

PLANE SETS ALLOWING BILIPSCHITZ EXTENSIONS

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Abstract

We give a geometric characterization for a plane set $A \subset \mathbf{R}^2$ to have the following linear bilipschitz extension property: For $0 \leq \varepsilon \leq \delta$, every $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^2$ has a $(1 + C\varepsilon)$ -bilipschitz extension to the whole plane \mathbf{R}^2 .

1. Introduction

Let A be a subset of the Euclidean n -space \mathbf{R}^n and let $L \geq 1$. A map $f: A \rightarrow \mathbf{R}^n$ is L -bilipschitz if

$$|x - y|/L \leq |f(x) - f(y)| \leq L|x - y|$$

for all $x, y \in A$.

In general, an L -bilipschitz map $f: A \rightarrow \mathbf{R}^n$ cannot be extended to a bilipschitz map $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$, not even to a homeomorphism, but this is often possible in the case the bilipschitz constant L is close to 1. Extensions of this kind are interesting because of their connections with embeddings in Banach spaces and possible applications in theoretical computer science, cf. [4, p. 6] and [5, Ch. 15]. However, even in the Euclidean case there are few results that characterize the sets that have such extension properties. The main goal of this article is to give such a characterization for planar sets under the condition that an initial error term ε is allowed to grow at most linearly to $C\varepsilon$. In order to understand this property in a more general context, we recall the following concepts.

Let Φ be the set of increasing homeomorphisms $\varphi: [0, \infty) \rightarrow [0, \infty)$. If $\varphi \in \Phi$ and $\delta > 0$, we say that a set $A \subset \mathbf{R}^n$ has the (φ, δ) -bilipschitz extension property, (φ, δ) -BLEP for short, if for $0 \leq \varepsilon \leq \delta$, every $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^n$ has an extension to a $(1 + \varphi(\varepsilon))$ -bilipschitz map $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$. We say that a set $A \subset \mathbf{R}^n$ belongs to the class φ -BLEP if it has the (φ, δ) -BLEP for some $\delta > 0$. In the case $\varphi(\varepsilon) = C\varepsilon$ we say that A has the (C, δ) -linear BLEP.

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The 1-dimensional case is somewhat exceptional for the following reason. For $A \subset \mathbf{R}$, an embedding $f: A \rightarrow \mathbf{R}$ has a homeomorphic extension $F: \mathbf{R} \rightarrow \mathbf{R}$ if and only if it is monotone. This result has a bilipschitz counterpart: a monotone L -bilipschitz map $f: A \rightarrow \mathbf{R}$ can be extended to an L -bilipschitz map $F: \mathbf{R} \rightarrow \mathbf{R}$ (with the same constant) by using a piecewise linear construction. Therefore, a set $A \subset \mathbf{R}$ has the $(1, \delta)$ -linear BLEP if and only if $(1 + \varepsilon)$ -bilipschitz maps $f: A \rightarrow \mathbf{R}$ are monotone for $\varepsilon \leq \delta$. Thus all the φ -BLEP classes are the same in dimension one.

It was shown in [3] that a set $A \subset \mathbf{R}^n$ has (C, δ) -linear BLEP if it satisfies a geometric condition called sturdiness; see 2.2 for the definition. In this article we prove that the converse is true in the 2-dimensional case. More precisely, we obtain the following theorem.

THEOREM 1.1. *Let $A \subset \mathbf{R}^2$ contain at least three points. Then the following assertions are quantitatively equivalent:*

- (1) A is c -sturdy.
- (2) A has the (C, δ) -linear BLEP.

Here quantitative equivalence means that C and δ depend only on c , and conversely, $c = c(C, \delta)$.

The proof is given in subsection 4.3. Note that a set $A \subset \mathbf{R}^n$ consisting of at most two points has the 1-linear BLEP but it is sturdy only in the cases $n = 1$ or $\#A = 1$.

For extension problems in higher dimensions and with more general bounds for the bilipschitz constant, see [7] and the references in [3].

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2. Basic concepts

Our notation is standard and the same as in [3]. However, we recall the abbreviation $A(a, r) = A \cap \bar{B}(a, r)$ for a subset $A \subset \mathbf{R}^n$ and the following three geometric properties of sets that are needed in our main result.

2.1. Thickness. For each unit vector $e \in S^{n-1}$ we define the projection $\pi_e: \mathbf{R}^n \rightarrow \mathbf{R}$ by $\pi_e x = x \cdot e$. Let $A \neq \emptyset$ be a bounded set in \mathbf{R}^n . The *thickness* of A is the number

$$\theta(A) = \inf\{d(\pi_e A) : e \in S^{n-1}\}.$$

Alternatively, $\theta(A)$ is the infimum of all $t > 0$ such that A lies between two parallel hyperplanes F, F' with $d(F, F') = t$. We have always $0 \leq \theta(A) \leq d(A)$.

