

## REGULAR $h$ -RANGES AND WEAKLY PLEASANT $h$ -BASES

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### 1. Introduction.

For the definition of the ordinary  $h$ -range  $n_h(A_k)$  and the regular  $h$ -range  $g_h(A_k)$ , we refer to Selmer [7]. There we introduce

$$h_0 = h_0^{(k)} = \min \{h \in \mathbf{N} \mid n_h(A_k) \geq a_k\},$$

as the smallest  $h$  for which  $A_k$  is “admissible”. For regular  $h$ -ranges, we shall similarly denote the smallest admissible  $h$  by  $\tilde{h}_0 = \tilde{h}_0^{(k)} \geq h_0^{(k)}$ . In [7], we also define the important “stabilization” bound  $h = h_1 \geq h_0 - 1$ , as the minimal  $h$  for which

$$(1.1) \quad n_{h+1}(A_k) = n_h(A_k) + a_k, \quad h \geq h_1.$$

A basis  $A_k$  is called *pleasant* if one “minimal” representation always coincides with the unique regular representation. It is clear that

$$A_k \text{ pleasant} \Rightarrow n_h(A_k) = g_h(A_k), \quad \forall h \in \mathbf{N}.$$

This implication *cannot be reversed*. A basis  $A_k$  such that

$$(1.2) \quad n_h(A_k) = g_h(A_k), \quad \forall h \in \mathbf{N},$$

will be called *weakly pleasant*.

Any basis  $A_2 = \{1, a_2\}$  is pleasant. For  $A_3 = \{1, a_2, a_3\}$ , we put  $a_3 = qa_2 - s$ ,  $0 \leq s < a_2$ . It is known that  $A_3$  is pleasant if and only if

$$(1.3) \quad q > s.$$

For non-pleasant  $A_3$ , we have  $n_h(A_3) > g_h(A_3)$  for  $h \geq h_0 = h_0^{(3)} = \tilde{h}_0^{(3)} = a_2 + [a_3/a_2] - 2$ , so a weakly pleasant basis  $A_3$  is automatically pleasant.

It was proved by Zöllner [9] that

$$(1.4) \quad k \geq 4, \quad A_k \text{ pleasant} \Rightarrow \{1, a_2, a_3\} \text{ pleasant.}$$

Several years ago, Selmer was able to weaken the condition to “ $A_k$  weakly pleasant”, by showing that

$$(1.5) \quad \{1, a_2, a_3\} \text{ non-pleasant} \Rightarrow n_h(A_k) > g_h(A_k), \quad h \geq h_0^{(3)}.$$

Since this result is superseded by Theorem 3 below, we shall not give Selmer’s original proof, which has appeared in [8].

Later, Zöllner [10] generalized (1.4) to

$$(1.6) \quad k \geq 4, \quad A_k \text{ pleasant} \Rightarrow \{1, a_2, a_i\} \text{ pleasant}, \quad 3 \leq i \leq k.$$

He pointed out that this cannot be reversed:  $\{1, 2, 4\}$  and  $\{1, 2, 5\}$  are pleasant,  $\{1, 2, 4, 5\}$  not. The basis element  $a_2$  is essential:  $\{1, 2, 3, 4\}$  is pleasant,  $\{1, 3, 4\}$  not.

One main object of the present paper is again to weaken the condition of (1.6) to “ $A_k$  weakly pleasant”. This problem is solved in Section 4, due to Kirfel. His results are based on Theorem 1, established by Selmer several years ago. Section 3 on weakly pleasant bases is also due to Selmer.

## 2. The regular $h$ -range.

By regular representations, we first use the largest basis element  $a_k$  as often as possible, then  $a_{k-1}$  as often as possible, etc. This implies that  $g_{\tilde{h}_0}(A_k)$  contains just *one* addend  $a_k$ , which is removed in  $g_{\tilde{h}_0-1}(A_k)$ . In general, it is clear that

$$(2.1) \quad g_{h+1}(A_k) = g_h(A_k) + a_k, \quad h \geq \tilde{h}_0 - 1 = \tilde{h}_1.$$

This should be compared with (1.1) for ordinary  $h$ -ranges.

