

# LIFTINGS OF MÖBIUS GROUPS TO MATRIX GROUPS

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## Abstract.

It is well known that any discrete subgroup  $G$  of  $\text{PSL}(2, \mathbb{C})$  can be lifted to a subgroup of  $\text{SL}(2, \mathbb{C})$  if and only if  $G$  does not have two-torsion ([1], [4]).

In this note we show the existence of liftings of certain discrete subgroups of the group  $M(U)$  of orientation preserving and reversing Möbius transformations mapping the upper half-plane  $U$  onto itself. The orientation preserving Möbius transformations mapping the upper half-plane onto itself form the connected subgroup  $\text{PSL}(2, \mathbb{R})$  of  $M(U)$ . The lifting of subgroups of  $M(U)$  to  $\text{SL}(2, \mathbb{C})$  has two complications: first of all, the group  $M(U)$  is not connected, and, secondly, there is no natural mapping from  $\text{PSL}(2, \mathbb{C})$  to  $M(U)$ . In this note we consider these problems and show that all subgroups  $G$  of  $M(U)$  for which  $U/G$  is a compact Klein surface can be lifted to  $\text{SL}(2, \mathbb{C})$ . This result is closely related with the general considerations of M. Culler ([1]) and is based on the arguments presented in [8].

We also study the uniqueness of liftings of subgroups of  $\text{PSL}(2, \mathbb{C})$  to  $\text{SL}(2, \mathbb{C})$ . Assume that  $G \subset \text{PSL}(2, \mathbb{C})$  can be lifted to a subgroup of  $\text{SL}(2, \mathbb{C})$ . Consider

$$\hat{G} = \cap_{\tilde{G}} \tilde{G}$$

where  $\tilde{G}$  goes through all liftings of  $G$  to subgroups of  $\text{SL}(2, \mathbb{C})$ . Let  $G^* \subset G$  be the projection of  $\hat{G}$ . The group  $G^*$  is non-trivial because it contains, e.g., all squares of elements of  $G$ . We show that – under rather general conditions –  $G^*$  is actually generated by squares and commutators of elements of  $G$ .

## 1. Preliminaries.

Recall that  $\text{SL}(2, \mathbb{C})$  is the group of complex  $2 \times 2$ -matrices with determinant 1. The quotient  $\text{PSL}(2, \mathbb{C}) = \text{SL}(2, \mathbb{C}) / \{\pm I\}$  is the group of Möbius transformations of the extended complex plane  $\hat{\mathbb{C}}$ .

Consider the exact sequence

$$(1) \quad 0 \rightarrow \mathbb{Z}_2 \rightarrow \text{SL}(2, \mathbb{C}) \xrightarrow{\pi} \text{PSL}(2, \mathbb{C}) \rightarrow 0.$$

Here  $\pi: \text{SL}(2, \mathbb{C}) \rightarrow \text{PSL}(2, \mathbb{C})$  is the natural projection. Let  $G \subset \text{PSL}(2, \mathbb{C})$  be a subgroup. A homomorphism  $\varphi: G \rightarrow \text{SL}(2, \mathbb{C})$  is a *lifting* of  $G$  if  $\pi \circ \varphi$  is the identity mapping of  $G$ . It follows that a lifting  $\varphi$  is an isomorphism of  $G$  onto  $\varphi(G)$

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(cf. Example 4). For a lifting  $\varphi: G \rightarrow \mathrm{SL}(2, \mathbb{C})$ , we also call the image  $\varphi(G) \subset \mathrm{SL}(2, \mathbb{C})$  of  $G$ , a *lifting* of  $G$ .

If  $G$  contains the Möbius transformation  $g(z) = -1/z$ , then  $G$  has evidently no liftings because the matrices  $\tilde{g}_1 = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  and  $\tilde{g}_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  are of order 4 while  $g$  is of order two. This observation can be generalized as follows:

