

## BOUNDED APPROXIMATION PROPERTIES IN TERMS OF $C[0, 1]$

ÅSVALD LIMA, VEGARD LIMA and EVE OJA\*

### Abstract

Let  $X$  be a Banach space and let  $\mathcal{I}$  be the Banach operator ideal of integral operators. We prove that  $X$  has the  $\lambda$ -bounded approximation property ( $\lambda$ -BAP) if and only if for every operator  $T \in \mathcal{I}(X, C[0, 1]^*)$  there exists a net  $(S_\alpha)$  of finite-rank operators on  $X$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}.$$

We also prove that replacing  $\mathcal{I}$  by the ideal  $\mathcal{N}$  of nuclear operators yields a condition which is equivalent to the weak  $\lambda$ -BAP.

### 1. Introduction

Let  $X$  and  $Y$  be Banach spaces. We denote by  $\mathcal{L}(X, Y)$  the Banach space of all bounded linear operators from  $X$  to  $Y$ , and we write  $\mathcal{L}(X)$  for  $\mathcal{L}(X, X)$ . The subspace of  $\mathcal{L}(X)$  of finite-rank operators is denoted by  $\mathcal{F}(X)$ . Let  $I_X$  denote the identity operator on  $X$ .

Recall that a Banach space  $X$  is said to have the *approximation property* (AP) if there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  uniformly on compact subsets of  $X$ . If  $(S_\alpha)$  can be chosen with  $\sup_{\alpha} \|S_\alpha\| \leq \lambda$  for some  $\lambda \geq 1$ , then  $X$  is said to have the  *$\lambda$ -bounded approximation property* ( $\lambda$ -BAP).

Let  $\mathcal{A} = (\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  be a Banach operator ideal. Recently, an approximation property which is bounded for  $\mathcal{A}$  was introduced and studied in [11] as follows. We say that  $X$  has the  *$\lambda$ -bounded approximation property for  $\mathcal{A}$*  ( $\lambda$ -BAP for  $\mathcal{A}$ ) if for every Banach space  $Y$  and every operator  $T \in \mathcal{A}(X, Y)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  uniformly on compact subsets of  $X$  and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}}.$$

The  $\lambda$ -BAP for  $\mathcal{A}$  extends the notion of the *weak  $\lambda$ -BAP* which is, by definition, the  $\lambda$ -BAP for the ideal  $\mathcal{W}$  of weakly compact operators. The weak

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BAP was introduced in [12] and studied in [11], [12], [13], [17], [18], [19], [20]. It is immediate that the  $\lambda$ -BAP implies the  $\lambda$ -BAP for every Banach operator ideal  $\mathcal{A}$  (since  $\|TS_\alpha\|_{\mathcal{A}} \leq \|T\|_{\mathcal{A}}\|S_\alpha\|$ ), and it is equivalent to the  $\lambda$ -BAP for the ideal  $\mathcal{L}$  of all bounded linear operators.

By [17] (see [20] for a simpler proof), the weak  $\lambda$ -BAP and the  $\lambda$ -BAP are equivalent for a Banach space  $X$  whenever  $X^*$  or  $X^{**}$  has the Radon–Nikodým property. It remains open whether the weak  $\lambda$ -BAP is strictly weaker than the  $\lambda$ -BAP. If they were equivalent, then, by [12], the answer to the long-standing famous open problem (Problem 3.8 in [1]), whether the AP of a dual Banach space implies the 1-BAP, would be “yes”. For a recent survey on bounded approximation properties, see [21].

In [11], it was proved that the BAP is precisely the BAP for the ideal  $\mathcal{I}$  of integral operators, and the weak BAP is precisely the BAP for the ideal  $\mathcal{N}$  of nuclear operators. In [11], it was also proved that in these cases the requirement “for every Banach space  $Y$ ” can be relaxed by taking  $Y = \ell_\infty^*$  for the BAP and  $Y = c_0^*$  for the weak BAP. More precisely, the following holds.

**THEOREM 1.1** (see [11, Theorem 2.1 and Proposition 4.2]). *Let  $X$  be a Banach space, and let  $1 \leq \lambda < \infty$ . The following statements are equivalent.*

- (a)  *$X$  has the  $\lambda$ -BAP.*
- (b) *For every Banach space  $Y$  and every operator  $T \in \mathcal{I}(X, Y)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  uniformly on compact subsets of  $X$  and*

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}.$$

- (c) *For every  $T \in \mathcal{I}(X, \ell_\infty^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and*

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}.$$

**THEOREM 1.2** (see [11, Theorem 3.1 and Proposition 4.1]). *Let  $X$  be a Banach space, and let  $1 \leq \lambda < \infty$ . The following statements are equivalent.*

- (a)  *$X$  has the weak  $\lambda$ -BAP.*
- (b) *For every Banach space  $Y$  and every operator  $T \in \mathcal{N}(X, Y)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  uniformly on compact subsets of  $X$  and*

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{N}} \leq \lambda \|T\|_{\mathcal{N}}.$$

- (c) For every  $T \in \mathcal{N}(X, c_0^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{N}} \leq \lambda \|T\|_{\mathcal{N}}.$$

The classical spaces  $c_0$  and  $\ell_\infty$  are, indeed, very different from each other. A natural question would be: can the spaces  $c_0$  and  $\ell_\infty$  be replaced by *one* classical Banach space, preferably separable, which would characterize both the BAP and the weak BAP? Our main aim of this paper is to show that the space  $C[0, 1]$  of continuous functions fits for the both BAPs. Our main results are as follows (conditions (b) below are to be compared with conditions (c) of Theorems 1.1 and 1.2).

