

ON THE TOPOLOGY OF SASAKIAN MANIFOLDS

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Abstract

The notion of q -bisectional curvature of a Sasakian manifold M is defined. It is proved that if M has lower bounded q -bisectional curvature and contains a compact invariant submanifold tangent to the structure vector field then M is compact. Myers and Frankel type theorems for Sasakian manifolds with lower bounded and positive q -bisectional curvature, respectively, are also given.

1. Introduction

Let M be a complete connected Riemannian manifold. An important theorem, proved by Myers [11], asserts that if M has sectional curvature $\geq k_0 > 0$ (or more generally, if all the eigenvalues of the Ricci tensor are $\geq (\dim M - 1)k_0 > 0$) then M is compact, its diameter is $\leq \pi/\sqrt{k_0}$ and has finite fundamental group.

Another remarkable theorem, due to Frankel [2], asserts that if M has positive sectional curvature, then any two compact totally geodesic submanifolds N , P of M and such that $\dim N + \dim P \geq \dim M$, must intersect. He also proved that in the case of a complete connected Kähler manifold with positive sectional curvature the same conclusion holds if we replace the hypothesis *totally geodesic* by *analytic*. Such results were proved by Goldberg and Kobayashi [5] for Kähler manifolds with positive bisectional curvature. Frankel's theorems were extended by Gray [6] to nearly Kähler manifolds, by Marchiafava [10] to quaternionic Kähler manifolds and by Ornea [12] to locally conformal Kähler manifolds and to Sasakian manifolds in the case when the submanifolds N and P are invariant and tangent to the structure vector field of M .

Recently, Kenmotsu and Xia [7], [8] proved Frankel type theorems for Kähler manifolds in the more general case when M has either partially positive sectional curvature or partially positive bisectional curvature.

Our purpose is to give Myers and Frankel type theorems for a Sasakian manifold M under weaker conditions on the curvature of the manifold. The second section of this paper is devoted to the notion of q -bisectional curvature for such a manifold. We remark that if $q = 1$ then it is exactly the F -bisectional

curvature of the manifold [13], [14] and so the class of Sasakian manifolds with positive (or lower bounded) q -bisectional curvature is richer than that of Sasakian manifolds with positive (or lower bounded) F -bisectional curvature. We prove that if M has lower bounded q -bisectional curvature and contains a compact invariant submanifold tangent to the structure vector field of M then M must be compact (Theorem 2.3 and Corollary 2.4). In section 3 we prove a Myers type theorem for Sasakian manifolds with lower bounded q -bisectional curvature (Theorem 3.2). The last section is devoted to the proof of a Frankel type theorem for Sasakian manifolds with positive q -bisectional curvature and we obtain an extension of Ornea's Theorem 2, [12].

2. q -bisectional curvature

Let M be a Sasakian manifold and denote by F, ξ, η, g its fundamental tensor fields. For any vector fields $X, Y \in \mathcal{X}(M)$, orthogonal to ξ , the F -bisectional curvature \mathcal{H} of M is defined by

$$(1) \quad \mathcal{H}(X, Y) = \frac{\mathcal{R}(X, FX, Y, FY)}{\|X\|^2 \|Y\|^2},$$

where \mathcal{R} is the Riemann-Christoffel curvature tensor of M . Then for any $X', Y' \in \mathcal{X}(M)$ such that

$$\text{span}_{\mathbb{R}}\{X', FX'\} = \text{span}_{\mathbb{R}}\{X, FX\}, \quad \text{span}_{\mathbb{R}}\{Y', FY'\} = \text{span}_{\mathbb{R}}\{Y, FY\},$$

we obtain

$$\mathcal{H}(X', Y') = \mathcal{H}(X, Y).$$

Moreover

PROPOSITION 2.1. *For any $X, Y \in \mathcal{X}(M)$, orthogonal to ξ , we have*

$$\mathcal{H}(X, Y) = \frac{1}{\|X\|^2 \|Y\|^2} \left\{ \mathcal{R}(X, Y, X, Y) + \mathcal{R}(X, FY, X, FY) \right. \\ \left. + 2 \left[g^2(X, Y) - \|X\|^2 \|Y\|^2 + g^2(X, FY) \right] \right\}.$$

