

# DUAL OF THE AUSLANDER-BRIDGER FORMULA AND GF-PERFECTNESS

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## Abstract

Ext-finite modules were introduced and studied by Enochs and Jenda. We prove under some conditions that the depth of a local ring is equal to the sum of the Gorenstein injective dimension and Tor-depth of an Ext-finite module of finite Gorenstein injective dimension. Let  $(R, \mathfrak{m})$  be a local ring. We say that an  $R$ -module  $M$  with  $\dim_R M = n$  is a *Grothendieck module* if the  $n$ -th local cohomology module of  $M$  with respect to  $\mathfrak{m}$ ,  $H_{\mathfrak{m}}^n(M)$ , is non-zero. We prove the Bass formula for this kind of modules of finite Gorenstein injective dimension and of maximal Krull dimension. These results are dual versions of the Auslander-Bridger formula for the Gorenstein dimension. We also introduce GF-perfect modules as an extension of quasi-perfect modules introduced by Foxby.

## 1. Introduction

Throughout this paper all rings are commutative and Noetherian with nonzero identity. In [8] and [10] Enochs and Jenda introduced and studied mock finite Gorenstein injective modules. As an extension they introduced and studied the Ext-finite modules of finite Gorenstein injective dimension in [11]. We recall that an  $R$ -module  $M$  is called Ext-finit if  $\text{Ext}_R^i(N, M)$  is finite (i.e. finitely generated) for each finite  $R$ -module  $N$  and for  $i \geq 1$ . Therefore, every finite  $R$ -module  $M$  is Ext-finite and it is also easy to see that every cosyzygy of an Ext-finite module is also Ext-finite [11, (4.7)]. In section 2, following Enochs and Jenda in [11] we prove a dual result for the Auslander-Bridger formula [1] for Ext-finite modules of finite Gorenstein injective dimension. Our approach to obtain a dual result is fundamentally different from the method of Enochs and Jenda in [11]. In this direction, we show that an  $R$ -module  $M$  with finite Gorenstein injective dimension (Gid) has a surjective precover  $N$ , such that  $\text{Gid}_R M = \text{id}_R N$ , with respect to the class  $\mathcal{S}_0$  of modules of finite injective dimension. We call the  $R$ -module  $N$  an  $\mathcal{S}_0$ -precover of  $M$ . Viewing this, we introduce *GI-syzygy* modules for modules of finite Gorenstein injective dimension, see (2.3). These objects play an important role to prove one of our main results in Section 2, see Theorem (2.4).

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Let  $(R, \mathfrak{m})$  be a local ring, and let  $M$  be an  $R$ -module of Krull dimension  $n$ . We say that  $M$  is a *Grothendieck module*, if the  $n$ -th local cohomology module of  $M$  with respect to  $\mathfrak{m}$ ,  $H_{\mathfrak{m}}^n(M)$ , is non-zero. From the non-vanishing Theorem of Grothendieck [4, (6.1.4)] it follows that all finite modules are Grothendieck modules. In [20] R. Sazeeleh used local cohomology to study the Gorenstein injective modules over Gorenstein rings. By developing his method over arbitrary local rings, we prove a dual result for the Auslander-Bridger formula, see Theorem (2.7).

In Section 3 we introduce and study a new invariant for an  $R$ -module  $M$  denoted by  $F\text{-grade}_R M$  which is, an extension of the usual notion of  $\text{grade}_R M$  introduced by Rees in [18]. An  $R$ -module  $M$  of finite Gorenstein flat dimension ( $\text{Gfd}$ ) is called GF-perfect if  $F\text{-grade}_R M = \text{Gfd}_R M$ . This concept generalizes the notion of *quasi-perfectness* introduced by Foxby in [13]. We prove that for GF-perfect modules of finite depth over Cohen-Macaulay local rings we have  $\dim_R M = \text{depth}_R M$ . In Corollary (3.9) we state an Auslander-Bridger formula for GF-perfect modules of finite depth over Cohen-Macaulay rings. We also investigate the behavior of GF-perfect modules under the first fundamental change of rings, see Corollary (3.12).

*Throughout this paper, we use the following notions:*

(1) The Gorenstein injective modules were introduced by Enochs and Jenda in [8]. An  $R$ -module  $M$  is said to be Gorenstein injective if and only if there is an exact sequence

$$\dots \longrightarrow E^{-1} \longrightarrow E^0 \longrightarrow E^1 \longrightarrow \dots$$

of injective  $R$ -modules such that  $M = \ker(E^0 \longrightarrow E^1)$ , and such that for any injective  $R$ -module  $E$ ,  $\text{Hom}_R(E, -)$  leaves the complex above exact. The above complex is known as complete injective resolution.

(2) The Gorenstein flat modules were introduced by Enochs, Jenda, and Torrecillas in [9]. An  $R$ -module  $M$  is said to be Gorenstein flat if and only if there is an exact sequence

$$\dots \longrightarrow F^{-1} \longrightarrow F^0 \longrightarrow F^1 \longrightarrow \dots$$

of flat  $R$ -modules such that  $M = \ker(F^0 \longrightarrow F^1)$ , and such that for any injective  $R$ -module  $I$ ,  $I \otimes_R -$  leaves the complex above exact. The above complex is known as complete flat resolution.