**THEOREM 1.3.** *Let  $X$  be a Banach space, and let  $1 \leq \lambda < \infty$ . The following statements are equivalent.*

- (a)  $X$  has the  $\lambda$ -BAP.  
 (b) For every  $T \in \mathcal{I}(X, C[0, 1]^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}.$$

**THEOREM 1.4.** *Let  $X$  be a Banach space, and let  $1 \leq \lambda < \infty$ . The following statements are equivalent.*

- (a)  $X$  has the weak  $\lambda$ -BAP.  
 (b) For every  $T \in \mathcal{N}(X, C[0, 1]^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{N}} \leq \lambda \|T\|_{\mathcal{N}}.$$

Theorem 1.4 and the separable case of Theorem 1.3 will be proved in Section 2 relying on the fact that the Banach operator ideal  $\mathcal{I}$  is injective with respect to norm-preserving extension operators (see Proposition 2.1). The non-separable case of Theorem 1.3 will be deduced from the separable case in Section 3 relying on the main result of Section 3 (Theorem 3.2) stating that a property of  $X$ , similar to conditions (c) of Theorems 1.1 and 1.2 and to conditions (b) of Theorems 1.3 and 1.4, is inherited by ideals in Banach spaces.

Our notation is standard. A Banach space  $X$  will be regarded as a subspace of its bidual  $X^{**}$  under the canonical embedding  $j_X : X \rightarrow X^{**}$ . The closure of a set  $A \subset X$  is denoted  $\overline{A}$ . The tensor product  $X \otimes Y$  with a tensor norm

$\alpha$  is denoted by  $X \otimes_{\alpha} Y$  and its completion by  $X \hat{\otimes}_{\alpha} Y$ . We shall use only the classical projective tensor norm  $\pi = \|\cdot\|_{\pi}$  and the injective tensor norm  $\varepsilon$ . Since  $\mathcal{F}(X, Y) = X^* \otimes Y$ , we shall write  $\|T\|_{\pi}$  for  $T \in \mathcal{F}(X, Y)$  ( $\|\cdot\|_{\pi}$  is called the finite nuclear norm in [22]). Let us recall that, for Banach operator ideals  $\mathcal{A}$  and  $\mathcal{B}$ , the inclusion  $\mathcal{A} \subset \mathcal{B}$  means that  $\mathcal{A}(X, Y) \subset \mathcal{B}(X, Y)$  and  $\|T\|_{\mathcal{A}} \geq \|T\|_{\mathcal{B}}$  for all Banach spaces  $X$  and  $Y$  and for all operators  $T \in \mathcal{A}(X, Y)$ .

We refer to the books by Diestel and Uhl [3] and Ryan [23] for the classical approximation properties, tensor products, and for the common Banach operator ideals such as  $\mathcal{N}$  and  $\mathcal{I}$ ; see also [2] by Diestel, Jarchow, and Tonge and Pietsch's book [22] for operator ideals. We use "Banach operator ideal" for "normed operator ideal" in [22], or for "Banach ideal" in [2] and [23] (note that, in the Banach spaces context, the term "ideal" has its own meaning (see Section 2)).

## 2. Proofs of Theorem 1.4 and the separable case of Theorem 1.3

Recall that a Banach operator ideal  $\mathcal{A}$  is *injective* if  $\|JT\|_{\mathcal{A}} = \|T\|_{\mathcal{A}}$  whenever  $T \in \mathcal{A}(X, Y)$  and  $J \in \mathcal{L}(Y, Z)$  is an into isometry. It is well known that the Banach operator ideal  $\mathcal{I}$  of integral operators is not injective (see, e.g., [22, 8.4.10]). Our first result shows that  $\mathcal{I}$  is injective with respect to norm-preserving extension operators, a fact which will be used in the proofs of Theorems 1.4 and 2.6 below.

Let  $Y$  be a closed subspace of a Banach space  $Z$ . An operator  $\Phi \in \mathcal{L}(Y^*, Z^*)$  is called an *extension operator* if  $(\Phi y^*)(y) = y^*(y)$  for all  $y^* \in Y^*$  and all  $y \in Y$ . If  $Y$  admits an extension operator  $\Phi \in \mathcal{L}(Y^*, Z^*)$ , which is norm-preserving (i.e.,  $\|\Phi\| = 1$ ), then  $Y$  is called an *ideal* in  $Z$ . This is equivalent to the annihilator  $Y^{\perp}$  of  $Y$  being the kernel of a norm one projection in  $Z^*$ .