**PROPOSITION 1.** *Let  $G \subset \mathrm{PSL}(2, \mathbb{C})$  be a group and let  $S$  be a generating set of  $G$ . Suppose that  $\varphi: S \rightarrow \mathrm{SL}(2, \mathbb{C})$  is a mapping satisfying  $\pi(\varphi(s)) = s$  for all  $s \in S$ . Then  $\varphi$  can be extended to a lifting of  $G$  if and only if the following holds: If  $s_1, \dots, s_n \in S$  such that  $s_n^{\varepsilon_n} \dots s_2^{\varepsilon_2} s_1^{\varepsilon_1} = \mathrm{id}$ ,  $\varepsilon_j = \pm 1$ ,  $j = 1, \dots, n$ , then*

$$(2) \quad \varphi(s_n)^{\varepsilon_n} \dots \varphi(s_2)^{\varepsilon_2} \varphi(s_1)^{\varepsilon_1} = I.$$

**EXAMPLE 1.** Let  $k > 1$ . For any positive integer  $n$  define  $g_n(z) = k^{1/n}z$ . Consider the group  $F$  generated by all elements  $g_n$ ,  $n = 1, 2, \dots$ . It is obvious that a lifting of  $F$  is generated by the matrices

$$\begin{pmatrix} k^{\frac{1}{2n}} & 0 \\ 0 & k^{-\frac{1}{2n}} \end{pmatrix}.$$

On the other hand, every generator  $g_n$  is a square of some other generator, namely  $g_{2n}$ , i.e.,  $g_n(z) = g_{2n}(g_{2n}(z))$ . This implies that for any lifting of  $F$  to  $\mathrm{SL}(2, \mathbb{C})$ , all matrices corresponding to generators of  $F$  have positive trace. We conclude that the group  $F$  has only one lifting to a subgroup of  $\mathrm{SL}(2, \mathbb{C})$ .

This example indicates that the exact sequence (1) is not simple as it looks like. It is well known that a Fuchsian subgroup  $G$  of  $\mathrm{PSL}(2, \mathbb{C})$ , which does not contain elliptic elements, can always be lifted to a subgroup of  $\mathrm{SL}(2, \mathbb{C})$  (see [4] and references given there). Furthermore, if  $G$  acts in the upper half-plane and  $U/G$  is a genus  $g$  Riemann surface, any choice of matrices corresponding to standard generators of  $G$  generates a lifting of  $G$ . This fact has been discovered and rediscovered several times independently by many authors during this century. In particular,  $G$  has  $2^{2g}$  liftings to subgroups of  $\mathrm{SL}(2, \mathbb{C})$ .

The usual proofs of this fact rely on the geometry of the Riemann surface  $U/G$ . Some proofs are based on the fact that the canonical bundle of  $U/G$  has a square root, some others on the fact the Euler characteristic of an orientable surface is an even number.

## 2. Free groups.

Let  $G \subset \mathrm{PSL}(2, \mathbb{C})$  be a group, and suppose that  $S$  is a generating set of  $G$ . By definition,  $G$  is a *free* group and  $G$  is *generated freely* by  $S$  if any mapping from

$S$  into an arbitrary group  $X$  can be extended to a homomorphism from  $G$  into  $X$ . Since the projection  $\pi: \mathrm{SL}(2, \mathbb{C}) \rightarrow \mathrm{PSL}(2, \mathbb{C})$  is a homomorphism, the following is obvious:

**PROPOSITION 2.** *Suppose that  $G$  is generated freely by  $S$ . Then every mapping  $\varphi: S \rightarrow \mathrm{SL}(2, \mathbb{C})$  satisfying  $\pi(\varphi(s)) = s$  for all  $s \in S$  can be extended to a lifting of  $G$ .*

**COROLLARY 1.** *If  $G$  is generated freely by  $n$  elements, then  $G$  has  $2^n$  different liftings.*

### 3. Möbius transformations fixing the upper half-plane.

The group  $\mathrm{PSL}(2, \mathbb{R})$  consist of all Möbius transformations

$$g(z) = \frac{az + b}{cz + d}, \quad ad - bc = 1,$$

which map upper half-plane  $U$  onto itself. Hence  $\mathrm{PSL}(2, \mathbb{R})$  contains besides the identity hyperbolic, parabolic and elliptic transformations only.

Every hyperbolic  $g$  is conjugate to a unique standard form  $m_k: z \mapsto kz, k > 1$ . Denote  $k = k(g)$ .

Let  $g$  and  $h$  be hyperbolic elements of  $\mathrm{PSL}(2, \mathbb{R})$  sharing no fixed points. The cross-ratio  $t = (r(g), r(h), a(h), a(g))$  of the repelling and attracting fixed points of  $g$  and  $h$ , as well as the multipliers  $k_1 = k(g)$  and  $k_2 = k(h)$  are invariant under conjugation by elements of  $\mathrm{PSL}(2, \mathbb{C})$ .