The first explicit determination of  $g_h(A_k)$  was given by Hofmeister [1, Satz 1]. His proof was extremely short and almost impossible to understand. Readable but less accessible versions appeared in [3], [4], [5].

We shall give an *alternative formulation*, which is easier to use and perhaps simpler to prove. In addition, we get an explicit expression for  $\tilde{h}_0$ .

We perform the divisions

$$(2.2) \quad \begin{array}{rcl} a_3 & = & f_2 a_2 + r_2, & 0 \leq r_2 < a_2 \\ a_4 + r_2 & = & f_3 a_3 + r_3, & 0 \leq r_3 < a_3 \\ \dots\dots\dots & & \dots\dots\dots & \dots\dots\dots \\ a_{i+1} + r_{i-1} & = & f_i a_i + r_i, & 0 \leq r_i < a_i \\ \dots\dots\dots & & \dots\dots\dots & \dots\dots\dots \\ a_k + r_{k-2} & = & f_{k-1} a_{k-1} + r_{k-1}, & 0 \leq r_{k-1} < a_{k-1}. \end{array}$$

Since  $a_1 = 1$ , we can also formally put  $f_1 = a_2$ ,  $r_1 = 0$ .

**THEOREM 1.**

$$(2.3) \quad \tilde{h}_0 = \tilde{h}_0^{(k)} = a_2 + f_2 + f_3 + \dots + f_{k-1} - k + 1,$$

$$(2.4) \quad \begin{aligned} g_{\tilde{h}_0}(A_k) &= (a_2 - 2) + (f_2 - 1)a_2 + \dots + (f_{k-1} - 1)a_{k-1} + 1 \cdot a_k \\ &= 2a_k - (r_{k-1} + 2). \end{aligned}$$

**PROOF.** From (2.1), we get

$$(2.5) \quad g_h(A_k) = (h - \tilde{h}_0)a_k + g_{\tilde{h}_0}(A_k), \quad h \geq \tilde{h}_0 - 1.$$

By induction, it follows immediately from (2.2) that

$$(2.6) \quad (a_2 - 2) + (f_2 - 1)a_2 + \dots + (f_i - 1)a_i = a_{i+1} - (r_i + 2) < a_{i+1}$$

for  $i = 1, 2, \dots, k-1$ . This shows that the representation (2.4) is *regular*, and also proves the last equality of (2.4). In particular,

$$n = (a_2 - 1) + (f_2 - 1)a_2 + \dots + (f_{k-1} - 1)a_{k-1} < a_k$$

is a regular representation whose coefficient sum equals the  $\tilde{h}_0$  of (2.3). Since  $n < a_k$ , this  $\tilde{h}_0$  is consequently a *lower bound* for  $\tilde{h}_0^{(k)}$ .

Now Theorem 1 is trivially correct for  $k = 2$ , and we may prove it by *induction*, assuming it to be correct for  $A_{k-1}$ . To prove (2.3), we must then show that

$$h' = \tilde{h}_0^{(k-1)} + (f_{k-1} - 1)$$

equals  $\tilde{h}_0^{(k)}$ . Combining (2.5) and (2.4), both with  $A_k$  replaced by  $A_{k-1}$ , we get

$$(2.7) \quad g_{h'}(A_{k-1}) = (a_2 - 2) + (f_2 - 1)a_2 + \dots + (f_{k-2} - 1)a_{k-2} + f_{k-1}a_{k-1}.$$

From (2.6) for  $i = k-1$ , it follows that

$$g_{h'}(A_{k-1}) = a_k + a_{k-1} - (r_{k-1} + 2) \geq a_k - 1,$$

showing that  $h'$  is also an *upper bound* for  $\tilde{h}_0^{(k)}$ . This completes the proof of (2.3).