2.2. *Sturdiness.* Let $A \subset \mathbb{R}^n$. For $a \in A$ we set $s(a) = s_A(a) = d(a, A \setminus \{a\})$. Then $s(a) > 0$ if and only if a is isolated in A .

Let $c \geq 1$. We say that the set $A \subset \mathbb{R}^n$ is *c-sturdy* if

- (1) $\theta(A(a, r)) \geq 2r/c$ whenever $a \in A, r \geq cs(a), A \not\subset B(a, r)$,
- (2) $\theta(A) \geq d(A)/c$.

If A is unbounded, we omit (2), and the condition $A \not\subset B(a, r)$ of (1) is unnecessary.

Examples of sturdy sets in the plane include bounded Lipschitz-domains, \mathbb{Z}^2 , and the snowflake curve.

2.3. *Relative connectivity* [6, 4.6]. Let $A \subset \mathbb{R}^n$ and $M \geq 1$. A sequence $(x_0, x_1, \dots, x_{N-1}, x_N)$ is proper if $x_{j-1} \neq x_j$ for all j . A sequence $(x_0, x_1, \dots, x_{N-1}, x_N)$ in A is *M-relative* in A if it is proper and

$$|x_{j-1} - x_j|/M \leq |x_j - x_{j+1}| \leq M|x_{j-1} - x_j|$$

for all j . Such a sequence is said to join the pairs (x_0, x_1) and (x_{N-1}, x_N) . The set A is *M-relatively connected* (abbr. RC) if every two proper pairs in A can be joined by an *M-relative* sequence in A .

The simplest examples of relatively connected sets are the connected ones, but also many totally disconnected sets like the Cantor middle-third set satisfy the RC-condition.

LEMMA 2.4. *Let $A \subset \mathbb{R}^n$ be a closed c-sturdy set. Then A is c_1 -RC for every $c_1 > c$.*

PROOF. Let $a \in A$ and $r > 0$. Let $c_1 > c$ and assume that $A \cap \bar{B}(a, r) \neq \{a\}$ and $A \not\subset \bar{B}(a, r)$. If $R(a, r) = \{x \in A \mid r/c_1 \leq |x - a| \leq r\} = \emptyset$, then $\theta(A(a, r)) \leq \theta(\bar{B}(a, r/c_1)) = 2r/c_1 < 2r/c$, a contradiction with the *c-sturdiness* of A . It follows that, under the above assumptions, $R(a, r) \neq \emptyset$, and by [6, 4.11], this implies the claim.

2.5. *Linear isometric approximation property.* Let $A \subset \mathbb{R}^n$. We say that A has the (C, δ) -linear isometric approximation property (IAP) if given $0 < \varepsilon \leq \delta$, a $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbb{R}^n$, a point $a \in A$ and $r > 0$, there is an isometry $T = T_{a,r}: \mathbb{R}^n \rightarrow \mathbb{R}^n$ such that

$$|Tx - f(x)| \leq C\varepsilon r$$

for all $x \in A \cap \bar{B}(a, r)$.

THEOREM 2.6. *Suppose that a set $A \subset \mathbb{R}^n$ has the (C, δ) -linear BLEP. Then it has the (C_1, δ) -linear IAP with $C_1 = C_1(C, n)$.*

PROOF. Let $f: A \rightarrow \mathbb{R}^n$ be $(1 + \varepsilon)$ -bilipschitz with $0 < \varepsilon \leq \delta$. Suppose that $a \in A$ and $r > 0$. Since A has the (C, δ) -linear BLEP, there is a $(1 + C\varepsilon)$ -bilipschitz extension $F: \mathbb{R}^n \rightarrow \mathbb{R}^n$ of f . Let $F_{a,r} = F \mid \bar{B}(a, r)$. Then $F_{a,r}$ is a $2C\varepsilon r$ -nearisometry and since $\theta(\bar{B}(a, r)) = d(\bar{B}(a, r))$, [2, 3.3] gives an isometry $T = T_{a,r}: \mathbb{R}^n \rightarrow \mathbb{R}^n$ such that

$$\|T - F_{a,r}\|_{\bar{B}(a,r)} \leq 2c_n C\varepsilon r.$$

In particular, we have $|Tx - f(x)| \leq 2C c_n \varepsilon r$ for every $x \in A(a, r)$, and the proof is complete with $C_1 = 2c_n C$.

3. Triangle maps

Since we work with the planar case, we use complex numbers whenever it simplifies notation.