**PROPOSITION 2.1.** *Let  $X$  be a Banach space. Let  $Y$  be a closed subspace of a Banach space  $Z$ . If there exists a norm-preserving extension operator  $\Phi \in \mathcal{L}(Y^*, Z^*)$ , then  $\|\Phi T\|_{\mathcal{I}} = \|T\|_{\mathcal{I}}$  whenever  $T \in \mathcal{I}(X, Y^*)$ .*

**PROOF.** We are going to use well-known facts about tensor products (see, e.g., [3] or [23]). Since  $\mathcal{I}(X, Y^*) = (X \otimes_{\varepsilon} Y)^*$  and  $\mathcal{I}(X, Z^*) = (X \otimes_{\varepsilon} Z)^*$ , we may consider  $T \in (X \otimes_{\varepsilon} Y)^*$  and  $\Phi T \in (X \otimes_{\varepsilon} Z)^*$ . Taking into account that  $X \otimes_{\varepsilon} Y$  is a subspace of  $X \otimes_{\varepsilon} Z$ , let us observe that  $\Phi T$  extends  $T$ . Indeed, for all  $x \in X$  and  $y \in Y$ ,

$$(\Phi T)(x \otimes y) = (\Phi T x)(y) = (T x)(y) = T(x \otimes y).$$

Hence,  $\|\Phi T\|_{\mathcal{I}} \geq \|T\|_{\mathcal{I}}$ . On the other hand,  $\|\Phi T\|_{\mathcal{I}} \leq \|\Phi\| \|T\|_{\mathcal{I}} = \|T\|_{\mathcal{I}}$ .

Recall that a Banach space is a  $\mathcal{P}_\lambda$ -space, for some  $\lambda \geq 1$ , if it is complemented, by a projection whose norm does not exceed  $\lambda$ , in any Banach space containing it (as an isometrically isomorphic subspace). The next result is due to Fakhoury [4, Corollary 3.3]. Fakhoury's proof relies on Lindenstrauss's Memoir [14] and his own results established in [4]. For a simple direct proof, see [16, Proposition 5.3].

**PROPOSITION 2.2.** *Let  $Y$  be a closed subspace of a Banach space  $Z$ . If  $Y^{**}$  is a  $\mathcal{P}_\lambda$ -space, then there exists an extension operator  $\Phi \in \mathcal{L}(Y^*, Z^*)$  with  $\|\Phi\| \leq \lambda$ .*

It is well known that, for every set  $\Gamma$ , the space  $\ell_\infty(\Gamma)$  is a  $\mathcal{P}_1$ -space (see, e.g., [15, p. 105]). In particular,  $c_0^{**} = \ell_\infty$  is a  $\mathcal{P}_1$ -space. More generally,  $Y^{**}$  is a  $\mathcal{P}_1$ -space whenever  $Y$  is an  $L_1$ -predual, i.e.,  $Y^*$  is isometrically isomorphic to a space of type  $L_1(\Omega, \mu)$  (see, e.g., [26, p. 1706]).

**COROLLARY 2.3.** *Let  $Y$  be an  $L_1$ -predual (in particular,  $Y = c_0$ ). If  $Y$  is contained in a Banach space  $Z$  (as an isometrically isomorphic subspace), then  $Y$  is an ideal in  $Z$ .*

On the other hand, the following holds.

**PROPOSITION 2.4** (see [4, Proposition 3.4]). *Every ideal in an  $L_1$ -predual is an  $L_1$ -predual itself.*

**PROOF.** Since [4] considers only the real case and does not provide a proof, we include a proof for completeness. Thus, let  $Y$  be an ideal in an  $L_1$ -predual  $Z$ , and let  $\Phi \in \mathcal{L}(Y^*, Z^*)$  be a norm-preserving extension operator. Since  $Z^{**}$  is a  $\mathcal{P}_1$ -space and  $\Phi^*$  provides a norm one projection in  $Z^{**}$  onto  $Y^{**}$ ,  $Y^{**}$  is also a  $\mathcal{P}_1$ -space (it is easily seen that 1-complemented subspaces of a  $\mathcal{P}_1$ -space are  $\mathcal{P}_1$ -spaces). Hence, by the Grothendieck–Sakai theorem (see [5] for the real case and [24] for the complex case),  $Y$  is an  $L_1$ -predual.

Let us first prove Theorem 1.4.

**PROOF OF THEOREM 1.4.** By Theorem 1.2, we only need to prove the implication (b)  $\Rightarrow$  (a). For this, it suffices to show that condition (b) of Theorem 1.4 implies condition (c) of Theorem 1.2.

