}}^a \otimes \mathcal{L}^b$  be a line bundle on  $X$  with  $a > -2$ . Then:*

$$(i) \quad h^1(L) = 0 \text{ for } b > \begin{cases} ae + 2\bar{g} - 2 + e & \text{if } e \geq 0 \\ \frac{1}{2}ae + 2\bar{g} - 2 & \text{if } e < 0 \end{cases}$$

$$(ii) \quad h^0(L) - h^1(L) = (a + 1)(b + 1 - \bar{g} - ae/2).$$

The proof is a direct application of the Kodaira Vanishing Theorem and the Riemann–Roch Theorem.

By a ruled surface we mean a surface birational to a geometrically ruled surface.

(0.6) **PROPOSITION.** *Let  $X$  be a smooth, connected surface and  $L$  an ample line bundle on it. Suppose that  $h^{2,0}(X) \neq 0$  and  $L \cdot L = 2g - 2$ . Then  $K_X$  is trivial.*

**PROOF.** Use [14, p. 382].

(0.7) **PROPOSITION.** *Let  $X$  and  $L$  be as above. Suppose that  $L \cdot L = 2g - 2$  and  $h^0(L|_C) = g$ , where  $C \in |L|$ .*

*Then  $K_X$  is trivial.*

**PROOF.** Use [14, p. 382].

(0.8) **PROPOSITION.** *Let  $L$  be an ample and spanned line bundle on a smooth, connected, projective surface  $X$ . Assume  $h^0(L) \geq 4$ ,  $L \cdot L \geq 5$ . Then  $K_X \otimes L$  is spanned.*

**PROOF.** See [15, Theorem (0.8)].

(0.9) **THEOREM.** *Let  $X$  be a smooth, connected, ruled surface and  $L$  be an ample and spanned line bundle on it. Let  $C \in |L|$ ,  $g = g(C) = g(L) = 2$ . Suppose that  $h^{1,0}(X) \neq 2$  and that  $K_X \otimes L$  is spanned. Then  $X$  is rational.*

**PROOF.** By the first Lefschetz Theorem, see [1] or [3],  $h^{1,0}(X) \leq 2$ . Thus  $h^{1,0}(X) = 0$  or 1. Consider the long cohomology sequence associated to the short exact sequence

$$(1.1.1) \quad 0 \rightarrow K_X \rightarrow K_X \otimes L \rightarrow K_C \rightarrow 0.$$

The Kodaira Vanishing Theorem, [5], implies  $h^1(K_X \otimes L) = 0$ . By

definition  $h^0(K_C) = g = 2$ . Since  $K_X \otimes L$  is spanned, by restriction,  $K_C$  is also spanned. Therefore

$$H^0(K_X \otimes L) \xrightarrow{\alpha} H^0(K_C) \rightarrow 0$$

is exact; otherwise the image of  $\alpha$  would have only one section and this would contradict the fact that  $K_C$  is spanned. Then by (1.1.1) it follows that  $h^{1,0}(X) = 0$  and hence  $X$  is rational.

**1. The case of  $\dim \varphi_L(X) = 2$  and  $h^{1,0}(X) = 2$ .**

Since  $h^{1,0}(X) = 2$ ,  $X$  is a ruled surface over a curve of genus two. By Theorem (0.9),  $g \geq 3$ . Let  $g = 3$  and consider the long cohomology sequence of

$$0 \rightarrow K_X \rightarrow K_X \otimes L \rightarrow K_C \rightarrow 0.$$

By the facts that

- (a)  $h^{2,0}(X) = 0$ , since  $X$  is a ruled surface,
- (b)  $h^0(K_C) = g = 3$ ,
- (c)  $h^1(K_X \otimes L) = 0$  by Kodaira Vanishing Theorem,
- (d)  $h^{1,0}(X) = 2$  by hypothesis,

it follows that  $h^0(K_X \otimes L) = 1$  which contradicts the fact that  $K_X \otimes L$  is spanned by [14, p. 387]. Therefore  $g \geq 4$ .

Now consider  $(\hat{X}, \hat{L})$ . If  $g = 4$ , by (\*) it follows that  $d \leq 4$  which contradicts  $h^0(L) \geq 5$  and Castelnuovo's inequality. Therefore  $g = 5, 6$ . Again by (\*) if  $g = 5$  and  $d \geq 2g - 1$ , then  $h^{1,0}(X) \leq 1$ . Thus if  $g = 5$ ,  $d = 7$  or  $8$  and  $h^0(\hat{L}) = 5$ . If  $d = 7$  then, by degree consideration  $X = \hat{X}$ ,  $L = \hat{L}$ ,  $d = \hat{d} = 7$ , and  $h^0(L) = h^0(\hat{L}) = 5$ . Therefore by Proposition (0.2) we have  $c_1^2 = -16$ . Now applying Proposition (0.1) it follows that  $d' = -7$  which gives a contradiction. Now suppose that  $d = 8$ . If  $X = \hat{X}$ , then  $h^0(L) = h^0(\hat{L}) = 5$  and by Proposition (0.2),  $c_1^2 = -14$  which contradicts Proposition (0.1). If  $X$  is made by blowing up one point we get again a contradiction in the same way. Hence  $g = 6$ . Using the fact that  $d' \geq g - h^{1,0}(X) - 2$  and  $\hat{c}_1^2 \leq -8$  we obtain that  $d \leq 10$ . So  $7 \leq d \leq 10$ . By Castelnuovo's inequality if  $d = 7, 8$ , then  $h^0(\hat{L}) = 5$ . Let  $d = 7$ . Then  $X = \hat{X}$ ,  $L = \hat{L}$ ,  $h^0(L) = 5$ ,  $d = \hat{d} = 7$ . By Proposition (0.2),  $\hat{c}_1^2 = -24$  which contradicts Proposition (0.1). If  $d = 8$ , we get contradictions in the same way in both the cases in which  $X = \hat{X}$  and  $X$  is made by blowing up one point. Therefore