3.1. *Basic map.* The basic triangle map $f: \{-1, 0, 1\} \rightarrow \mathbb{R}^2$ is defined by

$$f(\pm 1) = \pm 1 \quad \text{and} \quad f(0) = i\sqrt{\varepsilon}.$$

This map is $(1 + \varepsilon)$ -bilipschitz, but any approximation of f by an isometry T has an error at least $\sqrt{\varepsilon}/2$. This is seen by minimizing the distance from the image of f to the straight line TR . The following elementary lemma generalizes this idea.

LEMMA 3.2. *Let $0 \leq \delta \leq \delta' \leq 1/4$, let $A = \{-1, a, 1\} \subset \mathbb{R}^2$ be such that $\theta(A) = |a_2| \leq 2\delta$, and let $f: A \rightarrow \mathbb{R}^2$ satisfy $f(\pm 1) = \pm 1$ and $\theta(fA) = |f(a)_2| \geq 2\delta'$. If the disks $\bar{B}(\pm 1, \delta' - \delta)$ and $\bar{B}(f(a), \delta' + \delta)$ are disjoint, then every isometry $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ satisfies $\|T - f\|_A \geq \delta' - \delta$.*

PROOF. We emphasize that the conditions $\theta(A) = |a_2|$ and $\theta(fA) = |f(a)_2|$ belong to the assumptions. In particular, they imply that $-1 < a_1 < 1$ and $-1 < f(a)_1 < 1$ so that the situation is not too far from the basic map above.

Suppose that T is an isometry with $\|T - f\|_A < \delta' - \delta$ and let $L = TR$. Writing $a' = (a_1, 0)$, we have

$$|Ta' - Ta| = |a' - a| = |a_2| \leq 2\delta.$$

If L does not meet the disk $B(f(a), \delta' + \delta)$, then

$$|Ta - f(a)| \geq |Ta' - f(a)| - |Ta' - Ta| \geq (\delta' + \delta) - 2\delta = \delta' - \delta,$$

a contradiction.

It follows that the line L meets all three disks $\bar{B}(\pm 1, \delta' - \delta)$ and $B(f(a), \delta' + \delta)$. By assumption, these disks are disjoint, and by elementary geometry we get

$$(\delta' - \delta) + (\delta' + \delta) > |f(a)_2| = \theta(fA) \geq 2\delta',$$

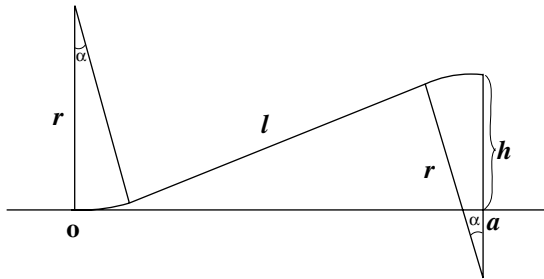
which leads to a contradiction. The result follows from this.

Later on we will need maps that are defined on a narrow neighbourhood of a line but that still possess the essential features of the basic triangle map: they should be $(1 + c\varepsilon)$ -bilipschitz but their approximation by isometries should produce an error of the order $\sqrt{\varepsilon}$. The following lemmas show how to construct these maps.

LEMMA 3.3. *Let $0 \leq \varepsilon \leq 1/10$ and let $a, b \in [0, 1]$ be such that $2\varepsilon \leq a \leq b/2$. Then there is a C^2 function $f: \mathbf{R} \rightarrow \mathbf{R}$ satisfying*

- (i) $f(x) = 0$ for $x \leq 0$ and $x \geq b$;
- (ii) $f(a) = \varepsilon^{3/2}$;
- (iii) f is $2\sqrt{\varepsilon}$ -Lipschitz;
- (iv) the curvature K of the graph $y = f(x)$ satisfies $K \leq 1/\sqrt{\varepsilon}$.

PROOF. Let $0 < o < a$ and consider first the interval $[o, a]$. One should think that $o \approx 0$, but we need $o > 0$ for technical reasons. Let $r = \sqrt{\varepsilon}$. The graph $y = f(x)$ consists of two circular arcs and a line segment. The construction is based on the diagram below, where also the notation is indicated.



Part of the graph $y = f(x)$ with $h = \varepsilon\sqrt{\varepsilon}$.

By elementary geometry the variables l and α must satisfy

$$\begin{cases} 2r \sin \alpha + l \cos \alpha = a - o \\ 2r(1 - \cos \alpha) + l \sin \alpha = \varepsilon^{3/2}, \end{cases}$$

and this system has the exact solution

$$l = \sqrt{(a - o)^2 - 4\varepsilon^2 + \varepsilon^3},$$

$$\alpha = \arcsin(\sqrt{\varepsilon}(2(a - o) + l\varepsilon - 2l)/(l^2 + 4\varepsilon)).$$

The Lipschitz condition requires that $\tan \alpha \leq 2\sqrt{\varepsilon}$. It is geometrically obvious that α is decreasing in a , and thus α attains its maximum at $a = 2\varepsilon$. By substituting this value and choosing o small enough, we obtain $\alpha \leq \arcsin \sqrt{\varepsilon} \leq \arctan(2\sqrt{\varepsilon})$.