Let  $T \in \mathcal{N}(X, c_0^*)$ . Since  $c_0$  embeds isometrically in  $C[0, 1]$ , by Corollary 2.3 there exists a norm-preserving extension operator  $\Phi \in \mathcal{L}(c_0^*, C[0, 1]^*)$ . Since  $\Phi T \in \mathcal{N}(X, C[0, 1]^*)$ , there exists  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and

$$\limsup_{\alpha} \|\Phi T S_\alpha\|_{\mathcal{N}} \leq \lambda \|\Phi T\|_{\mathcal{N}} \leq \lambda \|\Phi\| \|T\|_{\mathcal{N}} = \lambda \|T\|_{\mathcal{N}}.$$

It is well known (see, e.g., [23, p. 176]) that for a finite-rank operator, acting to a space with the metric AP, its nuclear and integral norms coincide. Hence,  $\|TS_\alpha\|_{\mathcal{N}} = \|TS_\alpha\|_{\mathcal{I}}$  and  $\|\Phi TS_\alpha\|_{\mathcal{N}} = \|\Phi TS_\alpha\|_{\mathcal{I}}$ . Using Proposition 2.1, we therefore have

$$\|TS_\alpha\|_{\mathcal{N}} = \|TS_\alpha\|_{\mathcal{I}} = \|\Phi TS_\alpha\|_{\mathcal{I}} = \|\Phi TS_\alpha\|_{\mathcal{N}}.$$

Hence,

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{N}} \leq \lambda \|T\|_{\mathcal{N}}$$

as desired.

REMARK 2.5. It is an easy exercise to show that  $c_0^* = \ell_1$  embeds isometrically in  $C[0, 1]^*$ . It seems that an arbitrary into isometry  $\Phi \in \mathcal{L}(c_0^*, C[0, 1]^*)$  cannot be used for proving Theorem 1.4.

The *separable case* of Theorem 1.3 is immediate from Theorem 2.6 below and Theorem 1.1.

THEOREM 2.6. *Let  $X$  be a separable Banach space, and let  $1 \leq \lambda < \infty$ . If for every  $T \in \mathcal{I}(X, C[0, 1]^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  uniformly on compact subsets of  $X$  (respectively, pointwise) and*

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}},$$

*then for every  $T \in \mathcal{I}(X, \ell_\infty^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  with the same properties.*

PROOF. Let  $T \in \mathcal{I}(X, \ell_\infty^*)$ . Since  $\text{ran } T$  is separable, by a result of Sims and Yost [25] (see [6, p. 138]), we can find a separable ideal  $Y$  in  $\ell_\infty$  which admits a norm-preserving extension operator  $\Phi \in \mathcal{L}(Y^*, \ell_\infty^*)$  satisfying  $\text{ran } T \subset \text{ran } \Phi$ . By Proposition 2.4,  $Y$  is an  $L_1$ -predual.

Let  $j : Y \rightarrow \ell_\infty$  denote the identity embedding. Observe that

$$T = \Phi j^* T.$$

Indeed, let  $x \in X$ . Since  $\text{ran } T \subset \text{ran } \Phi$ , there is  $y^* \in Y^*$  such that  $Tx = \Phi y^*$ . Hence,  $\Phi j^* Tx = \Phi j^* \Phi y^* = \Phi I_{Y^*} y^* = \Phi y^* = Tx$ .

Since  $Y$  is separable, it embeds isometrically in  $C[0, 1]$ . By Corollary 2.3, there exists a norm-preserving extension operator  $\Psi \in \mathcal{L}(Y^*, C[0, 1]^*)$ . Since  $\Psi j^* T \in \mathcal{I}(X, C[0, 1]^*)$ , there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  and

$$\limsup_{\alpha} \|\Psi j^* TS_\alpha\|_{\mathcal{I}} \leq \lambda \|\Psi j^* T\|_{\mathcal{I}} \leq \lambda \|T\|_{\mathcal{I}}.$$

On the other hand, using Proposition 2.1 twice, we have

$$\|TS_\alpha\|_{\mathcal{J}} = \|\Phi j^* TS_\alpha\|_{\mathcal{J}} = \|j^* TS_\alpha\|_{\mathcal{J}} = \|\Psi j^* TS_\alpha\|_{\mathcal{J}}.$$

From this, the desired inequality is immediate.

REMARK 2.7. In the above proof of Theorem 2.6, we applied Proposition 2.4 to show that an ideal  $Y$  in  $\ell_\infty$  is an  $L_1$ -predual. An alternative proof of this fact, relying on intersection properties of balls, can be done as follows. By results of Lindenstrauss [14] (the real case) and Hustad [8] (the complex case) (see [9, Theorem 4.1] and [10, Theorem 5.8]),  $Y$  is an  $L_1$ -predual if and only if  $Y$  is an almost  $E(n)$ -space for all  $n \in \mathbb{N}$ . Recall (see [8] and [10, p. 9]) that a Banach space  $Y$  is an *almost  $E(n)$  space* if for each family of  $n$  closed balls  $B(y_1, r_1), \dots, B(y_n, r_n)$  in  $Y$  the following implication holds:

$$\begin{aligned} \bigcap_{i=1}^n B(y_i^*, r_i) \neq \emptyset \quad & \forall y_i^* \in Y^*, \quad \|y_i^*\| \leq 1 \\ \Rightarrow \bigcap_{i=1}^n B(y_i, r_i + \varepsilon) \neq \emptyset \quad & \forall \varepsilon > 0. \end{aligned}$$

