

CARTAN SUBALGEBRAS IN FIXED POINT ALGEBRAS OF FINITE GROUP ACTIONS

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Abstract.

We shall consider the following problem: For an outer action α of a finite group G on an approximately finite dimensional factor M , when does the pair $M \supset M^\alpha$ contain a common Cartan subalgebra? Here M^α denotes the fixed point subalgebra of M . We give a complete answer in terms of the group G and the invariants for the action α .

§1. Introduction.

In [14], V. Jones and S. Popa showed some interesting results on MASA's in the AFD (approximately finite dimensional) type II_1 factor R . Among them, the following result is contained: Let G be a finite group of outer automorphisms of R . Then $R \supset R^G$ contains a common Cartan subalgebra if and only if G is abelian. Here R^G denotes the fixed point subalgebra of R .

Motivated by this result, in this note we shall consider the following problem: For an outer action α of a finite group G on an AFD factor M , when does $M \supset M^\alpha$ contain a common Cartan subalgebra? We shall give a necessary and sufficient condition for having a common Cartan subalgebra. Namely, we characterize it in terms of the group G and the invariants for the action α . The essential part of this proof is to construct a model with suitable properties and depends heavily on the classification results obtained in [23], [26] and [16]. The fundamental tools we use here are the model constructed in [10] and the canonical extension of an action in the sense of [8]. The whole idea is led from the index theoretic one ([15], [19], [22]).

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§2. Preliminaries.

2.1 Results for the case of the AFD type II_1 factor.

At first we recall the notion of Cartan subalgebra. A Cartan subalgebra in a von Neumann algebra M is by the definition ([4]), a regular MASA which is the range of a faithful normal conditional expectation from M .

THEOREM (Jones and Popa [14; Corollary 2.3 and Theorem 3.4]). *Let R be the AFD type II_1 factor and G be a finite group of outer automorphisms of R . Then $R \rtimes R^G$ contains a common Cartan subalgebra if and only if G is abelian. Here R^G denotes the fixed point subalgebra of R .*

We note that the implication “only if” holds for an arbitrary factor from their proof.

On the other hand, in the crossed product case the pair $R \rtimes G \supset R$ always contains a common Cartan subalgebra. For example, see the proof of [14; Theorem 3.1].

2.2 Invariants for actions.

For each type, we recall the complete invariant up to cocycle conjugacy for actions on an AFD factor.

(i) The case of the AFD type II_∞ factor $R_{0,1}$ ([23]).

Let $\alpha : G \rightarrow \text{Aut } R_{0,1}$ be an action of a discrete amenable group G on $R_{0,1}$. Then the complete invariant is a triple $(N(\alpha), \chi, \text{mod})$, where $N(\alpha) = \alpha^{-1}(\text{Int}(R_{0,1}))$, χ is the characteristic invariant arising from $N(\alpha)$ and mod is the homomorphism from G into the positive numbers R_+ which is defined as the scaling constant of a fixed faithful normal semi-finite trace on $R_{0,1}$.

(ii) The case of AFD type III_λ factors, $\lambda \neq 1$ ([26]).

Let $\alpha : G \rightarrow \text{Aut } M$ be an action of a discrete amenable group G on an AFD type III_λ factor M ($\lambda \neq 1$). Then the complete invariant is $(N(\alpha), \text{mod}, \chi, \nu)$, where $N(\alpha) = \alpha^{-1}(\text{Cnt}(M))$, mod is the fundamental homomorphism defined by Connes and Takesaki [3], χ is the characteristic invariant arising from $N(\alpha)$ and ν is the modular invariant which is the homomorphism from $N(\alpha)$ into the first cohomology group of the flow of weights.

(iii) The case of the AFD type III_1 factor ([16]).

Let $\alpha : G \rightarrow \text{Aut } M$ be an action of a finite group or a discrete abelian group G on the AFD type III_1 factor M . Then the complete invariant is $(N(\alpha), \chi, \nu)$.