A similar construction is used on the interval $[a, b]$, and outside $[o, b - o]$ we define $f(x) = 0$. This function satisfies conditions (i)–(iv), but it is only piecewise C^2 . However, at the six points where a circular arc is joined either to another arc or to a line segment, we use standard smoothing by clothoids (aka Cornu spirals), in an arbitrarily small neighbourhood of each joint, in such a way that the Lipschitz constant does not change, the curvature stays between the appropriate bounds, and the support of f does not expand outside $[0, b]$; see [1, p. 636] for the basic construction.

Using the following lemma we can construct tubular neighbourhood extensions for mappings of the type $x \mapsto (x, f(x))$.

LEMMA 3.4. *Let $0 < \varepsilon < 1/10$, let $I \subset \mathbf{R}$ be an interval and let $f: I \rightarrow \mathbf{R}$ be $\sqrt{\varepsilon}$ -Lipschitz and C^2 . Define $F: I \times [-\delta, \delta] \rightarrow \mathbf{R}^2$ by setting*

$$F(x, y) = x + if(x) + y\mathbf{n}(x),$$

where $\mathbf{n}(x)$ is the upper unit normal to the graph $y = f(x)$. Let K be the maximal curvature of $y = f(x)$. If $K\delta \leq \varepsilon$, then F is $(1 + 4\varepsilon)$ -bilipschitz. Moreover, if $f(x) = 0$ except for a subinterval of length l , then $|F(z) - z| \leq \sqrt{\varepsilon}l + \delta$ for every $z \in I \times [-\delta, \delta]$.

PROOF. Let $z_i = (x_i, y_i) \in I \times [-\delta, \delta]$, $i = 1, 2$. Note that

$$|y| \leq \delta, \quad |f'(x)| \leq \sqrt{\varepsilon} \quad \text{and} \quad \frac{|f''(x)|}{(1 + f'(x)^2)^{3/2}} \leq K$$

for all (x, y) .

In complex form we have

$$\mathbf{n}(x) = \frac{1}{\sqrt{1 + f'(x)^2}}(-f'(x) + i).$$

Thus

$$\begin{aligned}
& |F(z_1) - F(z_2)|^2 \\
&= |x_1 - x_2|^2 + \left| \frac{y_1 f'(x_1)}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2 f'(x_2)}{\sqrt{1 + f'(x_2)^2}} \right|^2 \\
&\quad |f(x_1) - f(x_2)|^2 + \left| \frac{y_1}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2}{\sqrt{1 + f'(x_2)^2}} \right|^2 \\
&\quad - 2(x_1 - x_2) \left(\frac{y_1 f'(x_1)}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2 f'(x_2)}{\sqrt{1 + f'(x_2)^2}} \right) \\
&\quad + 2(f(x_1) - f(x_2)) \left(\frac{y_1}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2}{\sqrt{1 + f'(x_2)^2}} \right).
\end{aligned}$$

Writing the right hand side above as $|x_1 - x_2|^2 + t_1 + t_2 + t_3 + t_4$, where t_4 contains the last two terms, we have to estimate each term. Since F is defined in a convex set, we can use the mean value theorem.

(i) To estimate t_1 , let $g(x, y) = yf'(x)/\sqrt{1 + f'(x)^2}$. Then

$$|\nabla g|^2 = \frac{y^2 f''(x)^2}{(1 + f'(x)^2)^3} + \frac{f'(x)^2}{1 + f'(x)^2} \leq \delta^2 K^2 + \varepsilon \leq 2\varepsilon,$$

which implies that $t_1 \leq 2\varepsilon|z_1 - z_2|^2$.

(ii) The upper bound $t_2 \leq \varepsilon|x_1 - x_2|^2$ follows from the Lipschitz condition.

(iii) We need both upper and lower bounds for t_3 . Applying the mean value theorem for $h(x, y) = y/\sqrt{1 + f'(x)^2}$, we get

$$t_3 = \left(-\frac{f'(u)f''(u)v}{(1 + f'(u)^2)^{3/2}}(x_1 - x_2) + \frac{1}{\sqrt{1 + f'(u)^2}}(y_1 - y_2) \right)^2$$

where (u, v) lies on the segment $[z_1, z_2]$. Using the estimate

$$2\varepsilon^{3/2}|x_1 - x_2||y_1 - y_2| \leq 2\varepsilon|x_1 - x_2||y_1 - y_2| \leq \varepsilon|x_1 - x_2|^2 + \varepsilon|y_1 - y_2|^2,$$

it follows that

$$\begin{aligned}
t_3 &\leq \varepsilon K^2 \delta^2 |x_1 - x_2|^2 + \frac{1}{1 + f'(u)^2} |y_1 - y_2|^2 + 2\sqrt{\varepsilon} K \delta |x_1 - x_2||y_1 - y_2| \\
&\leq \varepsilon^3 |x_1 - x_2|^2 + |y_1 - y_2|^2 + \varepsilon |x_1 - x_2|^2 + \varepsilon |y_1 - y_2|^2 \\
&\leq 2\varepsilon |x_1 - x_2|^2 + (1 + \varepsilon) |y_1 - y_2|^2.
\end{aligned}$$