$$d = 9, 10, \quad h^0(\hat{L}) \geq 5.$$

Using the fact that  $\hat{c}_1^2 \leq -8$  and Proposition (0.1), we get contradictions. Thus we can state the following theorem:

(1.1) **THEOREM.** *There is no smooth, connected, projective, ruled surface such that  $h^{1,0}(X) = 2$ ,  $\dim \varphi_{\mathcal{L}}(X) = 2$  and  $g \leq 6$ .*

**2. The case of  $\dim \varphi_{\mathcal{L}}(X) = 2$  and  $h^{1,0}(X) = 1$ .**

We would like to remind that  $h^0(\hat{L}) \geq h^0(L) \geq 5$  and  $g = 3, \dots, 6$ . By the long cohomology sequence of

$$(2.0.1) \quad 0 \rightarrow K_X \rightarrow K_X \otimes L \rightarrow K_C \rightarrow 0,$$

it follows that  $g = 4, 5, 6$  and by Castelnuovo's inequality:

$$\begin{aligned} g = 4 &\Rightarrow d \geq 6, \\ g = 5, 6 &\Rightarrow d \geq 7. \end{aligned}$$

(2.1) **LEMMA.** *Let  $X$  be a smooth, connected, projective surface such that  $h^{1,0}(X) = 1$ ,  $h^{2,0}(X) = 0$ . Let  $L$  be an ample line bundle on it. Suppose that  $K_X \otimes L$  is ample, spanned and  $g' = g(K_X \otimes L) = 1$ . Then  $c_1^2 = 0$ .*

**PROOF.** [15, Corollary (3.4.2)], [16, Theorem (1.3)] or [7, Corollary (2.4)].

(2.2) **PROPOSITION.** *Let  $X$  be a smooth, connected, projective, ruled surface such that  $h^{1,0}(X) = 1$ ,  $\dim \varphi_{\mathcal{L}}(X) = 2$ , and  $\hat{d} = 2g - 2$ . Then if  $(\hat{X}, \hat{L})$  exists it has to satisfy the following invariants,*

$$g = 6, \quad \hat{d} = 10, \quad d' = 9, \quad g' = 5, \quad \hat{c}_1^2 = -1, \quad h^0(\hat{L}) = 6.$$

**PROOF.** Since  $\hat{d} = 2g - 2$  using Clifford's Theorem, Riemann–Roch's Theorem, Proposition (0.7) and the long cohomology sequence of

$$0 \rightarrow \mathcal{O}_X \rightarrow \hat{L} \rightarrow \hat{L}|_C \rightarrow 0,$$

we have that  $h^0(\hat{L}) \leq g$ . Therefore, using the fact that  $h^0(\hat{L}) \geq 5$  we have that  $g = 5$  or  $6$ . Assume that  $g = 5$ . Then  $h^0(\hat{L}) = 5$ . By Propositions (0.1), (2.1) and Theorem (0.9), we obtain the following invariants:

$$\begin{aligned} d' = 6, \quad g' = 3, \quad \hat{c}_1^2 &= -2, \\ d' = 7, \quad g' = 4, \quad \hat{c}_1^2 &= -1, \\ d' = 8, \quad g' = 5, \quad \hat{c}_1^2 &= 0. \end{aligned}$$

Since  $h^0(L) \geq 5$ , by Castelnuovo's inequality  $d \geq 7$ . Suppose that  $X = \hat{X}$ , that is  $L = \hat{L}$  and  $d = \hat{d} = 8$ . Then by Proposition (02),



$c_1^2 = -8$  which gives a contradiction. Now suppose that  $X$  is obtained by blowing up one point on  $\hat{X}$ . Then  $h^0(L) = 5$  and  $d = 7$ . By Proposition (0.2) we have that  $c_1^2 = -13$  which contradicts the values that we have obtained for  $\hat{c}_1^2$ . Therefore  $g \neq 5$ . It remains to examine the case in which  $g = 6$ . As we have seen  $h^0(\hat{L}) = 5$  or  $6$ . Exactly as in the case  $g = 5$  we obtain the following set of invariants:

$$\begin{aligned} d' = 7, \quad g' = 3, \quad \hat{c}_1^2 = -3, \\ d' = 8, \quad g' = 4, \quad \hat{c}_1^2 = -2, \\ d' = 9, \quad g' = 5, \quad \hat{c}_1^2 = -1, \\ d' = 10, \quad g' = 6, \quad \hat{c}_1^2 = 0. \end{aligned}$$

As in the case in which  $g = 5$  we see that  $h^0(\hat{L}) \neq 5$ . Thus  $h^0(\hat{L}) = 6$ . Now consider the first set of invariants.

$$(K_{\hat{X}} + L) \cdot (K_{\hat{X}} + L) = -2,$$

which contradicts the fact that  $K_{\hat{X}} \otimes L$  is spanned by Proposition (0.8). Also in the last case we obtain a contradiction using the formula

$$(2.2.1) \quad t(2h^{1,0}(X) - 2) + \frac{t-1}{t}d = 2g - 2,$$

which is obtained for ruled surfaces which are minimal models using the adjunction formula and the Hurwitz formula, see [5].