2.3 Canonical extensions.

Let $\alpha : G \rightarrow \text{Aut } M$ be an action of a discrete group G on a von Neumann algebra M . For a faithful normal semi-finite weight φ on M , the canonical extension α^\sim of α in the sense of Haagerup and Størmer is defined as an action of G on the crossed product algebra $M \rtimes_{\alpha, \varphi} R$ satisfying

$$\alpha_g^\sim(\pi_{\sigma\varphi}(x)) = \pi_{\sigma\varphi}(\alpha_g(x)), \quad x \in M,$$

$$\alpha_g^\sim(\lambda(t)) = \pi_{\sigma\varphi}((D\varphi \circ \alpha_g^{-1} : D\varphi)_t)\lambda(t), \quad t \in \mathbb{R}.$$

Though the extension α^\sim is defined after the choice of a weight φ , it is independent of the weight φ up to conjugacy. Therefore it is canonically defined by the action α .

Further, there exists an isomorphism Φ from $(M \rtimes_{\alpha} G) \rtimes_{\sigma\varphi} \mathbb{R}$ onto $(M \rtimes_{\sigma\varphi} \mathbb{R}) \rtimes_{\alpha^\sim} G$ such that

$$\Phi(\pi_{\sigma\varphi}(\pi_{\alpha}(x))) = \pi_{\alpha^\sim}(\pi_{\sigma\varphi}(x)), \quad x \in M,$$

$$\Phi(\pi_{\sigma\varphi}(\lambda(g))) = \lambda^\sim(g), \quad g \in G,$$

$$\Phi(\lambda(t)) = \pi_{\alpha^\sim}(\lambda(t)), \quad t \in \mathbb{R}.$$

Here φ^\sim is the dual weight of φ on $M \rtimes_{\alpha} G$ ([6]).

Let us assume that M is an AFD type III factor. If we set $N(\alpha^\sim) = (\alpha^\sim)^{-1}(\text{Int}(M \rtimes_{\sigma\varphi} \mathbb{R}))$ and consider the characteristic invariant χ^\sim coming from $N(\alpha^\sim)$, it is known that $N(\alpha) = N(\alpha^\sim)$ and $\chi = \chi^\sim$. For details, see [8], [9] and [16] (see also [25]).

2.4 Construction of models.

Let M be an AFD type III factor and $((X, \mu), \{F_t\}_{t \in \mathbb{R}})$ be the flow of weights of M ([3]). We shall consider the following transformations. Let S be an ergodic type III₁ transformation on a measure space (Y, ν) . We set

$$\Omega = Y \times X \times \mathbb{R}$$

with the measure $m = \nu \times \mu \times e^u du$, where du means the Lebesgue measure on \mathbb{R} . We define the commuting transformations S^\sim and $T_t^\sim, t \in \mathbb{R}$ on Ω by

$$S^\sim(y, x, u) = (S(y), x, u - \log \frac{d\nu \circ S}{d\nu}(y)),$$

$$T_t^\sim(y, x, u) = (y, F_t(x), u + t - \log \frac{d\mu \circ F_t}{d\mu}(x)).$$

Let G be the countable abelian group generated by S^\sim and $T_t^\sim (t \in \Gamma)$, where Γ is a countable dense subgroup in \mathbb{R} . If we denote the Krieger factor constructed by Feldman and Moore by $W^*(R_G)$, M and $W^*(R_G)$ are isomorphic. This means that any AFD type III factor is expressed as a Krieger factor. For details, we refer to [4] and [10]. (see [2] and [7] for the classification of AFD factors.)

2.5 Type III index theory.

We recall the basic facts on the type III index theory which are useful for understanding the arguments in this note.

Let M be a (σ -finite) type III factor with a subfactor N and $E: M \rightarrow N$ be a faithful normal conditional expectation with finite index in the sense of Kosaki [19]. Let M_1 be the basic extension of $M \supset N$ and $E_M: M_1 \rightarrow M$ be the canonical conditional expectation arising from E^{-1} . Fixing a faithful normal state φ on N , we set $\psi = \varphi \circ E \in M_*^+$ and $\chi = \psi \circ E_M \in (M_1)_*^+$. Then we get the following inclusion of type II_∞ von Neumann algebras:

$$M_1^\sim = M_1 \rtimes_{\sigma^\chi} R \supset M^\sim = M \rtimes_{\sigma^\psi} R \supset N^\sim = N \rtimes_{\sigma^\varphi} R.$$

On the other hand, we can consider the basic extension from the inclusion $M^\sim \supset N^\sim$. Then it is exactly M_1^\sim . This says that the basic extension is compatible with taking the crossed product with respect to the modular action. See [11], [12] and [18] for details.