Substituting  $h' = \tilde{h}_0 = \tilde{h}_0^{(k)} \geq \tilde{h}_0^{(k-1)}$  in (2.7), and again using (2.5) for  $A_{k-1}$ , we get

$$g_{\tilde{h}_0-1}(A_{k-1}) = (a_2 - 2) + (f_2 - 1)a_2 + \dots + (f_{k-1} - 1)a_{k-1}.$$

Then using (2.5) for  $A_k$ , with  $h = \tilde{h}_0 - 1$ , we finally get (2.4), and Theorem 1 is proved.

It is also easily seen that our result and the original formulation of Hofmeister are *equivalent*.

### 3. Weakly pleasant bases.

These are defined by (1.2). It is of course particularly interesting to determine those bases which are weakly pleasant *without* being pleasant. The first such example,  $A_5 = \{1, 2, 5, 7, 10\}$ , was discovered by E. Deinert and reproduced in Hofmeister's lecture notes [3]. In a later set of notes [4], Hofmeister put the name "schwach angenehm" to the bases satisfying (1.2). So far, no theoretical study of such bases has appeared.

The weakly pleasant bases (*including* the pleasant ones) are characterized by the following

**THEOREM 2.** *The basis  $A_k$  is weakly pleasant if and only if the following three conditions are satisfied:*

$$(3.1) \quad \tilde{h}_0^{(k)} = h_0^{(k)} = h_0, \quad (\text{say})$$

$$(3.2) \quad n_{h_0-1}(A_{k-1}) = g_{h_0-1}(A_{k-1}),$$

$$(3.3) \quad h_1 = h_0 - 1.$$

**PROOF.** The necessity of (3.1) is clear, since

$$(3.4) \quad \tilde{h}_0^{(k)} > h_0^{(k)} = h_0 \Rightarrow n_{h_0}(A_k) \geq a_k > g_{h_0}(A_k).$$

The condition (3.2) is part of (1.2), since  $n_{h_0-1}(A_k) = n_{h_0-1}(A_{k-1})$  and  $g_{h_0-1}(A_k) = g_{h_0-1}(A_{k-1})$ .

We know by (2.1) that  $g_h(A_k)$  is *stabilized* from  $h = \tilde{h}_0^{(k)} - 1 = h_0 - 1$ , and will thus "keep pace" with  $n_h(A_k)$  for  $h \geq h_0 - 1$  if and only if (3.3) is satisfied, cf. (1.1).

The proof of Theorem 2 will then be complete if we can show that  $n_h(A_k) = g_h(A_k)$  for  $h < h_0 - 1$ . And this follows from (3.2) and the general result

$$(3.5) \quad n_{h+1}(A_k) - g_{h+1}(A_k) \geq n_h(A_k) - g_h(A_k), \quad h \geq 1.$$

To prove this, we first note that the alternative to = in (1.1) is >, since

$$(3.6) \quad n_{h+1}(A_k) \geq n_h(A_k) + a_k, \quad h \geq h_0 - 1.$$

If then  $h \geq \tilde{h}_0^{(k)} - 1$  ( $\geq h_0^{(k)} - 1$ ), the correctness of (3.5) is an immediate consequence of (2.1) and (3.6).

Let next  $h < \tilde{h}_0^{(k)} - 1$ . We may assume  $h \geq \tilde{h}_0^{(3)} = h_0^{(3)}$ , since always  $n_h(A_2) = g_h(A_2)$ . Then  $\tilde{h}_0^{(3)} < \tilde{h}_0^{(k)} - 1$ , and we can find an  $i$  with  $3 \leq i < k$  such that

$$\tilde{h}_0^{(i)} - 1 \leq h < \tilde{h}_0^{(i+1)} - 1.$$

Then  $h + 1 < \tilde{h}_0^{(i+1)}$ , hence by (2.1):

$$g_{h+1}(A_k) = g_{h+1}(A_i) = g_h(A_i) + a_i = g_h(A_k) + a_i.$$

As before,  $h \geq h_0^{(i)} - 1$ . It is even possible that  $h \geq h_0^{(j)} - 1$  with  $j > i$ , but in any case (3.6) gives

$$n_{h+1}(A_k) \geq n_h(A_k) + a_i,$$

and we are through.