(3) The Gorenstein flat dimension ( $\text{Gfd}$ ) and the Gorenstein injective dimension ( $\text{Gid}$ ), respectively are defined by using the Gorenstein flat modules and the Gorenstein injective modules, by a similar fashion as the flat dimension ( $\text{fd}$ ) and the injective dimension ( $\text{id}$ ), respectively are defined.

(4) Let  $\mathcal{X}$  be a class of  $R$ -modules for some ring  $R$ . If  $\phi : X \rightarrow M$  is linear where  $X \in \mathcal{X}$  and  $M$  is an  $R$ -module, then  $\phi : X \rightarrow M$  is called an  $\mathcal{X}$ -precover of  $M$  if

$$\mathrm{Hom}_R(Y, X) \rightarrow \mathrm{Hom}_R(Y, M) \rightarrow 0$$

is exact for all  $Y \in \mathcal{X}$ .

(5)  $\mathcal{P}_0 = \{M \mid M \text{ is an } R\text{-module of finite projective dimension}\}$ .

(6)  $\mathcal{I}_0 = \{M \mid M \text{ is an } R\text{-module of finite injective dimension}\}$ .

## 2. Dual of the Auslander-Bridger formula

In this section we prove a dual of the Auslander-Bridger formula in Theorems (2.4) and (2.7). In order to prove the theorems we need two lemmas. The following lemma gives a characterization for Cohen-Macaulay local rings.

LEMMA 2.1. *Let  $(R, \mathfrak{m}, k)$  be a local ring and let  $N$  be an Ext-finite  $R$ -module of finite injective dimension and of finite depth. Then*

$$\mathrm{id}_R N = \sup\{i \mid \mathrm{Ext}_R^i(T, N) \neq 0 \text{ for some } T \in \mathcal{P}_0 \text{ with } \ell_R(T) < \infty\},$$

*if and only if  $R$  is a Cohen-Macaulay ring.*

PROOF. First of all suppose that the equality holds. Then there is an  $R$ -module  $T$  of finite length and of finite projective dimension. Hence  $R$  is Cohen-Macaulay by the Intersection Theorem cf. [19]. Conversely, suppose that  $R$  is a Cohen-Macaulay ring. By [21, (1.4)]  $n = \mathrm{id}_R N = \sup\{i \mid \mathrm{Ext}_R^i(k, N) \neq 0\}$ . Then  $\mathrm{Ext}_R^n(k, N) \neq 0$ . Let  $x_1, \dots, x_t$  be a maximal  $R$ -sequence in  $\mathfrak{m}$ . Since  $R$  is Cohen-Macaulay  $\mathfrak{m} \in \mathrm{Ass}(R/(x_1, \dots, x_t))$ . Let  $T = R/(x_1, \dots, x_t)$ . So we have the exact sequence  $0 \rightarrow k \rightarrow T \rightarrow L \rightarrow 0$ , which induces the exact sequence

$$\mathrm{Ext}_R^n(T, N) \rightarrow \mathrm{Ext}_R^n(k, N) \rightarrow 0.$$

So that  $\mathrm{Ext}_R^n(T, N) \neq 0$ , and this completes the proof.

LEMMA 2.2. *Let  $R$  be a ring and let  $M$  be an  $R$ -module with  $\mathrm{Gid}_R M < \infty$ . Then there is a surjective  $\mathcal{I}_0$ -precover  $\varphi : N \rightarrow M$  such that  $\mathrm{id}_R N = \mathrm{Gid}_R M$  and  $\ker \varphi$  is a Gorenstein injective  $R$ -module.*

PROOF. We use an induction argument on  $g = \mathrm{Gid}_R M$ . If  $g = 0$ , then  $M$  is Gorenstein injective. So by definition of the Gorenstein injective modules, there is the exact sequence

$$0 \rightarrow H \rightarrow I \rightarrow M \rightarrow 0,$$

in which  $I$  is injective and  $H$  is Gorenstein injective. By [8, Proposition (2.4)] it is clear that  $I$  is an  $\mathcal{J}_0$ -precover of  $M$ . Now let  $g \geq 1$ . From [16, (2.15)] there is a Gorenstein injective module  $G$  and an  $R$ -module  $L$  with  $\text{id}_R L = g - 1$  such that the following sequence is exact

$$0 \longrightarrow M \longrightarrow G \longrightarrow L \longrightarrow 0.$$

Since  $G$  is Gorenstein injective we have the following pullback diagram

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ & & H & \xlongequal{\quad} & H & & \\ & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & N & \longrightarrow & E & \longrightarrow & L \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \longrightarrow & M & \longrightarrow & G & \longrightarrow & L \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

where  $H$  is Gorenstein injective module and  $\text{id}_R N = g$ . Now from [8, Proposition (2.4)] it follows that in the exact sequence

$$0 \longrightarrow H \longrightarrow N \longrightarrow M \longrightarrow 0,$$

$N$  is an  $\mathcal{J}_0$ -precover of  $M$  such that  $\text{id}_R N = \text{Gid}_R M$ .