Finally, the following result is implicit in [24; Proposition 1.5] or [20; page 164], and is probably a folklore among specialists. However, we remark here explicitly for the convenience to the reader which is not familiar to the type III index theory. It is a key lemma in the proof of main result in this note (see Theorem 2).

LEMMA. *Let $M \supset N$ be a pair of infinite factors with finite index. Let $N \subset M \subset M_1 \subset M_2$ be the factors constructed by iterating the basic extension. Then $M_1 \subset M_2$ is conjugate to $N \subset M$.*

§3. Results.

We deal with type II_∞ case and type III case, separately.

3.1 The case of the AFD type II_∞ factor.

PROPOSITION. *Let $R_{0,1}$ be the AFD type II_∞ factor. For an outer action α of a finite group G on $R_{0,1}$, $R_{0,1} \supset (R_{0,1})^\alpha$ contains a common Cartan subalgebra if and only if G is abelian.*

PROOF. It is sufficient to show if part. Since G is finite, the module of the action α is trivial. Hence α is cocycle conjugate to the action $\beta \otimes \text{id}$. of G on $R \otimes B(H)$, where β is the unique outer action of G on the AFD type II_1 factor R ([13]) and H is a separable infinite dimensional Hilbert space.

Therefore we get

$$\bigcup_{(R_{0,1})^\alpha} R_{0,1} \cong \bigcup_{R^\beta \otimes B(H)} R \otimes B(H).$$

From the Jones and Popa theorem, $R_{0,1} \supset (R_{0,1})^\alpha$ possesses a common Cartan subalgebra.

3.2 The case of AFD type III factors.

Before showing main results, we need some preparations.

LEMMA 1. *Let M be a von Neumann algebra and φ be a faithful normal semi-finite weight on M . If M contains a Cartan subalgebra, then so does $M \rtimes_{\sigma_\varphi} \mathbb{R}$.*

PROOF. Suppose that M has a Cartan subalgebra A , that is, A is a regular MASA in M and there exists a faithful normal conditional expectation E from M onto A . There exists a measure space (X, μ) such that A is isomorphic to $L^\infty(X, \mu)$.

Since the isomorphism class of $M \rtimes_{\sigma_\varphi} \mathbb{R}$ is independent of the choice of a weight φ , we may and do assume that $\varphi = \mu \circ E$. We get the inclusion

$$M^\sim = M \rtimes_{\sigma_\varphi} \mathbb{R} \supset A^\sim = A \rtimes_{\sigma_\mu} \mathbb{R}.$$

Since

$$\sigma_t^\mu = \text{id.}, \quad t \in \mathbb{R},$$

that is,

$$a\lambda(t) = \lambda(t)a, \quad a \in A, \quad t \in \mathbb{R},$$

A^\sim is the abelian von Neumann algebra which is isomorphic to $A \otimes L^\infty(\mathbb{R})$. Here $\{\lambda(t)\}_{t \in \mathbb{R}}$ is the usual generator of A^\sim coming from \mathbb{R} . As $L^\infty(\mathbb{R})$ is a MASA in $B(L^2(\mathbb{R}))$, it follows that A^\sim is a MASA in M^\sim . In order to prove the regularity of A^\sim , it is sufficient to show the following: If u belongs to the normalizer of A in M , then u normalizes A^\sim . For $t \in \mathbb{R}$ and $a \in A$, we compute

$$\begin{aligned} & u\lambda(t)u^*\lambda(t)^*a \\ &= u\lambda(t)u^*a\lambda(t)^* \\ &= u\lambda(t)u^*auu^*\lambda(t)^* \\ &= uu^*au\lambda(t)u^*\lambda(t)^* \\ &= au\lambda(t)u^*\lambda(t)^*. \end{aligned}$$

Hence we have

$$u\lambda(t)u^*\lambda(t)^* (= u\sigma_t^\varphi(u^*)) \in A' \cap M = A.$$

This means that $u\lambda(t)u^* \in A^\sim$. Finally, the existence of a faithful normal conditional expectation from M^\sim onto A^\sim follows from Takesaki's theorem [27].