To show that the three conditions (3.1–3.3) are *independent*, we list the following bases  $A_4$  which fail to satisfy just *one* of the conditions (in turn), and which are not weakly pleasant:

$A_4$	$h_0$	$\tilde{h}_0$	$h_1$	
$\{1, 3, 4, 7\}$	2	3	1	$n_1(A_3) = g_1(A_3) = 1, n_2(A_4) = 8 > g_2(A_4) = 5$
$\{1, 3, 4, 10\}$	3	3	2	$n_2(A_3) = 8 > g_2(A_3) = 5$
$\{1, 2, 4, 5\}$	2	2	2	$n_1(A_3) = g_1(A_3) = 2, n_2(A_4) = 10 > g_2(A_4) = 7.$

If the partial basis  $A_{k-1}$  is *pleasant*, the conditions (3.1–3.2) are automatically satisfied, and we are left with the condition (3.3),  $h_1^{(k)} = h_0^{(k)} - 1$ , for weak pleasantness of  $A_k$ . In particular, this is the situation for  $k = 4$ , since then  $A_{k-1} = A_3$  must be pleasant by (1.5). It is shown in [8] that  $A_4$  is weakly pleasant but *not* pleasant if and only if the following conditions are satisfied (cf. (2.2)):

$$\begin{aligned} a_4 &= aa_3 + b, \quad 1 \leq b \leq r_2 < a_2 \leq a + b \\ a + b - r_2 + 1 &\leq f_2 \leq \langle (r_2 + 1)/b \rangle (a + b - 1) - a_2 - r_2 + 2. \end{aligned}$$

As usual,  $\langle x \rangle$  denotes the smallest integer  $\geq x$ .

An important contribution to the establishment of the final inequality was made by Hans-Georg Beuter.

The first such bases  $A_4$  are given by

$h_0$	4		5		6			
$a_2$	2	3	3	3	2	3	4	4
$a_3$	7	8	11	11	9	11	11	15
$a_4$	15	17	23	24	28	34	34	33

**4. Non-pleasant partial bases  $\{1, a_2, a_i\}$ .**

It was first observed by Beuter that the result (1.5) under certain circumstances can be generalized to the case when a partial basis  $\{1, a_2, a_i\}$  is non-pleasant for some  $i$  with  $3 \leq i \leq k$ . We put  $a_i = Qa_2 - S$ ,  $0 \leq S < a_2$ , where now  $Q \leq S$  by (1.3). Assume that  $a_i$  really appears in the regular representation (2.4) (always for  $i = k$ , otherwise if  $f_i > 1$ ). It is then simple to see that  $n_{\tilde{h}_0}(A_k) > g_{\tilde{h}_0}(A_k)$ , by transforming the following three terms of  $g_{\tilde{h}_0}(A_k) + 1$ :

$$(a_2 - 1) + (f_2 - 1)a_2 + a_i = (a_2 - 1 - S) + (f_2 - 1 + Q)a_2.$$

Since  $Q \leq S$ , the coefficient sum is reduced with at least 1, meaning that  $g_{\tilde{h}_0}(A_k) + 1$  has a (non-regular)  $\tilde{h}_0$ -representation by  $A_k$ .

This argument fails if  $i < k$  and  $f_i = 1$ . All the same, we can prove the following generalization of (1.5):

**THEOREM 3.** *If the partial basis  $\{1, a_2, a_i\}$  is non-pleasant for some  $i$  with  $3 \leq i \leq k$ , then*

$$(4.1) \quad n_h(A_k) > g_h(A_k), \quad h \geq h_0^{(i)}$$

$$(4.2) \quad n_h(A_k) \geq g_h(A_k) + a_i - 1, \quad h \geq \tilde{h}_0^{(i)}.$$

**COROLLARY.**

$$k \geq 4, A_k \text{ weakly pleasant} \Rightarrow \{1, a_2, a_i\} \text{ pleasant, } 3 \leq i \leq k.$$

This is the promised strengthening of Zöllner's result (1.6).