Let  $e_k^* \in \ell_\infty^*$  be the coordinate functionals, and let  $y_k^* \in Y^*$  be their restrictions to  $Y$ . If the above assumption holds, then there exist numbers  $a_k$  such that  $|e_k^*(y_i) - a_k| = |y_k^*(y_i) - a_k| \leq r_i$  for all  $i = 1, \dots, n$ . Hence,  $x := (a_k) \in \ell_\infty$  and  $\|y_i - x\| \leq r_i$  in  $\ell_\infty$  for all  $i = 1, \dots, n$ . But then  $\|y_i - \Phi^* x\| = \|\Phi^*(y_i - x)\| \leq r_i$  in  $Y^{**}$  for all  $i = 1, \dots, n$ . This implies, by the principle of local reflexivity, that for every  $\varepsilon > 0$  there exists  $y_\varepsilon \in Y$  such that  $\|y_i - y_\varepsilon\| \leq r_i + \varepsilon$  for all  $i = 1, \dots, n$ , as desired.

### 3. Proof of the non-separable case of Theorem 1.3

The proof of the non-separable case of Theorem 1.3 relies on the following reformulation of the BAP in terms of separable ideals.

THEOREM 3.1 (see [11, Proposition 4.3 and Theorem 2.2]). *Let  $X$  be a Banach space, and let  $1 \leq \lambda < \infty$ . The following statements are equivalent.*

- (a)  $X$  has the  $\lambda$ -BAP.
- (b) Every separable ideal  $Z$  in  $X$  has the  $\lambda$ -BAP.

The next result is the main theorem of this section. Its assumption  $\mathcal{A} \subset \mathcal{W}$  can be equivalently expressed as follows: if  $T \in \mathcal{A}(X, Y)$ , then  $\text{ran } T^{**} \subset Y$ . This assumption holds for many operator ideals. For us, it is important that  $\mathcal{J} \subset \mathcal{W}$ .

**THEOREM 3.2.** *Let  $X$  and  $Y$  be Banach spaces, let  $\mathcal{A}$  be a Banach operator ideal such that  $\mathcal{A} \subset \mathcal{W}$ , and let  $1 \leq \lambda < \infty$ . Assume that  $X$  has the weak BAP. If  $X$  has the property that for every  $T \in \mathcal{A}(Y, X^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  pointwise and*

$$\limsup_{\alpha} \|S_\alpha^* T\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}},$$

*then every ideal  $Z$  in  $X$  has the same property.*

**PROOF.** Let  $T \in \mathcal{A}(Y, Z^*)$ . We consider the set of all  $\nu = (\varepsilon, K, L)$ , where  $\varepsilon > 0$ , and  $K \subset Z$  and  $L \subset Z^*$  are finite sets. We need to prove that for every  $\nu = (\varepsilon, K, L)$  there exists  $U_\nu \in \mathcal{F}(Z)$  such that

$$|z^*(U_\nu z - z)| < \varepsilon \quad \forall z \in K, \quad \forall z^* \in L,$$

and

$$\|U_\nu^* T\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}} + \varepsilon.$$

Indeed, this would imply that  $U_\nu \rightarrow I_Z$  in the weak operator topology and

$$\limsup_{\nu} \|U_\nu^* T\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}}.$$

Hence, passing to a net of convex combinations far out in  $(U_\nu)$ , we could assume that  $U_\nu \rightarrow I_Z$  in the strong operator topology, as desired.

Let us fix  $\nu = (\varepsilon, K, L)$ . Let  $\Phi \in \mathcal{L}(Z^*, X^*)$  be a norm-preserving extension operator. Then  $\Phi T \in \mathcal{A}(Y, X^*)$ , and there exists  $S = S_\alpha \in \mathcal{F}(X)$  such that

$$\|S z - z\| < \frac{\varepsilon}{2 \max\{\|z^*\| : z^* \in L\}} \quad \forall z \in K,$$

and

$$\|S^* \Phi T\|_{\mathcal{A}} \leq \lambda \|\Phi T\|_{\mathcal{A}} + \frac{\varepsilon}{2} \leq \lambda \|T\|_{\mathcal{A}} + \frac{\varepsilon}{2}.$$

Since  $X$  has the weak BAP, there exists an extension operator  $\Psi \in \overline{X \otimes X^*}^{w*} \subset \mathcal{L}(X^*, X^{***}) = (X^* \hat{\otimes}_\pi X^{**})^*$  (see [13, Propositions 2.1, 2.3, and 2.5] and [20, Corollary 3.18]). Then  $\Psi \Phi \in \mathcal{L}(Z^*, X^{***}) = (Z^* \hat{\otimes}_\pi X^{**})^*$ . We show that  $\Psi \Phi \in \overline{Z \otimes X^*}^{w*}$ . Let  $u = \sum_{n=1}^{\infty} z_n^* \otimes x_n^{**} \in Z^* \hat{\otimes}_\pi X^{**}$ , with  $\sum_{n=1}^{\infty} \|z_n^*\| \|x_n^{**}\| < \infty$ , and assume that

$$\langle u, z \otimes x^* \rangle = \sum_{n=1}^{\infty} z_n^*(z) x_n^{**}(x^*) = 0 \quad \forall z \in Z, \quad \forall x^* \in X^*.$$