In the opposite direction, we have

$$\begin{aligned} t_3 &\geq \frac{1}{1+\varepsilon}|y_1 - y_2|^2 - 2\sqrt{\varepsilon}K\delta|x_1 - x_2||y_1 - y_2| \\ &\geq (1 - 2\varepsilon)|y_1 - y_2|^2 - \varepsilon|x_1 - x_2|^2. \end{aligned}$$

(iv) Rearranging and using the Taylor formula, we have

$$\begin{aligned} t_4 &= \frac{2y_1}{\sqrt{1+f'(x_1)^2}}(f(x_1) - f(x_2) - f'(x_1)(x_1 - x_2)) \\ &\quad + \frac{2y_2}{\sqrt{1+f'(x_2)^2}}(f'(x_2)(x_1 - x_2) - f(x_1) + f(x_2)) \\ &= \left(\frac{y_1 f''(\xi_1)}{\sqrt{1+f'(x_1)^2}} - \frac{y_2 f''(\xi_2)}{\sqrt{1+f'(x_2)^2}} \right) |x_1 - x_2|^2, \end{aligned}$$

where $\xi_1, \xi_2 \in [x_1, x_2]$. Since $|f''(\xi)| \leq K(1+\varepsilon)^{3/2}$, this implies that

$$|t_4| \leq 2K\delta(1+\varepsilon)^{3/2}|x_1 - x_2|^2 \leq 3\varepsilon|x_1 - x_2|^2.$$

Using these estimates we obtain

$$\begin{aligned} |F(z_1) - F(z_2)|^2 &\leq |x_1 - x_2|^2 + 2\varepsilon|x_1 - x_2|^2 + 2\varepsilon|y_1 - y_2|^2 + \varepsilon|x_1 - x_2|^2 \\ &\quad + 2\varepsilon|x_1 - x_2|^2 + (1+\varepsilon)|y_1 - y_2|^2 + 3\varepsilon|x_1 - x_2|^2 \\ &= (1+8\varepsilon)|x_1 - x_2|^2 + (1+3\varepsilon)|y_1 - y_2|^2, \end{aligned}$$

so that $|F(z_1) - F(z_2)| \leq \sqrt{1+8\varepsilon}|z_1 - z_2| \leq (1+4\varepsilon)|z_1 - z_2|$.

For the lower bound, we discard irrelevant positive terms and get

$$\begin{aligned} |F(z_1) - F(z_2)|^2 &\geq |x_1 - x_2|^2 + t_3 - |t_4| \\ &\geq (1-4\varepsilon)|x_1 - x_2|^2 + (1-2\varepsilon)|y_1 - y_2|^2 \\ &\geq (1-4\varepsilon)|z_1 - z_2|^2. \end{aligned}$$

This implies that $|F(z_1) - F(z_2)| \geq \sqrt{1-4\varepsilon}|z_1 - z_2| \geq |z_1 - z_2|/(1+4\varepsilon)$.

The proof for the bilipschitz condition is now complete, and the last inequality is obvious.

LEMMA 3.5. *Let $A \subset \mathbf{R}^n$ and let $\varepsilon \leq 1/10$. Suppose that $a \in A$, $r > 0$ and let $f: A \rightarrow \mathbf{R}^n$ be $(1+\varepsilon)$ -bilipschitz such that $|f(z) - z| \leq \varepsilon r$ whenever $|z-a| \leq r/2$ and $f(z) = z$ for $|z-a| \geq r/2$. Define $F: A \cup (\mathbf{R}^n \setminus B(a, r)) \rightarrow \mathbf{R}^n$ by setting*

$$F(z) = \begin{cases} f(z) & \text{for } z \in A, \\ z & \text{for } |z - a| \geq r. \end{cases}$$

Then F is $(1 + 3\varepsilon)$ -bilipschitz.