To prove Theorem 3, we use the following

**LEMMA.** *Every integer  $n$  such that*

$$a_{p-1} \leq n < a_p, \quad 1 < p \leq k,$$

*can be written in the form*

$$(4.3) \quad n = a_p - t_{p-1}a_{p-1} - t_{p-2}a_{p-2} - \dots - t_2a_2 - t_1,$$

where the integer coefficients  $t_j$  satisfy the conditions

- (i)  $-1 \leq t_j \leq f_j - 1$ ,  $1 < j \leq p-1$ ;  $0 \leq t_1 \leq a_2 - 1$ .
- (ii)  $t_l = -1 \Rightarrow t_{l+1} = t_{l+2} = \dots = t_{L-1} = 0$ ,  $t_L > 0$ ,  $L \leq p-1$ .
- (iii) If  $m = \min \{\mu > 1 \mid t_\mu = -1\}$ , then

$$t_{m-1}a_{m-1} + t_{m-2}a_{m-2} + \dots + t_2a_2 + t_1 > 0.$$

Here the integers  $f_j$  are taken from the algorithm (2.2). The statements (ii) and (iii) may of course be empty.

For  $1 \leq n < a_2$ , hence  $n = a_2 - (a_2 - n)$ , the above conditions are satisfied. We may therefore use *induction* on  $n$ , assuming that the Lemma holds for all  $n < N < a_k$ . If then  $a_{p-1} \leq N < a_p$ , we first write

$$(4.4) \quad N = a_p - e_{p-1}a_{p-1} - e_{p-2}a_{p-2} - \dots - e_2a_2 - e_1,$$

where  $a_p - N = \sum e_j a_j$  is the *regular* representation by  $A_{p-1}$ . Clearly  $0 \leq e_1 \leq a_2 - 1$ , and further  $0 \leq e_j \leq f_j$  for  $j > 1$ , since  $\sum e_j a_j$  is regular and  $(f_j + 1)a_j > a_{j+1}$  by (2.2).

If already  $e_j \leq f_j - 1$  for all  $j > 1$ , we are finished. Otherwise, there is a *maximal* index  $\tau \leq p-1$  such that  $e_\tau = f_\tau$ . If  $\tau = p-1$ , we get  $N \leq a_p - f_{p-1}a_{p-1} = r_{p-1} - r_{p-2} < a_{p-1}$ , so  $\tau < p-1$ . If  $e_j = f_j - 1$  for all  $j > \tau$ , we get the same contradiction

$$N \leq a_p - (f_{p-1} - 1)a_{p-1} - \dots - (f_{\tau+1} - 1)a_{\tau+1} - f_\tau a_\tau = r_{p-1} - r_{\tau-1} < a_{p-1}.$$

There is consequently a *minimal* index  $T$  with  $\tau < T < p$  such that  $e_T \leq f_T - 2$ . We write

$$(4.5) \quad N = a_p - e_{p-1}a_{p-1} - \dots - e_{T+1}a_{T+1} - (e_T + 1)a_T + N'.$$

Since  $\sum e_j a_j$  is regular, a comparison with (4.4) shows that  $N' > 0$ . On the other hand,

$$\begin{aligned} N' &= a_T - (f_{T-1} - 1)a_{T-1} - \dots - (f_{\tau+1} - 1)a_{\tau+1} - f_\tau a_\tau - e_{\tau-1}a_{\tau-1} - \dots - e_1 \\ &= r_{T-1} - r_{\tau-1} - e_{\tau-1}a_{\tau-1} - \dots - e_1 < a_{T-1} \leq a_{p-2} < N. \end{aligned}$$

We can now use the induction hypothesis on  $N'$ . If  $a_{w-1} \leq N' < a_w$ , then

$$N' = a_w - t'_{w-1}a_{w-1} - t'_{w-2}a_{w-2} - \dots - t'_2a_2 - t'_1,$$

with  $t'_j$  satisfying (i)–(iii). Substitution of  $N'$  into (4.5) shows that we now have an expression (4.3) for  $N$  if we put

$$\begin{aligned} 0 &\leq t_j = e_j \leq f_j - 1, & j &= T + 1, \dots, p - 1 \\ 0 &< t_T = e_T + 1 \leq f_T - 1 \\ 0 &= t_j \leq f_j - 1, & j &= w + 1, \dots, T - 1 \quad (\text{if } w < T - 1) \\ &t_w = -1 \\ &t_j = t'_j, & j &= 1, \dots, w - 1. \end{aligned}$$

This completes the proof of the Lemma.