This means that  $\sum_{n=1}^{\infty} x_n^{**}(x^*)z_n^* = 0$  in  $Z^*$  for all  $x^* \in X^*$ , and therefore

$$\sum_{n=1}^{\infty} x_n^{**}(x^*)\Phi z_n^* = 0 \quad \forall x^* \in X^*$$

in  $X^*$ . Hence, denoting  $v = \sum_{n=1}^{\infty} \Phi z_n^* \otimes x_n^{**} \in X^* \hat{\otimes}_{\pi} X^{**}$ , we have

$$\langle u, \Psi\Phi \rangle = \sum_{n=1}^{\infty} (\Psi\Phi z_n^*)(x_n^{**}) = \langle v, \Psi \rangle = 0,$$

because

$$\begin{aligned} \langle v, x \otimes x^* \rangle &= \sum_{n=1}^{\infty} (\Phi z_n^*)(x)x_n^{**}(x^*) \\ &= \left( \sum_{n=1}^{\infty} x_n^{**}(x^*)\Phi z_n^* \right)(x) = 0 \quad \forall x \in X, \quad \forall x^* \in X^*. \end{aligned}$$

Since  $\Psi\Phi \in \overline{Z \otimes X^*}^{w^*}$ , there exists a net  $(V_{\beta}) \subset \mathcal{F}(X, Z)$  such that  $V_{\beta}^* \rightarrow \Psi\Phi$  weak\* in  $\mathcal{L}(Z^*, X^{***}) = (Z^* \hat{\otimes}_{\pi} X^{**})^*$ . We shall show that the desired operator  $U_v$  can be found in the form  $U_v = VSi_Z$ , where  $i_Z : Z \rightarrow X$  denotes the identity embedding and  $V$  is a convex combination of operators  $V_{\beta}$ .

Set  $H = \text{ran}(i_Z^*S^*)$ . Then  $\dim H < \infty$ . Let  $i_H : H \rightarrow Z^*$  be the identity embedding. Denote by  $\hat{S}$  the operator  $i_Z^*S^*$  considered as an operator to  $H$ . Then

$$i_H \hat{S} = i_Z^*S^*,$$

and the operators  $\hat{S}V_{\beta}^*T$  and  $\hat{S}^{**}\Psi\Phi T$  belong to  $\mathcal{F}(Y, H) = Y^* \otimes H$ . Since  $(Y^* \hat{\otimes}_{\pi} H)^* = \mathcal{L}(Y^*, H^*) = \mathcal{F}(Y^*, H^*) = Y^{**} \otimes H^*$  and we have (using that  $\text{ran } T^{**} \subset Z^*$ ) that for all  $y^{**} \in Y^{**}$  and  $h^* \in H^*$

$$\begin{aligned} \langle y^{**} \otimes h^*, \hat{S}V_{\beta}^*T \rangle &= h^*(\hat{S}^{**}V_{\beta}^{***}T^{**}y^{**}) = h^*(\hat{S}V_{\beta}^*T^{**}y^{**}) \\ &= (\hat{S}^*h^*)(V_{\beta}^*T^{**}y^{**}) \xrightarrow{\beta} \langle T^{**}y^{**} \otimes \hat{S}^*h^*, \Psi\Phi \rangle = \langle y^{**} \otimes h^*, \hat{S}^{**}\Psi\Phi T \rangle, \end{aligned}$$

the net  $(\hat{S}V_{\beta}^*T)_{\beta}$  converges to  $\hat{S}^{**}\Psi\Phi T$  weakly in  $Y^* \hat{\otimes}_{\pi} H$ . Passing to a net of convex combinations far out in  $(V_{\beta})$ , we may assume that our net  $(V_{\beta})$  also satisfies

$$\|\hat{S}V_{\beta}^*T - \hat{S}^{**}\Psi\Phi T\|_{\pi} \xrightarrow{\beta} 0,$$

hence also

$$\|i_H \hat{S} V_\beta^* T - i_H \hat{S}^{**} \Psi \Phi T\|_{\mathcal{A}} \xrightarrow{\beta} 0.$$

Consequently,

$$\|(V_\beta S i_Z)^* T\|_{\mathcal{A}} = \|i_H \hat{S} V_\beta^* T\|_{\mathcal{A}} \xrightarrow{\beta} \|i_H \hat{S}^{**} \Psi \Phi T\|_{\mathcal{A}}.$$

A straightforward calculation shows that  $i_H \hat{S}^{**} = i_Z^* S^* j_X^*$ . Since  $j_X^* \Psi = I_{X^*}$ ,

$$\begin{aligned} \|i_H \hat{S}^{**} \Psi \Phi T\|_{\mathcal{A}} &= \|i_Z^* S^* j_X^* \Psi \Phi T\|_{\mathcal{A}} = \|i_Z^* S^* \Phi T\|_{\mathcal{A}} \\ &\leq \|S^* \Phi T\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}} + \frac{\varepsilon}{2}. \end{aligned}$$