Now consider the second set of invariants. By the long cohomology sequence of

$$(2.2.2) \quad 0 \rightarrow K_{\hat{X}} \rightarrow K_{\hat{X}} \otimes L \rightarrow K_C \rightarrow 0,$$

we have that  $h^0(K_{\hat{X}} \otimes L) = 3$ . Moreover

$$(K_{\hat{X}} + L) \cdot (K_{\hat{X}} + L) = 2.$$

Since  $\varphi_{K_{\hat{X}} \otimes L}$  cannot be an embedding, it follows that it gives a 2:1 branched cover of  $\mathbf{P}^2$ . Thus we have a contradiction since 2:1 branched covers of  $\mathbf{P}^2$  have first Betti numbers zero.

(2.3) THEOREM. *Let  $(\hat{X}, \hat{L})$  be a minimal pair of a smooth, connected, projective, ruled surface. Suppose that  $(\hat{X}, \hat{L})$  satisfy the invariants:*

$$\begin{aligned} g = 6, \quad d = 10, \quad d' = 9, \quad g' = 5, \\ \hat{c}_1^2 = -1, \quad h^0(\hat{L}) = 6, \quad h^{1,0}(X) = 1. \end{aligned}$$

Then, if  $(\hat{X}, \hat{L})$  exists, it has to be made by blowing up one point on a geometrically ruled surface over an elliptic curve such that either  $e = 0$  and

$$\hat{L} \equiv \zeta_E^{11} \otimes \mathcal{L}^5 \otimes [\mathcal{P}]^{-10} \text{ or } e = -1 \text{ and } \hat{L} \equiv \zeta_E^7 \otimes \mathcal{L}^{-1} \otimes [\mathcal{P}]^{-5},$$

where  $\mathcal{P}$  is the irreducible line on  $\hat{X}$  that we obtain, when we blow up a point on a minimal model.

PROOF. Since  $\hat{c}_1^2 = -1$ , the surface has to be made by blowing up one point over a minimal model. Hence

$$\hat{L} \equiv \zeta_E^a \otimes \mathcal{L}^b \otimes [\mathcal{P}]^r.$$

Since the surface is a minimal model relative to  $L$  and  $\mathcal{P} \cdot \mathcal{P} = -1$  we have that  $\hat{L} \cdot \mathcal{P} \geq 2$ , that is

$$2 \leq (a\zeta_E + b\mathcal{L} + r\mathcal{P}) \cdot \mathcal{P} = -r.$$

Since  $\dim \varphi_L(X) = 2$  we have that  $\hat{L} \cdot f \geq 3$ . Hence

$$3 \leq (a\zeta_E + b\mathcal{L} + r\mathcal{P}) \cdot f = a.$$

Since  $\varepsilon$  is an elliptic curve and  $\hat{L}$  is ample

$$\hat{L} \cdot \zeta_E = (a\zeta_E + b\mathcal{L} + r\mathcal{P}) \cdot \zeta_E = -ae + b \geq 1.$$

Moreover  $K_{\hat{X}} \equiv \zeta_E^{-2} \otimes \mathcal{L}^{-e} \otimes [\mathcal{P}]$ , so

$$K_{\hat{X}} \otimes \hat{L} \equiv \zeta_E^{a-2} \otimes \mathcal{L}^{b-e} \otimes [\mathcal{P}]^{r+1}.$$

Therefore we have the following system:

$$r \leq -2, \quad a \geq 3.$$

- (i)  $ae - b \leq -1$ ,
- (ii)  $\hat{d} = -a^2e + 2ab - r^2$ ,
- (iii)  $2g - 2 = \hat{d} + ae - 2b - r$ ,
- (iv)  $d' = -a^2e + 2ae + 2ab - 4b - r^2 - 2r - 1$ ,
- (v)  $2g' - 2 = d' + ae - 2b - r - 1$ .

Using (i) and (iii) it follows that

$$(2.4.1) \quad b \leq -1 - r.$$

Again by (i)

$$e \leq \frac{b-1}{a}.$$

By (2.4.1)

$$(2.4.2) \quad e \leq \frac{b-1}{a} \leq \frac{-2-r}{a}.$$

Now we write (ii) as

$$10 + r^2 = -a^2 e + 2ab = a(-ae + 2b).$$

By (iii) the above equality becomes

$$-ar = 10 + r^2,$$

which implies

$$a = \frac{10 + r^2}{-r}.$$

Substituting in (2.4.2) we get

$$e \leq \frac{-2-r}{a} = \frac{(-2-r)(-r)}{10+r^2}.$$

Since  $r^2 < r^2 + 10$  and  $r \leq -2$  we have that

$$r^2 + 2r < r^2 + 10,$$

which implies that  $e < 1$ , that is  $e = -1$  or  $0$ . Let  $e = 0$ . By (v)

$$2b = -r.$$

Substituting in (iv) we obtain

$$10 = -r(a+r).$$

Therefore we have the following cases:

$$(A) \quad a = 7, \quad r = -2, \quad b = 1,$$

$$(B) \quad a = 11, \quad r = -10, \quad b = 5,$$

that is, either

$$\hat{L} \equiv \zeta_E^7 \otimes \mathcal{L} \otimes [\mathcal{P}]^{-2} \quad \text{or} \quad \hat{L} \equiv \zeta_E^{11} \otimes \mathcal{L}^5 \otimes [\mathcal{P}]^{-10}.$$