The matrix product  $\tilde{c} = \tilde{h}\tilde{g}^{-1}\tilde{h}^{-1}\tilde{g}$  does not depend on the choice of the liftings  $\tilde{g}$  and  $\tilde{h}$ . Hence the commutator

$$c = [g, h] = hg^{-1}h^{-1}g$$

has a well-defined trace  $\mathrm{tr} c = \mathrm{tr} \tilde{c}$ .

**PROPOSITION 3.**  $\mathrm{tr} c = 2 - t(1-t)(\sqrt{k_1} - 1/\sqrt{k_1})^2(\sqrt{k_2} - 1/\sqrt{k_2})^2$ .

For given values  $k_1$  and  $k_2$  of  $k(g)$  and  $k(h)$ , respectively, consider the function

$$F(t) = 2 - t(1-t)(\sqrt{k_1} - 1/\sqrt{k_1})^2(\sqrt{k_2} - 1/\sqrt{k_2})^2.$$

Then  $F(0) = F(1) = 2$ ,  $F(t) = -2$  if and only if

$$t(1-t) = \frac{4}{(\sqrt{k_1} - 1/\sqrt{k_1})^2(\sqrt{k_2} - 1/\sqrt{k_2})^2},$$

and  $F(t) = 0$  if and only if

$$(3) \quad t(1-t) = \frac{2}{(\sqrt{k_1} - 1/\sqrt{k_1})^2(\sqrt{k_2} - 1/\sqrt{k_2})^2}.$$

EXAMPLE 2. Consider hyperbolic transformations  $g, h \in \text{PSL}(2, \mathbb{R})$  with intersecting axes. The pair  $(g, h)$  can be chosen such that (3) is satisfied. In this case  $c$  is an elliptic transformation of order two and the group  $\langle g, h \rangle$  has no liftings (cf. Proposition 1).

The axes of  $g$  and  $h$  intersect if and only if  $0 < t < 1$ . If, in this case,  $\alpha(g, h)$  denotes the acute angle between the axes of  $g$  and  $h$ , then

$$\sin \alpha(g, h) = 2\sqrt{t(1-t)}.$$

We have proved the following result recently obtained also by Gilman ([2, Theorem 1 and Corollary]):

PROPOSITION 4. *The following conditions are equivalent:*

- (i) *The axes of  $g$  and  $h$  intersect and the commutator  $c = [g, h]$  is hyperbolic.*
- (ii) *The axes of  $g$  and  $h$  intersect and*

$$\sin \alpha(g, h) > \frac{4}{(\sqrt{k_1} - 1/\sqrt{k_1})(\sqrt{k_2} - 1/\sqrt{k_2})}.$$

- (iii)  $\text{tr } c < -2$ .

The next proposition is almost a restatement of Theorem 8 in [5]. The proof, however, is based on different arguments which emphasize the Schottky group structure of  $\langle g, h \rangle$ .

PROPOSITION 5. *Suppose that the hyperbolic transformations  $g$  and  $h$  have intersecting axes. If the commutator  $c = [g, h]$  is hyperbolic, then  $\langle g, h \rangle$  is a discrete Schottky group generated freely by  $g$  and  $h$ .*

PROOF. Symmetry in the action of the commutator will be highlighted if we normalize such that  $g$  and  $h$  fix the unit disk and choose the origin as the intersection point of the axes of  $g$  and  $h$ . Denote

$$\begin{aligned} c_1 &= gcg^{-1} = ghg^{-1}h^{-1}, \\ c_2 &= h^{-1}c_1h = h^{-1}ghg^{-1}, \\ c_3 &= g^{-1}c_2g = g^{-1}h^{-1}gh. \end{aligned}$$

Then  $hc_3h^{-1} = c$ . The cyclic order of the axes of  $g, h, c, c_1, c_2$  and  $c_3$  is given by Figure 1 (cf. [9, Figure 1.7]). Let  $K_1$  and  $K_2$  be orthogonal circles of the unit circle such that  $K_1$  is orthogonal to the axes of  $c$  and  $c_3$  and  $K_2$  is orthogonal to the axes of  $c_2$  and  $c_3$ . Then  $K_3 = g(K_1)$  is orthogonal to the axes of  $c_1$  and  $c_2$  and  $K_4 = h(K_2)$  is orthogonal to the axes of  $c$  and  $c_1$ . Since the circles  $K_1, K_2, K_3$  and  $K_4$  are external to one another, it follows that  $\langle g, h \rangle$  is a Schottky group and hence it is generated freely by  $g$  and  $h$ .