Let  $M$  be an  $R$ -module with  $\text{Gid}_R M < \infty$ . From the above lemma we have the exact sequence

$$0 \longrightarrow H_1 \longrightarrow N \longrightarrow M \longrightarrow 0,$$

where  $H_1$  is Gorenstein injective. From the definition of Gorenstein injective modules there is an injective  $R$ -module  $E_1$  and a Gorenstein injective module  $H_2$  such that the following sequence is exact.

$$0 \longrightarrow H_2 \longrightarrow E_1 \longrightarrow H_1 \longrightarrow 0.$$

By continuing in the same manner we can find Gorenstein injective modules  $H_i$  and injective modules  $E_i$  for  $i \geq 1$  such that the sequence

$$\cdots \longrightarrow E_2 \longrightarrow E_1 \longrightarrow N \longrightarrow M \longrightarrow 0,$$

is exact. It is clear that  $\text{id}_R M < \infty$  if and only if the above sequence is finite.

DEFINITION 2.3. In the above construction we call the  $R$ -modules  $H_i$  for  $i \geq 1$  the  $i$ -th  $GI$ -syzygy module of  $M$ .

Now we are in the position of proving the first main result of this section. Recall that

$$\text{Tor-depth}_R M = \inf\{i \mid \text{Tor}_i^R(k, M) \neq 0\}.$$

It is shown in [15, (14.17)] that  $\text{Tor-depth}_R M$  is finite if and only if  $\text{depth}_R M$  is finite.

THEOREM 2.4. *Let  $(R, \mathfrak{m}, k)$  be a Cohen-Macaulay local ring and let  $M$  be an Ext-finite  $R$ -module of infinite injective dimension. If  $\text{Gid}_R M < \infty$  and  $M$  has an Ext-finite  $GI$ -syzygy module, then*

$$\text{Gid}_R M + \text{Tor-depth}_R M = \text{depth } R.$$

PROOF. Let  $g = \text{Gid}_R M$ . If  $g = 0$ , then [11, (4.1)] gives the result. Now suppose that  $g \geq 1$ , so  $M$  has an  $\mathcal{S}_0$ -precover  $N$ , with  $\text{id}_R N = g$ , and such that in the exact sequence

$$(*) \quad 0 \longrightarrow H \longrightarrow N \longrightarrow M \longrightarrow 0$$

$H$  is a Gorenstein injective module with  $\text{id}_R H = \infty$ . By definition of  $GI$ -syzygy modules of  $M$ , it is easy to see that  $H$  is an Ext-finite module. So by [11, (4.1)]  $\text{Tor-depth}_R H = \text{depth } R = \dim R = d$ . If  $\text{Tor-depth}_R M = \infty$ , from the long exact sequence of homologies we get that  $\text{Tor-depth}_R N = \text{Tor-depth}_R H = d$ . Since  $M$  and  $H$  are Ext-finite modules, then so is  $N$ . Now from [21, (1.6)] it follows that  $\text{id}_R N = 0$ . This yields that  $g = 0$ , which is a contradiction, hence  $\text{Tor-depth}_R M < \infty$ . On the other hand, since  $\text{Tor-depth}_R H = d$ , we get  $\text{depth}_R H = 0$  by [15, (14.18)], and this yields that  $\text{depth}_R N = 0$ . So we obtain that  $\text{Tor-depth}_R N < \infty$  by [15, (14.18)] again. Since  $R$  is a Cohen-Macaulay ring, from Lemma (2.1) we get that  $\text{Ext}_R^g(T, N) \neq 0$  for some  $T \in \mathcal{P}_0(R)$  of finite length. From the long exact sequence induced by  $(*)$  and [8, Proposition (2.4)] we find that there is an exact sequence as follows

$$0 = \text{Ext}_R^g(T, H) \longrightarrow \text{Ext}_R^g(T, N) \longrightarrow \text{Ext}_R^g(T, M) \longrightarrow 0.$$

Therefore

$$\text{Gid}_R M = \sup\{i \mid \text{Ext}_R^i(T, M) \neq 0 \text{ for some } T \in \mathcal{P}_0 \text{ with } \ell_R(T) < \infty\},$$

and so we find that

$$\text{Gid}_R M$$

$$\begin{aligned} &= \sup\{i \mid \text{Hom}_R(\text{Ext}_R^i(T, M), E(k)) \neq 0 \text{ for some } T \in \mathcal{P}_0 \text{ with } \ell_R(T) < \infty\} \\ &= \sup\{i \mid \text{Tor}_i^R(T, \text{Hom}_R(M, E(k))) \neq 0 \text{ for some } T \in \mathcal{P}_0 \text{ with } \ell_R(T) < \infty\}. \end{aligned}$$

On the other hand, since  $\text{depth}_R T = 0$  and  $\text{depth}_R \text{Hom}_R(M, E(k)) = \text{Tor-depth}_R M$ , from [22, (2.3)], it follows that the right side of the second equality is  $\text{depth } R - \text{Tor-depth}_R M$  as desired.

Now it is natural to ask the following question:

**QUESTION 2.5.** How can we decide about the Ext-finiteness (mock finiteness) of  $N$  in Lemma 2.2, when  $M$  is an Ext-finite (mock finite) module?

Note that a consequence of an affirmative answer to our question gives a dual result for the Auslander-Bridger formula as follows:

Let  $(R, \mathfrak{m}, k)$  be a Cohen-Macaulay local ring and let  $M$  be a mock finite  $R$ -module with  $\text{Gid}_R M < \infty$  and of infinite injective dimension. Then

$$\text{Gid}_R M + \text{Tor-depth}_R M = \text{depth } R.$$

In the rest of this section we introduce a class of modules called *Grothendieck modules*. We find a dual result for the Auslander-Bridger formula for this kind of modules of maximal dimension.