From now on let M be a type III factor and G be a finite group. We choose and fix a faithful normal semi-finite weight φ on M , and set $M^\sim = M \rtimes_{\sigma_\varphi} \mathbb{R}$. For an action α of G on M , we denote the canonical extension of α by α^\sim , and the characteristic invariant of α by $\chi = [\lambda, \mu]$.

LEMMA 2. *With the above notations, the following conditions are equivalent.*

- (i) $(M^\sim)^\sim \cap (M^\sim \rtimes_{\alpha^\sim} G) = Z(M^\sim)$,

where $Z(M^\sim)$ means the center of M^\sim .

$$(ii) N(\alpha) = \{e\},$$

where e is the unit in G .

PROOF. It follows from the fact that $(M^\sim)' \cap (M^\sim \rtimes_{\alpha^\sim} G)$ is anti-isomorphic to $Z(M^\sim) \rtimes_{id, \mu} N(\alpha)$. (See [17] or [25] for details.)

LEMMA 3. Suppose that G is abelian. Let $(\alpha^\sim)^\wedge$ be the dual action of α^\sim . Then the following conditions are equivalent.

$$(i) (M^\sim)' \cap (M^\sim \rtimes_{\alpha^\sim} G) = Z(M^\sim \rtimes_{\alpha^\sim} G).$$

$$(ii) (M^\sim \rtimes_{\alpha^\sim} G)' \cap (M^\sim \rtimes_{\alpha^\sim} G \rtimes_{(\alpha^\sim)^\wedge} G^\wedge) = Z(M^\sim \rtimes_{\alpha^\sim} G).$$

$$(iii) \begin{cases} \text{mod } \alpha_g = 1, g \in G, \\ \lambda(g, h) = 1, g \in G, h \in N(\alpha). \end{cases}$$

PROOF.

(i) \leftrightarrow (ii): We note that $M \rtimes_\alpha G \rtimes_{\alpha^\wedge} G^\wedge$ is the basic extension of the pair $M \rtimes_\alpha G \supset M$, where α^\wedge means the dual action of α . Lifting up to the inclusion of type II_∞ von Neumann algebras by taking the crossed product relative to the modular action, we get

$$M^\sim \rtimes_{\alpha^\sim} G \rtimes_{(\alpha^\sim)^\wedge} G^\wedge \supset M^\sim \rtimes_{\alpha^\sim} G \supset M^\sim.$$

(Hence $M^\sim \rtimes_{\alpha^\sim} G \rtimes_{(\alpha^\sim)^\wedge} G^\wedge$ is the basic extension of $M^\sim \rtimes_{\alpha^\sim} G \supset M^\sim$.) If we denote the modular conjugation arising from $M^\sim \rtimes_{\alpha^\sim} G$ by J^\sim , it is known that

$$\begin{aligned} & J^\sim ((M^\sim)' \cap (M^\sim \rtimes_{\alpha^\sim} G)) J^\sim \\ &= (M^\sim \rtimes_{\alpha^\sim} G)' \cap (M^\sim \rtimes_{\alpha^\sim} G \rtimes_{(\alpha^\sim)^\wedge} G^\wedge) \end{aligned}$$

and

$$J^\sim x^* J^\sim = x, \quad x \in Z(M^\sim \rtimes_{\alpha^\sim} G).$$

Here the second equality follows from [1] or [5]. Then the equivalence of (i) and (ii) follows from these.

(i) \leftrightarrow (iii): If we define the action γ of G on $Z(M^\sim) \rtimes_{id, \mu} N(\alpha)$ by

$$\gamma_g \left(\sum_{h \in N(\alpha)} c_h z_h \right) = \sum_{h \in N(\alpha)} \lambda(g, h) (\text{mod } \alpha_g) (c_h) z_h,$$

we know

$$Z(M^\sim \rtimes_{\alpha^\sim} G) \cong (Z(M^\sim) \rtimes_{id, \mu} N(\alpha))^\gamma$$

(see [17] or [25]). Since the implication (iii) \rightarrow (i) is trivial, we shall prove the

converse. Assume that the condition (i) holds. This means that the following equality is valid:

$$\sum_{h \in N(\alpha)} \lambda(g, h)(\text{mod } \alpha_g)(c_h)z_h = \sum_{h \in N(\alpha)} c_h z_h$$

for any $g \in G, h \in N(\alpha)$ and $c_h \in Z(M^\sim)$. We get

$$\lambda(g, h)(\text{mod } \alpha_g)(c) = c, \quad c \in Z(M^\sim).$$

Hence we obtain the conclusion.

