

# THE LEVI PROBLEM IN NON-LOCALLY CONVEX SEPARABLE TOPOLOGICAL VECTOR SPACES\*

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## 1. Introduction.

In the last 15 years some efforts have been devoted towards developing the field of complex Analysis in non-locally convex topological vector spaces. Although the results obtained are very few compared with those obtained for the locally convex spaces, we may say that some progress has been achieved (see Lelong [19, 20], Bochnak & Siciak [6, 7] and the author [1, 2, 3, 4, 5]).

In this paper we consider one of the interesting problems in complex Analysis, that is, the Levi problem. We shall prove that, *every pseudoconvex domain is a domain of holomorphy* provided that our spaces have the bounded approximation property. It has been assumed that the Levi problem is as useful to the field as the Hahn-Banach theorem to Functional Analysis. In fact there is some analogy in the sense that the Levi problem tells us about the richness of the space  $H(E)$  of holomorphic functions on a given topological space  $E$ , while the Hahn-Banach theorem tells us about the richness of the dual space of continuous linear functionals  $E'$ .

A topological vector space  $E$  will simply be called a *Levi space* if the Levi problem has a solution for  $E$ . Several mathematicians have solved the Levi problem for domain spread over finite and infinite dimensional locally convex spaces  $E$  (see for example Gruman [14], Gruman & Kiselman [15], Dineen, Noverraz & Schottenloher [13], Colombeaux & Mujica [9], Dineen [11] and Schottenloher [26]. As for a counterexample, see Josefson [17]). We point out that the solution given by Gruman & Kiselman [15] represents one of the fundamental results in this field.

The author [1, 2] has solved the Levi problem for different classes of non-locally convex spaces. In [2] the radius of convergence technique was used to solve the radius of convergence problem and to obtain as well the Levi

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problem solution for certain classes of metrizable topological vector spaces. In [1] the solution of the Levi problem was extended to cover the following classes of spaces: (i) all metrizable spaces with finite-dimensional Schauder decomposition, (f.d. decomposition), (ii) all locally pseudoconvex Fréchet spaces with the bounded approximation property (b.a.p.).

In this paper we propose and prove theorems concerning the following:

(1) The Levi problem for domains spread over locally pseudoconvex topological vector spaces (Lps) (not necessarily metrizable or locally convex) with the b.a.p.

(2) The Levi problem in some separable  $p$ -Banach spaces ( $0 < p < 1$ ) in particular, some separable Banach spaces (when  $p = 1$ ).

(3) The well-known non-locally convex spaces:

$l^p$ ,  $l_{p_0}^+$  ( $0 < p_0 \leq 1$ ),  $l_{(p_n)}$  ( $0 < p_n \leq 1$ ),  $\bigcup_{1 > p > 0} l_p$ ,  $s(E)$  and some complemented subspaces of  $H^p$  ( $0 < p < 1$ ) are examples of Levi spaces.

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## 2. Surjective Limits In Locally Pseudoconvex Spaces.

The concept of surjective limit in a locally pseudoconvex space Lps can be formulated conveniently, as for locally convex spaces, as follows: a Lps  $E$  is a *surjective limit* of the Lps  $(E_i)_{i \in A}$ , and we write  $E = \lim_{\leftarrow i \in A} E_i$ , if there exists a continuous linear surjection  $\pi_i: E \rightarrow E_i$ , for each  $i \in A$ , and the inverse images of the neighbourhood of 0 in  $E_i$ , as  $i$  ranges over  $A$ , form a subbase for the neighbourhoods system at 0 in  $E$ . This means that for each neighbourhood  $W$  of 0 in  $E$ , there exists an  $i \in A$  and a neighbourhood  $V$  of 0 in  $E_i$  such that  $\pi_i^{-1}(V) \subset W$ . Now  $E = \lim_{\leftarrow i \in A} E_i$  is called an *open surjective limit* if  $\pi_i$  is an open mapping for each  $i \in A$ .

EXAMPLE 2.1. Let  $CP(E)$  denote the collection of all continuous pseudonorms (i.e.  $p_\alpha$ -seminorm for some  $0 < p_\alpha \leq 1$ ) on a Lps  $E$ . For each  $q \in CP(E)$  let  $E_q$  denote the vector space  $E$  equipped with the topology generated by  $q$ , and also let  $\pi_q$  denote the canonical map from  $E$  onto  $E_q/q^{-1}(0)$ .  $(E_q/q^{-1}(0), \pi_q)$  is called *the canonical pseudo-normed surjective representation* of  $E$ .