**DEFINITION 2.6.** An  $R$ -module  $M$  of Krull dimension  $n$ , is said to be a *Grothendieck module* if  $H_{\mathfrak{m}}^n(M) \neq 0$ .

The following result is analogous to a classical result due to H. Bass [3]. In [23] Takahashi proved the following theorem for finite modules, under the additional assumption that the base ring admits a dualizing complex. In [25] Yassemi, proved Takahashi's result, without assuming that the ring admits a dualizing complex.

**THEOREM 2.7.** *Let  $(R, \mathfrak{m})$  be a local ring and let  $M$  be a Grothendieck module with  $\text{Gid}_R M < \infty$ . If  $\dim M = \dim R$ , then  $R$  is a Cohen-Macaulay ring and  $\text{Gid}_R M = \text{depth } R$ .*

**PROOF.** Let  $g = \text{Gid}_R M$  and let  $n = \dim M$ . By a similar argument to that of [20, (3.1)] it is easy to see that  $H_{\mathfrak{m}}^i(H) = 0$  when  $\text{Gid}_R H = 0$  and  $i > 0$ . Now from Lemma (2.2) it is clear that for  $i > 0$ ,  $H_{\mathfrak{m}}^i(M) = H_{\mathfrak{m}}^i(N)$  when  $N$  is an  $\mathcal{J}_0$ -precover of  $M$  such that  $\text{id}_R N = g$ . It is easy to see that in this case  $H_{\mathfrak{m}}^i(N) = 0$  for  $i > g$ . On the other hand, since  $\dim N = \dim M$ ,  $N$  is a

Grothendieck module too. Therefore  $n \leq \text{id}_R N$ . Now we have the following (in)equalities:

$$n = \dim R \leq \text{id}_R N = \text{depth } R_{\mathfrak{p}} - \text{Tor-depth}_{R_{\mathfrak{p}}} N_{\mathfrak{p}} \leq \text{ht } \mathfrak{p}$$

in which the second equality holds by [6, (3.1)], so we obtain  $\mathfrak{p} = \mathfrak{m}$ . This ends our proof.

Recall from [5] that an  $R$ -module  $M$  is said to be have rank  $r$ , if  $M_{\mathfrak{p}}$  is a free  $R_{\mathfrak{p}}$ -module of rank  $r$ , for all prime ideals  $\mathfrak{p} \in \text{Ass}(R)$ . It is clear that, finite modules with positive rank are of maximal Krull dimension.

**COROLLARY 2.8.** *If  $M$  is a finite module of positive rank with finite Gorenstein injective dimension, then  $R$  is a Cohen-Macaulay ring.*

It is interesting to know that there is a large class of non-finite modules satisfying both conditions of Theorems 2.4 and 2.7.

**EXAMPLE 2.9.** Let  $(R, \mathfrak{m}, k)$  be an  $n$ -Gorenstein local ring which is not regular, and let  $L$  be an  $R$ -module with  $\ell_R(L) < \infty$  and  $\text{id}_R L = \infty$ . Let  $E^\cdot$  be the minimal injective resolution of  $L$ . Therefore, each term of  $E^\cdot$ , is direct sum of finitely many copies of  $E(k)$ , the injective envelope of  $k$ . So all terms of  $E^\cdot$  are  $\mathfrak{m}$ -torsion, in the sense of [4]. Let  $H$  be the  $r$ -th cosyzygy of this resolution for  $r \geq n$ . By [11, (4.2) and (4.7)]  $H$  is Gorenstein injective and Ext-finite. So, by [8, (6.5)]  $H$  is a mock finite module. Viewing [8, (6.6)] we get that, the first  $GI$ -syzygy of  $H$  is mock finite too. Set  $M = H \oplus R$ , now it is clear that  $M$  is an Ext-finite, Grothendieck  $R$ -module with  $\dim M = \dim R$ .

### 3. GF-Perfect modules

Let  $M$  be a finite  $R$ -module, the notion  $\text{grade}_R M$  was defined by Rees as the least integer  $\ell \geq 0$  such that  $\text{Ext}_R^\ell(M, R) \neq 0$ . In [18] Rees proved that the  $\text{grade}_R M$  is the maximum lengths of  $R$ -regular elements in  $\text{Ann}_R(M)$ . It is easy to see that  $\text{grade}_R M$  is the least integer  $\ell \geq 0$  such that  $\text{Ext}_R^\ell(M, P) \neq 0$  for some projective  $R$ -module  $P$ . When  $M$  is a non-finite  $R$ -module there is not any extension of this important invariant, however in any extension of grade, a homological view is useful. In this section for an arbitrary  $R$ -module  $M$  we introduce a new invariant denoted by  $\text{F-grade}_R M$ , such that when  $M$  is finite then  $\text{F-grade}_R M = \text{grade}_R M$ . One important concept closely related to the grade of modules is *perfectness*. A finite  $R$ -module  $M$  with  $\text{pd}_R M < \infty$  is said to be *perfect* if  $\text{grade}_R M = \text{pd}_R M$ . This concept was generalized by Foxby in [13] where he defined *quasi-perfect* modules. A finite  $R$ -module  $M$  with  $\text{G-dim}_R M < \infty$  is said to be *quasi-perfect* if  $\text{grade}_R M = \text{G-dim}_R M$  (in which  $\text{G-dim}_R M$  is Gorenstein dimension of  $M$  introduced by Auslander and

Bridger in [1]). The perfect and quasi-perfect modules over Cohen-Macaulay local rings are Cohen-Macaulay modules, see [5] and [13], respectively. In this section a generalization of this fact over Cohen-Macaulay local rings is proved in Theorem (3.7) below.