Since by [14, p. 393],  $\hat{L}|_{\zeta_E}$  has to be very ample we see that case (A) is not possible. Now let  $e = -1$ . By (iii)

$$a = -2b - r.$$

Substituting in (iv)

$$br = 5.$$

Since  $r \leq -2$ , and, by (i),  $b \geq -2$  we have that

$$a = 7, \quad b = -1, \quad r = -5$$

that is  $\hat{L} \equiv \zeta_E^7 \otimes \mathcal{L}^{-1} \otimes [\mathcal{P}]^{-5}$ .

(2.5) LEMMA. *There is no geometrically ruled surface  $(\hat{X}, \hat{L})$  over an elliptic curve such that  $\hat{L}$  is ample and  $\hat{d} \leq 2g - 2$ .*

PROOF. Use (0.5.3) and Propositions (0.5.4) and (0.5.5).

Now we assume  $\hat{d} \leq 2g - 3$ . We get the following proposition:

(2.6) PROPOSITION. *There is no smooth, connected, projective, ruled surface such that  $h^{1,0}(X) = 1$ ,  $\dim \varphi_L(X) = 2$ ,  $\hat{d} \leq 2g - 3$ ,  $g = 4, 5$ . In the case in which  $g = 6$ ,  $(\hat{X}, \hat{L})$  has to satisfy one of the following sets of invariants:*

- (1)  $\hat{d} = 9, \quad h^0(\hat{L}) = 6, \quad d' = 9, \quad g' = 5, \quad \hat{c}_1^2 = -2,$
- (2)  $\hat{d} = 9, \quad h^0(\hat{L}) = 6, \quad d' = 10, \quad g' = 6, \quad \hat{c}_1^2 = -1.$

PROOF. Since  $h^0(\hat{L}) \geq 5$ , by Castelnuovo's inequality  $g \neq 4$ . If  $g = 5$ , then  $\hat{d} = 7, 8$ ,  $h^0(\hat{L}) = 5$ . If  $g = 6$ , then  $\hat{d} = 7, 8, 9$ ,  $h^0(\hat{L}) = 5, 6$ . In the case in which  $g = 5$ , by degree considerations  $X = \hat{X}$ . Thus  $\hat{L}$  is very ample and we have a contradiction using Propositions (0.2) and (0.1). Now let  $g = 6$ . In the case in which  $\hat{d} = 7$  by Castelnuovo's inequality since  $h^0(L) \geq 5$  we have  $X = \hat{X}$  and we get a contradiction as before. If  $\hat{d} = 8$ , then we can blow up at most one point. Thus  $d = 7$ ,  $h^0(L) = 5$  and we get again a contradiction as before. If  $X = \hat{X}$ , that is  $d = 8$ , then  $\hat{L} = L$  is very ample,  $h^0(L) = 5$  and we get, in the same way, a contradiction.

Now consider the case in which  $\hat{d} = 9$  and  $h^0(\hat{L}) = 5$ . Again by Castelnuovo's inequality, since  $h^0(L) \geq 5$ , we can blow up at most two points. If  $d = 7, 8$  we have contradictions as before. If  $X = \hat{X}$ , that is  $d = \hat{d} = 9$ , then by Propositions (0.2) and (0.1) we have  $\hat{c}_1^2 = -7$ ,  $d' = 4$  and  $g' = 0$  which implies that  $X$  is rational. It remains to consider the case in which  $\hat{d} = 9$  and  $h^0(\hat{L}) = 6$ . By Propositions (0.1), (0.7) and Theorem (0.9) we obtain the following sets of invariants:

- (A)  $d' = 7, \quad g' = 3, \quad \hat{c}_1^2 = -4,$   
 (B)  $d' = 8, \quad g' = 4, \quad \hat{c}_1^2 = -3,$   
 (C)  $d' = 9, \quad g' = 5, \quad \hat{c}_1^2 = -2,$   
 (D)  $d' = 10, \quad g' = 6, \quad \hat{c}_1^2 = -1,$   
 (E)  $d' = 11, \quad g' = 7, \quad \hat{c}_1^2 = 0.$

By Lemma (2.5), case (E) does not happen. By the long cohomology sequence of

$$0 \rightarrow K_{\hat{X}} \rightarrow K_{\hat{X}} \otimes L \rightarrow K_{\hat{X}} \otimes L|_{C'} \rightarrow 0$$

obtained by tensoring with  $K_{\hat{X}}$  the short exact sequence

$$0 \rightarrow \mathcal{O}_{\hat{X}} \rightarrow L \rightarrow L|_{C'} \rightarrow 0,$$

where  $C' \in |L|$ , we have that, in case (B),

$$h^0(K_{\hat{X}} \otimes L) = 3.$$

Moreover

$$(K_{\hat{X}} + L) \cdot (K_{\hat{X}} + L) = \hat{c}_1^2 + 4g' - 4 - d' = 1,$$

which implies that  $\hat{X} = P^2$ . Therefore case (B) can not happen either. In case (A) we get a contradiction, since

$$(K_{\hat{X}} + L) \cdot (K_{\hat{X}} + L) = -3.$$

Therefore (C) and (D) are the only possible cases.