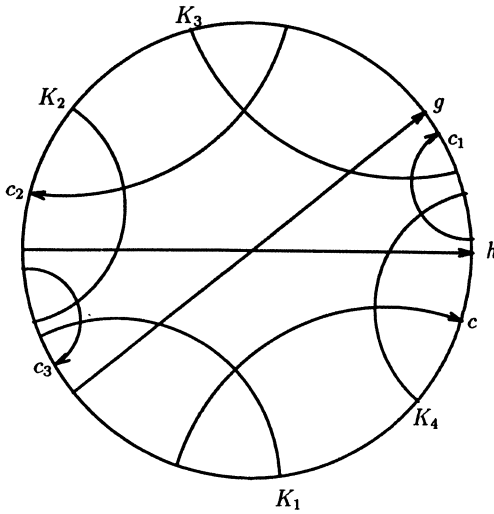


Figure 1.

Combining Propositions 2 and 5 we obtain the following result:

**THEOREM 2.** *Let  $g, h \in \text{PSL}(2, \mathbb{R})$  be hyperbolic transformations with intersecting axes. If the commutator  $c = [g, h]$  is hyperbolic, then every mapping  $\varphi: \{g, h\} \rightarrow \text{SL}(2, \mathbb{R})$ ,  $\pi(\varphi(g)) = g$ ,  $\pi(\varphi(h)) = h$ , has a continuation to a lifting of the group  $\langle g, h \rangle$ .*

The following example shows that the existence of liftings of Fuchsian groups representing compact Riemann surfaces is by no means obvious.

**EXAMPLE 3.** Consider two pairs  $(g_1, h_1)$  and  $(g_2, h_2)$  of hyperbolic transformations fixing  $U$ . Choosing  $k_1 = k(g_1)$  and  $k_2 = k(h_1)$  such that

$$(\sqrt{k_1} - 1/\sqrt{k_1})(\sqrt{k_2} - 1/\sqrt{k_2}) > 4.$$

Then, by Propositions 3 and 4, it is possible to choose  $t_1 = (r(g_1), r(h_1), a(h_1), a(g_1))$  such that  $c_1 = [g_1, h_1]$  is hyperbolic and  $\text{tr } c_1 < -2$ . Finally, conjugate such that  $a(c_1) = \infty$ ,  $r(c_1) = 0$  and  $r(g_1) = 1$  (Figure 2).

On the other hand, it is possible to choose  $k(g_2), k(h_2)$  and

$$t_2 = (r(g_2), r(h_2), a(h_2), a(g_2)) > 1$$

such that  $\text{tr } c_2 = -\text{tr } c_1$  for  $c_2 = [g_2, h_2]$ . Conjugate such that  $a(c_2) = 0$ ,  $r(c_2) = \infty$  and  $a(g_2) = -1$  (Figure 3). Then  $c_2 = c_1^{-1}$ .

Consider the group  $G = \langle g_1, h_1, g_2, h_2 \rangle$ . The generators satisfy relation

$$[g_2, h_2][g_1, h_1] = \text{id}.$$

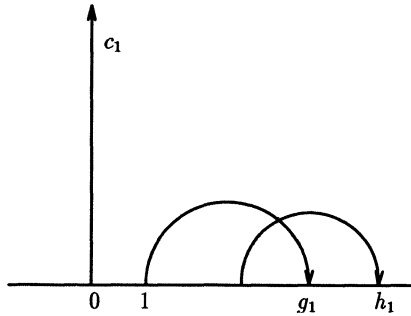


Figure 2.

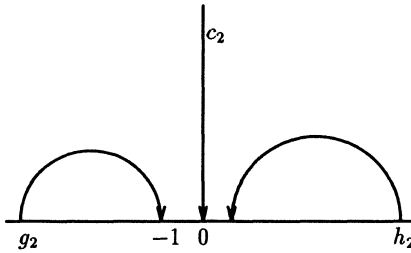


Figure 3.