In what follows we show that a Lps  $E$  with the approximation property (a.p.) is closed under the operation of surjective limit.

LEMMA 2.1. *The collection of locally pseudoconvex spaces with the approximation property is closed under the operation of surjective limit.*

**PROOF.** The a.p. of a topological vector space  $E$  does not depend on whether  $E$  is locally convex or not. It does depend on the existence of a family  $(\pi_i)_{i \in A}$  of finite-rank continuous linear functionals  $\pi_i: E \rightarrow E$  which can be used to approximate the identity mapping  $I$ . In connection with this, we may follow here Dineen's method [11, Example 2.3] of a locally convex case.

The following proposition allows to reduce our study of the Levi problem in Lps to spaces which have continuous  $p_\alpha$ -norms ( $0 < p_\alpha \leq 1$ ).

**PROPOSITION 2.2.** *Let  $E$  be a Hausdorff locally pseudoconvex space with an equicontinuous f.d. decomposition  $(\pi_i)$ . Then  $E$  is a surjective limit of spaces  $E_i$ ,  $i \in A$ , where  $E_i$  has a continuous  $p_i$ -norm and an equicontinuous f.d. decomposition.*

This can be established in a manner similar to that of Dineen [11, Example 2.4] noticing Example 2.1.

The next result is equivalent to show that: if  $\pi: E \rightarrow E/q^{-1}(0)$  is the quotient mapping on a Lps  $E$  where  $q$  is a continuous  $p$ -seminorm, then  $U = \pi^{-1}(\pi(U))$  for every pseudoconvex domain  $U$  containing a closed ball  $B_q(0, \delta)$ ,  $\delta > 0$ .

**LEMMA 2.3.** *Let  $U$  be a pseudoconvex domain of a locally pseudoconvex space  $E$ . Let  $q$  be a continuous  $p$ -seminorm on  $E$  ( $0 < p < 1$ ) such that  $B_q(0, \delta) \subset U$  for  $\delta > 0$ , then*

$$U = U + \{x; q(x) = 0\}$$

The proof is essentially the same as for locally convex spaces with Schauder basis which was given by Dineen [11, lemma 1.1].

The following lemma has applications when the Levi problem is considered.

**LEMMA 2.4.** *Let  $U$  be a pseudoconvex domain of an open surjective limit  $\varinjlim (E_i, \pi_i)$  of locally pseudoconvex spaces  $E_i$ ,  $i \in A$ . Then  $U$  is  $\pi_i$ -open for some  $i \in A$ . (i.e. for every  $x \in U$ , there exists  $V_x$  open in  $E_i$  such that  $x \in \pi_i^{-1}(V_x) \subset U$ ).*

The proof is analogous to that for a locally convex space  $E$  given by Dineen [12, lemma 1.8] and using lemma 2.3 instead of the corresponding result in normed case.

### 3. Levi Problem In Separable Topological Vector Spaces.

Let  $E$  be a locally pseudoconvex topological vector space Lps. That is, the topology of  $E$  is defined by a fundamental family of absolutely pseudoconvex neighbourhoods of the origin, see Waelbroeck [27, Def. 7]. A subset  $A$  of a vector space  $E$  is *absolutely pseudoconvex* if it is absolutely  $p$ -convex for some  $1 \geq p > 0$ . Here  $A$  is *absolutely  $p$ -convex* if  $ax + by \in A$  whenever  $x, y \in A$ ,  $|a|^p + |b|^p \leq 1$ . (It was proved that a topological vector space is Lps if we can find a fundamental

system  $(V_i)$  of neighbourhoods of the origin, and for each  $V_i$  some  $\lambda_i$  in such a way that  $V_i + V_i \subset \lambda_i V_i$ , see Waelbroeck [27, cor. 2]. For locally  $p$ -convex spaces we have  $\lambda_i = 2^{1/p}$ .

In fact, the topology of a Lps  $E$  can be determined by a family of  $p_i$ -seminorms  $\|x\|_i, i \in A$ . (Of course  $E$  is metrizable by the  $F$ -norm,  $\|x\| = \sum_{i=1}^{\infty} 2^{-i} \frac{\|x\|_i}{1 + \|x\|_i}$  if the index set  $A$  is countable, cf. Waelbroeck [27, p. 2]).

In this section we solve the Levi problem for domains spread over Lps  $E$  with the b.a.p. and in particular over certain separable locally bounded spaces with the b.a.p. For metrizable Lps  $E$  the Levi problem is solved by the author in [2]. (Examples of these Levi spaces are given in section 4).