Now we shall prove our main results in this note.

THEOREM 1. *Let $\alpha: G \rightarrow \text{Aut } M$ be an outer action of a finite group G on an AFD type III factor M . Then the following conditions are equivalent.*

- (i) $M \rtimes_\alpha G \supset M$ contains a common Cartan subalgebra.
- (ii) $N(\alpha) = \{e\}$.

PROOF.

(i) \rightarrow (ii): Let us assume that $M \rtimes_\alpha G \supset M$ possesses a common Cartan subalgebra. By lemma 1, the canonical pair $M^\sim \rtimes_{\alpha^\sim} G \supset M^\sim$ has a common Cartan subalgebra. We have

$$(M^\sim)' \cap (M^\sim \rtimes_{\alpha^\sim} G) = Z(M^\sim)$$

by the standard argument. Therefore it follows from lemma 2 that $N(\alpha) = \{e\}$.

(ii) \rightarrow (i): We shall prove the implication by constructing a model which contains a common Cartan subalgebra and is conjugate to $M \rtimes_\alpha G \supset M$.

Let $((X, \mu), \{F_t\}_{t \in \mathbb{R}})$ be the flow of weights of M . We set

$$Y = \prod_{k=-\infty}^{\infty} Y_k, \quad Y_k = G,$$

$$v = \prod_{k=-\infty}^{\infty} v_k, \quad v_k(\{g\}) = \frac{1}{n} \text{ for } g \in G,$$

where $n = |G|$ (the order of G). Let S' be an ergodic type III₁ transformation on (Z, p) . We define the measure space (Ω, m) by

$$\Omega = Y \times G \times Z \times X \times \mathbb{R},$$

$$m = v \times v' \times p \times \mu \times e^u du,$$

where v' is the measure on G given by $v'(\{g\}) = \frac{1}{n}, g \in G$. We define the transform-

ations σ, S and $T_t, t \in \mathbb{R}$ on Ω as follows:

$$\sigma(y, g, z, x, u) = (\sigma_0(y), y_0g, z, x, u),$$

where σ_0 is the shift i.e.,

$$(\sigma_0(y))_k = y_{k+1}, y = (\cdots, y_{-1}, y_0, y_1, \cdots),$$

and y_0g means the product of y_0 and g in G ,

$$S(y, g, z, x, u) = \left(y, g, S'(z), x, u - \log \frac{dp \circ S'}{dp}(z) \right),$$

$$T_t(y, g, z, x, u) = \left(y, g, z, F_t(x), u + t - \log \frac{d\mu \circ F_t}{d\mu}(x) \right)$$

We simply denote the transformation mod α_g on X which commutes with $\{F_t\}_{t \in \mathbb{R}}$ by g^\wedge , and define the G -action β on Ω by

$$\beta_g(y, h, z, x, u) = (y, hg^{-1}, z, g^\wedge(x), u - \log \frac{d\mu \circ (g^\wedge)}{d\mu}(x)), g \in G.$$

By H_1 (resp. H_2), we denote the countable discrete amenable group generated by $\sigma, S, T_t (t \in \Gamma)$ and $\beta_g (g \in G)$ (resp. σ, S and $T_t (t \in \Gamma)$), where Γ is a countable dense subgroup in \mathbb{R} . Then we get the relation and subrelation arising from $H_1 \supset H_2$, so we have the AFD type III factor and its subfactor which contains a common Cartan subalgebra. Since $\beta_g (g \in G)$ commutes with H_2 , β_g belongs to the normalizer of the full group $[H_2]$ of H_2 . Hence G induces the action on the subfactor.