PROOF. Let $z_1 \in A \cap B(a, r/2)$ and $|z_2 - a| \geq r$. Then $|z_1 - z_2| \geq r/2$, which implies that

$$\begin{aligned} |F(z_1) - F(z_2)| &= |f(z_1) - z_2| \leq |f(z_1) - z_1| + |z_1 - z_2| \leq \varepsilon r + |z_1 - z_2| \\ &\leq (1 + 2\varepsilon)|z_1 - z_2|. \end{aligned}$$

In the opposite direction, we have

$$\begin{aligned} |F(z_1) - F(z_2)| &= |f(z_1) - z_2| \geq |z_1 - z_2| - |f(z_1) - z_1| \geq |z_1 - z_2| - \varepsilon r \\ &\geq (1 - 2\varepsilon)|z_1 - z_2| \geq |z_1 - z_2|/(1 + 3\varepsilon), \end{aligned}$$

since $\varepsilon \leq 1/10$.

All other cases for z_1, z_2 are trivial, and the proof is complete.

Finally, we need an estimate on the distortion of angles under bilipschitz maps.

LEMMA 3.6. *Let $1 < t \leq 2$ and let $f: \{0, 1, t\} \rightarrow \mathbf{R}^n$ be $(1 + \varepsilon)$ -bilipschitz with $\varepsilon \leq 1/100$. Let $A = f(0)$, $B = f(1)$, $C = f(t)$ and $\alpha = \angle BAC$. Then $\alpha \leq 2.1\sqrt{\varepsilon}$.*

PROOF. Consider the triangle with vertices A, B, C . By elementary geometry α is maximal in the case $AB = 1 + \varepsilon$, $BC = (t - 1)(1 + \varepsilon)$, and $AC = t/(1 + \varepsilon)$. Using trigonometry and Taylor approximation we obtain

$$\sin \alpha \leq 2\sqrt{(t - 1)\varepsilon} \leq 2\sqrt{\varepsilon} \leq 0.2.$$

Furthermore, for these values we have $\alpha \leq 1.01 \sin \alpha \leq 2.1\sqrt{\varepsilon}$, and the proof is complete.

4. Main proofs

We use triangle maps to prove the following theorem, which constitutes the first part of our main result.

THEOREM 4.1. *Let $\lambda \geq 1$, $c > (14\lambda)^8$, and let $A \subset \mathbf{R}^2$ be λ -relatively connected but not c -sturdy. Then for $1/\sqrt{c} \leq \varepsilon \leq 1/(14\lambda)^4$ there is a $(1 + 48\varepsilon)$ -BL map $f: A \rightarrow \mathbf{R}^2$ with the following property: there are $a \in A$ and $r > 0$ such that*

$$\|T - f\|_{A(a,r)} \geq \frac{r}{1000\lambda^3} \sqrt{\varepsilon}$$

for all isometries $T: \mathbf{R}^2 \rightarrow \mathbf{R}^2$.

PROOF. Since A is not $(1/\varepsilon^2)$ -sturdy, there are two possibilities.

Case 1: Condition 2.2(1) is not satisfied. In this case there are $a \in A$ and $r > 0$ such that $A \not\subset B(a, r)$, $s(a) \leq \varepsilon^2 r$ and $\theta(A(a, r)) \leq 2\varepsilon^2 r$. By scaling, we may assume that $a = 0$, $r = 1$, and then $A \not\subset B(1) = B(0, 1)$, $s(0) \leq \varepsilon^2$, $\theta(A(0, 1)) \leq 2\varepsilon^2$. Furthermore, we may assume that $A(0, 1)$ is contained in the $2\varepsilon^2$ -neighbourhood of $\mathbf{R} \subset \mathbf{R}^2$.

We apply [6, 4.11(2)] with $c = 4\lambda$ to find points $u, v \in A$ as follows. Since $s(0) \leq \varepsilon^2 < \varepsilon$, the set $A(0, 2.25\varepsilon)$ contains at least two points. Also $A \not\subset B(1)$, and thus there is a point $u \in A \cap B(9\lambda\varepsilon) \setminus B(2.25\varepsilon)$. Similarly, since $80\lambda^2\varepsilon \leq 1$, there is $v \in A \cap B(80\lambda^2\varepsilon) \setminus B(20\lambda\varepsilon)$. There are six possibilities for the order of the points $0, u_1, v_1$ and of these only two are essentially different; we consider the case where $0 < u_1 < v_1 < 1$, the other cases being similar. However, the constants appearing below apply for all cases and may thus seem unnecessarily large for this special case.