DEFINITION 3.1. Let  $M$  be an  $R$ -module. The *Flat grade* of  $M$  is denoted by  $\text{F-grade}_R M$ , and it is defined by the following formula

$$\text{F-grade}_R M = \inf\{i \mid \text{Ext}_R^i(M, F) \neq 0 \text{ for some flat } R\text{-module } F\}.$$

By definition it is clear that  $\text{F-grade}_R M \leq \text{grade}_R M$ .

REMARK 3.2. Let  $M$  be a finite  $R$ -module and suppose that  $\text{F-grade}_R M = \ell$ . Then there is a flat  $R$ -module  $F$  such that  $\text{Ext}_R^\ell(M, F) \neq 0$ . Since  $M$  is finite,  $\text{Ext}_R^\ell(M, F) \simeq \text{Ext}_R^\ell(M, R) \otimes_R F$  and so  $\text{Ext}_R^\ell(M, R) \neq 0$ . Therefore,  $\text{grade}_R M \leq \ell$ . Now we have  $\text{F-grade}_R M = \text{grade}_R M$ .

It is not difficult to see that  $\text{F-grade}_R M \leq \text{Gfd}_R M$ , it is also a trivial consequence of the following proposition. Recall from [24, Definition (3.1.1)] that an  $R$ -module  $C$  is called cotorsion, if for all flat  $R$ -modules  $F$ ,  $\text{Ext}_R^1(F, C) = 0$ . The following proposition shows that  $\text{F-grade}$  and  $\text{Gfd}$  can be computed via cotorsion flat modules.

PROPOSITION 3.3. *Let  $R$  be a ring and let  $M$  be an  $R$ -module. Then*

$$\text{F-grade}_R M = \inf\{i \mid \text{Ext}_R^i(M, F) \neq 0 \text{ for some cotorsion flat } R\text{-module } F\},$$

and if  $\text{Gfd}_R M < \infty$ , then

$$\text{Gfd}_R M = \sup\{i \mid \text{Ext}_R^i(M, F) \neq 0 \text{ for some cotorsion flat } R\text{-module } F\}.$$

PROOF. If  $\text{F-grade}_R M = \infty$ , then  $\text{Ext}_R^i(M, F) = 0$  for all flat  $R$ -modules, thus the right side is infinity too. Let  $\text{F-grade}_R M = n$ , therefore  $\text{Ext}_R^n(M, F) \neq 0$  for some flat  $R$ -module  $F$ . Now let  $Q$  be the pure injective envelope of  $F$  cf. [24]. By [24, (3.1.6)]  $Q/F = H$  is flat, thus  $\text{Ext}_R^i(M, H) = 0$  for  $i < n$ . On the other hand the exact sequence  $0 \rightarrow F \rightarrow Q \rightarrow H \rightarrow 0$  gives rise to an injection

$$0 \rightarrow \text{Ext}_R^n(M, F) \rightarrow \text{Ext}_R^n(M, Q) \rightarrow \dots$$

Therefore  $\text{Ext}_R^n(M, Q) \neq 0$ . Keep in mind that pure injective modules are cotorsion.

Now let  $g = \text{Gfd}_R M < \infty$ . From [16] we can find an injective module  $J$  such that  $\text{Tor}_g^R(M, J) \neq 0$ . Therefore  $\text{Hom}_R(\text{Tor}_g^R(M, J), Q) \neq 0$  for a



faithfully injective  $R$ -module  $Q$ . This yields that  $\text{Ext}_R^g(M, \text{Hom}_R(J, Q)) \neq 0$ . By setting  $F = \text{Hom}_R(J, Q)$  and considering the simple fact that  $F$  is a flat cotorsion  $R$ -module, we see that the right side is greater than or equal to  $g$ . On the other hand, let  $F$  be a cotorsion flat  $R$ -module. Therefore, from [12, (2.3)] it follows that there is a flat  $R$ -module  $H$ , and injective  $R$ -modules  $J_1$  and  $J_2$  such that  $F \oplus H = \text{Hom}_R(J_1, J_2)$ . Let for some  $i > g$ ,  $\text{Ext}_R^i(M, F) \neq 0$ . Hence  $\text{Ext}_R^i(M, \text{Hom}_R(J_1, J_2)) = \text{Hom}_R(\text{Tor}_i^R(M, J_1), J_2) \neq 0$  and so  $\text{Tor}_i^R(M, J_1) \neq 0$ . From this and [16] we have  $\text{Gfd}_R M > g$ , which is a contradiction.

**DEFINITION 3.4.** Let  $M$  be an  $R$ -module with  $\text{Gfd}_R M < \infty$ . We call  $M$  Gorenstein flat perfect (GF-perfect for short) if  $\text{F-grade}_R M = \text{Gfd}_R M$ .

Note that a finite  $R$ -module  $M$  is quasi-perfect if and only if it is GF-perfect, because  $\text{G-dim}_R M = \text{Gfd}_R M$  by [9] and  $\text{F-grade}_R M = \text{grade}_R M$  by Remark 3.2.