However, we have

$$[\tilde{g}_2, \tilde{h}_2][\tilde{g}_1, \tilde{h}_1] = -I$$

for all choices of the liftings  $\tilde{g}_j = \varphi(g_j)$  and  $\tilde{h}_j = \varphi(h_j), j = 1, 2$ . Hence the group  $G$  has no liftings (cf. Proposition 1).

**4. Elements with unique liftings.**

Let  $G \subset \text{PSL}(2, \mathbb{C})$  be a group with a nonempty set  $\mathcal{F}$  of liftings  $\varphi: G \rightarrow \text{SL}(2, \mathbb{C})$ . The group

$$G^\# = \pi \left( \bigcap_{\varphi \in \mathcal{F}} \varphi(G) \right)$$

consists of all elements  $g$  of  $G$  which have a unique lifting, i.e.,  $\varphi(g)$  does not depend on the lifting  $\varphi \in \mathcal{F}$ .

A choice of a lifting of  $G$  associates a unique matrix to any element of  $G$ . It is, on the other hand, clear that, for any choice of a lifting of  $G$ , the matrices associated to the commutators and squares of elements of  $G$  are independent of the lifting.

**PROPOSITION 6.**  $G^\#$  is a normal subgroup of  $G$  containing the squares  $g^2$  and the commutators  $[g, h]$  of all elements of  $G$ .

The group  $G$  can be *unlimitedly lifted* if there exists a generating set  $S$  of  $G$  such that every mapping  $\varphi: S \rightarrow SL(2, \mathbb{C})$  satisfying  $\pi(\varphi(s)) = s$  for all  $s \in S$  can be extended to a lifting of  $G$ . All free subgroups of  $PSL(2, \mathbb{C})$  can be unlimitedly lifted by Proposition 2. On the other hand, if  $G$  acts discontinuously and fixed-point-freely in  $U$  and  $U/G$  is compact, then  $G$  can be unlimitedly lifted.

**THEOREM 3.** If  $G$  can be unlimitedly lifted, then  $G^\#$  is generated by the squares and commutators of the elements of  $G$ .

**PROOF.** Let  $S$  be a generating set of  $G$  with the unlimited lifting property. Any element  $g \in G$  has a representation

$$g = \chi \sigma_m^{t_m} \dots \sigma_1^{t_1},$$

where  $\chi$  is in the commutator subgroup of  $G$ ,  $\sigma_1, \dots, \sigma_m$  are distinct elements of  $S$  and  $t_1, \dots, t_m$  are integers.

By Proposition 6, the lifting  $\tilde{\chi}$  of  $\chi$  does not depend on the choice of the liftings  $\tilde{s}$  of the generators  $s \in S$ . Denote

$$\tilde{g} = \tilde{\chi} \tilde{\sigma}_m^{t_m} \dots \tilde{\sigma}_1^{t_1}.$$

Suppose that  $t_{j_0} \in \{t_1, \dots, t_m\}$  is odd. Then we can change  $\tilde{g}$  to  $-\tilde{g}$  by changing  $\tilde{\sigma}_{j_0}$  to  $-\tilde{\sigma}_{j_0}$  but keeping the liftings of all other generators unchanged. Hence  $g \notin G^\#$ .

If  $G \subset PSL(2, \mathbb{R})$  acts discontinuously and does not have fixed-points in  $U$ , then  $U/G$  is a Riemann surface. Since  $G$  is either a free group or  $U/G$  is compact, the group  $G$  can be unlimitedly lifted. Then  $G^\# \neq G$  which implies that  $G$  contains elements which are not products of commutators and squares of elements of  $G$ . In particular, the fundamental group of a compact Riemann surface contains such elements. On the other hand, the group  $G$  considered in Example 1 satisfies  $G^\# = G$ . Since this group is generated by the squares of its elements, the converse of Theorem 3 does not hold.

### 5. Liftings of orientation reversing Möbius transformations.

Let  $M(\hat{\mathbb{C}})$  denote the group of all orientation preserving or orientation reversing Möbius transformations  $g: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ . For every matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{C})$  there exists two transformations in  $M(\hat{\mathbb{C}})$  with the same coefficients  $a, b, c$  and  $d$ , namely the orientation preserving transformation

$$(4) \quad g(z) = \frac{az + b}{cz + d}, \quad ad - bc = 1,$$

and the orientation reversing transformation

$$(5) \quad g(z) = \frac{a\bar{z} + b}{c\bar{z} + d}, \quad ad - bc = 1.$$

Although we have no natural projection  $SL(2, \mathbb{C}) \rightarrow M(\hat{\mathbb{C}})$ , the matrices  $\pm \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  are called *liftings* of the transformations (4) and (5).