We construct a bilipschitz map $f: A \rightarrow \mathbf{R}^2$ as follows:

- Apply Lemma 3.3 with substitutions $0 \mapsto 0$, $a \mapsto u_1$, $b \mapsto v_1$, relying on the estimates $v_1 > 19\lambda\varepsilon > 2u_1$ and $u_1 > 2\varepsilon$. This gives a $2\sqrt{\varepsilon}$ -Lipschitz map $f_1: \mathbf{R} \rightarrow \mathbf{R}$ such that $f_1(x) = 0$ if $x \notin [0, v_1]$, $f_1(u_1) = \varepsilon^{3/2}$, and $K \leq 1/\sqrt{\varepsilon}$.
- Apply Lemma 3.4 with $\varepsilon \mapsto (2\sqrt{\varepsilon})^2 = 4\varepsilon$, $\delta \mapsto 2\varepsilon^2$, $I \mapsto \mathbf{R}$ and $f \mapsto f_1$. Then $K\delta \leq 2\varepsilon^{3/2} \leq 4\varepsilon$, and the resulting map $F: \mathbf{R} \times [-\delta, \delta] \rightarrow \mathbf{R}^2$ is $(1 + 16\varepsilon)$ -BL. Also, we have $l \leq 90\lambda^2\varepsilon$ and therefore

$$|F(z) - z| \leq 90\lambda^2\varepsilon\sqrt{4\varepsilon} + 2\varepsilon^2 < \varepsilon$$

for all z . This is the crucial estimate that determines the upper bound for ε .

- We extend the definition of F outside $B(1)$ by $F(z) = z$. Substitute $\varepsilon \mapsto 16\varepsilon$ and $r = 1/2$ in Lemma 3.5. Since $90\lambda^2\varepsilon \leq r/2$, we have $|F(z) - z| \leq \varepsilon \leq 16\varepsilon r$ for $|z| \leq r/2$ and $F(z) = z$ for $|z| \geq r/2$. It follows that F is $(1 + 48\varepsilon)$ -BL.
- The domain of definition for F contains the set A and by restriction we get the required $(1 + 48\varepsilon)$ -BL map $f: A \rightarrow \mathbf{R}^2$.

It remains to show that f cannot be well approximated by isometries. For this it suffices to consider the restriction $f \upharpoonright \{0, u, v\}$ in the disk $B = \bar{B}(0, r_1)$, where $r_1 = 90\lambda^2\varepsilon$. Let $A' = \{0, u, v\}$ and let $h: \mathbf{R}^2 \rightarrow \mathbf{R}^2$ be a similarity such that $h(0) = -1$, $h(v) = 1$ and let $g = hfh^{-1}: hA' \rightarrow hfA'$. Since $f(0) = 0$, $f(v) = v$, Lemma 3.2 can be applied to g . The similarity ratio t of h satisfies $1/45\lambda^2\varepsilon \leq t \leq 1/10\lambda\varepsilon$, and thus $\theta(hA') \leq 2\varepsilon^2/10\lambda\varepsilon = \varepsilon/5\lambda$ and $\theta(gA') \geq (\varepsilon^{3/2} - 4\varepsilon^2)/45\lambda^2\varepsilon > \sqrt{\varepsilon}/46\lambda^2$. Thus the error of approximation

of g by an isometry is at least

$$\sqrt{\varepsilon}/92\lambda^2 - \varepsilon/10\lambda \geq \sqrt{\varepsilon}/100\lambda^2,$$

and therefore

$$\|T - f\|_{A(0,r_1)} \geq 10\lambda\varepsilon(\sqrt{\varepsilon}/100\lambda^2) = \varepsilon^{3/2}/10\lambda > \frac{r_1}{1000\lambda^3}\sqrt{\varepsilon}$$

for all isometries T . This completes the proof for Case 1.

Case 2: Condition 2.2(2) is not satisfied. This implies that A is bounded and $\theta(A) < \varepsilon^2 d(A)$. Using λ -relative connectedness, we can find points $a, b, c \in A$ such that $1 \leq |a - b|/|b - c| \leq \lambda$. Using Lemmas 3.3 and 3.4, we can construct a map $f: A \rightarrow \mathbf{R}^2$ that by 3.2 contradicts the requirements. The details are similar to Case 1 and are omitted.

This completes the proof.

THEOREM 4.2. *Let $\lambda \geq 1000$, let $A \subset \mathbf{R}^n$ be a closed set that is not λ -relatively connected. Then there is $\varepsilon \leq 2/(\lambda - 2)$ and a $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^n$ with the following property: If $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is a $(1 + \delta)$ -bilipschitz extension of f , then*

$$\delta \geq 1/20 \ln^2 \varepsilon.$$

PROOF. We use the concept of upper sets from [6, 4.9]. Since A is not λ -relatively connected, the upper set \bar{A} consists of more than one $\ln \lambda$ -component. Let γ be a $\ln \lambda$ -component that is not the greatest element; see [6, 3.2]. By [6, 3.4(11) and 3.4(14)] the set $\pi\gamma$ is compact, and by [6, 3.4(12)] we have $A \cap B(\pi\gamma, (\lambda - 1)d(\pi\gamma)) = \pi\gamma$. Choose $a, b \in \pi\gamma$ such that $|a - b| = d(\pi\gamma)$ and then $z \in A \setminus \pi\gamma$ such that $d(z, \pi\gamma)$ is minimal. We may assume that $|b - z| \leq |a - z|$, and hence $\angle abz \geq \pi/3$. Using suitable similarities, we may assume that $b = 0$, $|a - b| = 1$ and $z = te_1$ with $t \geq \lambda - 1$.