Now consider the case in which  $\hat{c}_1^2 = -1$ , that is  $\hat{X}$  is made by blowing up one point on a geometrically ruled surface over an elliptic curve. Then the system is:

$$r \leq -2, \quad a \geq 3$$

- (i)  $ae - b \leq -1,$   
 (ii)  $9 = -a^2e + 2ab - r^2,$   
 (iii)  $10 = 9 + ae - 2b - r,$   
 (iv)  $10 = -a^2e + 2ae + 2ab - 4b - r^2 - 2r - 1,$   
 (v)  $10 = 10 + ae - 2b - r - 1.$

By (i) and (iii) it follows

$$(2.6.1) \quad b \leq -r - 2.$$

Again by (i)

$$e \leq \frac{b-1}{a}.$$

Thus, using (2.6.1)

$$(2.6.2) \quad e \leq \frac{-r-3}{a}.$$

By (ii) and (iii) we obtain

$$a = \frac{r^2+9}{-r-1}.$$

Substituting in (2.6.2) we get

$$e \leq \frac{r^2+4r+3}{r^2+9}.$$

By  $r^2 < r^2+9$  and  $r \leq -2$  it follows that  $e < 1$ , that is  $e = -1, 0$ .

(2.7) **REMARK.** If there exist smooth, connected, projective, ruled surfaces  $(\hat{X}, \hat{L})$  with  $g = 6$  and  $h^{1,0}(\hat{X}) = 1$  which satisfy the invariants:

$$\hat{d} = 9, \quad \hat{d}' = 10, \quad \hat{g}' = 6, \quad \hat{c}_1^2 = -1.$$

then  $\hat{X}$  is made by blowing up one point on a geometrically ruled surface over an elliptic curve with invariant  $e = -1, 0$ .

Now consider the case in which  $\hat{c}_1^2 = -2$ . In this case  $\hat{X}$  is made by blowing up two points on a minimal model. Let  $\mathcal{P}_1$  and  $\mathcal{P}_2$  denote the irreducible lines on  $\hat{X}$  that we obtain, when we blow up two points on a minimal model.

We have that either

$$\mathcal{P}_1 \cdot \mathcal{P}_1 = \mathcal{P}_2 \cdot \mathcal{P}_2 = -1, \quad \mathcal{P}_1 \cdot \mathcal{P}_2 = 0,$$

or

$$\mathcal{P}_1 \cdot \mathcal{P}_1 = -2, \quad \mathcal{P}_2 \cdot \mathcal{P}_2 = -1, \quad \mathcal{P}_1 \cdot \mathcal{P}_2 = +1,$$

In the first case we have:

$$\hat{L} \equiv \zeta_E^a \otimes \mathcal{L}^b \otimes [\mathcal{P}_1]^{r_1} \otimes [\mathcal{P}_2]^{r_2}$$

$$K_{\hat{X}} \equiv \zeta_E^{-2} \otimes \mathcal{L}^e \otimes [\mathcal{P}_1] \otimes [\mathcal{P}_2]$$

and

$$K_{\hat{X}} \otimes \hat{L} \equiv \zeta_E^{a-2} \otimes \mathcal{L}^{b-e} \otimes [\mathcal{P}_1]^{r_1+1} \otimes [\mathcal{P}_2]^{r_2+1}.$$

Thus:

$$r_1 \leq -2, \quad r_2 \leq -2, \quad a \geq 3,$$

$$(i) \quad ae - b \leq -1,$$

$$(ii) \quad 9 = -a^2e + 2ab - r_1^2 - r_2^2,$$

$$(iii) \quad 10 = 9 + ae - 2b - r_1 - r_2,$$

$$(iv) \quad 9 = -a^2e + 2ae + 2ab - 4b - r_1^2 - r_2^2 - 2r_1 - 2r_2 - 2,$$

$$(v) \quad 8 = 9 + ae - 2b - r_1 - r_2 - 2.$$

By (i) and (iii) it follows

$$(2.7.1) \quad b \leq -r_1 - r_2 - 2.$$

Again by (i) we have

$$e \leq \frac{-1 + b}{a}.$$

Using (2.7.1) we get

$$(2.7.2) \quad e \leq \frac{-r_1 - r_2 - 3}{a}.$$

By (ii) and (iii) we obtain

$$a = \frac{9 + r_1^2 + r_2^2}{-r_1 - r_2 - 1}.$$

Substituting in (2.7.2) we get

$$e \leq \frac{r_1^2 + r_2^2 + 2r_1r_2 + 2r_1 + 2r_2 + 7}{r_1^2 + r_2^2 + 9}.$$

Since  $r_i \leq -2$  for  $i = 1, 2$ , again by Schwartz's Lemma, it follows that

$$e \leq 2 \cdot \frac{(r_1^2 + r_2^2 + r_1 + r_2 + \frac{7}{2})}{r_1^2 + r_2^2 + 9}.$$

Hence  $e = -1, 0, 1$ .