We shall consider the invariant of this G -action β . Namely, we consider the skew transformations. We set

$$\Omega^\sim = \Omega \times \mathbb{R}$$

with the measure $m^\sim = m \times e^v dv$ and define the transformations $\sigma^\sim, S^\sim, T_t^\sim (t \in \Gamma)$ and $\beta_g^\sim (g \in G)$ on Ω^\sim as follows:

$$\begin{aligned} \sigma^\sim(\omega, v) &= (\sigma(\omega), v), \\ S^\sim(\omega, v) &= (S(\omega), v), \\ T_t^\sim(\omega, v) &= (T_t(\omega), v - t), \\ \beta_g^\sim(\omega, v) &= (\beta_g(\omega), v). \end{aligned}$$

By H_2^\sim , we denote the countable abelian group generated by σ^\sim, S^\sim and $T_t^\sim (t \in \Gamma)$. From the construction, $\beta_g^\sim (g \in G, g \neq e)$ does not belong to the full group $[H_2^\sim]$ of H_2^\sim . This means that $N(\beta) = \{e\}$. On the other hand, it follows from the proof in [10] that mod $\beta_g = g^\wedge, g \in G$. Hence the invariant of the action β coincides with that of a given action α . Therefore β is cocycle conjugate to α , so that the model is conjugate to $M \rtimes_\alpha G \supset M$.

THEOREM 2. *Let $\alpha : G \rightarrow \text{Aut } M$ be an outer action of a finite group G on an AFD type III factor M . Then the following conditions are equivalent.*

- (i) $M \supset M^\alpha$ possesses a common Cartan subalgebra.
- (ii) $\begin{cases} G \text{ is abelian,} \\ \text{mod } \alpha_g = 1, g \in G, \\ \lambda(g, h) = 1, g \in G, h \in N(\alpha). \end{cases}$

PROOF. (i) \rightarrow (ii): We assume that $M \supset M^\alpha$ contains a common Cartan subalgebra. It is known that G must be abelian. The easy calculation shows that the inclusion

$$M^\alpha \subset M \subset M \rtimes_\alpha G \subset M \rtimes_\alpha G \rtimes_{\alpha^\wedge} G^\wedge$$

is the tower of the basic extension arising from the first inclusion $M^\alpha \subset M$. Therefore $M \rtimes_\alpha G \rtimes_{\alpha^\wedge} G^\wedge \supset M \rtimes_\alpha G$ is conjugate to $M \supset M^\alpha$. Hence it contains a common Cartan subalgebra, and so does $M^\sim \rtimes_{\alpha^\sim} G \rtimes_{(\alpha^\sim)^\wedge} G^\wedge \supset M^\sim \rtimes_{\alpha^\sim} G$. We get

$$(M^\sim \rtimes_{\alpha^\sim} G) \cap (M^\sim \rtimes_{\alpha^\sim} G \rtimes_{(\alpha^\sim)^\wedge} G^\wedge) = Z(M^\sim \rtimes_{\alpha^\sim} G).$$

By lemma 3, we obtain the conclusion.

(ii) \rightarrow (i): From lemma 3, we have

$$(M^\sim \rtimes_{\alpha^\sim} G) \cap (M^\sim \rtimes_{\alpha^\sim} G \rtimes_{(\alpha^\sim)^\wedge} G^\wedge) = Z(M^\sim \rtimes_{\alpha^\sim} G).$$

Applying lemma 2 to $(M \rtimes_\alpha G, \alpha^\wedge)$, we know

$$N(\alpha^\wedge) = \{0\},$$

where 0 means the unit in G^\wedge . Hence it follows from theorem 1 that $M \rtimes_\alpha G \rtimes_{\alpha^\wedge} G^\wedge \supset M \rtimes_\alpha G$ possesses a common Cartan subalgebra. However, it is conjugate to $M \supset M^\alpha$.