Let  $g$  be defined by (5) and let  $h$  be any transformation with the liftings  $\pm \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ . Since the composed transformation  $gh$  has

$$\pm \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \bar{\alpha} & \bar{\beta} \\ \bar{\gamma} & \bar{\delta} \end{pmatrix}$$

as liftings, the matrix product

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$$

is a lifting of  $gh$  if and only if either  $(\alpha, \beta, \gamma, \delta) \in \mathbb{R}^4$  or  $(i\alpha, i\beta, i\gamma, i\delta) \in \mathbb{R}^4$ .

Let  $G \subset M(\hat{\mathbb{C}})$  be a group. A homomorphism  $\varphi: G \rightarrow SL(2, \mathbb{C})$  is a *lifting* of  $G$  if  $\varphi$  is injective and  $\varphi(g)$  is a lifting of  $g$  for every  $g \in G$ . Since we have no homomorphic projection  $SL(2, \mathbb{C}) \rightarrow M(\hat{\mathbb{C}})$ , Proposition 2 does not hold for all free groups  $G \subset M(\hat{\mathbb{C}})$ .

**EXAMPLE 4.** Let  $g(z) = \bar{z}$ . Then the matrices  $I$  and  $-I$  are liftings of  $g$ . Define  $\varphi(g) = -I$  and  $\varphi(\text{id}) = I$ . Then  $\varphi: \langle g \rangle \rightarrow SL(2, \mathbb{C})$  is a lifting of  $\langle g \rangle$ , and the group  $\langle g \rangle$  has no other liftings. On the other hand, we can define a homomorphism  $\psi: \langle g \rangle \rightarrow SL(2, \mathbb{C})$  by setting  $\psi(g) = \psi(\text{id}) = I$ . Hence the injectiveness of a lifting  $\varphi: G \rightarrow SL(2, \mathbb{C})$  does not any more follow from the facts that  $\varphi$  is homomorphic and  $\varphi(g)$  is a lifting of  $g$  for all  $g \in G$  (cf. §1). It is interesting to note that  $\langle g \rangle$  is a discrete group containing a two-torsion (cf. [1]).

Let  $s(z) = z + i$  and  $h = sgs^{-1}$ . Then the matrices  $\pm \begin{pmatrix} 1 & 2i \\ 0 & 1 \end{pmatrix}$  are liftings of  $h$ . Since  $\left( \pm \begin{pmatrix} 1 & 2i \\ 0 & 1 \end{pmatrix} \right)^2 = \begin{pmatrix} 1 & 4i \\ 0 & 1 \end{pmatrix}$  but  $h^2 = \text{id}$ , the group  $\langle h \rangle$  has no liftings although the groups  $\langle g \rangle$  and  $\langle h \rangle$  are conjugate subgroups of  $M(\hat{\mathbb{C}})$ .

The transformations (4) and (5) with the same coefficients  $a, b, c$  and  $d$  agree on the extended real axis  $\hat{\mathbb{R}} = \mathbb{R} \cup \{\infty\}$ . They map  $\hat{\mathbb{R}}$  onto itself if and only if either  $(a, b, c, d) \in \mathbb{R}^4$  or  $(ia, ib, ic, id) \in \mathbb{R}^4$ .

**THEOREM 4.** *Suppose that  $g \in M(\hat{\mathbb{C}})$  is orientation reversing. If the group  $\langle g \rangle$  has liftings, then  $g(\hat{\mathbb{R}}) = \hat{\mathbb{R}}$ .*



PROOF. Suppose that  $\varphi: \langle g \rangle \rightarrow \mathrm{SL}(2, \mathbf{C})$  is a lifting, and let  $\varphi(g) = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ .

Since  $\varphi(g)^2 = \varphi(g^2)$ , we have

$$\begin{pmatrix} a & b \\ a & b \end{pmatrix} \begin{pmatrix} a & b \\ a & b \end{pmatrix} = \pm \begin{pmatrix} a & b \\ a & b \end{pmatrix} \begin{pmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{pmatrix}.$$

Hence  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \pm \begin{pmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{pmatrix}$  and the assertion follows.