Again in the second case we get  $e = -1, 0, 1$ .

We would like to state the following

(2.8) **REMARK.** If there exist smooth, connected, ruled surfaces  $(\hat{X}, \hat{L})$  with  $g = 6$ ,  $\dim \varphi_{\mathcal{L}}(X) = 2$ , and  $h^{1,0}(\hat{X}) = 1$ , which satisfy the invariants:

$$\hat{d} = 9, \quad \hat{d}' = 9, \quad \hat{g}' = 5, \quad \hat{c}_1^2 = -2,$$

then  $\hat{X}$  is made by blowing up two points on a geometrically ruled surface over an elliptic curve with invariant  $e = -1, 0, 1$ .

Finally we can assume  $\hat{d} \geq 2g - 1$ . Let  $g = 6$ . By

$$(K_{\hat{X}} + \hat{L}) \cdot (K_{\hat{X}} + \hat{L}) \geq g + h^{2,0}(X) - h^{1,0}(X) - 2,$$

it follows that  $\hat{d} \leq 17$ . By the long cohomology sequence of

$$0 \rightarrow \mathcal{O}_{\hat{X}} \rightarrow \hat{L} \rightarrow \hat{L}|_{\mathcal{C}} \rightarrow 0,$$

and by Riemann–Roch's Theorem,  $h^1(\hat{L}) = 0$  or  $1$  and  $h^0(\hat{L}) \geq 6$ . By the long cohomology sequence of

$$0 \rightarrow K_{\hat{X}} \rightarrow K_{\hat{X}} \otimes \hat{L} \rightarrow K_{\mathcal{C}} \rightarrow 0,$$

it follows that  $h^0(K_{\hat{X}} \otimes \hat{L}) = 5$ . In the same way we have that:

$$\begin{aligned} \text{if } g = 5, \quad \hat{d} \leq 14, \quad h^1(\hat{L}) = 0, 1, \quad h^0(\hat{L}) \geq 5, \quad h^0(K_{\hat{X}} \otimes \hat{L}) = 4, \\ \text{if } g = 4, \quad \hat{d} \leq 11, \quad h^1(\hat{L}) = 0, 1, \quad h^0(\hat{L}) \geq 5, \quad h^0(K_{\hat{X}} \otimes \hat{L}) = 3. \end{aligned}$$

Let  $g = 6$ . By Propositions (0.1), (2.1) and Theorem (0.9) we have that:

$$\begin{aligned} \hat{d} = 11, \quad d' = 7, \quad \hat{c}_1^2 = -2, \quad g' = 3, \\ \hat{d} = 11, \quad d' = 8, \quad \hat{c}_1^2 = -1, \quad g' = 4, \\ \hat{d} = 11, \quad d' = 9, \quad \hat{c}_1^2 = 0, \quad g' = 5, \\ \hat{d} = 12, \quad d' = 7, \quad \hat{c}_1^2 = -1, \quad g' = 3, \\ \hat{d} = 12, \quad d' = 8, \quad \hat{c}_1^2 = 0, \quad g' = 4, \\ \hat{d} = 13, \quad d' = 7, \quad \hat{c}_1^2 = 0, \quad g' = 3. \end{aligned}$$

Let  $g = 5$ . In the same way we have:

$$\begin{aligned} \hat{d} = 9, \quad d' = 6, \quad \hat{c}_1^2 = -1, \quad g' = 3, \\ \hat{d} = 9, \quad d' = 7, \quad \hat{c}_1^2 = 0, \quad g' = 4, \\ \hat{d} = 10, \quad d' = 6, \quad \hat{c}_1^2 = 0, \quad g' = 3, \\ \hat{d} = 12, \quad d' = 4, \quad \hat{c}_1^2 = 0, \quad g' = 1. \end{aligned}$$

Let  $g = 4$ . In the same way we have:

$$\begin{aligned} \hat{d} = 7, \quad d' = 5, \quad \hat{c}_1^2 = 0, \quad g' = 3, \\ \hat{d} = 9, \quad d' = 3, \quad \hat{c}_1^2 = 0, \quad g' = 1. \end{aligned}$$



Now consider the cases in which  $\hat{X}$  is a minimal model, i.e.  $\hat{c}_1^2 = 0$ . We have obtained the following cases:

$$\begin{aligned} g = 6, \quad \hat{d} = 11, \quad d' = 9, \quad g' = 5, \\ \hat{d} = 12, \quad d' = 8, \quad g' = 4, \\ \hat{d} = 13, \quad d' = 7, \quad g' = 3, \\ \hat{d} = 15, \quad d' = 5, \quad g' = 1, \\ \\ g = 5, \quad \hat{d} = 9, \quad d' = 7, \quad g' = 4, \\ \hat{d} = 10, \quad d' = 6, \quad g' = 3, \\ \hat{d} = 12, \quad d' = 4, \quad g' = 1, \\ \\ g = 4, \quad \hat{d} = 7, \quad d' = 5, \quad g' = 3, \\ \hat{d} = 9, \quad d' = 3, \quad g' = 1. \end{aligned}$$

Let  $g = 6$ ,  $\hat{d} = 11$ ,  $d' = 9$ ,  $g' = 5$ . By (0.5.3),

$$a = 11, \quad b = \frac{11e + 1}{2}.$$

By Propositions (0.5.4) and (0.5.5) we get that  $e = -1$ ,  $a = 11$ ,  $b = -5$ .