We concentrate on the case where M is of type III₁ and consider the conditions in the above theorems. It is known that the module is trivial. Since G is finite, the modular invariant is trivial. So $N(\alpha) = \{e\}$ from the outerness. Namely, all the invariants are trivial. This means that a finite group G has the unique outer action α on the AFD type III₁ factor M which arises from the unique outer action β on the AFD type II₁ factor R . More precisely, α is cocycle conjugate to the action $\beta \otimes \text{id.}$ on $R \otimes M$. Hence we get

$$\bigcup_M M \rtimes_\alpha G \cong \bigcup_{R \otimes M} (R \rtimes_\beta G) \otimes M$$

and

$$\begin{array}{ccc} M & R \otimes M & \\ \cup & \cong & \cup \\ M^\alpha & & R^b \otimes M \end{array} .$$

Summing up the above argument, we obtain

COROLLARY. *Let M be the AFD type II_∞ factor or the AFD type III_1 factor and let G be a finite group of outer automorphisms of M . Then we have*

- (i) $M \rtimes G \supset M$ always contains a common Cartan subalgebra.
- (ii) $M \supset M^G$ contains a common Cartan subalgebra if and only if G is abelian.

§4. Connection with the canonical decomposition.

We shall consider the relationship between the existence of a common Cartan subalgebra and the canonical decomposition constructed in [21], and give a typical example to understand the difference between type III_1 case and type III_λ case, $\lambda \neq 1$. The reason why the difference happens is the existence of “type III” action.

At first we recall the basic facts on the canonical decomposition. Let $M \supset N$ be a pair of type III factors with finite index and $M^\sim \supset N^\sim$ be the canonical inclusion of type II_∞ von Neumann algebras obtained by taking the crossed product relative to the modular action. Setting

$$\begin{aligned} Z^\sim &= Z((N^\sim)' \cap M^\sim), \\ A^\sim &= (Z^\sim)' \cap M^\sim, \\ B^\sim &= N^\sim \vee Z^\sim, \end{aligned}$$

it follows that

$$\begin{aligned} M^\sim &\supset A^\sim \supset B^\sim \supset N^\sim, \\ Z(M^\sim) &\subset Z^\sim, \quad Z(N^\sim) \subset Z^\sim, \\ Z(A^\sim) &= Z(B^\sim) = Z^\sim. \end{aligned}$$

Let X (resp. X_M, X_N) be the spectrum of Z^\sim (resp. $Z(M^\sim), Z(N^\sim)$). Then there exist positive integers m and n such that

$$X = X_M \times \{1, 2, \dots, m\} = X_N \times \{1, 2, \dots, n\}.$$

(see [11], [12], [21] and [18] for details.)

Let us assume that $M \supset N$ possesses a common Cartan subalgebra. Then $M^\sim \supset N^\sim$ also has a natural common Cartan subalgebra, so we get

$$(N^\sim)' \cap M^\sim = Z(N^\sim).$$

Therefore it follows that $Z^\sim = Z(N^\sim)$ and $B^\sim = N^\sim$. This means that the flow

space X_M of M is “smaller” than or “equal” to that of N and the lower inclusion disappears.

Let us consider the conditions in the above theorems. Since $M \rtimes_{\alpha} G \supset M$ and $M \supset M^{\alpha}$ are completely dual to each other, we consider here only the inclusion $M \rtimes_{\alpha} G \supset M$. Let us assume for the simplicity, that N is a type III_{λ} factor, $0 < \lambda < 1$ and G is the cyclic abelian group Z_n for a fixed positive integer n . There exists a faithful normal state φ on N such that $\sigma_T^{\varphi} = \text{id.}$, where $T = -2\pi/\log \lambda$. If we set $\alpha = \sigma_{T/n}^{\varphi}$, α defines the outer action of G on N . We have from the definition, $N(\alpha) = G$. Putting $M = N \rtimes_{\alpha} G$, the direct computation shows that

$$M^{\sim} = A^{\sim} = B^{\sim} \supset N^{\sim}$$

and

$$X_M = X_N \times \{1, 2, \dots, n\}.$$

This says that the lower inclusion actually appears and the flow space X_M of M is “bigger” than that of N . (In this case, M is of type III_{λ^n} .)

On the other hand, in the case where the outer action α of a finite group G on a type III factor N comes from an outer action on a type II_1 factor, it is easy to see that $N(\alpha) = \{e\}$ and

$$M^{\sim} = A^{\sim} \supset B^{\sim} = N^{\sim}.$$

Therefore the flow space X_M of M is “equal” to that of N .

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