COROLLARY 5. *Suppose that  $G \subset M(\hat{\mathbf{C}})$  is a group containing orientation reversing elements. If  $G$  has a lifting  $\varphi: G \rightarrow \mathrm{SL}(2, \mathbf{C})$ , then all elements of  $G$  map the extended real axis  $\hat{\mathbf{R}}$  onto itself.*

The converse of Theorem 4 does not hold. For instance, the group  $\langle g \rangle$  generated by  $g(\bar{z}) = 1/\bar{z}$  has no liftings although  $g(\hat{\mathbf{R}}) = \hat{\mathbf{R}}$ .

## 6. Liftings of NEC groups representing Klein surfaces.

An important application of the lifting theorem concerning Fuchsian subgroups of  $\mathrm{PSL}(2, \mathbf{R})$  is the representation of Teichmüller spaces of smooth complex projective curves as a component of an affine real algebraic variety ([3]). This result can be generalized to smooth projective real algebraic curves by considering the complexifications of real algebraic curves ([7]). These complexifications are simply complex algebraic curves *with orientation reversing symmetries*.

Real algebraic curves can be viewed as non-classical Klein surfaces. Excluding certain elementary cases such Klein surfaces can always be expressed in the form  $U/G$  where  $G$  is a discrete subgroup of the group  $M(U)$  (see abstract).

Observe that the topological group  $M(U)$  has two components: one containing all orientation preserving Möbius transformations and one containing all orientation reversing ones.

Let

$$\tilde{M}(U) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbf{C}) \mid a, b, c, d \in \mathbf{R} \text{ or } ia, ib, ic, id \in \mathbf{R} \right\}.$$

Then  $\tilde{M}(U)$  is a subgroup of  $\mathrm{SL}(2, \mathbf{C})$  having two components. Define the projection

$$\pi: \tilde{M}(U) \rightarrow M(U)$$

by setting

$$\pi \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{cases} z \mapsto \frac{az + b}{cz + d} & \text{if } a, b, c, d \text{ are real,} \\ z \mapsto \frac{a\bar{z} + b}{c\bar{z} + d} & \text{if } a, b, c, d \text{ are purely imaginary.} \end{cases}$$

With this definition of the projection  $\pi$ , the exact sequence (1) generalizes to the exact sequence

$$(6) \quad 0 \rightarrow \mathbb{Z}_2 \rightarrow \tilde{M}(U) \xrightarrow{\pi} M(U) \rightarrow 0.$$

Then  $\pi: \tilde{M}(U) \rightarrow M(U)$  is a surjective homomorphism whose kernel is  $\{I, -I\}$ . It follows that Propositions 1, 2 and 6 can be applied to all groups  $G \subset M(U)$ .

**PROPOSITION 7.** *Suppose that  $g \in M(U)$  is orientation reversing. If  $\tilde{g} \in \tilde{M}(U)$  is a lifting of  $g$ , then  $\text{tr } \tilde{g}^2 \leq -2$ .*

**PROOF.** If  $\tilde{g} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ ,  $ad - bc = 1$ , then  $a, b, c$  and  $d$  are purely imaginary.

Hence

$$\text{tr } \tilde{g}^2 = a^2 + d^2 + 2bc = (a + d)^2 - 2 \leq -2.$$

Assume that  $\Sigma$  is a non-orientable compact  $C^\infty$ -surface whose Euler-characteristic is negative. If  $\Sigma$  is equipped with a metric  $d$  of constant curvature  $-1$ , then  $X = (\Sigma, d)$  is a Klein surface. Such surfaces can always be represented as  $X = U/G$  where  $G$  is a non-Euclidean crystallographic group (a NEC group), i.e., a properly discontinuous subgroup of  $M(U)$ . Since  $X = U/G$  is compact, the orientation preserving elements of  $G$  are hyperbolic transformations whereas orientation reversing ones are *glide reflections*. Every glide reflection  $s \in M(U)$  admits a representation  $s = \sigma \circ g$  where  $g \in M(U)$  is hyperbolic and  $\sigma$  is the reflection in the axis of  $g$ . Hence  $s^2 = g^2$  is hyperbolic (in this case).