We can now prove Theorem 3. Here (4.1) is trivial if  $\tilde{h}_0^{(i)} > h_0^{(i)}$  (replace  $k$  by  $i$  in (3.4) and use (3.5)). It therefore suffices to prove (4.2) with  $h = \tilde{h}_0^{(i)} = H$  (say). There is then a largest  $\kappa$ ,  $i \leq \kappa \leq k$ , such that  $H = \tilde{h}_0^{(\kappa)}$ , with

$$(4.6) \quad \begin{aligned} g_H(A_\kappa) &= g_H(A_\kappa) = (a_2 - 2) + (f_2 - 1)a_2 + \dots + \\ &+ (f_{i-1} - 1)a_{i-1} + 1 \cdot a_\kappa. \end{aligned}$$

We must show that for  $1 \leq \Delta \leq a_i - 1$ , there exists a (not necessarily regular) representation of  $g_H(A_\kappa) + \Delta$  by  $A_\kappa$  with at most  $H$  addends. For this purpose, we apply (4.3) to  $n = a_\kappa - a_i + \Delta$ . Clearly  $0 < n < a_\kappa$ , and so  $1 < p \leq \kappa$ . Substitution of  $a_\kappa = n + a_i - \Delta$  into (4.6) gives

$$(4.7) \quad \begin{aligned} g_H(A_\kappa) + \Delta &= (a_2 - 2 - t_1) + (f_2 - 1 - t_2)a_2 + \dots + \\ &+ (f_{\kappa-1} - 1 - t_{\kappa-1})a_{\kappa-1} + a_i + a_p \end{aligned}$$

(where  $f_j = 1$  for  $j > i$ , and  $t_j = 0$  for  $j \geq p$ ). This representation has the coefficient sum  $H + 1 - \sum_1^{p-1} t_j \leq H$ , since it is easily seen that  $\sum t_j > 0$ : By (ii), every  $t_i = -1$  is compensated by a  $t_L > 0$ , and by (iii), there exists at least one  $t_j > 0$  for  $j < m$ .

It then remains to examine whether the representation (4.7) is “legal”, hence has non-negative coefficients for the elements  $a_j$ . By (i), this holds for all  $j > 1$ , but we will get a constant term  $-1$  if  $t_1 = a_2 - 1$ . Only in this case do we need to use the fact that  $\{1, a_2, a_i\}$  is *non-pleasant*. As before, we put  $a_i = Qa_2 - S$ ,  $0 \leq S < a_2$ , and transform the following three terms of (4.7):

$$-1 + (f_2 - 1 - t_2)a_2 + a_i = (a_2 - 1 - S) + (f_2 - 2 - t_2 + Q)a_2.$$

The resulting representation of  $g_H(A_k) + \Delta$  is legal and has a coefficient sum  $H + Q - S - \sum_2^{p-1} t_j \leq H$  by (ii) and  $Q \leq S$ , which completes the proof of Theorem 3.

In fact, it is easily seen that  $Q \leq S$  can be replaced by the *weaker* condition

$$(4.8) \quad a_i = \sum_2^{i-1} b_j a_j - S', \quad b_j \geq 0, \quad b_2 > 0, \quad 0 \leq S' < a_2; \quad \sum_2^{i-1} b_j \leq S'.$$

In connection with Theorem 3, we finally note that the bound (4.2) is *sharp*. The simplest example is given by

$$k = i = 3, \quad A_3 = \{1, 3, 4\}, \quad h_0 = \tilde{h}_0 = 2, \quad n_2(A_3) = 8, \quad g_2(A_3) = 5.$$

We now turn to a similar result which involves the *whole* basis  $A_k$ , even if  $i < k$ .