**LEMMA 3.5.** *Let  $R$  be a ring and let  $M$  be a GF-perfect  $R$ -module, then for  $\mathfrak{p} \in \text{Supp}_R M$ ,  $M_{\mathfrak{p}}$  is a GF-perfect  $R_{\mathfrak{p}}$ -module.*

**PROOF.** Since  $\text{Gfd}_R M < \infty$  it is easy to see that  $\text{Gfd}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} < \infty$ . Thus we have  $\text{F-grade}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \leq \text{Gfd}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} < \infty$ . Set  $\text{F-grade}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} = n$ . So there is a flat  $R_{\mathfrak{p}}$ -module  $Q$  such that  $\text{Ext}_{R_{\mathfrak{p}}}^n(M_{\mathfrak{p}}, Q) \neq 0$ . Consider an  $R$ -projective resolution  $P_{\cdot} \rightarrow M$ , hence  $P_{\cdot, \mathfrak{p}}$  is a projective resolution for  $M_{\mathfrak{p}}$ . Now we have the following equalities

$$\begin{aligned} 0 \neq \text{Ext}_{R_{\mathfrak{p}}}^n(M_{\mathfrak{p}}, Q) &= \text{H}^n(\text{Hom}_{R_{\mathfrak{p}}}(P_{\cdot, \mathfrak{p}}), Q) \\ &= \text{H}^n(\text{Hom}_R(P_{\cdot}, Q)) = \text{Ext}_R^n(M, Q) \end{aligned}$$

Since  $Q$  is also flat as an  $R$ -module, then  $\text{F-grade}_R M \leq n$ . Hence we have the following chain of inequalities

$$\text{F-grade}_R M \leq \text{F-grade}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \leq \text{Gfd}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \leq \text{Gfd}_R M.$$

Since  $M$  is GF-perfect so  $\text{Gfd}_R M = \text{F-grade}_R M$ , therefore  $M_{\mathfrak{p}}$  is also GF-perfect  $R_{\mathfrak{p}}$ -module.

The following lemma is well known [14]. We include a proof here for completeness.

**LEMMA 3.6.** *Let  $(R, \mathfrak{m})$  be a local ring with  $\text{cmd } R = \dim R - \text{depth } R \leq 1$ . If  $\mathfrak{p}, \mathfrak{q} \in \text{Spec}(R)$  and  $\mathfrak{p} \subseteq \mathfrak{q}$ , then  $\text{depth } R_{\mathfrak{p}} \leq \text{depth } R_{\mathfrak{q}}$ .*

**PROOF.** If  $\text{cmd } R = 0$  there is nothing to prove. Now we can assume  $\text{cmd } R = 1$ . We will induct on  $d = \dim R$ . If  $d = 0$  it is trivial. Assume  $d > 0$ ,

and let  $\mathfrak{p} \subseteq \mathfrak{q}$ . If  $\mathfrak{q} = \mathfrak{m}$ , viewing [2], we get that  $\text{depth } R_{\mathfrak{p}} \leq \dim R - 1 = \text{depth } R_{\mathfrak{q}}$ . Now let  $\mathfrak{q} \neq \mathfrak{m}$ , since  $\dim R_{\mathfrak{q}} \leq d$  and  $\text{cmd } R_{\mathfrak{q}} \leq \text{cmd } R \leq 1$ , by the induction hypothesis we have  $\text{depth } R_{\mathfrak{p}} = \text{depth}(R_{\mathfrak{q}})_{\mathfrak{p}R_{\mathfrak{q}}} \leq \text{depth } R_{\mathfrak{q}}$ .

In the following theorem we generalized, results of Rees and Foxby in [18] and [13]. For the proof we need to recall the definition of the invariant  $\text{Rfd}$  which is called Large Restricted flat dimension, introduced and studied by Christensen, Foxby and Frankild in [7]. It is defined by the formula

$$\text{Rfd}_R M = \sup\{i \mid \text{Tor}_i^R(L, M) \neq 0 \text{ for some } R\text{-module } L \text{ with } \text{fd}_R L < \infty\}.$$

This number is finite, as long as  $M$  is nonzero and the Krull dimension of  $R$  is finite; see [7, (2.2)]. They proved that, see [7, (2.4)]

$$\text{Rfd}_R M = \sup\{\text{depth } R_{\mathfrak{p}} - \text{depth}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \mid \mathfrak{p} \in \text{Spec}(R)\}.$$

**THEOREM 3.7.** *Let  $(R, \mathfrak{m})$  be a local ring such that  $\text{cmd } R \leq 1$ . Then for any GF-perfect  $R$ -module  $M$  of finite depth we have:*

$$\text{depth } R - \text{depth}_R M \leq \text{F-grade}_R M \leq \dim R - \dim M.$$

*In particular, if  $R$  is a Cohen-Macaulay ring, then  $\text{depth}_R M = \dim M$ .*

**PROOF.** First of all we show that for each  $\mathfrak{p} \in \text{Ass}_R(M)$ ,  $\text{F-grade}_R M = \text{depth } R_{\mathfrak{p}}$ . Choose  $\mathfrak{p} \in \text{Ass}_R(M)$ . Thus  $\text{depth}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} = 0$ . Since  $\text{Gfd}_R M < \infty$  therefore by [7] and [16, (3.19)] we have

$$\text{depth } R_{\mathfrak{p}} = \text{depth } R_{\mathfrak{p}} - \text{depth}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \leq \text{Gfd}_R M.$$