Let  $g = 6$ ,  $\hat{d} = 12$ ,  $d' = 8$ ,  $g' = 4$ . By (0.5.3)

$$a = 6, \quad b = \frac{6e + 2}{2}.$$

As before we get  $e = -1$ ,  $a = 6$ ,  $b = -2$ . Let  $g = 6$ ,  $\hat{d} = 13$ . As before we get  $a = \frac{13}{3}$  which is a contradiction. Let  $g = 6$ ,  $\hat{d} = 15$ . Then:

$$\begin{aligned} e = 0, \quad a = 3, \quad b = \frac{5}{2}, \quad \text{contradiction,} \\ e = 1, \quad a = 3, \quad b = 4, \\ e = 2, \quad a = 3, \quad b = \frac{11}{2}, \quad \text{contradiction,} \\ e = -1, \quad a = 3, \quad b = 1. \end{aligned}$$

Let  $g = 5$ ,  $\hat{d} = 9$ . Then

$$e = -1, \quad a = 9, \quad b = -4.$$

Let  $g = 5$ ,  $\hat{d} = 10$ . Then

$$e = -1, \quad a = 5, \quad b = -\frac{3}{2}, \quad \text{contradiction.}$$

Let  $g = 5$ ,  $\hat{d} = 12$ . Then

$$\begin{aligned} e = 0, \quad a = 3, \quad b = 2, \\ e = 1, \quad a = 3, \quad b = \frac{7}{2}, \quad \text{contradiction,} \\ e = -1, \quad a = 3, \quad b = \frac{1}{2}, \quad \text{contradiction.} \end{aligned}$$

Let  $g = 4$ ,  $\hat{d} = 7$ . Then

$$e = -1, \quad a = 7, \quad b = -3.$$

Let  $g = 4$ ,  $\hat{d} = 9$ . Then

$$\begin{aligned} e = 0, \quad a = 3, \quad b = \frac{3}{2}, \quad \text{contradiction,} \\ e = -1, \quad a = 3, \quad b = 0. \end{aligned}$$

Since by [14, p. 392],  $\hat{L}|_{\zeta_E}$  has to be very ample, the cases  $g = 6$ ,  $\hat{d} = 15$ ,  $\hat{L} \equiv \zeta_E^2 \otimes \mathcal{L}^4$ ,  $e = 1$  and  $g = 5$ ,  $\hat{d} = 12$ ,  $\hat{L} \equiv \zeta_E^3 \otimes \mathcal{L}^2$ ,  $e = 0$ , cannot happen. Since by Proposition (0.5.8) we can compute  $h^0(\hat{L})$ , we see that in the case  $g = 4$ ,  $\hat{d} = 7$  it follows that  $h^0(\hat{L}) = 4$  which contradicts  $h^0(\hat{L}) \geq 5$ . Thus we can state the following proposition:

(2.9) **PROPOSITION.** *Let  $X$  be a smooth, connected, projective, ruled surface and  $L$  a very ample line bundle on it. Suppose that  $\hat{X}$  is a minimal model,  $h^{1,0}(X) = 1$ ,  $\dim \varphi_{\mathbb{L}}(X) = 2$  and  $\hat{d} \geq 2g - 1$ . Then  $(\hat{X}, \hat{L})$  has to be one of the following surfaces:*

- (1)  $e = -1$ ,  $\hat{L} \equiv \zeta_E^{11} \otimes \mathcal{L}^{-5}$ ,  $g = 6$ ,  $\hat{d} = 11$ ,  $d' = 9$ ,  $g' = 5$ ,  
 $h^0(\zeta_E^{11} \otimes \mathcal{L}^{-5}) = 6$ ,
- (2)  $e = -1$ ,  $\hat{L} \equiv \zeta_E^6 \otimes \mathcal{L}^{-2}$ ,  $g = 6$ ,  $\hat{d} = 12$ ,  $d' = 8$ ,  $g' = 4$ ,  
 $h^0(\zeta_E^6 \otimes \mathcal{L}^{-2}) = 7$ .
- (3)  $e = -1$ ,  $\hat{L} \equiv \zeta_E^3 \otimes \mathcal{L}$ ,  $g = 6$ ,  $\hat{d} = 15$ ,  $d' = 5$ ,  $g' = 1$ ,  
 $h^0(\zeta_E^3 \otimes \mathcal{L}) = 10$ .
- (4)  $e = -1$ ,  $\hat{L} \equiv \zeta_E^9 \otimes \mathcal{L}^{-4}$ ,  $g = 5$ ,  $\hat{d} = 9$ ,  $d' = 7$ ,  $g' = 4$ ,  
 $h^0(\zeta_E^9 \otimes \mathcal{L}^{-4}) = 5$ .
- (5)  $e = -1$ ,  $\hat{L} \equiv \zeta_E^3$ ,  $g = 4$ ,  $\hat{d} = 9$ ,  $d' = 3$ ,  $g' = 1$ ,  
 $h^0(\zeta_E^3) = 6$ .