Let  $G_+$  be the subgroup of  $G$  containing the orientation preserving elements of  $G$ . Then  $G_+$  is a Fuchsian group of the first kind,  $X^0 = U/G_+$  is a compact Riemann surface and the projection  $X^0 \rightarrow X$  is an unramified double covering map.

If the genus  $p$  of  $X^0$  is even, then  $G$  has a standard set  $S$  of  $p + 1$  generators  $g_1, h_1, \dots, g_{p/2}, h_{p/2}, s$  which generate  $G$  with one defining relation

$$s^2 [g_{p/2}, h_{p/2}] \dots [g_1, h_1] = \text{id}.$$

Here  $s$  is a glide reflection and  $g_i$  and  $h_j$  are hyperbolic transformations with intersecting axes,  $j = 1, \dots, p/2$ , but there are no other intersections between the axes of the elements of  $S$ . The geodesics on  $X^0$  corresponding to the transformations  $c_1 = [g_1, h_1], \dots, c_{p/2} = [g_{p/2}, h_{p/2}], c_{p/2+1} = s^2, c_2 c_1, c_3 c_2 c_1, \dots, c_{p/2} \dots c_3 c_2 c_1$  are simple and pairwise disjoint.

If the genus  $p$  of  $X^0$  is odd, the standard set  $S$  consist of  $p - 1$  hyperbolic generators  $g_1, h_1, \dots, g_{(p-1)/2}, h_{(p-1)/2}$  and two glide reflections  $s_1$  and  $s_2$ . The defining relation is now

$$s_2^2 s_1^2 [g_{(p-1)/2}, h_{(p-1)/2}] \dots [g_1, h_1] = \text{id},$$

and the axes of the generators and the geodesics on  $X^0$  corresponding to the transformations  $c_1 = [g_1, h_1], \dots, c_{(p-1)/2} = [g_{(p-1)/2}, h_{(p-1)/2}], c_{(p+1)/2} = s_1^2, c_{(p+3)/2} = s_2^2, c_2 c_1, c_3 c_2 c_1, c_{(p+1)/2} \dots c_3 c_2 c_1$  have similar properties as in the previous case (cf. [6, §4]).

**THEOREM 6.** *Suppose that  $G \subset M(U)$  is a NEC group representing a compact Klein surface  $X = U/G$ . Let  $S$  be a standard set of generators of  $G$ . Then every mapping  $\varphi: S \rightarrow \text{SL}(2, \mathbb{C})$  satisfying  $\pi(\sigma(s)) = s$  for all  $s \in S$  has an extension to a lifting of  $G$ .*

**PROOF.** Suppose that the genus  $p$  of  $X^0$  is even. If  $p$  is odd, the assertion can be shown similarly.

Choose liftings  $\tilde{g}_j = \varphi(g_j), \tilde{h}_j = \varphi(h_j), \tilde{s} = \varphi(s)$ , and denote  $\tilde{c}_j = [\tilde{g}_j, \tilde{h}_j]$ ,  $j = 1, \dots, p/2$ ,  $\tilde{c}_{p/2+1} = \tilde{s}^2$ . Since  $s^2$  is hyperbolic,  $\text{tr } \tilde{c}_{p/2+1} < -2$  by Proposition 7. Similarly,  $\text{tr } \tilde{c}_j < -2, j = 1, \dots, p/2$ , by Proposition 4. The assertion follows now similarly as in the proof of Theorem 4.1 in [6] by considering the group  $G_+$  and the Riemann surface  $X^0 = U/G_+$ .

This is a generalization of the classical result and allows one to represent the Teichmüller space of the non-classical Klein surface  $U/G$  as a component of an affine real algebraic variety (cf. [3] and [7]).

Suppose that  $G \subset M(U)$  is a NEC group representing a compact Klein surface  $X = U/G$ . Then, by the proof of Theorem 6,  $G$  can be unlimitedly lifted. The Kleinian group.

$$\tilde{G} = G_+ \cup \{z \mapsto g(\bar{z}) \mid g \in G \setminus G_+\}$$

has the same liftings and the same limit set  $\hat{\mathbb{R}}$  as  $G$ . Since  $G$  acts on  $U$ , the group  $\tilde{G}$  has no invariant components. Hence there exist non-elementary finitely generated Kleinian groups which are not function groups but which can be unlimitedly lifted. A similar example has been constructed by M. Culler ([1]).

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