The method we are going to use here is different from ours in [1]. It depends on Hirschwitz's result [16] of the domain of holomorphy of  $\mathbb{C}^N$ , on a technique similar to that used by Dineen [11], and on the author's result [1].

### 3a. *The Levi in Lps with b.a.p.*

In this section we solve the Levi problem in Lps. This class of topological vector spaces contains non-metrizable non-locally convex ones. Thus we proved for instance that the class of holomorphic functions  $H(E)$  has a certain type of richness. This will naturally clear many questionmarks concerning the holomorphic properties of this class of spaces.

**THEOREM 3.1.** *The collection of Hausdorff locally pseudoconvex spaces with the bounded approximation property in which the pseudoconvex domains are domains of holomorphy is closed under the open surjective limit. That is, an open surjective limit of Levi spaces with the b.a.p. is a Levi space.*

**PROOF.** Assume  $U$  is pseudoconvex domain of the surjective limit,  $E = \varprojlim_{i \in A} E_i$ . Then there exists an  $i \in A$  such that  $U = \pi_i^{-1}(\pi_i(U))$  and  $\pi_i(U)$  is pseudoconvex domain in  $E_i$  (see Lemma 2.3).

Now  $\pi_i(U)$  is a domain of holomorphy. If there exist open connected sets  $U_1, U_2$  in  $E$  such that  $U_2 \subset U, U \cap U_1 \supset U_2$  and for each  $f \in H(U)$ , there is an  $f_1 \in H(U_1)$  with  $f|_{U_2} = f_1|_{U_2}$ . Then, and as a consequence of that  $\pi_i$  is open, we get that  $\pi_i(U_1) \subset \pi_i(U)$ . Hence  $U_1 \subset \pi_i^{-1}\pi_i(U) = U$  and consequently  $U$  is a domain of holomorphy. This completes the proof of theorem.

In what follows we give the solution of the Levi problem in Lps having the b.a.p. This extends the results of the locally convex spaces which were considered by [11, 14, 15, 25, 26].

**COROLLARY 3.2.** (Levi problem in Lps). *Let  $E$  be a Hausdorff locally pseudoconvex space with the bounded approximation property then every pseudoconvex domain  $U$  over  $E$  is a domain of holomorphy.*

**PROOF.** Every Lps  $E$  can be expressed as a surjective limit of  $p_\alpha$ -normed spaces  $E_\alpha$  with continuous  $p_\alpha$ -norms  $q_\alpha$ , see Example 2.1. Hence we apply theorem 3.1 to obtain the solution of the Levi problem.

3b. *The Levi problem in separable  $p$ -Banach spaces.*

In this section we solve the Levi problem in certain separable  $p$ -Banach spaces ( $0 < p \leq 1$ ), i.e. in a complete locally bounded space with  $p$ -homogeneous norm, (the case  $p = 1$  gives Banach spaces).

Since every separable Banach space  $E$  is isomorphic to a quotient space of  $l_0 = \bigcap_{p > 0} l_p$ , (see Stiles [23]), and since  $l_0$  is a Levi space (see corollary 3.2 or the author's result [1, Th. 3.1]), we can obtain the following interesting partial result for the Levi problem.

**THEOREM 3.3.** (Lèvi problem over certain separable Banach space). *Let  $U$  be a pseudoconvex domain spread over a Banach space  $E$ . Suppose that  $E$  is isomorphic to a quotient space of  $l_0$  which has the bounded approximation property. Then  $U$  is a domain of holomorphy.*

**PROOF.** Since  $l_0$  is a Fréchet space, its quotient space  $l_0/M$  is a Fréchet space. Now the space  $l_0/M$  is assumed to have the b.a.p. Hence a direct application of corollary 3.2 will imply the required result.

Let  $E_p$  be a  $p$ -Banach space. The fact that every separable locally bounded space is isomorphic to a quotient space of  $l_p$  ( $0 < p \leq 1$ ) will help us to get the following consequence which is of special interest.

**THEOREM 3.4.** (Levi problem over separable  $p$ -Banach space). *Let  $E_p$  be a separable  $p$ -Banach space which is isomorphic to a quotient space  $l_p/M$ . Suppose that  $l_p/M$  has the bounded approximation property. Then every pseudoconvex domain over  $E_p$  is a domain of homorphy.*

**PROOF.**  $E_p$  is isomorphic to  $l_p/M$  for some closed subspace  $M$  of  $l_p$ .  $l_p/M$  is a Fréchet space, and by assumption it has the b.a.p. Then by applying Corollary 3.2, or [1, cor 3.2], we achieve the solution.