PROOF. By the Lemma, pg. 93, [1], on a contact manifold we have

$$(2) \quad \mathcal{R}(FY, Y, X, FX) = \mathcal{R}(Y, X, X, Y) \\ + \mathcal{R}(FY, X, X, FY) - 2\mathcal{P}(X, Y, X, FY),$$

where

$$\mathcal{P}(X, Y, Z, U) = d\eta(X, Z) g(Y, U) - d\eta(X, U) g(Y, Z) \\ - d\eta(Y, Z) g(X, U) + d\eta(Y, U) g(X, Z).$$

But M is Sasakian, hence $d\eta = \Omega$, with $\Omega(X, Y) = g(X, FY)$, and then

$$(3) \quad \mathcal{P}(X, Y, X, FY) = g^2(X, Y) + g^2(X, FY) - \|X\|^2\|Y\|^2.$$

Now, from (1) and taking into account (2), (3), we obtain the announced formula.

Let $T_x M$ be the tangent space to the Sasakian manifold M at the point x and we denote by $\mathcal{S} = \{X_1, \dots, X_q\} \subset T_x M$ an orthonormal system of vectors orthogonal to ξ . Then the vectors of the system $F\mathcal{S} = \{FX_1, \dots, FX_q\}$ are orthogonal to ξ . \mathcal{S} is called an F -*orthonormal* q -system of tangent vectors at x if $\mathcal{S} \cup F\mathcal{S}$ is orthonormal. We remark that $q \leq \lfloor \frac{1}{2} \dim M \rfloor$ and for such a system \mathcal{S} and for any tangent vector $X \in T_x M$, orthogonal to ξ , we can consider the scalar

$$\mathcal{H}_q(X, \mathcal{S}) = \sum_{i=1}^q \mathcal{H}(X, X_i).$$

Now, taking into account Proposition 2.1, we obtain

PROPOSITION 2.2. *Let X be a unit tangent vector at $x \in M$ and \mathcal{S} be an F -orthonormal q -system at x . If $\mathcal{S}' \subset T_x M$ is an orthonormal system such that $\text{span}_{\mathbb{R}} \mathcal{S}' = \text{span}_{\mathbb{R}} \mathcal{S}$ then:*

- a) \mathcal{S}' is an F -orthonormal q -system
- b) $\mathcal{H}_q(X, \mathcal{S}') = \mathcal{H}_q(X, \mathcal{S})$.

From Proposition 2.2 it follows that $\mathcal{H}_q(X, \mathcal{S})$ is depending only on the subspace of $T_x M$ spanned by \mathcal{S} , but not on the F -orthonormal q -system \mathcal{S} . We call $\mathcal{H}_q(X, \mathcal{S})$ the q -*bisectional curvature* of M at the point x and we remark that for $q = 1$ it is exactly the F -bisectional curvature of M .

In the following of this section we shall construct F -orthonormal systems and these will be used in order to give information about the topology of the manifold.

Let N be a $2r$ -dimensional ($r \geq 1$) submanifold of the complete connected Sasakian manifold M and we assume N to be invariant (i.e. $FT_x N \subseteq T_x N$ for any $x \in N$) and it is tangent to ξ . If $\{e_1, \dots, e_r, Fe_1, \dots, Fe_r, \xi\}$ is an adapted basis of $T_x N$ then $\mathcal{B} = \{e_1, \dots, e_r\}$ is, obviously, an F -orthonormal r -system. Moreover, if $\gamma : [0, \infty) \rightarrow M$ is the geodesic starting from x and orthogonal to N at x then the system $\tilde{\mathcal{B}}$, obtained from \mathcal{B} by parallel translation along γ is an F -orthogonal r -system, too. Indeed, if E_i is obtained by parallel translation of e_i along γ then we have