Since  $\text{Gfd}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} < \infty$ , there is by [16, (3.19)] a prime ideal  $\mathfrak{q} \subseteq \mathfrak{p}$  such that

$$\text{Gfd}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} = \text{depth } R_{\mathfrak{q}} - \text{depth}_{R_{\mathfrak{q}}} M_{\mathfrak{q}}.$$

Hence by noting Lemma (3.6) we have:

$$\text{Gfd}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \leq \text{depth } R_{\mathfrak{p}} - \text{depth}_{R_{\mathfrak{q}}} M_{\mathfrak{q}} \leq \text{Gfd}_R M - \text{depth}_{R_{\mathfrak{q}}} M_{\mathfrak{q}}.$$

By Lemma (3.5)  $M_{\mathfrak{p}}$  is GF-perfect as  $R_{\mathfrak{p}}$ -module and  $\text{Gfd } M = \text{Gfd}_{R_{\mathfrak{p}}} M_{\mathfrak{p}}$ . Hence  $\text{depth}_{R_{\mathfrak{q}}} M_{\mathfrak{q}} = 0$  and

$$\text{depth } R_{\mathfrak{p}} \leq \text{Gfd}_R M = \text{Gfd}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \leq \text{depth } R_{\mathfrak{p}}.$$

Now our first claim is proved.

Choose  $\mathfrak{p} \in \text{Ass}_R(M)$  such that  $\dim_R M = \dim R/\mathfrak{p}$ . The following inequalities are clear

$$(*) \quad \dim_R M + \text{grade}_R(\mathfrak{p}) \leq \dim R/\mathfrak{p} + \text{ht } \mathfrak{p} \leq \dim R.$$

Since  $\text{cmd } R \leq 1$ , using [5, (1.2.10)] we have:

$$\text{grade}_R(\mathfrak{p}) = \inf\{\text{depth } R_{\mathfrak{q}} \mid \mathfrak{p} \subseteq \mathfrak{q}\} = \text{depth } R_{\mathfrak{p}}.$$

By our first claim since  $\mathfrak{p} \in \text{Ass}_R(M)$ ,  $\text{F-grade}_R M = \text{depth } R_{\mathfrak{p}} = \text{grade}_R(\mathfrak{p})$ . By (\*) we have

$$\dim_R M + \text{F-grade}_R M \leq \dim R,$$

which is the second equality. Since  $\text{F-grade}_R M = \text{Gfd}_R M$ , by [7] and [16, (3.19)], we get that  $\text{depth } R - \text{depth}_R M \leq \text{F-grade}_R M$ . This completes the proof.

The following Example shows that the hypothesis of finiteness of depth is necessary.

**EXAMPLE 3.8.** Let  $(R, \mathfrak{m})$  be a local domain with  $\dim R > 0$  and let  $K$  be its fraction field. It is clear that  $K$  is GF-perfect but  $\text{depth}_R K = \infty$  and  $\dim_R K = \dim R$ .

The following result is analogous to the Auslander-Bridger formula for the Gorenstein dimension [1]. We remark that, when  $R$  is a Cohen-Macaulay local ring and  $M$  is a finite  $R$ -module, it is clear that,  $\text{grade}_R M + \dim_R M = \dim R$ . Viewing this, the following result is also an extension of this fact in the non-finite case.

**COROLLARY 3.9.** *Let  $(R, \mathfrak{m})$  be a local Cohen-Macaulay ring and let  $M$  be a GF-perfect module of finite depth. Then*

$$\text{Gfd}_R M + \text{depth}_R M = \dim R.$$

**LEMMA 3.10.** *Let  $R$  be a ring and let  $x$  be an  $R$  and  $M$ -regular element. Set  $S = R/xR$ . Then*

$$\text{Rfd}_R M = \text{Rfd}_S(M/xM).$$

**PROOF.** Set  $\bar{X} = X \otimes_R S$  for a module  $X$ . It is a simple computation that in the exact sequence

$$0 \longrightarrow K \longrightarrow F \longrightarrow M \longrightarrow 0,$$

when  $F$  is a flat  $R$ -module we have  $\text{Rfd}_R K = 0$ , if  $\text{Rfd}_R M = 0$  and if  $\text{Rfd}_R M > 0$  then  $\text{Rfd}_R K = \text{Rfd}_R M - 1$ . We will induct on  $n = \text{Rfd}_R M$ . Let  $L$  be a module such that  $\text{fd}_S L < \infty$ , thus  $\text{fd}_R L < \infty$ . By [17, page 140], we have  $\text{Tor}_i^S(L, \bar{M}) = \text{Tor}_i^R(L, M)$ . So if  $\text{Rfd}_R M = 0$  thus  $\text{Rfd}_S \bar{M} = 0$ .

Now let  $n > 0$ . Consider the exact sequence  $0 \longrightarrow K \longrightarrow F \longrightarrow M \longrightarrow 0$ , where  $F$  is a free module. Since  $x$  is both  $F$  and  $M$ -regular, by [5, (1.1.5)] the following sequence is again exact

$$0 \longrightarrow \overline{K} \longrightarrow \overline{F} \longrightarrow \overline{M} \longrightarrow 0.$$

By the induction hypothesis  $\text{Rfd}_S \overline{K} = n - 1$  and so  $\text{Rfd}_S \overline{M} = n$ .

The following theorem is a generalization of a theorem due to Auslander and Bridger in [1] on the behavior of the Gorenstein dimension under base change.