**REMARK.** We do not know if those  $\hat{L}$  are very ample.

Now consider the case when  $\hat{X}$  is made by blowing up one point over a geometrically ruled surface over an elliptic curve, i.e. when  $\hat{c}_1^2 = -1$ . We have to examine the following cases:

- (A)  $g = 6, \quad \hat{d} = 11, \quad d' = 8, \quad g' = 4,$
- (B)  $g = 6, \quad \hat{d} = 12, \quad d' = 7, \quad g' = 3,$
- (C)  $g = 5, \quad \hat{d} = 9, \quad d' = 6, \quad g' = 3.$

In case (C) as usual we compute that  $h^0(K_{\hat{X}} \otimes L) = 2$  and

$$(K_{\hat{X}} + L) \cdot (K_{\hat{X}} + L) = 1,$$

which gives a contradiction, since if  $\dim \varphi_{K_{\hat{X}} \otimes L}(\hat{X}) = 1$ , then

$$(K_{\hat{X}} + L) \cdot (K_{\hat{X}} + L) = 0.$$

Thus we have to examine the usual systems in cases (A) and (B).

$$r \leq -2, \quad a \geq 3,$$

- (i)  $ae - b \leq -1,$
- (ii)  $\hat{d} = -a^2e + 2ab - r^2,$
- (iii)  $2g - 2 = \hat{d} + ae - 2b - r,$
- (iv)  $d' = -a^2e + 2ae + 2ab - 4b - r^2 - 2r - 1,$
- (v)  $2g' - 2 = d' + ae - 2b - r - 1.$

As usual  $e = -1, 0, 1$ . Consider case (A). By (v) we get

$$(2.9.1) \quad \text{if } e = 0, \quad 2b = -r + 1,$$

$$(2.9.2) \quad \text{if } e = -1, \quad 2b = -a - r + 1,$$

$$(2.9.3) \quad \text{if } e = 1, \quad 2b = a - r + 1.$$

Substituting (2.9.1), (2.9.2), and (2.9.3) in (ii) or (iv) we get:

$$11 = -ae + a - e^2.$$

Consider case (B). By (v) we get

$$(2.9.4) \quad \text{if } e = 0, \quad 2b = -r + 2,$$

$$(2.9.5) \quad \text{if } e = -1, \quad 2b = -a - r + 2,$$

$$(2.9.6) \quad \text{if } e = 1, \quad 2b = a - r + 2.$$

Substituting (2.9.4), (2.9.5), and (2.9.6) in (ii) we get:

$$12 = -ar + 2a - r^2.$$

We can state the following lemma.

(2.10) LEMMA. *Let  $(\hat{X}, \hat{L})$  be a minimal pair of a smooth, connected, projective, ruled surface such that  $\hat{X}$  is made by blowing up one point over a geometrically ruled surface over an elliptic curve with invariant  $e$ . Suppose that  $\hat{d} \geq 2g - 1$ . Then  $g = 6$  and  $(\hat{X}, \hat{L})$  has to be one of the following:*

- (1)  $e = -1, 0, 1, \hat{d} = 11, d' = 8, g' = 4,$   
 (2)  $e = -1, 0, 1, \hat{d} = 12, d' = 7, g' = 3.$

Now consider the case in which  $\hat{X}$  is made by blowing up two points over a geometrically ruled surface over an elliptic curve, that is  $\hat{c}_1^2 = -2$ . We have that

$$g = 6, \hat{d} = 11, d' = 7, g' = 3.$$

As in the previous case we have that

$$h^0(K_{\hat{X}} \otimes L) = 2 \text{ and } (K_{\hat{X}} + L) \cdot (K_{\hat{X}} + L) = -1$$

which gives a contradiction, since  $K_{\hat{X}} \otimes L$  is spanned by Proposition (0.8). We can finally state the following theorem.

(2.11) THEOREM. *Let  $(\hat{X}, \hat{L})$  be a minimal pair of a smooth, connected, projective, ruled surface such that  $\hat{d} \geq 2g - 1$ . Then the pair  $(\hat{X}, \hat{L})$ , if it exists, has to satisfy one of the following sets of invariants:*

- (1)  $g = 6, \hat{d} = 11, d' = 8, g' = 4, \hat{c}_1^2 = -1, e = -1, 0, 1,$   
 (2)  $g = 6, \hat{d} = 12, d' = 7, g' = 3, \hat{c}_1^2 = -1, e = -1, 0, 1,$

where  $e$  is the invariant of the minimal model.

Moreover if  $\hat{X}$  is a minimal model then it has to be one of the following:

- (3)  $g = 6, e = -1, \hat{L} \equiv \zeta_E^{11} \otimes \mathcal{L}^{-5}, \hat{d} = 11, d' = 9, g' = 5,$   
 (4)  $g = 6, e = -1, \hat{L} \equiv \zeta_E^6 \otimes \mathcal{L}^{-2}, \hat{d} = 12, d' = 8, g' = 4,$   
 (5)  $g = 6, e = -1, \hat{L} \subset \zeta_E^3 \otimes \mathcal{L}, \hat{d} = 15, d' = 5, g' = 1,$   
 (6)  $g = 5, e = -1, \hat{L} \equiv \zeta_E^9 \otimes \mathcal{L}^{-4}, \hat{d} = 9, d' = 7, g' = 4,$   
 (7)  $g = 4, e = -1, \hat{L} \equiv \zeta_E^3, \hat{d} = 9, d' = 3, g' = 1.$

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