$$\nabla_{\gamma'} E_i = 0, \quad E_i(\gamma(t)) = E_i(t) = e_i,$$

hence E_i is normal to γ . Similar equalities hold for the vector fields \tilde{E}_i , obtained from $F e_i$ by parallel translation along γ , and therefore \tilde{E}_i are normal to γ , too. But by using the well-known equality, true on a Sasakian manifold, ([1], Theorem, pg. 73)

$$(\nabla_X F) Y = g(X, Y)\xi - \eta(Y)X,$$

we have

$$\nabla_{\gamma'} (F E_i) = 0,$$

and because $F E_i(\gamma(t)) = F e_i$, it follows $\tilde{E}_i = F E_i$, which proves that $\tilde{\mathcal{B}}$ is an F -orthonormal r -system.

THEOREM 2.3. *Let M be a complete connected Sasakian manifold of dimension $2n + 1 \geq 5$. If for some $r \geq 1$ there exists a $2r + 1$ -dimensional compact invariant submanifold N , tangent to ξ and such that*

$$(4) \quad \liminf_{t \rightarrow \infty} \int_0^t \mathcal{H}_r(\gamma'(s), \tilde{\mathcal{B}}) ds > 0,$$

for any $x \in N$ and for any F -orthonormal r -system \mathcal{B} of $T_x M$ then the manifold M is compact.

For the proof of this theorem we drew one's inspiration from [8].

PROOF. If M is not compact then, by Theorem 1 of [3], there exists $x \in N$ and a geodesic $\gamma : [0, \infty) \rightarrow M$, orthogonal to N at x , and such that

$$\text{distance}(\gamma(t), N) = \text{length } \gamma|_{[0,t]},$$

hence γ has no conjugate points. By putting $\mathcal{H}(t) = \frac{1}{2r} \mathcal{H}_r(\gamma'(t), \tilde{\mathcal{B}})$ and taking into account (4), from [15] (see also [8]) it follows that the scalar Jacobi equation

$$f'' + \mathcal{H}(t)f = 0$$

has a solution $\Phi : [0, \infty) \rightarrow \mathbf{R}$, satisfying the conditions $\Phi(0) = 1$, $\Phi'(0) = 0$ and $\Phi(t_0) = 0$ for some $t_0 > 0$.

In the following we shall use the well-known expressions of the index form I of the geodesic γ along $\gamma|_{[a,b]}$ (see [9], t. II, Theorems 5.4 and 5.5, pg. 81)

$$(5) \quad \begin{aligned} I_a^b(X, Y) &= \int_a^b [g(X', Y') - \mathcal{R}(X, \gamma', Y, \gamma')] dt \\ &= g(X', Y)(b) - g(X', Y)(a) \\ &\quad - \int_a^b [g(X'', Y) + \mathcal{R}(X, \gamma', Y, \gamma')] dt \end{aligned}$$

for all vector fields X and Y along γ . Indeed, we consider it for the vector fields X_i, Y_i , defined along $\gamma|_{[0, t_0]}$, by

$$(6) \quad X_i(t) = \Phi(t)E_i(t), \quad Y_i(t) = \Phi(t)\tilde{E}_i(t).$$

They are tangent to N at $\gamma(0)$ and $X_i(t_0) = Y_i(t_0) = 0$. Moreover we have

$$(7) \quad X'_i = \Phi' E_i, \quad Y'_i = \Phi' \tilde{E}_i,$$

hence $X'_i(0) = Y'_i(0) = 0$ and $X''_i = \Phi'' E_i, Y''_i = \Phi'' \tilde{E}_i$. Then we have

$$(8) \quad g(X''_i, X_i) + \mathcal{R}(X_i, \gamma', X_i, \gamma') = \Phi'' \Phi + \Phi^2 \mathcal{R}(E_i, \gamma', E_i, \gamma'),$$

$$(9) \quad g(Y''_i, Y_i) + \mathcal{R}(Y_i, \gamma', Y_i, \gamma') = \Phi'' \Phi + \Phi^2 \mathcal{R}(\tilde{E}_i, \gamma', \tilde{E}_i, \gamma').$$