**THEOREM 4.** *Let the partial basis  $\{1, a_2, a_i\}$  be non-pleasant for some  $i$  with  $3 \leq i \leq k$ , and put*

$$\begin{aligned} a_i &= Qa_2 - S, \quad 0 \leq S < a_2 \quad (\text{hence } Q \leq S), \\ \delta &= \min\{S - Q, a_2 - 1 - S\}. \end{aligned}$$

Then

$$(4.9) \quad n_h(A_k) \geq g_h(A_k) + a_i - 1 + \delta(a_k - 1), \quad h \geq \tilde{h}_0^{(k)}.$$

**PROOF.** By (3.5), it suffices to prove this for  $h = \tilde{h}_0^{(k)} = H$ . If  $\delta = 0$ , the result follows from (4.2). If  $\delta > 0$ , choose  $d$  with  $1 \leq d \leq \delta$  and  $N$  with

$$g_H(A_k) + a_i + (d-1)(a_k - 1) \leq N \leq g_H(A_k) + a_i - 1 + d(a_k - 1).$$

We must show that  $N$  has a (not necessarily regular) representation by  $A_k$  with at most  $H$  addends. We can write

$$N = g_H(A_k) + a_i - 1 + (d-1)(a_k - 1) + n, \quad 0 < n < a_k.$$

To this  $n$ , we can thus apply (4.3), and get

$$\begin{aligned} N &= (a_2 - 2 - t_1 - d) + (f_2 - 1 - t_2)a_2 + \dots + (f_{k-1} - 1 - t_{k-1})a_{k-1} + \\ &\quad + da_k + a_i + a_p \end{aligned}$$

(where  $t_j = 0$  for  $j \geq p$ ). This representation has the coefficient sum  $H + 1 - \sum_1^{p-1} t_j \leq H$ , and is not legal only if  $a_2 - 2 - t_1 - d \leq -1$ . Then  $N$  may be written as

$$N = (2a_2 - 2 - t_1 - d - S) + (f_2 - 2 - t_2 + Q)a_2 + \dots + \\ + (f_{k-1} - 1 - t_{k-1})a_{k-1} + da_k + a_p.$$

This is a legal representation since  $t_1 \leq a_2 - 1$  and  $d + S \leq \delta + S \leq a_2 - 1$ . Further  $2a_2 - 2 - t_1 - d - S \leq a_2 - 1 - S$ , so the coefficient sum is at most (cf. (2.3))

$$a_2 - 1 - S + Q + \sum_2^{k-1} f_j - \sum_2^{p-1} t_j + d - k + 2 \\ = H - S + Q + d - \sum_2^{p-1} t_j \leq H - S + Q + d \leq H,$$

since  $d \leq \delta \leq S - Q$ .

This completes the proof of Theorem 4. Again, the condition  $Q \leq S$  (making  $\delta \geq 0$ ) may be replaced by (4.8). At the same time, we must then replace  $\delta$  by

$$\delta' = \min\{S' - \sum_2^{i-1} b_j, a_2 - 1 - S'\}.$$

Theorem 4 has an interesting application to *extremal bases*  $A_3 = A_3^*$ , which for given  $h$  have the largest possible  $h$ -range  $n_h(3) = n_h(A_3^*)$ . These bases were determined by Hofmeister [2], who in particular found that

$$(4.10) \quad n_h(3) \sim \frac{4}{81} h^3$$

(asymptotically, as  $h \rightarrow \infty$ ).

Hofmeister also determined the extremal  $h_0$ -bases  $A_3$  (that is, bases which are admissible for a given  $h = h_0$ , but not for  $h = h_0 - 1$ ), and the corresponding extremal  $h_0$ -ranges  $l_{h_0}(3)$ , where

$$(4.11) \quad l_{h_0}(3) \sim \frac{\sqrt{3}}{36} h_0^3.$$

Quite surprisingly, the "simple" result (4.9), with  $i = k = 3$ , yields both results (4.10–4.11) as (asymptotically) lower bounds for  $n_h(3)$  and  $l_{h_0}(3)$ . Details are found in [6].

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