Hence, there is some  $\beta_0$  such that for  $\beta \geq \beta_0$ , one has

$$\|(V_\beta S i_Z)^* T\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}} + \varepsilon.$$

Finally, let us consider the operators  $\hat{S} V_\beta^*$ ,  $\hat{S}^{**} \Psi \Phi \in \mathcal{F}(Z^*, H)$ . Since for all  $z^* \in Z^*$  and  $h^* \in H^*$

$$h^*(\hat{S} V_\beta^* z^*) = (\hat{S}^* h^*)(V_\beta^* z^*) \xrightarrow{\beta} \langle z^* \otimes \hat{S}^* h^*, \Psi \Phi \rangle = h^*(\hat{S}^{**} \Psi \Phi z^*),$$

$\hat{S} V_\beta^* \rightarrow_\beta \hat{S}^{**} \Psi \Phi$  in the weak operator topology. Passing to a net of convex combinations far out in  $(\hat{S} V_\beta^*)$ , we may assume that  $\hat{S} V_\beta^* z^* \rightarrow_\beta \hat{S}^{**} \Psi \Phi z^*$  for all  $z^* \in Z^*$ . Hence also  $i_H \hat{S} V_\beta^* z^* \rightarrow_\beta i_H \hat{S}^{**} \Psi \Phi z^*$  for all  $z^* \in Z^*$ . This means, by calculations made above, that

$$(V_\beta S i_Z)^* z^* \xrightarrow{\beta} i_Z^* S^* \Phi z^* \quad \forall z^* \in Z^*.$$

Let  $\beta_1$  be such that for  $\beta \geq \beta_1$ , one has

$$|z^*(V_\beta S i_Z z) - (\Phi^* S z)(z^*)| < \frac{\varepsilon}{2} \quad \forall z \in K, \quad \forall z^* \in L.$$

Since

$$\|\Phi^* S z - \Phi^* z\| \leq \|S z - z\| < \frac{\varepsilon}{2 \max\{\|z^*\| : z^* \in L\}} \quad \forall z \in K,$$

and  $(\Phi^* z)(z^*) = (\Phi z^*)(z) = z^*(z)$ ,

$$|(\Phi^* S z)(z^*) - z^*(z)| < \frac{\varepsilon}{2} \quad \forall z \in K, \quad \forall z^* \in L.$$

Consequently, for  $\beta \geq \beta_1$ , one has

$$|z^*(V_\beta Si_Z z - z)| < \varepsilon \quad \forall z \in K, \quad \forall z^* \in L.$$

Setting  $U_v = V_\beta Si_Z$  for some  $\beta \geq \beta_0, \beta \geq \beta_1$ , completes the proof.

To apply Theorem 3.2 in our context, we shall need the following result. For a Banach operator ideal  $\mathcal{A}$ , let us denote by  $\mathcal{A}^*$  the *dual operator ideal* of  $\mathcal{A}$ . Its components are  $\mathcal{A}^*(X, Y) = \{T \in \mathcal{L}(X, Y) : T^* \in \mathcal{A}(Y^*, X^*)\}$  with  $\|T\|_{\mathcal{A}^*} = \|T^*\|_{\mathcal{A}}$ . (The notation  $\mathcal{A}^*$  means adjoint ideal in [2] and [22], where the dual operator ideal is denoted by  $\mathcal{A}^d$  and  $\mathcal{A}^{\text{dual}}$ , respectively.)

**PROPOSITION 3.3.** *Let  $X$  and  $Y$  be Banach spaces, let  $\mathcal{A}$  be a Banach operator ideal such that  $\mathcal{A} \subset \mathcal{A}^{**}$ , and let  $1 \leq \lambda < \infty$ . Let  $\tau$  be a topology on  $\mathcal{L}(X)$ . The following statements are equivalent.*

- (a) *For every  $T \in \mathcal{A}(Y, X^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  in  $\tau$  and*

$$\limsup_{\alpha} \|S_\alpha^* T\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}}.$$

- (b) *For every  $T \in \mathcal{A}^*(X, Y^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  in  $\tau$  and*

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{A}^*} \leq \lambda \|T\|_{\mathcal{A}^*}.$$

**PROOF.** Below, we shall use the following observation. If  $X$  and  $Y$  are Banach spaces and  $T \in \mathcal{L}(X, Y^*)$ , then

$$T = j_Y^* T^{**} j_X.$$

Indeed,  $T = I_{Y^*} T = j_Y^* j_{Y^*} T = j_Y^* T^{**} j_X$ .