We choose $\varepsilon = 2/(t - 1) \leq 2/(\lambda - 2) < 0.01$ and construct a $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^n$ as follows. Let $f|_{(A \setminus B(0, 1))} = \text{id}$, and let f rotate $\bar{B}(0, 1)$ so that $f(0) = 0$ and $f(a) = e_1$. To calculate the bilipschitz constant L of f , we note that the worst case arises from $a = -e_1$, $f(a) = e_1$; this seems geometrically obvious and can be proved by solving an elementary extremal value problem. Thus

$$L \leq \frac{t + 1}{t - 1} = 1 + \frac{2}{t - 1} = 1 + \varepsilon.$$

Suppose now that f can be extended to a $(1 + \delta)$ -bilipschitz map $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$. We apply Lemma 3.6 to the map $F^{-1}|_{\{0, e_1, 2e_1, 4e_1, \dots, 2^N e_1, z\}}$,

where $N = \lfloor \log_2 t \rfloor$. Let $a_i = F^{-1}(2^i e_1)$ for $i = 0, 1, 2, \dots, N$ and $a_{N+1} = z$. The lemma implies that $\angle a_i 0 a_{i+1} \leq 2.1\sqrt{\delta}$, and therefore

$$\begin{aligned} 1 \leq \frac{\pi}{3} \leq \angle a_0 z &\leq \sum_{i=0}^N \angle a_i 0 a_{i+1} \leq 2.1\sqrt{\delta}(N+1) \leq 2.1\sqrt{\delta}(\log_2 t + 1) \\ &\leq 2.1\sqrt{\delta}(1.5 \ln t + 1) \leq 3.15\sqrt{\delta} \ln(2t). \end{aligned}$$

Since $t = 2/\varepsilon + 1 \leq 2.1/\varepsilon$, we obtain

$$\delta \geq \frac{1}{10 \ln^2(4.2/\varepsilon)} \geq \frac{1}{20 \ln^2 \varepsilon}.$$

This completes the proof.

4.3. *Proof of Theorem 1.1.* The implication (1) \Rightarrow (2) was the main result of [3].

For the converse part, suppose that A has the (C, δ) -linear BLEP. Choose $s_0 = s_0(C) > 0$ such that $g(s) = 20Cs \ln^2 s < 1$ for $0 < s \leq s_0$ and set $\lambda = \lambda(C, \delta) = \max\{1000, 2 + 2/(\delta \wedge s_0)\}$.

We first show that A is λ -RC. If this is not the case, then Theorem 4.2 gives an $\varepsilon \leq 2/(\lambda - 2)$ and a $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbb{R}^2$. As $\varepsilon \leq \delta$, the (C, δ) -linear BLEP of A gives a $(1 + C\varepsilon)$ -bilipschitz extension $F: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ of f . By 4.2 we have $g(\varepsilon) \geq 1$, which gives the contradiction $\varepsilon > s_0 \geq 2/(\lambda - 2)$ and proves that A is λ -RC.

To prove that A is c_0 -sturdy with $c_0(C, \delta)$, we assume that A is not c -sturdy for some $c > (14\lambda)^8 \vee 48^2/\delta^2$. Writing $\varepsilon_1 = 1/\sqrt{c}$, we have $\varepsilon_1 < 1/(14\lambda)^4$. Hence 4.1 gives a $(1 + 48\varepsilon_1)$ -bilipschitz map $f_1: A \rightarrow \mathbb{R}^2$, a point $a \in A$ and a radius $r > 0$ such that

$$\|T - f_1\|_{A(a,r)} \geq r\sqrt{\varepsilon_1}/1000\lambda^3$$

for every isometry T of \mathbb{R}^2 .

By Theorem 2.6 the set A has the (C_1, δ) -IAP with $C_1(C)$. As $48\varepsilon_1 \leq \delta$, there is an isometry T_1 of \mathbb{R}^2 such that $\|T_1 - f_1\| \leq 48C_1\varepsilon_1 r$, which implies that

$$c = 1/\varepsilon_1^2 \leq (48 \cdot 1000C_1\lambda^3)^4 < 6 \cdot 10^{18}C_1^4\lambda^{12}.$$

This completes the proof of the main theorem.

REMARK 4.4. The first part of the above proof can be easily modified to show that a planar set A having the φ -BLEP is relatively connected if

$$\lim_{\varepsilon \rightarrow 0} \varphi(\varepsilon) \ln^2 \varepsilon = 0.$$

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