By using Gauss formula we have

$$g(X', Y) = g(h(X, Y), \gamma')$$

for all X, Y tangent to N and normal to γ . But N is invariant and tangent to ξ and then we have

$$(10) \quad h(F e_i, F e_i) = -h(e_i, e_i).$$

Now, from (5), (8), (9) and (10) we give

$$\begin{aligned} \sum_{i=1}^r [I_0^{t_0}(X_i, X_i) + I_0^{t_0}(Y_i, Y_i)] &= -2r \int_0^{t_0} \Phi[\Phi'' + \mathcal{H}(t)\Phi] dt \\ &\quad - 2r \int_0^{t_0} \|\gamma'\|^2 dt \\ &= -2r \int_0^{t_0} \|\gamma'\|^2 dt < 0, \end{aligned}$$

hence $I_0^{t_0}(X_i, X_i) < 0$ or $I_0^{t_0}(Y_i, Y_i) < 0$ for some $i \in \{1, 2, \dots, r\}$ and therefore $\gamma|_{[0, t_0]}$ has a conjugate point. But this contradicts the hypothesis that γ has no conjugate points.

We say that M has *lower bounded q -bisectional curvature* at the point $x \in M$ if there exists $k_0 \in \mathbf{R}$ such that $\mathcal{H}_q(X, \mathcal{S}) \geq k_0$ for any unit tangent vector $X \in T_x M$ and for any F -orthonormal q -system \mathcal{S} . If $k_0 = 0$ then we say that M has *nonnegative q -bisectional curvature* and taking into account (1) we remark that if M has nonnegative F -bisectional curvature then its q -bisectional curvature is also nonnegative for any $q \leq [\frac{1}{2} \dim M]$. Hence the family of Sasakian manifolds with nonnegative q -bisectional curvature is richer

than the one containing all Sasakian manifolds with nonnegative F -bisectional curvature.

By using the above notions, from Theorem 2.3 we deduce

COROLLARY 2.4. *Let M be a complete connected Sasakian manifold with positive lower bounded r -bisectional curvature, $r \leq [\frac{1}{2} \dim M]$. If M contains a $2r + 1$ -dimensional compact invariant submanifold, tangent to ξ , then M is compact.*

3. A Myers type theorem

Let M be a $2n + 1$ -dimensional Sasakian manifold and we denote by M^* its universal covering space. It is well-known (see for instance [9], t. I, pg. 162) that on M^* there is a Riemannian metric g^* such that the projection $\pi : M^* \rightarrow M$ is an isometric immersion and we define the 1-form η^* on M^* by $\eta_p^* = \pi_p^* \eta_{\pi(p)}$, where π_p^* is the codifferential of π at the point $p \in M^*$. If ξ^{**} is its dual vector field with respect to g^* , i.e. the only vector field satisfying

$$(11) \quad \eta^*(X^*) = g^*(X^*, \xi^{**}),$$

for any $X^* \in \mathcal{X}(M^*)$, then ξ^{**} is nowhere zero, hence we can consider its associated unit vector field ξ^* and we have $\pi_{*,p} \xi_p^* = \xi_{\pi(p)}$, where $\pi_{*,p}$ is the differential of π .

Let ∇^* be the Levi-Civita connection on M^* , associated with the metric g^* . Then we can define the morphism $F^* : \mathcal{X}(M^*) \rightarrow \mathcal{X}(M^*)$ by

$$F^* X^* = -\nabla_{X^*}^* \xi^*$$

for any $X^* \in \mathcal{X}(M^*)$ and a straightforward computation shows that the tensor fields F^* , ξ^* , η^* , g^* define a Sasakian structure on M^* (see for instance [1], Theorem, pg. 73).