(a)  $\Rightarrow$  (b). Consider  $T \in \mathcal{A}^*(X, Y^*)$ . Then  $T^* \in \mathcal{A}(Y^{**}, X^*)$  and  $\|T\|_{\mathcal{A}^*} = \|T^*\|_{\mathcal{A}}$ . Since  $T^* j_Y \in \mathcal{A}(Y, X^*)$ , there is  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  in  $\tau$  and

$$\limsup_{\alpha} \|S_\alpha^* T^* j_Y\|_{\mathcal{A}} \leq \lambda \|T^* j_Y\|_{\mathcal{A}} \leq \lambda \|T^*\|_{\mathcal{A}} = \lambda \|T\|_{\mathcal{A}^*}.$$

On the other hand,

$$\begin{aligned} \|TS_\alpha\|_{\mathcal{A}^*} &= \|j_Y^* T^{**} S_\alpha^* j_X\|_{\mathcal{A}^*} \leq \|j_Y^* T^{**} S_\alpha^*\|_{\mathcal{A}^*} \\ &= \|S_\alpha^* T^* j_Y\|_{\mathcal{A}^{**}} \leq \|S_\alpha^* T^* j_Y\|_{\mathcal{A}}. \end{aligned}$$

From this, the desired inequality is immediate.

(b)  $\Rightarrow$  (a). Consider  $T \in \mathcal{A}(Y, X^*)$ . Since  $\mathcal{A} \subset \mathcal{A}^{**}$ , we have  $T \in \mathcal{A}^{**}(Y, X^*)$  and therefore  $T^* \in \mathcal{A}^*(X^{**}, Y^*)$ . Since  $T^*j_X \in \mathcal{A}^*(X, Y^*)$ , there is  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  in  $\tau$  and

$$\limsup_{\alpha} \|T^*j_X S_\alpha\|_{\mathcal{A}^*} \leq \lambda \|T^*j_X\|_{\mathcal{A}^*} \leq \lambda \|T^*\|_{\mathcal{A}^*} = \lambda \|T\|_{\mathcal{A}^{**}} \leq \lambda \|T\|_{\mathcal{A}}.$$

On the other hand,

$$\|S_\alpha^* T\|_{\mathcal{A}} = \|S_\alpha^* j_X^* T^{**} j_Y\|_{\mathcal{A}} \leq \|S_\alpha^* j_X^* T^{**}\|_{\mathcal{A}} = \|T^* j_X S_\alpha\|_{\mathcal{A}^*}.$$

From this, the desired inequality is immediate.

Since  $\mathcal{J} = \mathcal{J}^* = \mathcal{J}^{**}$ , we have an immediate corollary, which we spell out for an easy reference.

**COROLLARY 3.4.** *Let  $X$  and  $Y$  be Banach spaces, and let  $1 \leq \lambda < \infty$ . Let  $\tau$  be a topology on  $\mathcal{L}(X)$ . The following statements are equivalent.*

- (a) *For every  $T \in \mathcal{J}(Y, X^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  in  $\tau$  and*

$$\limsup_{\alpha} \|S_\alpha^* T\|_{\mathcal{J}} \leq \lambda \|T\|_{\mathcal{J}}.$$

- (b) *For every  $T \in \mathcal{J}(X, Y^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(X)$  such that  $S_\alpha \rightarrow I_X$  in  $\tau$  and*

$$\limsup_{\alpha} \|TS_\alpha\|_{\mathcal{J}} \leq \lambda \|T\|_{\mathcal{J}}.$$

**PROOF OF THEOREM 1.3.** By Theorem 1.1, we only need to prove the implication (b)  $\Rightarrow$  (a).

First of all, let us observe that  $X$  has the weak  $\lambda$ -BAP. Indeed, (b) of Theorem 1.3 implies (b) of Theorem 1.4, because  $\mathcal{N} \subset \mathcal{J}$  and, as in the proof of Theorem 1.4,  $\|TS_\alpha\|_{\mathcal{N}} = \|TS_\alpha\|_{\mathcal{J}}$  whenever  $TS_\alpha \in \mathcal{F}(X, C[0, 1]^*)$ . According to Theorem 1.4,  $X$  has the weak  $\lambda$ -BAP.

By Corollary 3.4, (b)  $\Rightarrow$  (a), and Theorem 3.2, every separable ideal  $Z$  has the property that for every  $T \in \mathcal{J}(C[0, 1], Z^*)$  there exists a net  $(S_\alpha) \subset \mathcal{F}(Z)$  such that  $S_\alpha \rightarrow I_Z$  pointwise and  $\limsup_{\alpha} \|S_\alpha^* T\|_{\mathcal{J}} \leq \lambda \|T\|_{\mathcal{J}}$ . By Corollary 3.4, (a)  $\Rightarrow$  (b), and the separable version of Theorem 1.3, we get that every separable ideal  $Z$  in  $X$  has the  $\lambda$ -BAP. This means, according to Theorem 3.1, that  $X$  has the  $\lambda$ -BAP.

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DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF AGDER  
SERVICEBOKS 422  
N-4604 KRISTIANSAND  
NORWAY  
*E-mail:* Asvald.Lima@uia.no

AALESUND UNIVERSITY COLLEGE  
SERVICE BOX 17  
N-6025 ÅLESUND  
NORWAY  
*E-mail:* Vegard.Lima@gmail.com

FACULTY OF MATHEMATICS AND COMPUTER SCIENCE  
UNIVERSITY OF TARTU  
J. LIIVI 2  
EE-50409 TARTU  
ESTONIA  
*E-mail:* eve.oja@ut.ee