**REMARK 3.5.** The assumption that  $l_p/M$  has the b.a.p. can not be dropped in the above theorem. We note that  $L^p[0, 1]$ , ( $0 < p < 1$ ) does not admit holomorphic functions other than 0, and so each domain (pseudoconvex or not) is not a domain of holomorphy.

**PROBLEM.** What is the class of separable  $p$ -Banach spaces such that each of its elements is isomorphic to a quotient space  $l_p/M$  having the b.a.p.? Could Hardy space  $H^p$  ( $0 < p < 1$ ) be element of this class? We note that  $l_p$  ( $0 < p < 1$ ) is an element of this class since it has a basis and hence this class is not empty.

**4. Examples.**

In this section we give examples of non-locally convex spaces which have either a Schauder basis or the bounded approximation property. In fact, by the results of section 3 and of the author [1], all pseudoconvex domains in these spaces are domain of holomorphy, that is, they are *Levi spaces*.

4.1. *The inductive space*  $\bigcup_{1 > p > 0} l_p$ .

We define the  $q$ -topology on  $\bigcup_{1 > p > 0} l_p$  to be the strongest vector topology such that each injection  $i_p: l_p \rightarrow \bigcup l_p$  is continuous. The space  $\bigcup l_p$  with this  $q$ -topology is complete separable non-locally convex Lps and has the unit vectors  $(e_n)$  as its symmetric Schauder basis. A sequence converges in  $\bigcup l_p$  iff it is contained in and converges in some  $l_p$ . A set is compact in  $\bigcup l_p$  iff the set is contained and compact in some  $l_p$ ; no closed infinite dimensional subspace of  $\bigcup l_p$  is contained in  $l_p$ ; and no infinite dimensional subspace of  $\bigcup l_p$  is metrizable. Hence  $\bigcup l_p$  itself is *not metrizable*, see Stiles [23].

Since  $E$  is Lps with Schauder basis, then corollary 3.2 implies that  $E$  is a Levi space.

4.2. *The Hardy spaces*  $H^p$  ( $1 > p > 0$ ).

The Hardy space  $H^p$  of all analytic functions  $f$  on the unit disc of  $\mathbb{C}$  is separable, locally bounded, non-locally convex space with respect to the  $p$ -norm,

$$\|f\| = \lim_{r \rightarrow 1} \int_0^{2\pi} |f(re^{i\theta})|^p d\theta, f \in H. \text{ The Banach envelope } \tilde{H}^p \text{ of } H^p \text{ is isomorphic to}$$

$l_1$ , (see by Kalton [18]). This implies that  $\tilde{H}^p$  has the b.a.p. Moreover  $H^p$  contains a non-locally convex closed subspace  $M$  of  $E$  isomorphic to  $l_p$  ( $0 < p < 1$ ), (cf. Shapiro [24]).

Now every pseudoconvex domain over this complemented subspace  $M$  of  $H^p$ , or over  $\tilde{H}^p$  is a domain of holomorphy, by the author [1, Th. 3.1, cor. 3.2] or by corollary 3.2.

4.3. *The spaces of mappings with rapidly decreasing numbers*  $s(E)$ .

Let  $E$  be a locally convex space and  $L(E)$  be the space of all continuous linear mappings on  $E$  equipped with the linear mapping norm. For  $T \in L(E)$  one defines the  $r$ th approximation numbers by

$$\alpha_r(T) = \inf \{ \|T - S\|; S \in L(E), \dim S(E) \leq r \}$$

If

$$l^p(E) = \{ T \in L(E); \sum [\alpha_r(T)]^p < \infty \}$$

then on the intersection

$$s(E) = \bigcap_{p > 0} l^p(E)$$

a locally pseudoconvex vector topology is generated by the sets

$$U_{p,\varepsilon}(T) = \{S \in \mathcal{S}(E); \sum [\alpha_r(S - T)]^p < \varepsilon\}$$

We note that  $s(E)$  is not a Fréchet space if  $E$  is not a Banach space. Now if  $s(E)$  has the b.a.p. then the pseudoconvex domain  $U$  of  $E$  will be a domain of holomorphy by corollary 3.2.

**REMARK 4.1.** When  $E$  is a normed space,  $s(E)$  will be a Fréchet space with the b.a.p., see Pietsch [21, p. 139], and the solution of the Levi problem for such a case has been given by the author [1, example 3].