If $\mathcal{S} = \{e_1, \dots, e_q\}$ is an F -orthonormal q -system of local vector fields in M then we consider the 1-forms $\omega_1, \dots, \omega_{2q}$, defined by

$$\omega_i(X) = g(e_i, X), \quad \omega_{q+i}(X) = g(F e_i, X)$$

for any $X \in \mathcal{X}(M)$ and $i \in \{1, \dots, q\}$. We obtain $2q$ local 1-forms $\omega_1^*, \dots, \omega_{2q}^*$, defined by

$$\omega_{j,p}^* = \pi_p^* \omega_{j,\pi(p)}$$

for $j \in \{1, \dots, 2q\}$. Their dual local vector fields e_1^*, \dots, e_{2q}^* , given by formulae similar to (11), satisfy

$$g(\pi_* e_j^*, \pi_* Y^*) = (\pi^* \omega_j)(Y^*) = \omega_j(\pi_* Y^*) = g(e_j, \pi_* Y^*),$$

and taking into account π_* is injective, we deduce $e_j = \pi_* e_j^*$. Hence $\mathcal{S}^* = \{e_1^*, \dots, e_q^*\}$ is an F -orthonormal q -system of local vector fields in M^* and by a straightforward computation we deduce

PROPOSITION 3.1. *Let M be a Sasakian manifold and M^* its universal covering. If $\mathcal{H}_q, \mathcal{H}_q^*$ are the q -bisectional curvatures of M and M^* respectively, then*

$$\mathcal{H}_q^*(X^*, \mathcal{S}^*) = \mathcal{H}_q(\pi_* X^*, \mathcal{S}),$$

for any unit vector field $X^* \in \mathcal{X}(M^*)$ and for any F -orthonormal q -system \mathcal{S} of M .

Now we shall prove a Myers type theorem for Sasakian manifolds, namely

THEOREM 3.2. *Let M be a complete connected Sasakian manifold with lower bounded q -bisectional curvature $\mathcal{H}_q \geq k_0 > 0$. Then:*

- a) M is compact;
- b) the diameter of M is at most equal to $\pi \sqrt{\frac{2q}{2q+k_0}}$;
- c) M has finite fundamental group.

PROOF. For two arbitrary points x and y of M denote by $\gamma : [a, b] \rightarrow M$ the minimizing geodesic joining x to y . We assume that γ is parametrized by its arc length s and b is such that $\gamma(b)$ is the first conjugate point of γ . If $\mathcal{S} = \{e_1, \dots, e_q\} \subset T_x M$ is an F -orthonormal q -system normal to γ at $\gamma(a)$ then, as above, by parallel translation of \mathcal{S} along γ , we obtain another F -orthonormal q -system $\tilde{\mathcal{S}} = \{E_1, \dots, E_q\}$ of vector fields defined along γ and normal to γ .

Now, if $\Phi : [a, b] \rightarrow \mathbf{R}$ is a nonzero differentiable function such that $\Phi(a) = \Phi(c) = 0$ for some $c \in (a, b)$, then definitions similar to (6) give the vector fields X_i, Y_i along γ and by using (7) we obtain

$$\begin{aligned} (12) \quad & \sum_{i=1}^q [I_a^c(X_i, X_i) + I_a^c(Y_i, Y_i)] \\ &= \int_a^c \left\{ 2q \Phi^2 - \Phi^2 \sum_{i=1}^q [\mathcal{R}(E_i, \gamma', E_i, \gamma') + \mathcal{R}(F E_i, \gamma', F E_i, \gamma')] \right\} ds. \end{aligned}$$

But $\gamma|_{[a,c]}$ has no conjugate points and taking into account Proposition 2.1, from (12) we deduce

$$0 < \int_a^c \{ 2q \Phi^2 - \Phi^2 [\mathcal{H}_q(\gamma', \tilde{\mathcal{S}}) + 2q] \} ds \leq \int_a^c [2q \Phi^2 - (k_0 + 2q) \Phi^2] ds$$

For $\Phi(s) = \sin \pi \frac{s-a}{c-a}$, from the above inequalities we obtain

$$(c - a)^2 < \frac{2q}{2q + k_0} \pi^2.$$

But c is arbitrary in (a, b) , hence

$$b - a \leq \pi \sqrt{\frac{2q}{2q + k_0}}$$

and because γ is parametrized by its arc length, it follows

$$(13) \quad \text{distance}(x, y) = \text{distance}(\gamma(a), \gamma(b)) \leq \pi \sqrt{\frac{2q}{2q + k_0}}.$$

Thus b) is proved. From (13) we also deduce that M is bounded and because it is complete, a) is proved too (see [9], t. I, Theorem 4.1, pg. 172). Now, because M is compact, it is well-known that M^* is complete and connected ([9], t. I, pg. 76) and taking into account Proposition 3.1, it follows that M^* satisfies the hypothesis of Theorem 3.2. Hence, by a) it follows that M^* is compact and then the fundamental group of M is finite.