**THEOREM 3.11.** *Let  $R$  be a ring and let  $M$  be an  $R$ -module with  $\text{Gfd}_R M < \infty$ . Let  $x$  be an  $R$  and  $M$ -regular element, and let  $S = R/xR$ . Then*

- (1)  $\text{Gfd}_R M = \text{Gfd}_S(M/xM)$ .
- (2)  $\text{Gfd}_R(M/xM) = \text{Gfd}_R M + 1$ .

**PROOF.** Set  $\overline{X} = X \otimes_R S$  for a module  $X$ . For part (1) we argue by induction on  $g = \text{Gfd}_R M$ . Let  $g = 0$ , so  $M$  is a Gorenstein flat  $R$ -module. Consider a complete resolution of flat modules as the following

$$\cdots \longrightarrow F_1 \xrightarrow{\partial_1} F_0 \xrightarrow{\partial_0} F_{-1} \xrightarrow{\partial_{-1}} F_{-2} \longrightarrow \cdots$$

such that  $M \simeq \ker \partial_0$ ; and for all  $i \in \mathbf{Z}$  each  $\ker \partial_i = M_i$  is a Gorenstein flat  $R$ -module and has the flat resolution as

$$\cdots \longrightarrow F_{i+2} \longrightarrow F_{i+1} \longrightarrow M_i \longrightarrow 0.$$

Since  $x$  is  $M_i$ -regular and  $F_i$ -regular for all  $i$ , by [5, (1.1.5)] the following sequence is again exact

$$\cdots \longrightarrow \overline{F}_{i+2} \longrightarrow \overline{F}_{i+1} \longrightarrow \overline{M}_i \longrightarrow 0.$$

If we splice these sequences to each other we get a long exact sequence of flat  $S$ -modules

$$(*) \quad \cdots \longrightarrow \overline{F}_1 \xrightarrow{\overline{\partial}_1} \overline{F}_0 \xrightarrow{\overline{\partial}_0} \overline{F}_{-1} \xrightarrow{\overline{\partial}_{-1}} \overline{F}_{-2} \longrightarrow \cdots$$

Let  $J$  be an injective  $S$ -module, hence by [17, page 140] we have

$$\text{Tor}_\ell^S(J, \overline{M}_i) = \text{Tor}_\ell^R(J, M_i) \quad \text{for all } \ell \geq 0.$$

Since  $\text{id}_R J < \infty$ ,  $\text{Tor}_\ell^R(J, M_i) = 0$  for  $\ell > 0$ . So (\*) is a complete flat resolution of  $S$ -modules such that  $\overline{M} = \ker \overline{\partial}_0$  and hence  $\overline{M}$  is a Gorenstein flat  $S$ -module.

Now let  $g > 0$ , so there is a Gorenstein flat  $R$ -module  $G$  and an  $R$ -module  $L$  with  $\text{Gfd}_R L = g - 1$ , such that the following sequence is exact

$$0 \longrightarrow L \longrightarrow G \longrightarrow M \longrightarrow 0.$$

Since  $x$  is  $G$ -regular, by [5, (1.1.5)] the following sequence of  $S$ -modules is exact

$$0 \longrightarrow \bar{L} \longrightarrow \bar{G} \longrightarrow \bar{M} \longrightarrow 0.$$

By the induction hypothesis,  $\text{Gfd}_S \bar{L} = g - 1$  and  $\text{Gfd}_S \bar{G} = 0$ , therefore  $\text{Gfd}_S \bar{M} < \infty$ . Now [16, (3.19)] and lemma (3.10) give the desired equality.

(2) Since  $x$  is  $M$ -regular we have

$$0 \longrightarrow M \xrightarrow{\cdot x} M \longrightarrow \bar{M} \longrightarrow 0.$$

From [16] we have  $\text{Gfd}_R \bar{M} < \infty$ , and by [16, (3.19)] and [22, (3.6)] we get  $\text{Gfd}_R \bar{M} = \text{Rfd}_R \bar{M} = \text{Rfd}_S \bar{M} + 1$ . But from Lemma (3.10) we find that  $\text{Gfd}_S \bar{M} = \text{Rfd}_S \bar{M} = \text{Gfd}_R M$  and this completes the proof of part (2).

**COROLLARY 3.12.** *Let  $R$  be a ring and let  $M$  be a GF-perfect  $R$ -module. If  $x$  is an  $R$  and  $M$ -regular element and  $S = R/xR$  and  $\bar{M} = M/xM$ , then  $\bar{M}$  is a GF-perfect  $S$ -module.*

**PROOF.** It follows from Theorem (3.11) that  $\text{Gfd}_S \bar{M} < \infty$ , and so  $\text{F-grade}_S \bar{M} < \infty$ . Let  $n = \text{Gfd}_R M = \text{F-grade}_R M$  and  $m = \text{F-grade}_S \bar{M}$ . Thus there is a flat  $S$ -module  $F$ , such that  $\text{Ext}_S^m(\bar{M}, F) \neq 0$ . Since  $\text{fd}_R F < \infty$ , using [17, page 140] it is not difficult to see that  $n \leq m$ . On the other hand, by Theorem (3.11),  $n = \text{Gfd}_R M = \text{Gfd}_S \bar{M} \geq \text{F-grade}_S \bar{M} = m$ , and so  $n = m$ . Now it follows that  $\bar{M}$  is a GF-perfect  $S$ -module.

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