#### 4.4. The spaces $l^\Phi$ .

Let  $\Phi$  be a continuous, unbounded, subadditive, increasing function on  $[0, \infty)$  with  $\Phi(t) = 0$  iff  $t = 0$ . We define  $l^\Phi$  as

$$l^\Phi = \{x = (x_n); x_n \in \mathbf{C}, \sum_{n=1}^{\infty} \Phi(|x_n|) < \infty\}.$$

$l^\Phi$  is an  $F$ -space with basis in the norm  $\|x\| = \sum_{n=1}^{\infty} \Phi(|x_n|)$ ,  $x = (x_n) \in l^\Phi$ .

Shapiro proved in [24] that if the function  $f(t) = t^{-p}\Phi(t)$  is monotone decreasing in  $(0, \infty)$  for some  $(0 < p < 1)$ , then every closed, norm-bounded convex subset  $A$  of  $l^\Phi$  is compact and hence is bounding. That is,  $\|f\|_A < \infty$  for all  $f \in H(l^\Phi)$  the space of holomorphic functions on  $l^\Phi$ , see the author [3]. By a normed-bounded set we mean a set which is bounded with respect to the metric defined by the  $F$ -norm  $\|\cdot\|$ , i.e.  $\sup_{x \in A} \|x\| < \infty$ .

Now and in connection with these topological properties, we can apply corollary 3.2 to obtain the interesting holomorphic property that  $l^\Phi$  is a Levi space. We note that if  $\Phi$  is restricted to be a positive homogeneous, that is,  $\Phi(\alpha t) = \alpha\Phi(t)$ ,  $t > 0$  then  $l^\Phi$  becomes locally convex.

#### 4.5. The spaces $l_{p_0}^+$ ( $1 \geq p_0 > 0$ ).

The spaces  $l_{p_0}^+ = \bigcap_{p > p_0} l_p$  ( $1 \geq p_0 > 0$ ) are Fréchet Lps whenever it takes the natural upper bounded topology defined by the  $F$ -norm  $\|x\| = \sup_{p > p_0} \|x_p\|$  where  $\|x\|_{p_0} = \sum_1^{\infty} |x_n|^p$ . It is neither locally bounded nor locally convex. A sequence converges to zero in  $E$  iff it converges to zero in  $l^p$  for all  $p > p_0$ . A subset of  $l_{p_0}^+$  is bounded iff it is bounded in  $l^p$  for each  $p > p_0$ . It was proved that every convex

closed bounded subset of  $l_{p_0}^+$  is compact. Hence no infinite-dimensional subspace is isomorphic to a normed space. However every infinite-dimensional subspace of  $l_{p_0}^+$  contains a further infinite dimensional subspace which is locally convex, see Shapiro [24]. We note that the particular space  $l_0^+ = \bigcap_{p>0} l_p$  is an interesting one since every separable Banach space is isomorphic to a quotient space of it, see Stiles [23].

Now, having the fact that  $l_{p_0}^+$  are Fréchet Lps with basis we obtain that every pseudoconvex domain  $U$  spread over  $l_{p_0}^+$  is a domain of holomorphy as an application of Corollary 3.2.

4.6. *The spaces  $l_{(p_n)}$  ( $1 \geq p_n > 0$ ).*

The spaces  $l_{(p_n)} = \{x = (x_n); \sum |x_n|^{p_n} < \infty\}$ , ( $1 \geq p_n > 0$ ) with the  $F$ -norm  $\|x\| = \sum_1^\infty |x_n|^{p_n}$  are  $F$ -spaces with basis. It was shown in [23, 24] that the linear and topological properties of  $l_{(p_n)}$  depends on the sequence  $(p_n)$  we are going to choose. For example  $l_{(p_n)}$  is locally bounded if  $p_n \rightarrow 0$ , and  $l_{(p_n)}$  is (not  $L_{ps}$  if  $P_n \rightarrow 0$ , see Rolewicz [21]. If  $\liminf p_n < 1$ , then no infinite-dimensional subspace of  $l_{(p_n)}$  is locally convex and this is equivalent to that every convex closed normbounded subset  $A$  of  $l_{(p_n)}$  is) compact and hence it is bounding i.e.  $\|f\|_A < \infty$  or all  $f \in H(l_{(p_n)})$ . However this is not the case if  $p_n \rightarrow 1$ , i.e. every  $\infty$ -dimensional subspaces of  $l_{(p_n)}$  contains a further one, isomorphic to, a dense subspace of  $l_1$ , see Shapiro [24].

Now, regarding to the Levi problem, the solution does not depend on the choice of  $(p_n)$ . In fact, The difference in linear and topological properties will not affect this holomorphic property that is, all  $l_{(p_n)}$  are Levi spaces, by corollary 3.2, taking into account that all  $l_{(p_n)}$  are  $F$ -spaces with bases.

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