4. A Frankel type theorem

THEOREM 4.1. *Let M be a complete connected Sasakian manifold with positive q -bisectional curvature. If N and P are two compact invariant submanifolds of M , tangent to ξ and such that $\dim N + \dim P \geq \dim M + 2q - 1$, then $N \cap P \neq \emptyset$.*

PROOF. If $N \cap P = \emptyset$ then there is a geodesic $\gamma : [0, l] \rightarrow M$, parametrized by the arc length, joining two points $x_0 \in N$, $y_0 \in P$ and realizing the minimum of the distance between N and P . We denote by V_{y_0} the subspace of $T_{y_0}M$, obtained by parallel translation of $T_{x_0}N$ along γ at the point y_0 . From the hypothesis concerning the dimensions and because N and P are tangent to ξ , it follows that $\dim(V_{y_0} \cap T_{y_0}P) \geq 2q$. But N and P are invariant, hence $V_{y_0} \cap T_{y_0}P$ is invariant under F and because $\xi_{y_0} \in V_{y_0} \cap T_{y_0}P$, it follows that $\dim(V_{y_0} \cap T_{y_0}P) \geq 2q + 1$. Moreover, we can find an F -orthonormal q -system $\mathcal{S}_{y_0} = \{e_1, \dots, e_q\} \subset V_{y_0} \cap T_{y_0}P$. By parallel translation of \mathcal{S}_{y_0} along γ , we obtain a system of q unit vector fields $\tilde{\mathcal{S}} = \{E_1, \dots, E_q\}$, defined along γ and such that a vectors of $\tilde{\mathcal{S}} \cup F\tilde{\mathcal{S}}$ are from $\tilde{\mathcal{S}}$ and b ($a + b = 2q$) of them are from $F\tilde{\mathcal{S}}$.

If $a \geq q$ then we can assume that these vector fields are E_1, \dots, E_q and because P is invariant, it follows that FE_1, \dots, FE_q are tangent to P at y_0 ,

too. The same argument used if $b \geq q$ shows that always we can find an F -orthonormal q -system $\tilde{\mathcal{F}}$ of vector fields along γ , tangent to N at x_0 and tangent to P at y_0 . Then, by a computation similar to the one used in [8] (the proof of Theorem 3.2.) and taking into account (10), we obtain

$$(14) \quad \sum_{i=1}^q [I_0^l(E_i, E_i) + I_0^l(FE_i, FE_i)] = - \int_0^l \sum_{i=1}^q [\mathcal{R}(E_i, \gamma', E_i, \gamma') - \mathcal{R}(FE_i, \gamma', FE_i, \gamma')] ds.$$

Now, by using Proposition 2.1 and taking into account

$$\sum_{i=1}^q [g^2(\gamma', E_i) + g^2(\gamma', FE_i)] \leq \|\gamma'\|^2 = 1,$$

from (14) it follows

$$\begin{aligned} & \sum_{i=1}^q [I_0^l(E_i, E_i) + I_0^l(FE_i, FE_i)] \\ &= -ql + \int_0^l \sum_{i=1}^q [g^2(\gamma', E_i) + g^2(\gamma', FE_i)] ds - \int_0^l \mathcal{H}_q(\gamma', \tilde{\mathcal{F}}) ds \\ &\leq l(1 - q) - \int_0^l \mathcal{H}_q(\gamma', \tilde{\mathcal{F}}) ds < 0 \end{aligned}$$

We deduce that $I_0^l(E_i, E_i) < 0$ or $I_0^l(FE_i, FE_i) < 0$ for some $i \in \{1, \dots, q\}$, contradicting the hypothesis that γ has minimal length. Hence N and P must have nonempty intersection.

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